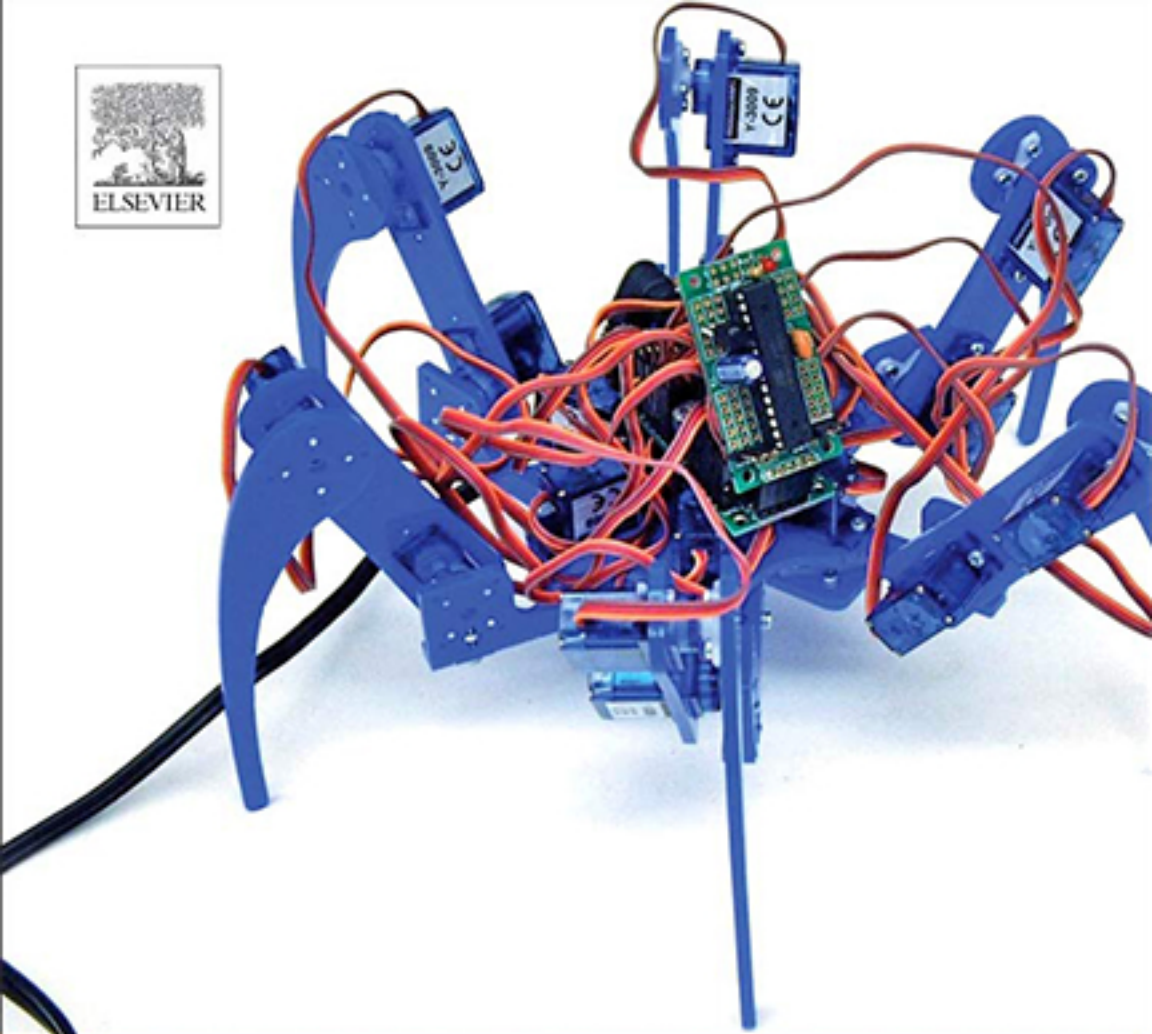




SENSORS FOR MECHATRONICS

SECOND EDITION

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VISIT...

LANZAROTE
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Sensors for Mechatronics

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Preface for the second edition

Since the publication of the first edition, the sensor market for commercial applications has shown a tremendous growth. The availability of low-cost processing equipment (microcontrollers) has opened up a vast market for products and systems with embedded electronics. The success of this expansion is strongly supported by the availability of small and cheap sensors, made possible by the revolutionary advancement in semiconductor technology.

Where in the first edition the various examples of silicon-based sensors can be conceived as precursors of this growth, the present state of development justifies special attention in this book on applications in the mechatronic domain. Since many miniaturized sensors for this purpose are readily available, often with integrated signal processing, the scope of this book has been extended with discussing the interfacing of such sensors to embedded systems. The Arduino platform has been chosen as the vehicle to illustrate interfacing strategies, as well as the versatility of microcontrollers as a tool for designers of mechatronic systems.

Paul P.L. Regtien and Edwin Dertien

Hengelo, Enschede, The Netherlands, February 2018

Preface for the first edition

This book offers an overview of various sensors and sensor systems as required and applied in mechatronics. Emphasis lies on the physical background of the operating principles, illustrated with examples of commercially available sensors and of recent and future developments. The work is adapted from a course book on sensors for mechatronic systems, used by students in Electrical and Mechanical Engineering of the University of Twente, The Netherlands. It tries to give an answer to the following questions:

- What is the best sensing principle for a specified mechatronic system or robot task?
- Are commercially available sensors adequate for these tasks?
- How to make the most of these sensors?
- What are their basic limitations?
- How to design a sensor system based on available sensing devices?
- Which improvements could be expected in the near future, based on current sensor research?

A mechatronic system uses information from its internal state as well as from its environment, in order to operate properly. This information is obtained using sensors. In many situations turnkey sensing systems are available on the sensor market. For many other, newly defined applications, such a solution is not feasible, and a sensing system should be assembled from obtainable or specifically designed sub-systems. This book offers a help in achieving the best solution to various kinds of sensor problems encountered in mechatronics, by reviewing the major types of transducers, a characterization of the state-of-the-art in sensing technology and a view on current sensor research.

Sensors operate in at least two different physical domains. Therefore, the first chapter discusses general aspects of sensors, with a special section on quantities, notations, and relations concerning these various domains. Moreover, it includes a section devoted to sensor errors and error minimization that apply to most of the sensors discussed in this book. Each of the subsequent chapters deals with one class of sensors, pursuing a classification according to physical principles rather than to measurands. These categories are resistive, capacitive, inductive and magnetic, optical, piezoelectric, and acoustic sensors. Each of these chapters starts with a brief introduction to the physical background, from which follow the basic properties and limitations of such sensors. In subsequent sections, different types and constructions are given, together with the typical specifications and maximal ratings for devices available on the sensor market. For each category of sensors, a number of

applications are given. Where appropriate, a section is added on the interfacing of the sensor.

This book is written for people involved in designing mechatronic systems and robots but who are not specialist in sensor technology. However, the reader is assumed to have some background knowledge on physics and electronics. Nevertheless, some basic principles are briefly reviewed as a recollection, to facilitate reading. Moreover, readers who are not familiar with certain parts of physics are briefly introduced into the subject. Finally, each chapter ends with a section on literature (books and journal papers) as a reference for readers where to find further information on the topics discussed in that chapter. At the back of the book, appendices are found providing elementary electronic interface circuits, together with expressions describing their performance.

The author welcomes all kinds of comments and suggestions that will result in an increased quality of the work.

Paul P.L. Regtien

Hengelo, The Netherlands, November 2011

Worldwide, sensor development is a fast growing discipline. Today's sensor market offers thousands of sensor types, for almost every measurable quantity, for a broad area of applications, and with a wide diversity in quality. Many research groups are active in the sensor field, exploring new technologies, investigating new principles and structures, aiming at reduced size and price, at the same or even better performance.

System engineers have to select the proper sensors for their design, from an overwhelming volume of sensor devices and associated equipment. A well-motivated choice requires thorough knowledge of what is available on the market, and a good insight in current sensor research to be able to anticipate forthcoming sensor solutions.

This introductory chapter gives a general view on sensors—their functionality, the nomenclature, and global properties—as a prelude to a more in-depth discussion about sensor performance and operation principles.

1.1 Sensors in mechatronics

1.1.1 Definitions

A transducer is an essential part of any information processing system that operates in more than one physical domain. These domains are characterized by the type of quantity that provides the carrier of the relevant information. Examples are the optical, electrical, magnetic, thermal, and mechanical domains. A transducer is that part of a measurement system that converts information about a measurand from one domain to another, ideally without information loss.

A transducer has at least one input and one output. In measuring instruments, where information processing is performed by electrical signals, either the output or the input is of electrical nature (voltage, current, resistance, capacitance, and so on), whereas the other is a nonelectrical signal (displacement, temperature, elasticity, and so on). A transducer with a nonelectrical *input* is an *input transducer*, intended to convert a nonelectrical quantity into an electrical signal in order to measure that quantity. A transducer with a nonelectrical *output* is called an *output transducer*, intended to convert an electrical signal into a nonelectrical quantity in order to control that quantity. So, a more explicit definition of a transducer is *an electrical device that converts one form of energy into another*, with the intention of preserving information.

According to common terminology, these transducers are also called *sensor* and *actuator*, respectively (Fig. 1.1). So, a sensor is an input transducer and an actuator is an output transducer. It should be noted, however, that this terminology is not

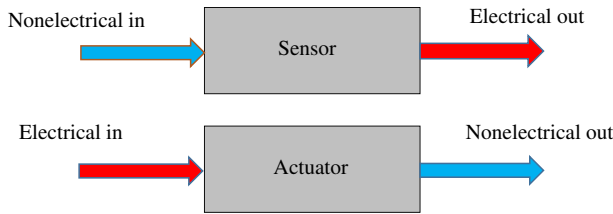


Figure 1.1 Sensors and actuators.

standardized. In literature other definitions are found. Some authors make an explicit difference between a *sensor* and a (input) *transducer*, stressing a distinction between the element that performs the physical conversion and the complete device—for instance, a strain gauge (the transducer) and a load cell (the sensor) with one or more strain gauges and an elastic element.

Attempts to standardize terminology in the field of metrology have resulted in the *Vocabulaire International de Métrologie* (VIM) [1]. According to this document a *transducer* is a device, used in measurement, that provides an output quantity having a specified relation to the input quantity. The same document defines a *sensor* as the element of a measuring system that is directly affected by a phenomenon, body, or substance carrying a quantity to be measured.

Modern sensors not only contain the converting element but also part of the signal processing (analogue processing such as amplification and filtering, AD conversion, and digital processing). Many of such sensors have the electronics integrated with the transducer part onto a single chip. Present-day sensors may have a bus-compatible output, implying full signal conditioning on board, or include transmission electronics within the device, for instance, for biomedical applications.

Signal conditioning may be included:

- to protect the sensor from being loaded or to reduce loading errors;
- to match the sensor output range to the input range of the analog to digital converter (ADC);
- to enhance the S/N (signal-to-noise ratio) prior to further signal processing;
- to generate a digital, bus-compatible electrical output; or
- to transmit measurement data for wireless applications.

In conclusion, the boundaries between sensor and transducer as proclaimed in many sensor textbooks are disappearing or losing their usefulness: the user buys and applies the sensor system as a single device, with a nonelectrical input and an electrical output (e.g., an analogue signal, a microprocessor compatible digital signal, or a radio signal).

1.1.2 Sensor development

Sensors provide the essential information about the state of a (mechatronic) system and its environment. This information is used to execute prescribed tasks, to adapt

the system properties or operation to the (changing) environment or to increase the accuracy of the actions to be performed.

Sensors play an important role not only in mechatronics but also in many other areas. They are widely applied nowadays in all kind of industrial products and systems. A few examples are as follows:

- Consumer electronics
- Household products
- Public transport, automotive
- Process industry
- Manufacturing, production
- Agriculture and breeding industry
- Medical instruments

and many other areas where the introduction of sensors has increased dramatically the performance of instruments, machines, and products.

The world sensor market is still growing substantially. The worldwide sensor market offers over 100,000 different types of sensors. This figure not only illustrates the wide range of sensor use but also the fact that selecting the right sensor for a particular application is not a trivial task. Reasons for the increasing interest in sensors are as follows:

- *Reduced prices*: the price of sensors not only depends on the technology but also on production volume. Today, the price of a sensor runs from several ten thousands of euros for single pieces down to a few eurocents for a 100 million volume.
- *Miniaturization*: the IC-compatible technology and progress in micromachining technology are responsible for this trend [2–4]. Pressure sensors belong to the first candidates for realization in silicon (early 1960s). Micro-ElectroMechanical Systems (MEMS) are gradually taking over many traditionally designed mechanical sensors [5–7]. Nowadays, solid-state sensors (in silicon or compatible technology) for almost every quantity are available, and there is still room for innovation in this area [8,9].
- *Smart sensing*: the same technology allows the integration of signal processing and sensing functions on a single chip. Special technology permits the processing of both analogue and digital signals (“mixed signals”), resulting in sensor modules with (microprocessor compatible) digital output.

Popular MEMS sensors are accelerometers and gyroscopes. A MEMS accelerometer can be made completely out of silicon, using micromachining technology. The seismic mass is connected to the substrate by thin, flexible beams, acting as a spring. The movement of the mass can be measured by, for instance, integrated piezoresistors positioned on the beam at a location with maximum deformation (Chapter 4) or by a capacitive method (Chapter 5).

In mechatronics, mainly sensors for the measurement of mechanical quantities are encountered. The most frequent sensors are for displacement (position) and force (pressure), but many other sensor types can be found in a mechatronic system.

Many sensors are commercially available and can be added to or integrated into a mechatronic system. This approach is preferred for systems with relatively simple

tasks and operating in a well-defined environment, as commonly encountered in industrial applications. However, for more versatile tasks and specific applications, dedicated sensor systems are required, which are often not available. Special designs, further development or even research are needed to fulfill specific requirements, for instance, with respect to dimensions, weight, temperature range, and radiation hardness.

1.1.3 Sensor nomenclature

In this book, we follow a strict categorization of sensors according to their main physical principle. The reason for this choice is that sensor performance is mainly determined by the physics of the underlying principle of operation. For example, a position sensor can be realized using resistive, capacitive, inductive, acoustic, and optical methods. The sensor characteristics are strongly related to the respective physical transduction processes. However, a magnetic sensor of a particular type could be applied as, for instance, a displacement sensor, a velocity sensor, or a tactile sensor. For all these applications the performance is limited by the physics of this magnetic sensor.

Apparently, position and movement lead the list of measurement quantities. Common parlance contains many other words for position parameters. Often, transducers are named after these words. Here is a short description of some of these transducers.

Distance sensor	Measures the length of the straight line between two defined points
Position sensor	Measures the coordinates of a specified point of an object in a specified reference system
Displacement sensor	Measures the change of position relative to a reference point
Range sensor	Measures in a 3D space the shortest distance from a reference point (the observer) to various points of object boundaries in order to determine their position and orientation relative to the observer or to get an image of these objects
Proximity sensor	(1) Determines the sign (positive or negative) of the linear distance between an object point and a fixed reference point; also called a switch (2) A contact-free displacement or distance sensor for short distances (down to zero)
Level sensor	Measures the distance of the top level of a liquid or granular substance in a container with respect to a specified horizontal reference plane
Angular sensor	Measures the angle of rotation relative to a reference position
Encoder	Displacement sensor (linear or angular) containing a binary coded ruler or disk
Tilt sensor	Measures the angle relative to the earth's normal
Tachometer	Measures rotational speed
Vibration sensor	Measures the motion of a vibrating object in terms of displacement, velocity, or acceleration
Accelerometer	Measures acceleration

Transducers for the measurement of force and related quantities are as follows:

Pressure sensor	Measures pressure difference, relative to either vacuum (absolute pressure), a reference pressure, or ambient pressure
Force sensor	Measures the (normal and/or shear) force exerted on the active point of the transducer
Torque sensor	Measures torque (moment)
Force—torque sensor	Measures both forces and torques (up to six components)
Load cell	Force or pressure sensor, for measuring weight
Strain gauge	Measures linear relative elongation (positive or negative) of an object, caused by compressive or tensile stress
Touch sensor	Detects the presence or (combined with a displacement sensor) the position of an object by making mechanical contact
Tactile sensor	Measures 3D shape of an object by the act of touch, either sequentially using an exploring touch sensor or instantaneously by a matrix of force sensors

Many transducers have been given names according to their operating principle, construction, or a particular property. Examples are as follows:

Hall sensor	Measures magnetic field based on the Hall effect, after the American physicist Edwin Hall (1855–1938)
Coriolis mass flow sensor	Measures mass flow of a fluid by exploiting the Coriolis force exerted on a rotating or vibrating channel with that fluid; after Gustave-Gaspard de Coriolis, French scientist (1792–1843)
Gyroscope, gyrometer	A device for measuring angle or angular velocity, based on the gyroscopic effect occurring in rotating or vibrating structures
Eddy current sensor	Measures short range distances between the sensor front and a conductive object using currents induced in that object due to an applied AC magnetic field; also used for defect detection
LVDT	Or Linear Variable Displacement Transformer, a device that is basically a voltage transformer, with linearly movable core
NTC	Short for temperature sensor (especially thermistor) with Negative Temperature Coefficient

Some sensors use a *concatenation* of transduction steps. A displacement sensor, combined with a spring, can act as a force sensor. In combination with a calibrated mass, a displacement sensor can serve as an accelerometer. The performance of such transducers not only depends on the primary sensor but also on the added components: in the examples above the spring compliance and the seismic mass, respectively.

Information about a particular quantity can also be obtained by *calculation* using relations between quantities. The accuracy of the result depends not only on the errors in the quantities that are measured directly but also on the accuracy of the parameters in the model that describes the relation between the quantities involved.

For instance, in an acoustic distance measurement the distance is calculated from the measured time-of-flight (ToF; with associated errors) and the sound velocity. An accurate measurement result requires knowledge of the acoustic velocity of the medium at the prevailing temperature.

Some variables can be derived from others by electronic *signal processing*. Speed and acceleration can be measured using a displacement sensor, by differentiating its output signal once or twice, respectively. Conversely, by integrating the output signal of an accelerometer a velocity signal is obtained and, by a second integration, a position signal. Obviously, the performance of the final result depends on the quality of the signal processing. The main problem with differentiation is the increased noise level (in particular in the higher frequency range), and integration may result in large drift due to the integration of offset.

1.1.4 Sensors and information

According to the amount of information a sensor or sensing system offers, three groups of sensors can be distinguished: binary sensors, analogue sensors, and image sensors. Binary sensors give only one bit of information but are very useful in mechatronics. They are utilized as end stops, as event detectors and as safety devices. Depending on their output (0 or 1), processes can be started, terminated, or interrupted. The binary nature of the output makes them highly insensitive to electrical interference.

Analogue sensors are used for the acquisition of metric information with respect to quantities related to distance (e.g., relative position, linear and angular velocity, and acceleration), force (e.g., pressure, gripping force, and bending), or others (e.g., thermal, optical, mechanical, electrical, or magnetic properties of an object). A wide variety of industrial sensors for these purposes are available.

The third category comprises image sensors, intended for the acquisition of information related to structures and shapes. Depending on the application, the sensor data refer to one-, two-, or three-dimensional images. The accuracy requirements are less severe compared to the sensors from the preceding category, but the information content of their output is much larger. As a consequence, the data acquisition and processing for such sensors are more complex and more time consuming.

The next sections present some general aspects of sensors, following the categorization in binary, analogue, and image sensors as introduced before. Actually, the section serves as a general overview of the sensors and sensing systems which are discussed in more detail in subsequent chapters. Details on physical background, specifications, and typical applications are left for those chapters. Here, the differences in approach are highlighted and their consequences for the applicability in mechatronic systems are emphasized.

1.1.4.1 Binary sensors

A binary sensor has an analogue input and a two-state output (0 or 1). It converts the (analogue) input quantity to a one bit output signal. These sensors are also referred to as *switches* or *detectors*. They have a fixed or an adjustable threshold

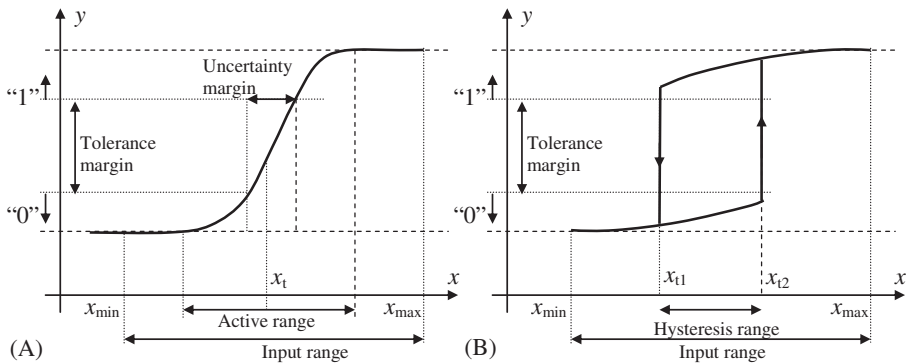


Figure 1.2 Characteristic of a binary sensor (A) without hysteresis, (B) with imposed hysteresis.

level x_t (Fig. 1.2A). In fact, there are essentially two levels, marking the hysteresis interval (Fig. 1.2B). Any analogue sensor can be converted to a binary sensor by adding a Schmitt trigger (comparator with hysteresis, Appendix C.5). Although hysteresis lowers the accuracy of the threshold detection (down to the hysteresis interval), it may help reducing unwanted bouncing due to noise in the input signal.

Most binary sensors measure position. Binary displacement sensors are also referred to as *proximity* sensors. They react when a system part or a moving object has reached a specified position. Two major types are the mechanically and the magnetically controlled switches.

Mechanically controlled switches are actually touch sensors. They are available in a large variety of sizes and constructions; for special conditions there are waterproof and explosion-proof types; for precision measurements there are switches with an inaccuracy less than $\pm 1 \mu\text{m}$ and a hysteresis interval in the same order, guaranteed over a temperature range from -20°C to 75°C . Another important parameter of a switch is the reliability, expressed in the minimum number of commutations. Mechanical switches have a reliability of about 10^6 .

A *reed switch* is a magnetically controlled switch: two magnetizable tongues or reeds in a hermetically closed encapsulation filled with an inert gas. The switch is normally off; it can be switched on mechanically by a permanent magnet approaching the sensor. Reed switches have good reliability: over 10^7 commutations at a switching frequency of 50 Hz. A disadvantage is the *bouncing* effect, the chattering of the contacts during a transition of state. Reed switches are applied in various commercial systems, from cars (monitoring broken lights, level indicators) to electronic organs (playing contacts), to telecommunication devices and testing and measurement equipments. In mechatronic systems, they act as end-of-motion detectors, touch sensors, and other safety devices. The technical aspects are described in Chapter 6 on inductive and magnetic sensors.

The drawbacks of all mechanical switches are a relatively large switch-on time (for reed switches typically 0.2 ms) and wear. This explains the growing popularity

Table 1.1 Typical specifications of commercial binary sensors

Type	Working range	Response time	Reproducibility
Mechanical	0 (contact)		$\pm 1\text{ }\mu\text{m}$
Reed switch	0–2 cm	0.1 ms (on)	
Optical	0–2/10/35 m ^a	500 Hz/1 ms	10 cm
Inductive	0–50 cm	1 ms	1 cm
Capacitive	0–40 mm	1 ms	1 mm
Magnetic	0–100 mm		10 μm

^aReflection from object/reflector/direct mode.

of electronic switches, such as optically controlled semiconductors and Hall plates. There is a wide range of binary displacement sensors on the market, for a variety of distances and performance. Table 1.1 presents a concise overview of specifications.

All these sensors except for the mechanical switch operate essentially contact-free. Obviously, the optical types have the widest distance range. The optical, inductive, and capacitive types are essentially analogue sensors, with adjustable threshold levels. The specifications include interface and read-out electronics. In particular, the response time of the sensor itself may be much better than the value listed in the table. Accuracy data include hysteresis and apply for the whole temperature range (maximum operating temperature range 70°C typical).

1.1.4.2 Analogue sensors

There is an overwhelming number of analogue sensors on the market, for almost any physical quantity, and operating according to a diversity of physical principles. In mechatronics, the major measurement quantities of interest are linear and angular displacement, their time derivatives (velocity and acceleration), and force (including torque and pressure). These and many other sensors will be discussed in more detail in later chapters.

1.1.4.3 Image sensors

Imaging is a powerful method to obtain information about geometrical parameters of objects with a complex shape. The 3D object or a complete scene is transformed to a set of data points representing the geometrical parameters that describe particular characteristics of the object, for instance, its pose (position and orientation in space), dimensions, shape, or identity. An essential condition in imaging is the preservation of the required information. This is certainly not trivial: photographic and camera pictures are 2D representations of a 3D world, and hence much information is lost by the imaging process.

Three basic concepts for image acquisition are depicted schematically in Fig. 1.3. In the first method the scene to be imaged is scanned point by point by some mechanical means (e.g., a mirror on a stepping motor) or electronically (for instance, with *phased arrays*). Such an imaging system is often referred to as a

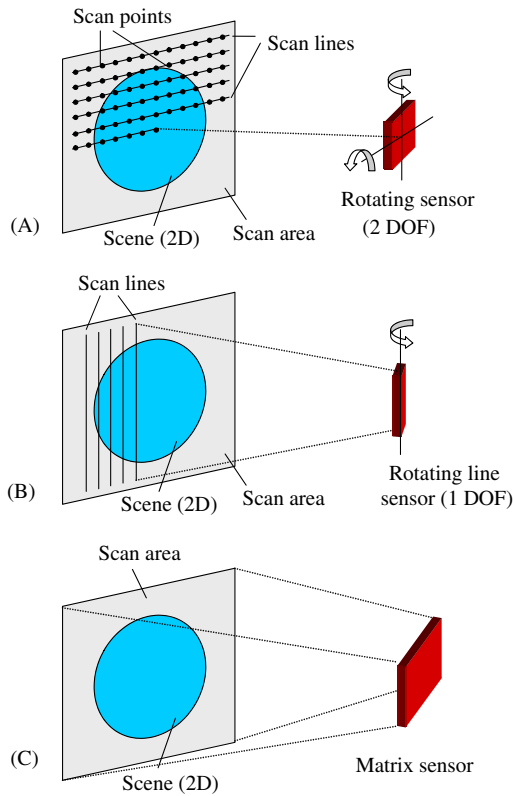


Figure 1.3 Imaging techniques: (A) 2D point scanning, (B) 1D line scanning, and (C) projection on 2D matrix sensor.

range finder: it yields distance information over an angular range determined by the limits of the scanning mechanism. The output is a sequential data stream containing 3D information about the scene: depth data from the scanning sensor and angular data from the scanning mechanism. Although the data points are three-dimensional, information is obtained only about the surface boundary range and only that part of the surface that is connected to the sensor system by a line of direct sight. Therefore range data are sometimes called 2.5D data. In Fig. 1.3A, the sensor and scanning mechanism are presented as a single device. Most scanning systems consist of several parts, for instance, a fixed transmitter and receiver and one or more rotating mirrors or reflectors. Sometimes the transmitter, the receiver, or both are mounted on the scanning device. Although the scanning method is slow, it requires only a single sensor which can therefore be of high quality.

In the second method (Fig. 1.3B) the scene is scanned line by line, again using some mechanical scanning device. Each line is projected onto an array of sensors (in the optical domain for instance a diode array). The sensor array may include electronic scanning to process the data in a proper way. Nevertheless, the

mechanical scanning mechanism operates in only one direction, which increases the speed of image formation and lowers construction complexity as compared to point-wise scanning.

The third method (Fig. 1.3C) involves the projection of the unknown image on a 2D matrix of point sensors. This matrix is electronically scanned for serial processing of the data. Since all scanning is performed in the electronic domain, the acquisition time is short. The best known imaging device is the CCD matrix camera (Charge Coupled Device). It has the highest spatial resolution of all matrix imagers.

Considering the nature of the various possible information carriers, there are at least three candidates for image acquisition: light, (ultra)sound and contact force. All three are being used in both scanning and projection mode. Most popular is the CCD camera as imager for exploring and analyzing the work space of a mechatronic system or a robot's environment. However, in numerous applications the camera is certainly not the best choice.

The acquisition of an image is just the first step in getting the required information; data processing is another important item. There is a striking difference between (camera based) vision and nonvision data processing. The main problem of the CCD camera is the provision of superfluous data. The first step in image processing is, therefore, to get rid of all irrelevant data in the image. For instance, a mere contour might be sufficient for proper object identification; the point is how to find the right contour. However, most nonvision imagers suffer from a too-low-resolution. Here the main problem is the extraction of information from the low-resolution image and—in the case of scanning systems—from other sensors. In all cases, model-driven data processing is required to be able to arrive at proper conclusions about features of the objects or the scene under test.

1.1.4.4 Optical imaging

Most optical imaging systems applied in mechatronics and robotics use a camera (CCD-type or CMOS) and a proper illumination of the scene. The image (or a pair of images or even a sequence when 3D information is required) is analyzed by some image processing algorithm applied to the intensity and color distribution in the image. Particular object features are extracted from particular patterns in light intensity in the image. Position information is derived from the position of features in the image, together with camera parameters (position and orientation, focal length).

Specified conditions for getting a proper image must be fulfilled: an illumination that yields adequate contrast and no disturbing shadows and a camera set-up with a full view on the object or the scene and with a camera that has a sufficiently high resolution, so as not to lose relevant details. Obviously, a 2D image shows only a certain prospect of the object, never a complete view (*self-occlusion*). In case of more than one object, some of them could be (partially) hidden behind others (*occlusion*), a situation that makes the identification much more difficult.

Even in the most favorable situation, the image alone does not reveal enough information for the specified task. Besides a proper model of the object, we need a

model of the imaging process: position and orientation of the camera(s), camera parameters like focal length, and the position of the light source(s) with respect to the object and camera. All of these items determine the quality of the image from which features are to be extracted. The pose of the object in the scene can be derived from the available information and knowledge of the imaging system.

Many algorithms have been developed to extract useful features from an image that is built up of thousands of samples (in space and time) described by color parameters, gray-tone values or just bits for black and white images. The image is searched for particular combinations of adjacent pixels such as edges, from which region boundaries are derived. Noise in the image may disturb this process, and special algorithms have been developed to reduce its influence. The result is an image that reveals at least some characteristics of the object. For further information on feature extraction the reader is referred to the literature on computer vision and image processing.

1.1.4.5 *Acoustic imaging*

The interest in acoustic waves for imaging is steadily growing, mainly because of the low cost and simple construction of acoustic transducers. The suitability of acoustic imaging has been proved in medical, geological, and submarine applications. Applications in mechatronics have, however, some severe limitations going back to ultrasonic wave propagation in air (where most mechatronic systems operate). Despite these limitations, detailed in Chapter 9, many attempts are being made to improve the accuracy and applicability of acoustic measurement systems, in particular as they are applied to distance measurement and range finding.

The most striking drawback of acoustic imaging is the low spatial resolution, due to the diverging beam of acoustic transducers. The directivity of the transducers can be improved by increasing the ratio between the diameter and the wave length. Even at medium frequencies (i.e., 40 kHz), this results in rather large devices. An alternative method is the use of an array of simultaneously active acoustic elements. Due to interference, the main beam (in the direction of the acoustic axis) is narrowed. Further, the direction of this beam can be electronically controlled by variation of the phase shift or time delay between the elements of the array. This technique, known as *phased arrays*, applies to transmitters as well as receivers.

The recognition of shapes requires a set of distance sensors or scanning with a single sensor, according to one of the principles in Fig. 1.3. The shape follows from a series of numerical calculations (see, for instance, Refs. [10,11]).

Instead of geometric models for use in object recognition, other models may be used. An example of such a different approach is shown schematically in Fig. 1.4. An acoustic signal (the stimulus) is transmitted toward the object. The shape of the echo pattern (the response) is determined by the object's shape and orientation. In a learning phase, echo patterns of all possible objects are stored in computer memory. They can be considered acoustic signatures of the objects. The echo pattern from a test object belonging to the trained set is matched to each of the stored signatures. Using a minimum distance criterion reveals the best candidate [12]. Evidently, the

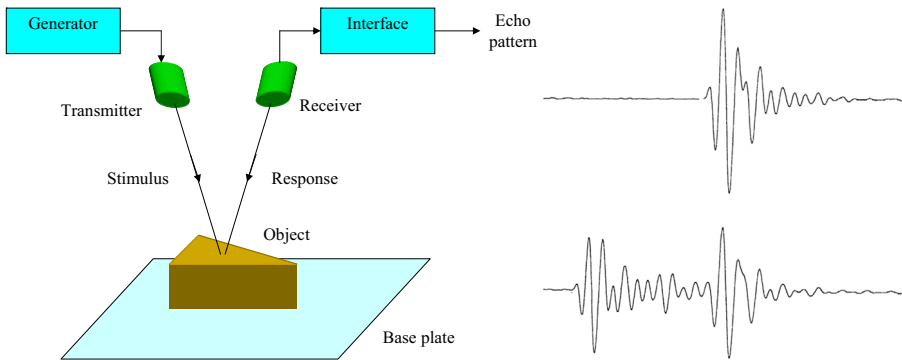


Figure 1.4 Object recognition using acoustic signature technique; left: system set-up; right top: echo of base plate only; bottom: echo of object on base plate.

test conditions should be the same as during the learning phase: a fixed geometry and a stable stimulus are required.

The comparison process may be performed either in the frequency domain or in the time domain. With this simple technique, it is possible to distinguish between objects whose shapes or orientations (normal vs upside-down position) are quite different. With an adaptive stimulus and a suitable algorithm, even small defects in an object can be detected by ultrasonic techniques. Under certain conditions, very small object differences can be detected, for instance, between sides of a coin [13].

1.1.4.6 Tactile imaging

In contrast to optical and acoustic imaging, tactile imaging is performed by mechanical contact between sensor and object. Making contact has advantages as well as disadvantages. Disadvantages are the mechanical load of the object (it may move or be pressed) and the necessity of moving the sensor actively toward the object. Advantages of tactile imaging include the possibility of acquiring force-related information (for instance, touching force and torque) and mechanical properties of the object (e.g., elasticity, resilience, and surface texture). Another advantage over optical imaging is the insensitivity to environmental conditions. This versatility of a tactile sensor makes it very attractive for control purposes, especially in assembly processes. Moreover, tactile and vision data can be fused, to benefit from both modalities.

In *robotics*, tactile imaging is mostly combined with the gripping action. For in-line control the tactile sensor should be incorporated into the gripper of the robot, allowing simultaneous force distribution and position measurements during the motion of the gripper. This permits continuous force control as well as position correction.

In *inspection* systems (like coordinate measuring machines), the object under test is scanned mechanically by a motion mechanism, with a touch sensor as the end effector. The machine is controlled to follow a path along the object, while

keeping the touch force at a constant value. Position data follow from back transformation of the tip (sensor) coordinates to world coordinates. The scanning is slow but can be very accurate, down to 10 nm in three dimensions.

1.2 Selection of sensors

Choosing a proper sensor is certainly not a trivial task. First of all, the task that is to be supported by one or more sensors needs to be thoroughly analyzed and all possible strategies to be reviewed. Potential sensors should be precisely specified, including environmental conditions and mechanical and electrical constraints. If commercial sensors can be found that satisfy the requirements, purchase is recommended. Special attention should be given to interface electronics (in general available as separate units, but rarely adequate for newly developed mechatronic systems). If the market does not offer the right sensor system, such a system may be assembled from commercial sensor components and electronics. This book gives some physical background of most sensors, to help understand their operation, to assist in making a justified choice, or to provide knowledge for assembling particular sensing systems.

Sensor selection is based on satisfying requirements; however, these requirements are often not known precisely or in detail, in particular when the designer of the system and its user are different persons. The first task of the designer, therefore, is to get as much information as possible about the future applications of the system, all possible conditions of operation, the environmental factors, and the specifications, with respect to quality, physical dimensions, and costs.

The list of demands should be exhaustive. Even when not all items are relevant, they must be indicated as such. This will leave more room to the designer and minimizes the risk of having to start all over again. The list should be made in a way that enables unambiguous comparison with the final specifications of the designed system. Once the designer has a complete idea about the future use of the system, the phase of the conceptual design can start.

Before thinking about sensors, the *measurement principle* first has to be considered. For the instrumentation of each measurement principle, the designer has a multitude of *sensing methods* at his disposal. For the realization of a particular sensor method, the designer has to choose the optimal *sensor component* and *sensor type* from a vast collection of sensors offered by numerous sensor manufacturers.

This design process is illustrated by an example of a measurement for just a single, static quantity: the amount of fluid in a container (for instance, a drink dispenser). The first question to be answered is, in what units the amount should be expressed: volume or mass? This may influence the final selection of the sensor.

Fig. 1.5 shows various *measurement principles* in a schematic way:

- A: the tank is placed on a balance, to measure its total weight;
- B: a pressure gauge on the bottom of the tank;
- C: a gauging-rule from top to bottom with electronic read-out;

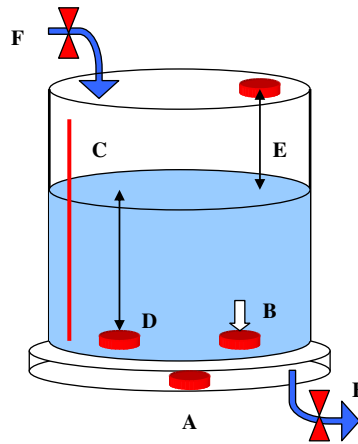


Figure 1.5 Measuring tank contents.

- D: level detector on the bottom, measuring the column height;
- E: level detector from the top of the tank, measuring the height of the empty part;
- F: (mass or volume) flow meters at both inlet and outlet.

Obviously, many more principles can be found to measure a quantity that is related to the amount of fluid in the reservoir.

In the conceptive phase of the design as many principles as possible should be considered, even unconventional ones. Based on the list of demands, it should be possible to find a proper candidate principle from this list, or at least to delete many of the principles, on an argued base. For instance, if the tank contains a corrosive fluid, a noncontact measurement principle is preferred, putting principles B, C, and D on a lower position in the list.

Further, for very large tanks, method A can possibly be eliminated because of high costs. The conceptual design ends up with a set of principles with pros and cons, ranked according to the prospects of success.

After having specified a list of candidate principles, the next step is to find a suitable sensing method for each of them. In the example of [Fig. 1.5](#), we will further investigate principle E, a level detector placed at the top of the tank. It should be noted that from level alone the amount of liquid cannot be determined: the shape of the container should also be taken into account. Again, a list of the various possible *sensor methods* is made, as follows:

- E1: a float, connected to an electronic read-out system;
- E2: optical ToF measurement;
- E3: optical range measurement;
- E4: electromagnetic distance measurement (radar);
- E5: acoustic ToF measurement, and so on.

As in the conceptual phase, these methods are evaluated using the list of demands, so not only the characteristics of the sensing method but also the

properties of the measurement object (e.g., kind of liquid and shape of tank) and the environment should be taken into account. For the tank system, the acoustic ToF method could be an excellent candidate because of its being contact free. In this phase, it is also important to consider methods to reduce such environmental factors as temperature. Ultimately, this phase concludes with a list of candidate sensing methods and their merits and demerits with respect to the requirements.

The final step is the selection of the *components* that make up the sensing system. Here a decision must be made between the purchase of a commercially available system and the development of a dedicated system. The major criteria are costs and time: both are often underestimated when development by one's own is considered.

In this phase of the selection process, sensor *specifications* become important. Sensor providers publish specifications in data sheets or on the Internet. However, the accessibility of such data is still poor, making this part of the selection process critical and time consuming, in particular for nonspecialists in the sensor field.

Evidently, the example of the level sensor is highly simplified, whereas the selection process is usually not that straightforward. Since the sensor is often just one element in the design of a complex mechatronic system, close and frequent interaction with other design disciplines as well as the customer is recommended.

1.3 Embedded sensing

Sensors play a critical role in current developments in industry and the *internet of things*. They are more often than not referred to not just as the transducers converting physical signals to the electrical domain, but are discussed as complete systems. A “sensor node” in Industry 4.0 or in the Internet of things normally consists of an embedded, integrated system containing power, processing, means of communication and—eventually—the sensing element. Large amounts of data (big data) is generated using vast networks of smart sensor nodes measuring plant conditions, water quality or the weather—often with greater robustness, higher accuracy, or better resolution than conventional remote sensing technology can.

This means that for smart industry, *internet of things* and mechatronic applications, the possibilities for interfacing and (embedded) processing of sensor signals are almost as important in the selection criteria as the choice of a suitable physical transducer. To underline this importance, for every physical principle a number of embedded processing examples will be discussed. These are by no means meant as design guidelines or full-blown recipes, but aim to give insight in the possibilities, offer an option for learning, and can be used as starting point for further design or development.

1.3.1 Interfacing, conditioning, processing

The sensors discussed in this book are mainly transducers translating quantities in a physical domain into the electrical domain. The discussed applications (in

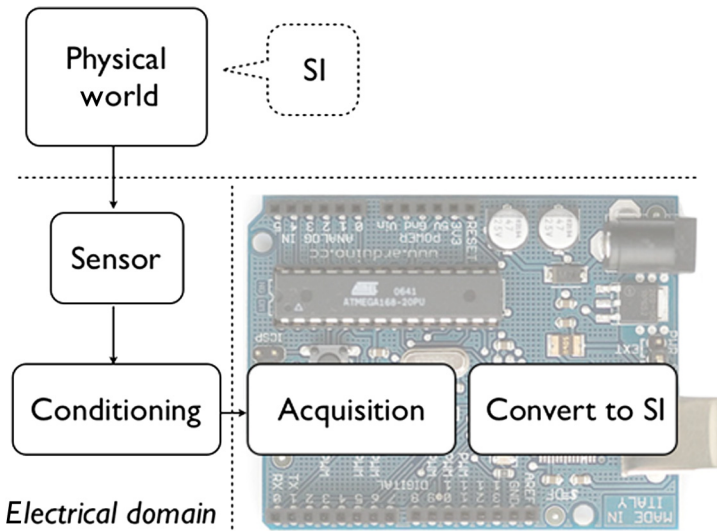


Figure 1.6 Schematic overview of steps in embedded sensing.

mechatronics) are largely not only in the electrical signal domain, but in the digital domain as well. A transducer converts or transforms information (thus power) from one domain into the other. Also the act of observing changes the observed (not only true in quantum mechanics). An ideal transducer converts as little energy as possible in order not to have an impact on the measurand. This means that the converted electrical measurement signals are typically small and need additional conditioning such as amplification, offset reduction, and linearization. This can be achieved in many ways: by clever sensor design, by adding conditioning circuitry, or by processing the data in the digital domain. For processing data in the digital domain, it is necessary that data have been converted from the electrical domain into a digital representation, typically by an analog to digital conversion. Alternatively, sensors can also be designed in such a fashion that their primary output is already in the digital domain: incremental encoders or (especially) absolute optical encoders give their output in digital “steps” or “bits,” see Chapter 7.

This approach (schematically shown in Fig. 1.6) can also be recognized in most of the common (household) digital sensing systems such as digital fever thermometers or bathroom scales. The circuits for conditioning, conversion, and processing are usually condensed to a single integrated circuit, usually outputting data on a small liquid crystal display.

For every type of transducer a number of strategies for interfacing accompanied by useful conditioning circuits exist. When eventually a voltage is generated that has a linear relation (preferably) or at least changes with the measurand, the work in the electrical domain can be considered done—and the signal can be converted to the digital domain (typically with ADC) for further processing and finally visualization and interpretation.

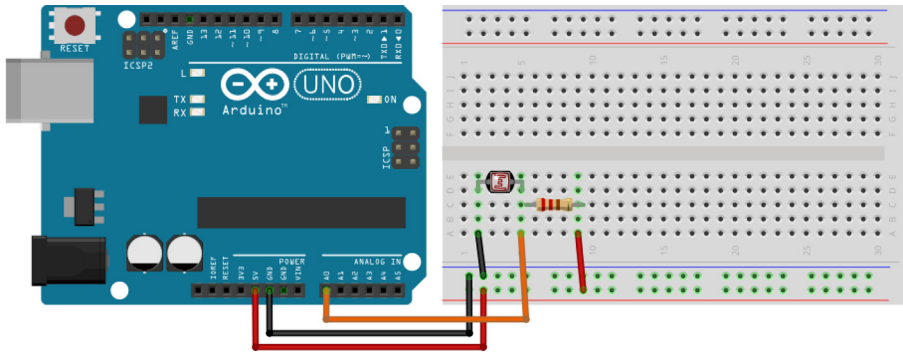


Figure 1.7 Typical setup of Arduino Uno board with breadboard.
Schematic drawing made with [Fritzing.org](https://fritzing.org/).

1.3.2 Platform for illustration

In order to illustrate interfacing options and (simple) processing algorithms a platform has been chosen which has become a de-facto standard in education and Do-It-Yourself (DIY) applications. The Arduino platform has been built around a number of members of Atmel AVR RISC (reduced instruction set) 8-bit microcontroller series. In recent years the family has been expanded to boards based on ARM, Intel x86 architecture, and many more. While there exist many more systems, embedded architectures, families, boards, etc, this choice has been made in the hope to provide accessible and reproducible examples which can be easily translated to a different system of choice.

The board which will be used for the experiments and examples discussed is the most common member of the Arduino family, the Arduino Uno ([Fig. 1.7](#)), which has been in development for more than 10 years, and not substantially changed, merely fine-tuned in the process.

This board consists of an ATmega328p microcontroller by Atmel running at 16 MHz, a small power section (linear 5 and 3.3 V regulators) and a separate ATmega8U2 microcontroller which is used as USB-CDC device for programming and communication. A single USB connection can be used for both programming the device (i.e., uploading new programs into the main controllers Flash memory) and communication with the device. Many more boards exist in the Arduino family using a large variety of communication and programming protocols such as JTAG, 1-wire debug, native USB and communication protocols such as Bluetooth, WIFI, LoraWAN, etc. but these are, although interesting for developing sensor nodes and networks, considered beyond the scope of understanding the basics necessary for embedded processing. A number of publications are referenced at the end of this chapter to act as starting points for more elaborate development.

1.3.3 Embedded control architecture

The ATmega328p controller which forms the heart of the discussed Arduino board is an 8-bit RISC microcontroller running at 16 MHz. The RISC implies that most of the

low-level instructions can actually be processed in one clock cycle (which means 16,000,000 instructions per second). The controller has 4 kB of RAM memory, 32 kB of Flash memory for program storage, and 1 kB of EEPROM. It contains a number of timers which can be used for interrupts or the generation of pulse width modulation signals and hardware support for a number of communication protocols and standards (SPI, I2C), and a general purpose synchronous serial interface (UART).

For embedded sensing one of the most relevant devices in the controller is the ADC. The board that is used has a 6-channel 10 bit AD converter which in the normal configuration (single channel) can take a sample in 100 μ s (so a maximum sampling rate of 10 kHz), depending on how the sampling routine is configured and implemented. Note that this is largely caused by the implementation of the functions (“`analogRead()`”) in the Arduino environment and libraries. It is possible to achieve higher sampling rates using different implementations in code, see Appendix D for examples.

The reference voltage for the internal AD converter can be set to an internal 1.1 V reference, the default 5.0 V (supply) reference, or an external reference (see Appendix D).

The input pins that can be used for AD conversion (the controller has just one AD converter and a 6-channel multiplexer) can be used as general purpose input or output pins as well. It is also possible to use an internal pull-up resistor on every input pin, thus (in simple cases) eliminating the need for additional components for interfacing sensors.

1.3.4 *Developing applications*

Software for the Arduino board can be written on an integrated development environment (IDE) running on a host computer. Versions of this IDE exist for many operating systems (Windows, Linux, and Mac OSX) and can be downloaded freely (the sources are licensed as GPL) from the Arduino.cc website. The IDE (shown in Fig. 1.8) is written in java and relies on the GNU AVR-GCC compiler and AVR-dude projects for respectively compiling code (C++) and programming the devices.

In many applications for design and education, the input of a sensor is compared with a certain threshold value, and action is taken accordingly. Goal of the illustrated examples is to get a step further, and translate the obtained sensor signals back to meaningful SI units.

The power of embedded control, even by relatively (computationally) simple devices as 8-bit AVR microcontrollers, has been shown by the development in the Maker/DIY community of 3D printers, quadcopters, and balancing vehicles. The real-time, low-level sensing and control (maintaining the heat in a print head, heading and altitude of the quadcopter, or the balance of a Segway) is in these examples carried out by the microcontroller, the overall (human in the) loop control by a host system or remote operator.

For the examples shown in this book a similar approach will be taken. After interfacing, conditioning and processing the signals in the embedded platform, recording, visualization, and interpretation needs to take place as well.

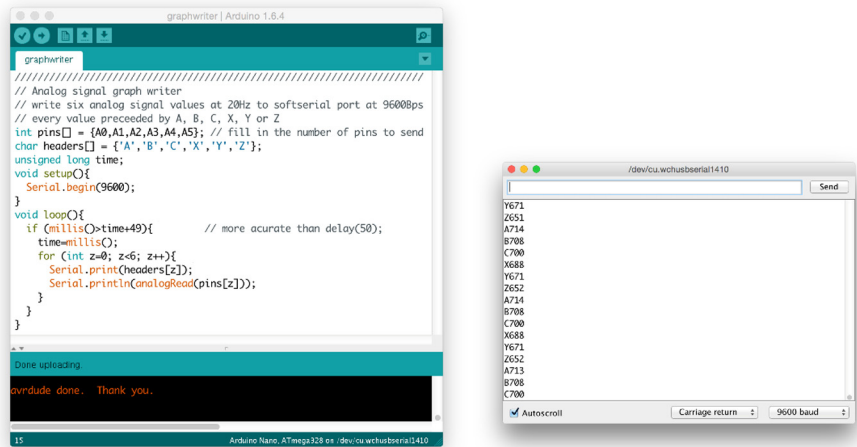


Figure 1.8 The Arduino IDE with “empty” sketch and serial monitor with numerical data.

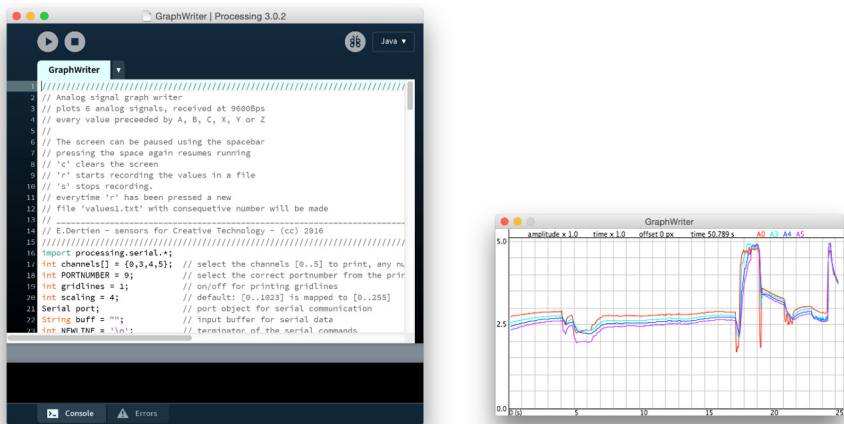


Figure 1.9 The Processing IDE and graphical interpretation of data (sent by Arduino).

Although the Arduino IDE contains a number of tools for elementary visualization and recording of data (a serial monitor tool and a rudimentary graph writer), a different tool is introduced here for recording, visualizing, and processing the sensor data on a host PC system. The platform used for a number of simple illustrating examples is aptly named “Processing,” freely obtainable for multiple operating systems from the website of Processing (<http://processing.org>). The IDEs for both processing and Arduino have a very similar look and feel. Fig. 1.9 shows the IDE of Processing with a “graphwriter” example as discussed in Appendix D. They are by

no means full-blown environments for industrial product development, but are specifically designed to create small tests, proof-of-concepts, and working prototypes. Hence programs are denoted as “sketches.”

Starting in Chapter 4 a number of examples of embedded sensing using the described Arduino board and IDE will be given. Besides these tools a conventional spreadsheet tool (such as Microsoft Excel, Apple iWork’s “Numbers,” or LibreOffice Calc) will be used. Many examples exist for importing data and interfacing the board directly with environments such as MatLab or LabView. As said, the described tools are only used as simple examples to illustrate the possibilities of embedded sensing.

Much of the relevant information for getting started with embedded sensing, especially using the Arduino platform, can be found online. A good starting point is Arduino’s main website. References [14–16] provide a further introduction in the Arduino board and the DIY world which it revolves in. These books have a practical nature and contain step-by-step guidelines for installing the necessary software, connecting the board, connecting sensors as well as a basic introduction in practical electronics.

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Further reading

Introductory Books on Sensors and Mechatronics

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Books on Processing and Arduino

- M. Banzi, *Getting Started with Arduino*, O'Reilly, 2009.
- T. Igoe, *Making Things Talk: Using Sensors, Networks, and Arduino to See, Hear, and Feel Your World*, Maker Media, Incorporated, 2011. ISBN 978-1-4493-1717-1.
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A sensor performs the exchange of information (hence energy) from one domain to another and as such it operates at the interface between different physical domains. In this chapter, we first introduce a notation system for the quantities used in this book. To avoid confusion with notations, we define unambiguous symbols for each quantity. For example, in the electrical domain the symbol ε usually stands for dielectric constant, whereas it means strain in the mechanical domain. In this book, we use ε for dielectric constant only; strain is denoted by S . Several frameworks have been developed for a systematic description of sensors. Various approaches are presented in this chapter. Further, a formal description of relations between quantities, based on energy considerations, is introduced from which particular physical effects are derived serving for specific groups of sensors that are discussed in later chapters.

2.1 Physical quantities

2.1.1 Classification of quantities

Various attempts have been made to set up a consistent framework of quantities. Physical quantities can be divided into subgroups according to various criteria. This leads to subgroups with different characteristics.

- With respect to *direction*:
 a quantity having a direction is called a *vector* (e.g., velocity);
 a quantity that does not have a direction is a *scalar* (e.g., temperature).
- With respect to *time behavior*:
 a *state variable* describes a static property;
 a *rate variable* describes a dynamic property.
 Within one domain state and rate variables are related as follows:

$$X_{\text{rate}} = \frac{d}{dt} X_{\text{state}} = \dot{X}_{\text{state}} \text{ or } X_{\text{state}} = \int X_{\text{rate}} dt \quad (2.1)$$

Examples:

The electrical domain

$$I = \frac{d}{dt} Q \text{ or } Q = \int I dt \quad (2.2)$$

The mechanical (translation) domain

$$v = \frac{d}{dt} x \text{ or } x = \int v dt \quad (2.3)$$

- With respect to *energy*:
 a quantity that is associated with an energetic phenomenon: often called a *variable* (e.g., electric current and pressure);
 a quantity that is not associated with energy or only with latent energy: often called a (material) *property* (e.g., length). Sometimes a property is also called a *constant*, but the value of most properties is not constant at all, so we will not use this term.
- With respect to *dependency on mass or size*:
 a quantity which is independent of the dimensions or the amount of matter is called an *intensive quantity* (e.g., temperature is an intensive variable and resistivity is an intensive property);
 a quantity which depends on the amount of mass or volume (its extension) is called an *extensive quantity* (e.g., charge is an extensive variable and resistance is an extensive property).

Resistivity ρ (Ωm) is a pure material property, whereas the resistance R (Ω) depends on the material as well as the dimensions of the resistor body. In general, the relation between an intensive and extensive quantity within one domain is given by $A_e = G \cdot A_i$, with A_e and A_i , general extensive and intensive quantities, and G , a geometrical parameter, representing for instance the dimension of a sensor. In most cases the value of a material property is orientation dependent. This dependency is expressed by subscripts added to the symbols (see Appendix A).

Extensive variables are state variables; their time derivatives are rate variables or *flows*. Intensive variables are identical to *efforts*. Flow and effort variables are discussed when conjugated pairs of variables are introduced.

- With respect to the *end points* of a lumped element:

To explain this classification, we first introduce the term lumped element. A lumped element symbolizes a particular property of a physical component. That property is thought to be concentrated in that element between its two end points or nodes. Exchange of energy or information occurs only through these terminals. In this sense we distinguish:
 an *across-variable*, defined by the difference of its value between the two terminals of a lumped element (e.g., voltage and velocity);
 a *through-variable*, a variable that has the same value at both terminals of the lumped element (e.g., electric current and force).

Through-variables are also called *generalized I-variables*; across-variables are called *generalized V-variables*. However, this is just a matter of viewpoint. It is perfectly justified to call them generalized forces and displacements. We will use these types of variables in [Section 2.1.2](#) where relations between quantities are discussed.

- With respect to *cause and effect*:

Output variables are related to input variables according to the physics of the system. Input variables can bring a system into a particular state which is represented by its output variables. So output variables depend on the input variables:

independent variables are applied from an external source to the system;

dependent variables are responses of the system to the input variables.

Obviously, a variable can be dependent or independent, according to its function in the system. For instance, the resistance value of a resistor can be determined by applying a voltage across its terminals and measuring the current through the device or just the other way round. In the former case the voltage is the independent variable, and it is the dependent variable in the latter. The relation between independent and dependent variables is

governed by physical effects, by material properties, or by a particular system layout. It either acts within one physical domain or crosses domain boundaries. Such relations are the fundamental operation of sensors. This is further discussed in [Section 2.1.2](#).

- With respect to *power conjugation*:
Within a single energy domain, pairs of variables can be defined in such a way that their product is power. They are called power conjugated variables. The members of such a pair are called *effort variable* and *flow variable*. [Table 2.1](#) lists these variables for various domains.

Note that the dimension of each product is power (W). The magnetic quantity “current” stems from the definition of magnetic field strength, where the number of ampere-turns (or magnetomotive force) determines the field strength (see Chapter 6). Its power conjugate variable “voltage” is actually the rate of change in magnetic flux, with unit Wb/s , but this is equal to the induction voltage. For practical reasons, heat flow (W) is often taken as the thermal flow variable rather than an entropy-related quantity which is not measurable in a straightforward way. The domain is therefore sometimes called pseudothermal (see last row of [Table 2.1](#)).

[Table 2.2](#) summarizes various relations between rate, state, effort, and flow variables for the mechanical, electrical, magnetic, and thermal domains.

Table 2.1 Power conjugate variables for various domains

Domain	Effort	Unit	Flow	Unit
Mechanical (translation)	Force	N	Velocity	m/s
Mechanical (rotation)	Torque	Nm	Angular velocity	rad/s
Pneumatic, hydraulic	Pressure	Pa	Volume flow	m ³ /s
Electrical	Voltage	V	Current	A = C/s
Magnetic	Current	A	Voltage	V = Wb/s
Thermal	Temperature	K	Entropy flow	J/K/s
<i>Thermal</i>	<i>Temperature</i>	K	<i>Heat flow</i>	W

Table 2.2 Summary of relations between types of variables

Domain	State/ Extensive	Rate/ Flow	Effort/ Intensive	Energy (J)	Power (W)
Mechanical (translation)	Position x (m)	$v = \dot{x}$	Force F (N)	$F \cdot dx$	$F \cdot v$
Mechanical (rotation)	Angle φ (rad)	$\omega = \dot{\varphi}$	Torque T (Nm)	$T \cdot d\varphi$	$T \cdot \omega$
Electrical	Charge Q (C)	$I = \dot{Q}$	Voltage V (V)	$V \cdot dQ$	$V \cdot I$
Magnetic	Flux Φ (Wb)	$V = \dot{\Phi}$	Current I (A)	$I \cdot d\Phi$	$I \cdot V$
Thermal	Entropy σ (J/K/m ³)	$\dot{\sigma}$	Temperature Θ (K)	$\Theta \cdot d\sigma$	$\Theta \cdot \dot{\sigma}$

Table 2.3 Energy conjugate variables for several domains

Domain	Effort	Unit	Flow	Unit
Mechanical (translation)	Tension	N/m^2	Deformation	—
Mechanical (rotation)	Shear tension	N/m^2	Shear angle	—
Electrical	Field strength	V/m	Dielectric displacement	C/m^2
Magnetic	Magnetic induction	Wb/m^2	Magnetic field strength	A/m
Thermal	Temperature	K	Entropy	J/K m^3

- With respect to *energy conjugation*:

Another way to define pairs of variables is based on the property that their product equals energy per unit volume (J/m^3). Table 2.3 lists these pairs for the major domains.

The most fundamental categorization of quantities is based on thermodynamic laws. The description is in particular useful in the field of material research and optimization of sensor materials. Derived from the thermodynamic approach is the *Bondgraph notation* with a division of variables into effort and flow variables. This method is not only useful for the description of sensors but also has great significance in the design of all kind of technical systems, irrespective of the domain type.

We repeat the list of pairs of the conjugate variables in Table 2.3, together with their symbols:

- mechanical (translation): tension T (N/m^2) and deformation S (—);
- mechanical (rotation): shear tension τ (N/m^2) and shear angle γ (—);
- electrical: field strength E (V/m) and dielectric displacement D (C/m^2);
- magnetic: magnetic induction B (Wb/m^2) and magnetic field strength H (A/m);
- thermal: temperature Θ (K) and entropy σ (J/K m^3).

Comparing these pairs with the groups from other categories given previously, we can make the following observations. The quantities E , D , B , H , T , and S are *vector variables*, whereas σ and Θ are *scalars* (therefore often denoted as $\Delta\sigma$ and $\Delta\Theta$ indicating the difference between two values). Further, in the above groups of quantities, T , E , and Θ are *across-variables*. On the other hand, S , D , and σ are *through-variables*. Finally, note that the dimension of the product of each domain pair is always J/m^3 (energy per unit volume), whereas the product of the pairs *effort* and *flow* variables in Table 2.1 has the dimension power (W).

2.1.2 Relations between quantities

The energy content of an infinitely small volume of an elastic dielectric material changes by adding or extracting thermal energy and the work exerted upon it by

electrical and mechanical forces. If only through-variables affect the energy content, the change can be written as follows:

$$dU = TdS + EdD + \Theta d\sigma \quad (2.4)$$

where we disregard the magnetic domain (in Appendix B this domain is included).

Obviously, the across-variables in this equation can be expressed as partial derivatives of the energy:

$$\begin{aligned} T(S, D, \sigma) &= \left(\frac{\partial U}{\partial S} \right)_{D, \sigma} \\ E(S, D, \sigma) &= \left(\frac{\partial U}{\partial D} \right)_{S, \sigma} \\ \Theta(S, D, \sigma) &= \left(\frac{\partial U}{\partial \sigma} \right)_{S, D} \end{aligned} \quad (2.5)$$

Likewise, if only across-variables affect the energy content, the energy change is written as follows:

$$dG = -SdT - DdE - \sigma d\Theta \quad (2.6)$$

G is called the Gibbs potential (see Appendix B). The through-variables can be written as follows:

$$\begin{aligned} S(T, E, \Theta) &= -\left(\frac{\partial G}{\partial T} \right)_{\Theta, E} \\ D(T, E, \Theta) &= -\left(\frac{\partial G}{\partial E} \right)_{T, \Theta} \\ \sigma(T, E, \Theta) &= -\left(\frac{\partial G}{\partial \Theta} \right)_{T, E} \end{aligned} \quad (2.7)$$

From these equations we can derive the various material properties. We will extend these equations only for [Eq. \(2.7\)](#) because the resulting parameters are more in agreement with experimental conditions (constant temperature, electrical field strength, and stress), as denoted by the subscripts in [Eqs. \(2.5\) and \(2.7\)](#).

The variables S , D , and $\Delta\sigma$ are approximated by linear functions, so:

$$\begin{aligned} dS(T, E, \Theta) &= \left(\frac{\partial S}{\partial T} \right)_{E, \Theta} dT + \left(\frac{\partial S}{\partial E} \right)_{T, \Theta} dE + \left(\frac{\partial S}{\partial \Theta} \right)_{T, E} d\Theta \\ dD(T, E, \Theta) &= \left(\frac{\partial D}{\partial T} \right)_{E, \Theta} dT + \left(\frac{\partial D}{\partial E} \right)_{T, \Theta} dE + \left(\frac{\partial D}{\partial \Theta} \right)_{T, E} d\Theta \\ d\sigma(T, E, \Theta) &= \left(\frac{\partial \sigma}{\partial T} \right)_{E, \Theta} dT + \left(\frac{\partial \sigma}{\partial E} \right)_{T, \Theta} dE + \left(\frac{\partial \sigma}{\partial \Theta} \right)_{T, E} d\Theta \end{aligned} \quad (2.8)$$

Table 2.4 Nine physical effects corresponding to the parameters in Eq. (2.10)

Elasticity Direct piezoelectricity Piezocaloric effect	Converse piezoelectricity Permittivity Electrocaloric effect	Thermal expansion Pyroelectricity Heat capacity
--	--	---

Combining Eqs. (2.6) and (2.7) results in:

$$\begin{aligned}
 dS &= - \left(\frac{\partial^2 G}{\partial T^2} \right)_{\Theta, E} dT - \left(\frac{\partial^2 G}{\partial T \partial E} \right)_{\Theta} dE - \left(\frac{\partial^2 G}{\partial T \partial \Theta} \right)_{E} d\Theta \\
 dD &= - \left(\frac{\partial^2 G}{\partial E \partial T} \right)_{\Theta} dT - \left(\frac{\partial^2 G}{\partial E^2} \right)_{\Theta, T} dE - \left(\frac{\partial^2 G}{\partial E \partial \Theta} \right)_{T} d\Theta \\
 d\sigma &= - \left(\frac{\partial^2 G}{\partial \Theta \partial T} \right)_{E} dT - \left(\frac{\partial^2 G}{\partial \Theta \partial E} \right)_{T} dE - \left(\frac{\partial^2 G}{\partial \Theta^2} \right)_{E, T} d\Theta
 \end{aligned} \tag{2.9}$$

Now we have a set of equations connecting the (dependent) through-variables S , D , and σ with the (independent) across-variables T , E , and Θ . The system configuration (or the material) couples the conjugate variables of each pair. The second-order derivatives in the diagonal represent properties in the respective domains: mechanical, electrical, and thermal. For example, the top left second derivative in Eq. (2.9) represents the elasticity (or compliance) of the material (actually Hooke's law). All other derivatives represent cross effects. Note that these derivatives are pairwise equal since (assuming linear equations) the order of differentiation is not relevant:

$$\frac{\partial}{\partial x} \left(\frac{\partial G}{\partial y} \right) = \frac{\partial}{\partial y} \left(\frac{\partial G}{\partial x} \right)$$

So the derivatives in Eq. (2.9) represent material properties; they have been given special symbols. The variables denoting constancy are put as superscripts, to make place for the subscripts denoting orientation.

$$\begin{aligned}
 S &= s^{E, \Theta} T + d^{\Theta} E + \alpha^E \Delta \Theta \\
 D &= d^{\Theta} T + \varepsilon^{\Theta, T} E + p^T \Delta \Theta \\
 \Delta \sigma &= \alpha^E T + p^T E + \frac{\rho}{T} c^{E, T} \Delta \Theta
 \end{aligned} \tag{2.10}$$

For instance $s^{E, \Theta}$ is the compliance at constant electric field E and constant temperature Θ . The nine associated effects are displayed in Table 2.4.

Table 2.5 shows the associated material properties. The parameters for just a single domain (ε , c_p , and s) correspond to those in Tables A.2, A.5, and A.8 of Appendix A.

Table 2.5 Symbols, parameter names, and units of the effects in Table 2.4

Symbol	Property	Unit
s	Compliance	m^2/N
d	Piezoelectric constant	$\text{m}/\text{V} = \text{C}/\text{N}$
α	Thermal expansion coefficient	K^{-1}
p	Pyroelectric constant	$\text{C}/\text{m}^2 \text{ per K}$
ϵ	Permittivity; dielectric constant	F/m
c_p	(Specific) Heat capacity	$\text{J}/\text{kg per K}$

The other parameters denote “cross effects” and describe the conversion from one domain to another. The piezoelectric parameters p and d will be discussed in detail in the chapter on piezoelectric sensors.

Note that direct piezoelectricity and converse piezoelectricity have the same symbol (d) because the dimensions are equal (m/V and C/N). The same holds for the pair pyroelectricity and converse pyroelectricity as well as for thermal expansion and piezocaloric effect.

Eqs. (2.7) and (2.10) can be extended just by adding other couples of conjugate quantities, for instance from the chemical or the magnetic domain. Obviously, this introduces many other material parameters. With three couples we have nine parameters, as listed in Table 2.3. With four couples of intensive and extensive quantities we have 16 parameters, so seven more (for instance, the magnetocaloric effect, expressed as the partial derivative of entropy to magnetic field strength, see Appendix B). Further, Appendix B gives a visualization of these relations using Heckman diagrams.

2.2 Sensor classifications

A sensor (or input transducer) performs the conversion of information from the physical domain of the measurand to the electrical domain. Many authors have tried to build up a consistent classification scheme of sensors encompassing all sensor principles. Such a classification of the millions of available sensors would facilitate understanding of their operation and making proper choices, but a useful basis for a categorization is difficult to define. There are various possibilities:

- according to the measurand;
- according to application fields;
- according to a port model;
- according to the conversion principle;
- according to the energy domain of the measurand;
- according to thermodynamic considerations.

These schemes will be briefly discussed in the next sections.

2.2.1 Classification based on measurand and application field

Many books on sensors follow a classification according to the measurand because the designer who is interested in a particular quantity to be measured can quickly find an overview of methods for that quantity. The more experienced designer may also consult books that deal with just one quantity (for instance temperature or liquid flow). Much information on sensors can also be found in books focusing on a specific application area, for instance (mobile) robots [1], industrial inspection [2], buildings [3], manufacturing [4], mechatronics [5], automotive, biomedical, and many more. However, an application field provides no restricted set of sensors since in each field many types of sensors could be applied.

Fig. 2.1 presents a list of physical quantities (measurands) [6]. The list is certainly not exhaustive, but it shows the many possible measurands. For each of these quantities one or more measurement principles are available.

Mechanical, solids	Mechanical, fluids	Nuclear radiation	Acoustic
Acceleration	Density	Ionization degree	Sound frequency
Angle	Flow direction	Mass absorption	Sound intensity
Angular velocity	Flow velocity	Radiation dose	Sound polarization
Area	Level	Radiation energy	Sound pressure
Diameter	Pressure	Radiation flux	Sound velocity
Distance	Rate of flow	Radiation type	Time of flight
Elasticity	Viscosity		
Expansion	Volume	Chemical	Magnetic, electrical
Filling level		Cloudiness	Capacity
Force	Thermal	Composition	Charge
Gradient	Enthalpy	Concentration	Current
Hardness	Entropy	Electrical conductivity	Dielectric constant
Height	Temperature	Humidity	Electric field strength
Length	Thermal capacity	Impurity	Electric power
Mass	Thermal conduction	Ionization degree	Electric resistance
Moment	Thermal expansion	Moisture	Frequency
Movement	Thermal radiation	Molar weight	Inductivity
Orientation		Particle form	Magnetic field strength
Pitch	Optical	Particle size	Phase
Position	Colour	pH	Pulse duration
Pressure	Light polarization	Polymerization degree	Signal distortion
Proximity	Light wavelength	Reaction rate	
Rotation	Luminance	Redox potential	Time
Roughness	Luminous intensity	Thermal conductivity	Time
Shape	Reflection	Water content	Frequency
Tension	Refractive index		Duty cycle
Torque			
Torsion			
Velocity			
Vibration			
Weight			

Figure 2.1 List of physical quantities.

After S. Middelhoek, S.A. Audet, *Silicon Sensors*, Academic Press, London, San Diego, New York, Berkeley, Boston, Sydney, Tokyo, Toronto, 1989.

2.2.2 Classification based on port models

The distinguishing property in the classification based on port models is the need for auxiliary energy (Fig. 2.2). Sensors that need no auxiliary energy for their operation are called direct sensors or self-generating sensors. Sensors that need an additional energy source for their operation are called modulating sensors or interrogating sensors.

Direct sensors do not require additional energy for conversion. Since information transport cannot exist without energy transport, a direct sensor withdraws the output energy directly from the measurement object. As a consequence, loss of information about the original state of the object may occur. There even might be energy loss too—for instance, heat. An important advantage of a direct sensor is its freedom from offset: at zero input the output is essentially zero. Examples of direct sensors are the piezoelectric acceleration sensor and the thermocouple.

Modulating or interrogating sensors use an additional energy source that is modulated by the measurand; the sensor output energy mainly comes from this auxiliary source, and just a fraction of energy is withdrawn from the measurement object. The terms modulating and interrogating refer to the fact that the measurand affects a specific material property which in turn is interrogated by an auxiliary quantity. Most sensors belong to this group: all resistive, capacitive, and inductive sensors are based on a parameter change (e.g., resistance, capacitance, and inductance) caused by the measurand. Likewise, most displacement sensors are of the modulating type: displacement of an object modulates optical or acoustic properties (e.g., transmission, reflection, and interference), where light or sound is the interrogating quantity.

Energy (and thus information) enters or leaves the system through a pair of terminals making up a “port.” We distinguish input ports and output ports. A direct sensor can be described by a two-port model or four-terminal model (Fig. 2.3A).

The input port is connected to the measurand; the output port corresponds with the electrical connections of the sensor. Likewise, a modulating sensor can be conceived as a system with three ports: an input port, an output port, and a port through which the auxiliary energy is supplied (Fig. 2.3B). In these models the variables are indicated with across or effort variables $\mathcal{E}$ and through or flow variables $\mathcal{F}$, respectively. The subscripts x , y , and z are chosen in accordance with the sensor cube, to be discussed in Section 2.2.4.

Direct sensors provide the information about the measurand as an output signal, an energetic quantity. Modulating sensors contain the information as the value of a

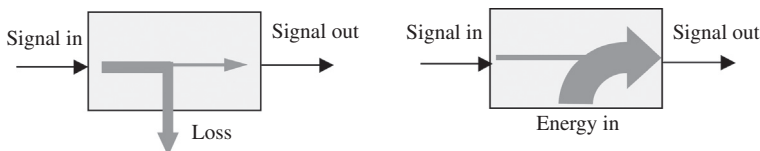


Figure 2.2 Energy flow in direct and modulating sensors.

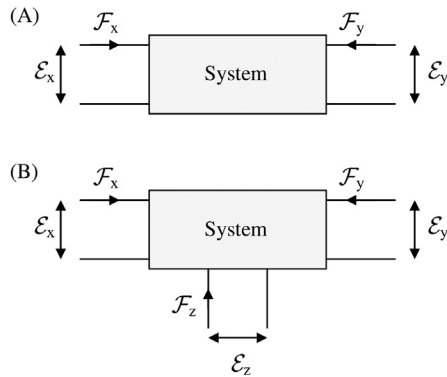


Figure 2.3 Port models of a sensor: (A) two-port model; (B) three-port model.

material property, or a geometric quantity, not an energetic signal. The information enters the system through the input port, where the measurand affects specific material or geometric parameters. To extract the information from such a sensor, it has to be interrogated using an auxiliary signal. The information stored in the sensor is available latently, in the latent information parameters or LIPs [7]. These parameters are *modulated* by the input signal and *interrogated* by the auxiliary or interrogating input.

At zero input the LIPs of a modulating sensor have initial values, set by the material and the construction. Generally, the input has only a small effect on these parameters, resulting in relatively small deviations from the initial values. Note that direct sensors too have LIPs, set by materials and construction. They determine the sensitivity and other transfer properties of the sensor. So the input port of all sensors can be denoted as the LIP input port. As a consequence, any sensor can be described with the three-port model of Fig. 2.3B. Only the functions of the ports may differ, notably the LIP input port and the interrogating input port.

According to the “unified transducer model” as introduced in Ref. [7], an input port can be controlled either by design (it has a fixed value) or by the environment (the measurand or some unwanted input variable). So we have four different cases (Fig. 2.4). The characteristics of these four transducer types are briefly reviewed:

- Design-controlled LIP input and design-controlled interrogating input.
All inputs are fixed. This type represents a signal or information source, for instance, a standard or a signal source with a constant or predetermined output. The output is totally determined by the construction and the materials that have been chosen. Any environmental effect on the output is (ideally) excluded.
- Design-controlled LIP input and environment-controlled interrogating input.
Since the latent information parameters are fixed by design, the output depends only on what is connected to the interrogating input. When this is the measurand, the transducer behaves as a direct sensor. Examples:
 - *Thermocouple temperature sensor*: the Seebeck coefficient is fixed by the choice of the materials making up the thermocouple.

		Interrogating input	
		Design controlled	Environment controlled
LIP input	Design controlled	Source	Direct sensor
	Environment controlled	Modulating sensor	Multiplying devices

Figure 2.4 Unified transducer classification.

- *Piezoelectric accelerometer*: the sensitivity is fixed by the seismic mass and the piezo-electric properties of the crystal.
- Environment-controlled LIP input and design-controlled interrogating input.
The measurand affects particular material properties or geometric parameters. These changes are interrogated by a fixed or well-defined signal at the interrogating input. The transducer behaves as a modulating sensor. Examples:
 - *Strain gauge bridge*: strain alters the resistance of the strain gauges; a bridge voltage converts this resistance change into an output voltage;
 - *Linear variable differential transformer (LVDT)*: a displacement of an object connected to the moving core will change the transfer ratio of the differential transformer. An AC signal on the primary coil acts as interrogating quantity.
 - *Hall sensor*: the measurand is a magnetic induction field, which acts on moving charges imposed by a fixed (or known) current applied to the interrogating input.
- Environment-controlled LIP input and environment-controlled interrogating input.
These are multiplying transducers: the output depends on the quantities at both inputs, often in a multiplicative relation. For instance, a Hall sensor could act as such, when the interrogating input is not a fixed current (by design) but a current that is related to just another measurand.

It is important to note that any practical transducer shows all four types of responses. A strain gauge (a modulating transducer) produces, when interrogated, an output voltage related to the strain-induced change in resistance. But the circuit can also generate spurious voltages caused by capacitively or magnetically induced signals. A thermocouple (a direct transducer) produces an output voltage proportional to the measurand at the interrogating input. If, however, the material parameters change due to (for instance) strain or nuclear radiation (inputs at the LIP port), the measurement is corrupted.

Since just one response is desired, other responses should be minimized by a proper design. The universal approach helps to identify such interfering sensitivities.

2.2.3 Classification based on conversion principles

The classification according to conversion principles is often used for the reason that the sensor performance is mainly determined by the physics of the underlying principle of operation. However, a particular type of sensor might be suitable for a

Type	Material property	Geometry (sensor examples)	Relative movement
Resistive	Resistivity (piezoresistor, LDR)	Relative length (potentiometer; metal strain gauge)	
Capacitive	Permittivity (fluid level sensor)	Relative electrode distance capacitive displacement (LVDC)	
Magnetic	Permeability (magnetoresistor)	Distance source-detector (magnetic displacement sensor)	Induction (magnetic velocity sensors)
Inductive		Inductance self-inductance mutual inductance reluctance (inductive displacement sensors, LVDT and resolver)	Induction (inductive velocity sensors)
Optical	Index of refraction absorptivity (fibre optic sensors)	Distance transmitter–receiver (intensity modulation sensors, interferometer and TOF sensor) transmissivity and reflectivity (optical encoder and tachometer)	Doppler frequency (Doppler velocimeter)
Acoustic	Acoustic impedance	Distance transmitter–receiver (TOF displacement sensors)	Doppler frequency (Doppler velocimeter)
Piezoelectric	Polarization (piezoelectric sensors)	Deformation (piezoelectric sensors)	

Figure 2.5 Classification based on electrical conversion principles (and sensor examples).

variety of physical quantities and in many different applications. For instance, a magnetic sensor of a particular type could be applied as displacement sensor, a velocity sensor, a tactile sensor, and so on. For all these applications the performance is limited by the physics of this magnetic sensor, but the limitations manifest in completely different ways. A closer look at the various conversion effects may lead to the observation that the electrical output of a sensor depends either on a material property or the geometry or a movement. Fig. 2.5 tabulates these three phenomena for various types of sensors.

2.2.4 Classification according to energy domain

A systematic representation of sensor effects based on energy domains involves a number of aspects. First, the energy domains have to be defined. Second, the energy domains should be allocated to both the sensor input and output. Finally, since many sensors are of the modulating type, the domain of the auxiliary quantity should also be considered. From a physical point of view, nine energy forms can be distinguished:

- Electromagnetic radiant energy
- Gravitational energy
- Mechanical energy
- Thermal energy
- Electrostatic and electromagnetic energy
- Molecular energy
- Atomic energy

		output domain					
		Radiant	Thermal	Magnetic	Mechanical	Chemical	Electrical
input domain	Radiant	Luminescence	Radiation heating	Photomagnetism	Radiation pressure	Photochemical process	Photoconductivity
	Thermal	Incandescence	Thermal conductivity	Curie-Weiss law	Thermal expansion	Endothermal reaction	Seebeck effect; pyroelectricity
	Magnetic	Faraday effect	Ettinghausen effect	Magnetic induction	Converse magnetostriction		Hall effect
	Mechanical	Photo-elastic effect	Friction heat	Magnetostriction	Gear	Pressure induced reaction	Piezoelectricity
	Chemical	Chemo-luminescence	Exothermal reaction		Explosive reaction	Chemical reaction	Volta effect
	Electrical	Injection luminescence	Peltier effect	Ampère's law	Converse piezoelectricity	Electrolysis	Ohm's law

Figure 2.6 Physical domains and some cross effects.

After S. Middelhoek, S.A. Audet, *Silicon Sensors*, Academic Press, London, San Diego, New York, Berkeley, Boston, Sydney, Tokyo, Toronto, 1989.

- Nuclear energy
- Mass energy

This classification is rather impractical for the description of sensors. Lion [8] has proposed only six domains and adopted the term signal domain. These six domains are: radiant, thermal, magnetic, mechanical, chemical, and electrical. The number of domains is a rather arbitrary choice, so for practical reasons we will continue with the system of six domains and call them energy domains.

Information contained in each of the six domains can be converted to any other domain. These conversions can be represented in a 6×6 matrix. Fig. 2.6 shows that matrix, including some of the conversion effects. An input transducer or sensor performs the conversion from a nonelectrical to the electrical domain (the shaded column), and an output transducer or actuator performs the conversion from the electrical to another domain (the shaded row). The cells on the diagonal of the matrix indicate effects within a single domain.

This two-dimensional representation can be extended to three dimensions, when the interrogating energy domain is included. This gives 216 energy triplets. To get a clear overview of all these possible combinations, they can be represented in a 3D Cartesian space, the “sensor cube” (Fig. 2.7). The three axes refer to the input energy domain, the output energy domain, and the interrogating input energy.

On each of the 216 elements of the $6 \times 6 \times 6$ matrix a conversion effect is located. When restricting to electrical transducers, there are 5 direct input transducers, 5 direct output transducers, 25 modulating input transducers, and 25 modulating output transducers.

To facilitate notation, the transducers can be indicated by indices, like in crystallography, the so-called Miller indices: $[x, y, z]$. The x -index is the input domain, the y -index is the output domain, and the z -index is the domain of the interrogating

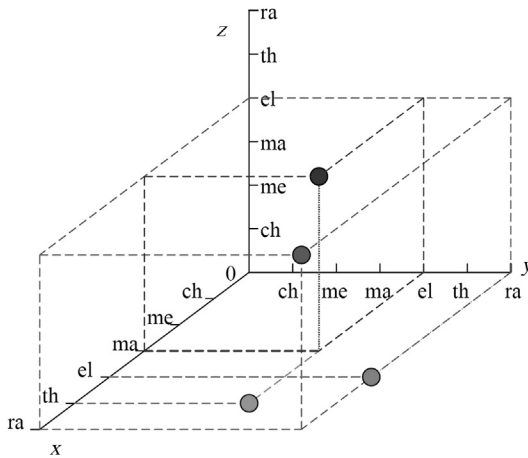


Figure 2.7 Sensor cube; six domains: radiant (ra), thermal (th), electrical (el), magnetic (ma), mechanical (me), and chemical (ch).

quantity. With these three indices a transducer can be typified according to the energy domains involved. Some examples are as follows:

Direct input transducer: thermocouple [th, el, 0]

Modulating input transducer: Hall sensor [ma, el, el]

Direct output transducer: LED [el, ra, 0]

Modulating output transducer: LCD [ra, ra, el].

These transducers are also visualized in Fig. 2.7. The practical value of such a representation is rather limited. It may serve as the basis of a categorization for overviews or as a guide in the process of sensor selection.

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Further reading

Some books and articles on quantities and systems

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No sensor is perfect. The mechatronic designer must be aware of the sensor's shortcomings in order to be able to properly evaluate measurement results and to make a correct assessment of the system performance. Specifying sensor quality in terms of only accuracy is not sufficient: a larger number of precisely defined parameters are necessary to fully characterize the sensor's behavior. Often a designer can reduce the effects of the intrinsic sensor limitations by the application of special configurations, procedures, and methods. Similar measures can also be considered when environmental influences should be eliminated. This chapter reviews the most important terms to express sensor behavior and presents some general design methods to reduce errors due to sensor deficiencies and environmental factors.

3.1 Sensor specification

Imperfections of a sensor are usually listed in the data sheets provided by the manufacturer. These sensor specifications inform the user about deviations from the ideal behavior. The user must accept technical imperfections, as long as they do not exceed the specified values.

Any measuring instrument, and hence any sensor, has to be fully specified with respect to its performance. Unfortunately, many data sheets show lack of clarity and completeness. Gradually, international agreements about formal error descriptions are being established. An exhaustive description of measurement errors and error terminology can be found in Refs [1,2], along with an international standard on transducer nomenclature and terminology [3]. Various international committees are working toward a uniform framework to specify sensors [4]. Finally, a special document, containing definitions of measurement-related terms, is in progress: the International Vocabulary of Basic and General Terms in Metrology (short VIM) [5].

The characteristics that describe sensor performance can be classified into four groups:

- *Static characteristics*, describing the performance with respect to very slow changes in the measurand (the quantity that has to be measured) and in environmental conditions (e.g., temperature effecting the sensitivity or scale factor, offset and drift).
- *Dynamic characteristics*, specifying the sensor response to fast variations in the measurand (e.g., bandwidth and step response).
- *Environmental characteristics*, relating the sensor performance after or during exposure to specified external conditions (such as pressure, temperature, vibrations, shock, and radiation).
- *Reliability characteristics*, describing the sensor's life expectancy.

Errors that are specific for certain sensor types are discussed in the chapters concerned. In this section we first define some general specifications:

- sensitivity
- nonlinearity and hysteresis
- resolution
- accuracy
- offset and zero drift
- noise
- response time, and
- frequency response

3.1.1 Sensitivity

The sensitivity of a sensor is defined as the ratio between a change in the output value and the change in the input value that causes that output change. Mathematically, the sensitivity is expressed as $S = dy/dx$, where x is the input signal (measurand) and y is the output signal (an electrical signal). Usually a sensor is also sensitive to changes in quantities other than the intended input quantity, such as the ambient temperature or the supply voltage. These unwelcome sensitivities should be specified as well, for a proper interpretation of the measurement result. To have a better insight in the effect of such unwanted sensitivities, they are often related to the sensitivity of the measurement quantity itself.

Example 1: The sensitivity of a particular displacement sensor with voltage output is specified as 10 mV/mm. Its specified temperature sensitivity is 0.1 mV/K. Since 0.1 mV corresponds with a displacement of 10 μm , the temperature sensitivity can also be expressed as 10 $\mu\text{m}/\text{K}$. A temperature rise of 5°C results in an apparent displacement of 50 μm .

Example 2: The sensitivity of a particular temperature sensor is 100 mV/K, including the signal conditioning unit. The signal conditioning part itself is also sensitive to (ambient) temperature and appears to create an extra output voltage of 0.5 mV for each degree Celsius rise in ambient temperature (not necessarily the sensor temperature). So, the unwanted temperature sensitivity is 0.5 mV/K or $0.5/100 = 5 \text{ mK}/\text{K}$. A change in ambient temperature of $\pm 10^\circ\text{C}$ gives an apparent change in sensor temperature equal to $\pm 50 \text{ mK}$.

3.1.2 Nonlinearity and hysteresis

If the output y is a linear function of the input x , the sensitivity S does not depend on x . In the case of a nonlinear transfer function $y = f(x)$, S does depend on the input or output value. Often, a linear response is preferred to reduce computational burden in, for instance, multisensor control systems. In that case the sensitivity can be expressed with a single parameter. Furthermore, nonlinearity introduces

harmonics of the input signal frequencies, which may obstruct proper frequency analysis of the physical signals.

The transfer of a sensor with a slight nonlinearity may be approximated by a straight line, to specify its sensitivity by just one number. The user should be informed about the deviation from the actual transfer; this is specified by the nonlinearity error.

The linearity error of a system is the maximum deviation of the actual transfer characteristic from a *prescribed straight line*. Manufacturers specify linearity in various ways, for instance as the deviation in input or output units: $\Delta x_{\max}$ or $\Delta y_{\max}$, or as a fraction of FS (full scale): $\Delta x_{\max}/x_{\max}$. Nonlinearity should *always* be given together with a specification of the straight line. The following definitions are in use:

- *Terminal nonlinearity*: Based on the terminal line: a straight line between 0% and 100% theoretical full-scale points.
- *End-point nonlinearity*: Based on the end-point line, the straight line between the calibrated end points of the range; coincides with the terminal (theoretical) line after calibration of zero and scale.
- *Independent nonlinearity*: Referring to the best-fit straight line, according to a specified error criterion. For instance the line midway between two parallel lines enclosing all calibration points; if the least square error criterion for the best-fit straight line is used, this linearity error is the least square nonlinearity.
- *Least square nonlinearity*: Based on the least square line, the line for which the summed squares of the residuals is minimized.

Hysteresis is the maximum difference in output signal when the measurand first increases over a *specified* range and then returns to the starting value. The traveled range should be specified because hysteresis strongly depends on it.

3.1.3 Resolution

The resolution indicates the smallest detectable increment of the input quantity. When the measurand varies continuously, the sensor output might show discontinuous steps. The value of the corresponding smallest detectable change in the input variable is the resolution: $\Delta x_{\min}$. Sometimes this parameter is related to the maximum value $x_{\max}$ that can be processed (full-scale value), resulting in the resolution expressed as $\Delta x_{\min}/x_{\max}$ or $x_{\max}/\Delta x_{\min}$. This mixed use of definitions seems confusing, although it is easy to see from the units or the value itself which definition is used.

Example 1: The resolution of a particular type of wire-wound linear potentiometer with a range of 10 cm is specified as 10^{-4} ; assuming this is the full-scale value, it means that the output changes discontinuously in steps equivalent to input displacements of 10 μm .

Example 2: A particular type of optical encoder has a resolution of 14 bit. The smallest change in angle that can be detected by this encoder is $2\pi/2^{14} \approx 3.8 \times 10^{-4}$ rad or 0.022 degrees.

3.1.4 Accuracy

Formally, the accuracy reflects the closeness of the agreement between the actual measurement result and a true value of the measurand. The accuracy specification should include relevant conditions and other quantities. Many sensor manufacturers specify the sensor performance in terms of accuracy. This specification should be viewed with suspicion because it may or may not include particular imperfections of the sensor (e.g., nonlinearity, hysteresis, and drift) and may be only valid under strict conditions. *Precision* is not the same as accuracy. Actually, the term precision should not be used in any case, to avoid confusion.

3.1.5 Offset and zero drift

Most sensors are designed such that the output is zero at zero input. If the transfer characteristic does not intersect the origin ($x, y = 0, 0$), the system is said to have offset. The offset is expressed in terms of the input or the output quantity. Specifying the input offset is preferred, to facilitate a comparison with the value of the measurand.

Example: The sensitivity of a particular type of force-sensing system is 0.1 V/N. At zero force the output appears to be 3 mV. The (input) offset of this system is the output offset divided by the sensitivity, so 0.03 N.

A nonzero offset arises mainly from component tolerances. Offset compensation can be performed in the interface electronics or the signal processing unit. Once adjusted to zero, the offset may nevertheless change, due to temperature variations, changes in the supply voltage or aging effects. This relatively slow change in the offset is called *zero drift*. In particular, the temperature-induced drift (the *temperature coefficient*, or t.c., of the offset) is an important item in the specification list.

Sometimes a system is deliberately designed with offset. Many industrial transducers have a current output ranging from 4 to 20 mA. This facilitates the detection of cable fractures or a short circuit, producing a zero output clearly distinguishable from a zero input.

3.1.6 Noise

Electrical noise is a collection of spontaneous fluctuations in currents and voltages. They are present in any electronic system, arise from thermal motion of the electrons, and also from the quantized nature of electric charge. Electrical noise is also specified in terms of the input quantity, to show its effect relative to the value of the measurand. *White noise* (noise with constant power over a wide frequency range) is usually expressed in terms of spectral noise power (W/Hz), spectral noise voltage ($V/\sqrt{\text{Hz}}$), or spectral noise current ($A/\sqrt{\text{Hz}}$). Thermal noise is an example of “white noise.”

Another important type of noise is $1/f$ noise (one-over- f noise), a collection of noise phenomena with a spectral noise power that is proportional to f^{-n} , with n between 1 and 2.

Quantization noise is the result of quantizing an analog signal. The rounding off results in a (continuous) deviation from the original signal. This error can be considered as a “signal” with zero mean and a standard deviation determined by the resolution of the AD converter.

3.1.7 Response time

The response time is associated with the speed of change in the output on a step-wise change of the measurand. The specification of the response time needs to be *always* accompanied with an indication of the input step (for instance FS—full scale) and the output range for which the response time is defined, for instance 10%–90 %. A possible presence of creep and oscillations may make the specification of the response time meaningless or at least misleading.

3.1.8 Frequency response and bandwidth

The sensitivity of a system depends on the frequency or rate of change of the measurand. A measure for the useful frequency range is the frequency band. The upper and lower limits of the frequency band are defined as those frequencies for which the output power has dropped to half the nominal value, at constant input power. For voltage or current quantities, the criterion is $1/\sqrt{2}$ of the nominal value (since power is proportional to the square of voltage or current). The lower limit of the frequency band may be zero; the upper limit has always a finite value. The extent of the frequency band is called the *bandwidth* of the system, expressed in Hz.

3.1.9 Operating conditions

All specification items only apply within the operating range of the system, which should also be specified correctly. It is given by the measurement range, the required supply voltage, the environmental conditions, and possibly other parameters.

Example 1: The frequency characteristics and noise behavior of an accelerometer are important features. [Table 3.1](#) is an excerpt from the data sheets of an industrial accelerometer.

Example 2: Many humidity sensors have nonlinear behavior. [Table 3.2](#) is an example of the specifications of a typical industrial humidity sensor; RH stands for relative humidity.

Despite the specified limitations of sensors, a sensing system can be configured in a way that the effect of some of these limitations is eliminated or at least reduced. We consider various possibilities of error-reducing designs in the next section.

Table 3.1 Selected specifications of an accelerometer

Environment	Operating temperature range	−55 to +95°C
	Shock	250 g, half-sine, 6 ms
	Vibration peak sine	15 g (20–2000 Hz)
Performance	Input range	−60 to + 60 g
	Sensitivity (scale factor)	1.20–1.46 mA/g
	Temperature sensitivity	<30 $\mu\text{g}/^\circ\text{C}$
	Bandwidth	>300 Hz
	Resolution	<1 μg
Noise	0–10 Hz	<7 μg rms
	10–500 Hz	<70 μg rms
	500 Hz–10 kHz	<1500 μg rms

Source: From AlliedSignal Aerospace, accelerometer QA-2000. Units in standard gravity (g).

Table 3.2 Selected specifications of a humidity sensor

Operating RH range	20%–95% RH at 25°C
Operating temperature range	5 to 60°C
Storage	0%–95% RH; −40 to +85°C
Response time	<1 min for 63% step change in nonmoving air
Hysteresis	<1% RH at 25°C for step change from 30% to 98% RH then back to 30% RH

Source: From Amphenol Advanced Sensors, EMD 4000B humidity sensor. Sensitivity curves (impedance vs RH) for various temperatures included in data sheets.

3.2 Sensor error reduction techniques

Any sensor system has imperfections, introducing measurement errors. These errors either originate from the system itself (for instance system noise, quantization, and drift) or are due to environmental influences such as thermal, electromagnetic, and mechanical interference. Sensor manufacturers try to minimize such intrinsic errors through proper design of the sensor layout and encapsulation; the remaining imperfections should be given in the data sheets of the sensor. The user (for instance the mechatronic designer) should minimize additional errors that could arise from improper mounting and faulty electronic interfacing. In this section we present some general concepts to minimize or to reduce the effect of the intrinsic errors when applying sensors.

Usually, a sensor is designed to be sensitive to just one specific quantity, thereby minimizing the sensitivity to all other quantities, despite the unavoidable presence of many physical effects. The result is a sensor that is sensitive not only to the quantity to be measured but also in a greater or lesser degree to other quantities; this is called the cross-sensitivity of the device. Temperature is feared most of all, illustrated by the saying that “every sensor is a temperature sensor.”

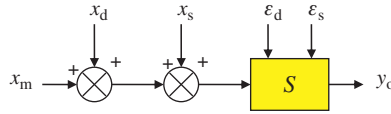


Figure 3.1 Simplified sensor model including error signals.

Besides cross-sensitivities, sensors may suffer from many other imperfections. They influence the transfer of the measurement signal and give rise to unwanted output signals. Fig. 3.1 shows a simplified model of a sensor system, with an indication of several error sources. In this figure, x_m is the measurement signal and y_o the output signal. *Additive* error signals are modeled as additional input signals: x_d and x_s represent deterministic and stochastic error signals, respectively. They model all kinds of interference from the environment and the equivalent error signals due to system offset and noise. The error inputs ε_d and ε_s represent *multiplicative* errors: these signals affect the sensitivity of the sensor. For this simplified model, the output signal of a sensor can be written according to Eq. (3.1):

$$y_o = S(1 + \varepsilon_d + \varepsilon_s)(x_m + x_d + x_s) \quad (3.1)$$

where S is the nominal sensitivity. This model will be used to evaluate various error reduction methods. Some of these methods will reduce mainly additive sensors; others minimize multiplicative errors. Improvement of sensor performance can be obtained through use of a sophisticated design or simply through some additional signal processing. We discuss five basic error reduction methods:

1. compensation
2. feedback
3. filtering
4. modulation and
5. correction

The methods apply not only to sensors but also to other signal-handling systems as amplifiers and signal-transmission systems.

3.2.1 Compensation

Compensation is a simple and effective method to minimize additive errors due to interference signals. The basic idea is as illustrated in Fig. 3.2. In Fig. 3.2A the output of the sensor is y_1 , which contains unwanted signal components, for instance due to interference x_i or offset. From this output a compensation voltage y_c is subtracted. The condition for full compensation is $y_c = Sx_i$, making $y_o = Sx_m$, independent of x_i . For correct compensation, the interference signal x_i as well as the sensor transfer S should be known. One way to accomplish compensation is by an adjustable compensation signal: at zero input y_c is (manually) adjusted to a value for which the output is zero. A more elegant way to compensate is to apply a second sensor, as illustrated in Fig. 3.2B.

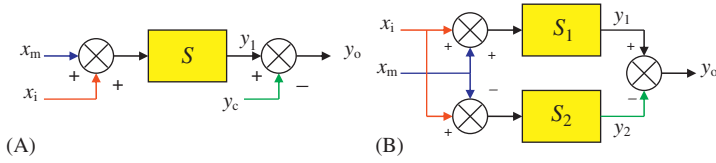


Figure 3.2 General layout of compensation: (A) compensation signal; (B) balanced configuration.

The measurement signal x_m is supplied simultaneously to both sensors that have equal but opposite sensitivity to the measurement input (e.g., two strain gauges: one loaded on compressive stress and the other on tensile stress). A minus sign represents the opposite sensitivity of the sensors. The two output signals are subtracted by a proper electronic circuit. Because of the antisymmetric structure with respect to the measurement signal only, many interference signals appear as common output signals and thus are eliminated by taking the difference of the two outputs.

The effectiveness of the method depends on the degree of symmetry of the double sensor or differential sensor structure. From Fig. 3.2 it follows:

$$\begin{aligned} y_1 &= S_{m1}x_m + S_{i1}x_i \\ y_2 &= -S_{m2}x_m + S_{i2}x_i \end{aligned} \quad (3.2)$$

where S_{mk} and S_{ik} ($k = 1, 2$) are the sensitivities for the measurement signal and the interference signal, respectively. So, the output signal of the sensor system equals

$$y_1 = (S_{m1} + S_{m2})x_m + (S_{i1} - S_{i2})x_i \quad (3.3)$$

The sensitivities S_{m1} and S_{m2} are about equal: $S_{m1} \approx S_{m2} = S_m$, so the output signal becomes

$$y_o = 2S_mx_m + \Delta S_i x_i = 2S_m \left(x_m + \frac{\Delta S_i}{2S_i} x_i \right) \quad (3.4)$$

Analogous to the definition of the rejection ratio for differential amplifiers we can define a quality measure for the imbalance of the differential sensor:

$$H = \frac{2S_m}{\Delta S_i} \quad (3.5)$$

a parameter characterizing the system's ability to distinguish between measurand and interfering signals.

The method is illustrated with a two-active-element Wheatstone measurement bridge (Fig. 3.3).

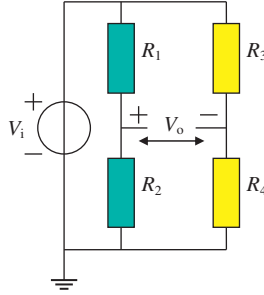


Figure 3.3 Measurement bridge with two active elements R_1 and R_2 .

In this half-bridge mode, R_3 and R_4 are fixed resistances and R_1 and R_2 are resistive sensors. Their resistance values change with a particular physical quantity as well as an interfering signal, according to:

$$\begin{aligned} R_1 &= R(1 + S_{m1}x_{m1} + S_{i1}x_{i1}) \\ R_2 &= R(1 + S_{m2}x_{m2} + S_{i2}x_{i2}) \end{aligned} \quad (3.6)$$

Here, S_{mk} is the sensitivity of sensor k ($k = 1, 2$) to the measurand (for instance deformation), and S_{ik} is the sensitivity to the interference signal (for instance temperature). Note that in this system the sensor resistance is just R at a particular initial value of the measurand and at zero interference. Assuming both sensor parts experience the same measurement signal and have equal but opposite sensitivities to the measurand, the bridge output voltage satisfies, approximately, the equation:

$$\frac{V_o}{V_i} = \frac{1}{2}S_m x_m + \frac{1}{4}\Delta(S_i x_i) \quad (3.7)$$

If both sensor parts have equal sensitivity to interference (by a symmetric sensor design) and both sensor parts experience the interference equally, the error term in Eq. (3.7) is zero, and the interference is completely eliminated. Eq. (3.7) is useful to make a quick assessment of the error due to asymmetry, relative to the measurement signal.

Example: The resistors R_1 and R_2 in Fig. 3.3 are strain gauges with strain sensitivity $S_m = K$ (gauge factor) and t.c. α (K^{-1}). The measurement signal is the relative deformation or strain $\Delta l/l$; the interference signal is a change in temperature Θ . So, the transfer of this bridge is

$$\frac{V_o}{V_i} = -\frac{1}{2}K \frac{\Delta l}{l} + \frac{1}{4}(\alpha \Delta \Theta + \Theta \Delta \alpha) \quad (3.8)$$

where $\Delta \Theta$ is the temperature difference between the two sensor parts and $\Delta \alpha$ is the difference in temperature sensitivity. In a proper design both sensor parts should have equal temperature and equal temperature sensitivity, over the whole operating range.

3.2.2 Feedback methods

Feedback is an error reduction method originating from the early amplifiers with vacuum tubes. Their unstable operation was a real problem until the application of feedback [6], which reduces in particular multiplicative errors. Fig. 3.4A shows the general idea. The sensor has a nominal transfer S , but due to multiplicative interference it has changed to $S(1 + \varepsilon_i)$. The feedback is accomplished by an actuator with an inverse transduction effect and a transfer k . From classical control theory, the error reduction factor can easily be found. The transfer of the total system, S_f , is given by

$$S_f = \frac{S}{1 + S \cdot k} \quad (3.9)$$

A relative change dS in the sensor transfer S causes a relative change dS_f in S_f according to:

$$\frac{dS_f}{S_f} = \frac{1}{1 + kS} \cdot \frac{dS}{S} \quad (3.10)$$

In an appropriate feedback system design, $kS \gg 1$. So, the relative error in the forward part is reduced by a factor equal to the *loop gain* $S \cdot k$ of the system. The penalty for this improvement is a reduction of the overall sensitivity with the same factor.

Feedback also reduces additive interference signals, in a degree that depends on the point of injection in the system. Two cases are discussed in Fig. 3.4B. The output due to two interfering signals x_{i1} and x_{i2} equals:

$$y_o = \frac{S}{1 + kS} \cdot x_{i1} + \frac{1}{1 + kS} \cdot x_{i2} \quad (3.11)$$

Obviously, signals entering at the input of the system are reduced by feedback as much as the measurement signal (so the SNR is not better). Interfering signals injected at the output of the sensing system are reduced by a factor S more than the measurement signal, so the SNR is increased by the same amount.

Feedback reduces errors in the forward signal path: the transfer is mainly determined by the feedback path. Nonlinearity in the forward path (for instance due

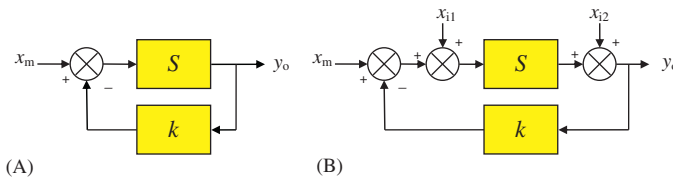


Figure 3.4 Feedback system: (A) basic configuration; (B) with additive interference signals.

to a nonlinear sensor characteristic) is also reduced, provided a linear transfer of the feedback element. Prerequisites for an effective error reduction are as follows:

- high forward path transfer and
- stable feedback path transfer

The application of this method to sensors requires a feedback element with a transfer that is the inverse of the sensor transfer. Imperfections of the sensor are reduced; however, the demands on the actuator are high. The method is illustrated with an example of a capacitive accelerometer system in which two error reduction methods are combined: compensation by a balanced sensor construction and feedback by an inverse transducer (Fig. 3.5).

A displacement of the seismic mass m results in a capacitance difference ΔC ; this value is converted to a voltage, which is compared with a reference value (here this value is 0). The amplified voltage difference is supplied to an electromagnetic actuator that drives the mass back toward its initial position. When properly designed, the system reaches a state of equilibrium in which the applied inertial force is compensated by the electromagnetic force from the actuator. The current required to keep equilibrium is a measure for the applied force or acceleration.

A more detailed model of this system, for instance for stability analysis, is depicted in Fig. 3.6. All transduction steps are visualized in separate blocks. Obviously, the feedback is performed in the mechanical domain by counteracting the inertial force F_i with the electromagnetic force F_a of the actuator.

The transfer function of the whole system can easily be derived from this model and is given in Eq. (3.12):

$$V_o = \frac{H_s H_e}{1 + H_a H_s H_e} H_i a \quad (3.12)$$

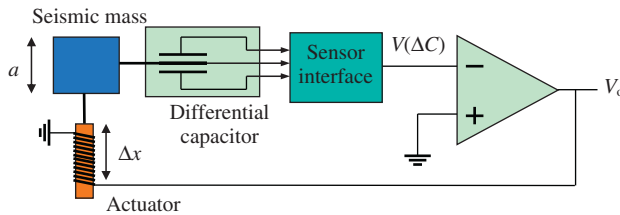


Figure 3.5 Illustration of a feedback system to reduce sensor errors: capacitive accelerometer.

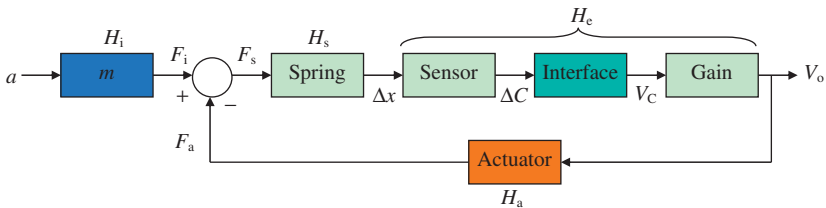


Figure 3.6 Model of the differential capacitive accelerometer with feedback.

In this equation, H_i is the transfer from applied acceleration a to inertial force F_i . H_s is the transfer of the mechanical spring: from force to displacement Δx . The transfer of the electrical system (capacitive sensor, electronic interface, and amplifier together) is H_e . Finally, H_a is the transfer of the actuator. For $H_a H_s H_e \gg 1$, the transfer function of the total sensor system becomes:

$$V_o = \frac{H_i}{H_a} a \quad (3.13)$$

Indeed this is independent of the spring stiffness, the sensor transfer, the interface, and the gain of the amplifier, according to the feedback principle. In equilibrium, the mass is at its center position. Hence, no particular demands have to be made on the spring, the sensor, and the interface circuit; the only requirement is a small zero error. Actually, the requirements with respect to the sensor quality have been transferred to those of the actuator. The system transfer depends only on the seismic mass m and the actuator transfer H_a .

Feedback may also improve the dynamic performance of the sensor. Assume the sensor in Fig. 3.6 is a mass-spring system described by the general second order (complex) transfer characteristic

$$S = \frac{S_0}{1 + 2z(j\omega/\omega_0) - (\omega^2/\omega_0^2)} \quad (3.14)$$

with z the damping and ω_0 the natural (undamped) frequency or resonance frequency of the system. Substitution in Eq. (3.9) results in the transfer of the system with feedback:

$$S = \frac{S_0}{1 + kS_0} \cdot \frac{1}{1 + 2z_f(j\omega/\omega_f) - (\omega^2/\omega_f^2)} \quad (3.15)$$

with a new damping factor $z_f = z/\sqrt{1 + kS_0}$ and a new natural frequency $\omega_f = \omega_0\sqrt{1 + kS_0}$. Obviously, the resonance frequency is increased by a factor $\sqrt{1 + kS_0}$ and the damping is decreased with the same factor: the system has become faster by feedback.

The principle is also applicable for other measurands, for instance pressure. Many pressure sensors are based on a flexible membrane that deforms when a pressure difference is applied. Usually, this deformation is measured by some displacement sensor, providing the output signal. The effect of the strong nonlinear response of the membrane can be eliminated by feedback, using a (linear) actuator in the feedback path [7]. There are various sensors on the market that are based on the feedback principle. Some of them will be discussed in later chapters.

3.2.3 Filtering

Error signals can be reduced or avoided by filtering, to be performed either in the domain of the interfering signal (prior to transduction) or in the electrical domain (after transduction).

3.2.3.1 Filtering prior to transduction

The unwanted signals may be kept outside the sensor system by several filtering techniques, depending on the type of signal. Sometimes the technique is referred to as *shielding*. We briefly review some causes and the associated reduction methods:

- *Electric* injection of spurious signals, through a *capacitive* connection between the error voltage source and the system input; to be avoided by a *grounded* shield around the sensor structure and the input leads. The capacitively induced current flows directly to ground and does not reach the input of the sensor.
- *Magnetically* induced signals by a time-varying magnetic flux through the loop made up by the input circuit; cannot only be reduced by a shield made up of a material with a high magnetic permeability but also by minimizing the area of the loop (leads close to each other or twisted).
- Changes in environmental *temperature*; thermal shielding (an encapsulation with high thermal resistance or a temperature-controlled housing) reduce thermal effects.
- Unwanted *optical* input (from lamps, the sun) can be stopped by optical filters; many filter types are available on the market. Measurand and interferences should have a substantial difference in wavelength.
- *Mechanical* disturbances (e.g., shocks and vibrations) are reduced by a proper mechanical construction with elastic mounting and performing suitable damping of the vibrations.

3.2.3.2 Filtering after transduction

In case the interfering signals are of the same type (in the same signal domain) as the measurand itself, error reduction is accomplished with filters based on differences in particular properties of the signals. For electrical signals, the distinguishing property is the frequency spectrum. Electrical interfering signals with a frequency spectrum different from that of the measurand can easily be filtered by electronic filters (Appendix C). If they have overlapping frequency spectra, this is not or only partially possible. A solution to this problem is to *modulate* (if possible) the measurement signal prior to transduction, to create a sufficiently large frequency difference, enabling effective filtering in the electrical domain (see [Section 3.2.4](#)).

3.2.4 Modulation

Modulation of the measurement signal is a very effective way to reduce the effect of offset errors and noise. We shortly review the basics of amplitude modulation and demodulation, and show that this combination behaves as a narrow band-pass filter process.

Modulation is a particular type of signal conversion that makes use of an auxiliary signal, the *carrier*. One of the parameters of this carrier signal is varied analogously to the input (or measurement) signal. The result is a shift of the complete signal frequency band to a position around the carrier frequency. Due to this property, modulation is also referred to as frequency conversion.

A very important advantage of modulated signals is their better noise and interference immunity. In measurement systems, modulation offers the possibility of bypassing offset and drift from amplifiers. Amplitude modulation is a powerful technique in instrumentation to suppress interference signals; therefore we confine to amplitude modulation only.

A general expression for an AM signal with a sinusoidal carrier $v_c = \hat{v}_c \cos \omega_c t$ modulated by an input signal $v_i(t)$ is as follows:

$$v_m = \hat{v}_c \{1 + k \cdot v_i(t)\} \cos \omega_c t \quad (3.16)$$

where ω_c is the frequency of the carrier, and k is a scale factor determined by the modulator. Suppose the input signal is a pure sine wave:

$$v_i = \hat{v}_i \cos \omega_i t \quad (3.17)$$

The modulated signal is as follows:

$$\begin{aligned} v_m &= \hat{v}_c \{1 + k \cdot \hat{v}_i \cos \omega_i t\} \cos \omega_c t \\ &= \hat{v}_c \cos \omega_c t + \frac{1}{2} k \cdot \hat{v}_c \hat{v}_i \{ \cos(\omega_c + \omega_i) t + \cos(\omega_c - \omega_i) t \} \end{aligned} \quad (3.18)$$

which shows that this modulated signal has three frequency components: one with the carrier frequency (ω_c), one with a frequency equal to the sum of the carrier frequency and the input frequency ($\omega_c + \omega_i$), and one with the difference between these two frequencies ($\omega_c - \omega_i$). Fig. 3.7A shows an example of such an AM signal. The input signal is still recognized in the “envelope” of the modulated signal, although its frequency component is not present.

When the carrier is modulated by an arbitrary input signal, each of the frequency components in its spectrum (Fig. 3.7B) produces two new components, with the sum and the difference frequency. Hence, the whole frequency band is shifted to a region around the carrier frequency (Fig. 3.7C). These bands at either side of the carrier are called the *side bands* of the modulated signal. Each side band carries the full information content of the input signal. The AM signal does not contain low-frequency components anymore. Therefore it can be amplified without being disturbed by offset and drift (which are low-frequency signals). If such frequencies appear anyway in the amplified modulated signal, they can easily be removed by a high-pass filter.

There are many ways to modulate the amplitude of a carrier signal. We discuss three methods: the multiplying modulator, the switch modulator, and the bridge modulator.

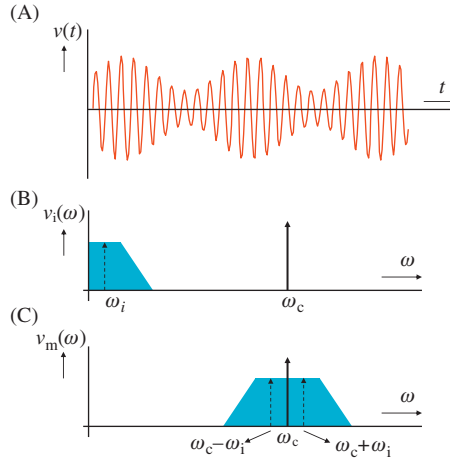


Figure 3.7 Amplitude modulated signal: (A) time signal; (B) frequency spectrum of the input signal; (C) frequency spectrum of the AM signal.

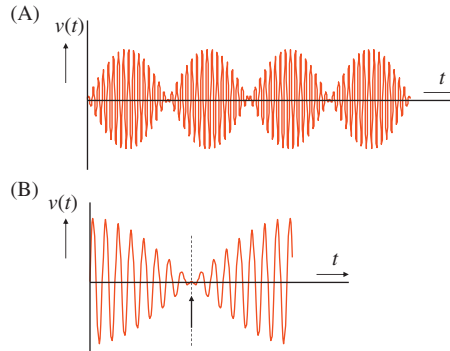


Figure 3.8 (A) AM signal with suppressed carrier and (B) phase shift.

3.2.4.1 Multiplier as modulator

Multiplication of two sinusoidal signals v_c and v_i (carrier and input) results in an output signal:

$$v_m = K \cdot \hat{v}_c \hat{v}_i \{ \cos(\omega_c + \omega_i)t + \cos(\omega_c - \omega_i)t \} \quad (3.19)$$

with K the scale factor of the multiplier. This signal contains only the two-side band components and no carrier; it is called an AM signal with *suppressed carrier*. For arbitrary input signals, the spectrum of the AM signal consists of two (identical) side bands without carrier. Fig. 3.8A shows an example of such an AM signal. Note that the “envelope” is not identical to the original signal shape anymore.

Furthermore, the AM signal shows a phase shift in the zero crossings of the original input signal (Fig. 3.8B).

3.2.4.2 Switch modulator

In the switch modulator, the measurement signal is periodically switched on and off, a process that can be described by multiplying the input signal with a switch signal $s(t)$, being 1 when the switch is on and 0 when it is off (Fig. 3.9).

To show that this product is indeed a modulated signal with side bands, we expand $s(t)$ into its Fourier series:

$$s(t) = \frac{1}{2} + \frac{2}{\pi} \left\{ \sin \omega t + \frac{1}{3} \sin 3\omega t + \frac{1}{5} \sin 5\omega t + \dots \right\} \quad (3.20)$$

with $\omega = 2\pi/T$ and T the period of the switching signal. For a sinusoidal input signal with frequency ω_i , the output signal contains sums and differences of ω_i and each of the components of $s(t)$.

This modulation method produces a large number of side band pairs, positioned around odd multiples of the carrier frequency (ω_c , $3\omega_c$, $5\omega_c$, ...), as shown in Fig. 3.10. The low-frequency component originates from the multiplication by the mean of $s(t)$ (here $1/2$). This low-frequency component and all components with frequencies $3\omega_c$ and higher can be removed by a filter. The resulting signal is just an AM signal with suppressed carrier.

Advantages of the switch modulator are its simplicity and accuracy: the side band amplitude is determined only by the quality of the switch. A similar modulator

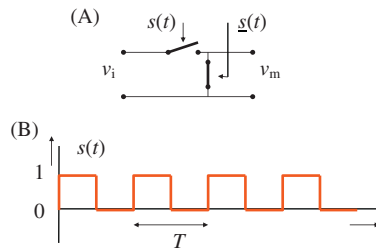


Figure 3.9 (A) Series-shunt switch as modulator; (B) time representation of the switch signal.

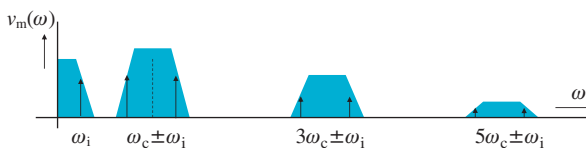


Figure 3.10 Spectrum of an AM signal from a switching modulator.

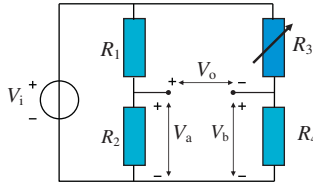


Figure 3.11 Wheatstone bridge as modulator.

can be achieved by periodically changing the polarity of the input signal. This is equivalent to the multiplication by a switch signal with zero mean value; in that case there is no low-frequency band as in Fig. 3.10.

The absence of DC and low-frequency components considerably facilitates the amplification of modulated signals: offset, drift, and low-frequency noise can be kept far from the new signal frequency band. When very low voltages must be measured, it is recommended to modulate these prior to any other analog signal processing that might introduce DC errors.

3.2.4.3 Measurement bridge as modulator

The principle of the bridge modulator is illustrated with the resistance measurement bridge or Wheatstone bridge of Fig. 3.11.

The bridge is connected to an AC signal source V_i . This AC signal (usually a sine or square wave) acts as the carrier. In this example we consider a bridge with only one resistance (R_3) that is sensitive to the measurand. Assuming equal values of the three other resistances, the signal V_a is just half the carrier, whereas V_b is an AM signal: half the carrier modulated by R_3 . The bridge output V_o is the difference between these two signals, so it is an AM signal with suppressed carrier.

This output can be amplified by a differential amplifier with high gain; its low-frequency properties are irrelevant; the only requirements are a sufficiently high bandwidth and a high common mode rejection ratio (CMRR) for the carrier frequency to accurately amplify the difference $V_a - V_b$.

Modulation techniques also apply to many nonelectric signals. An optical signal can be modulated using a LED or laser diode. If the source itself cannot be modulated, optical modulation can be performed by, for instance, a chopping wheel, as is applied in many pyroelectric measurement systems. Also, some magnetic sensors employ the modulation principle. Special cases are discussed in subsequent chapters.

3.2.5 Demodulation

The reverse process of modulation is demodulation (sometimes called detection). Looking at the AM signal with carrier (for instance in Fig. 3.7), we observe the similarity between the envelope of the amplitude and the original signal shape. An obvious demodulation method would therefore be envelope detection or peak

detection. Clearly, envelope detectors operate only for AM signals *with* carrier. In an AM signal *without* carrier, the envelope is not a copy of the input anymore. Apparently, additional information is required with respect to the phase of the input, for a full recovery of the original waveform.

An excellent method to solve this problem, and which has a number of additional advantages, is *synchronous detection*. This method consists of multiplying the AM signal by a signal having the same frequency as the carrier. If the carrier signal is available (as is the case in most measurement systems), this synchronous signal can be the carrier itself.

Assume a modulated sinusoidal input signal with suppressed carrier:

$$v_m = \hat{v}_m \{ \cos(\omega_c + \omega_i)t + \cos(\omega_c - \omega_i)t \} \quad (3.21)$$

This signal is multiplied by a synchronous signal with a frequency equal to that of the original carrier, and a phase angle φ . The result is as follows:

$$\begin{aligned} v_{\text{dem}} &= \hat{v}_m \hat{v}_s \{ \cos(\omega_c + \omega_i)t + \cos(\omega_c - \omega_i)t \} \cdot \cos(\omega_c t + \varphi) \\ &= \hat{v}_m \hat{v}_s \left[\cos \omega_i t \cos \varphi + \frac{1}{2} \{ \cos(2\omega_c + \omega_i)t + \varphi \} + \frac{1}{2} \{ \cos(2\omega_c - \omega_i)t + \varphi \} \right] \end{aligned} \quad (3.22)$$

With a low-pass filter, the components around $2\omega_c$ are removed, leaving the original component with frequency ω_i . This component has a maximum value for $\varphi = 0$, that is, when the synchronous signal has the same phase as the carrier. For $\varphi = \pi/2$ the demodulated signal is zero, and it has the opposite sign for $\varphi = \pi$. This phase sensitivity is an essential property of synchronous detection.

Fig. 3.12 reviews the whole measurement process in terms of frequency spectra. The starting point is a low-frequency narrow band measurement signal (A). This signal is modulated and subsequently amplified. The spectrum of the resulting signal is depicted in (B), showing the AM spectrum of the measurement signal and some additional error signals introduced by the amplifier: offset (at DC), drift and $1/f$ noise (LF), and wide band thermal noise.

Fig. 3.12C shows the spectrum of the demodulated signal. By multiplication with the synchronous signal, all frequency components are converted to a new position. The spectrum of the amplified measurement signal folds back to its original position, and the LF error signals are converted to a higher frequency range. A low-pass filter removes all components with frequencies higher than that of the original band.

An important advantage of this detection method is the elimination of all error components that are not in the (small) band of the modulated measurement signal. If the measurement signal has a narrow band (slowly fluctuating measurement quantities), a low cut-off frequency of the filter can be chosen. Hence, most of the

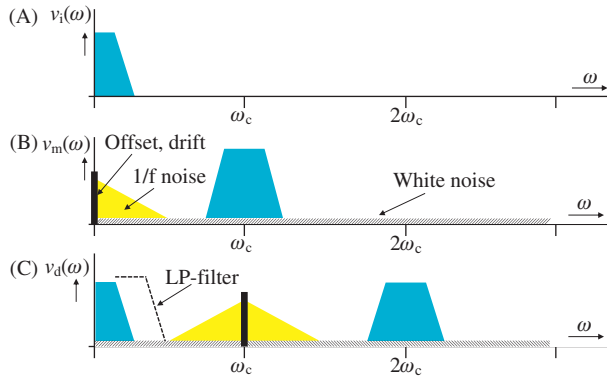


Figure 3.12 Sequence of modulation and synchronous detection: (A) spectrum of original measurement signal; (B) spectrum of the modulated signal; (C) spectrum of the demodulated signal.

error signals are removed, and a remarkable improvement of the S/N ratio is achieved, even with a simple first-order low-pass filter.

The low-pass filter with bandwidth B , acting on the demodulated signal, is equivalent to a band-pass filter acting on the modulated signal (around the carrier). This means that the effective bandwidth is $2B$. The selectivity of a band-pass filter is expressed with the quality factor Q , defined as the ratio between its central frequency f_c and the (-3 dB) bandwidth B . So the synchronous detector can be conceived as a band-pass filter with quality factor equal to f_c/B . If, for example, the measurement signal has a bandwidth of 0.5 Hz, then the cut-off frequency of the low-pass filter is also set to 0.5 Hz. Suppose the carrier frequency is 4 kHz, then the effective quality factor of the synchronous detector is 4000 . Active band-pass filters can achieve Q -factors of about 100 at most, so synchronous detection offers a much higher selectivity compared to active filtering.

3.2.6 Correction methods

An erroneous sensor signal can be corrected if knowledge about the causes of the errors or the value of the errors is available. Two different strategies can be distinguished:

- static correction and
- dynamic correction

In the first class of strategies, correction is performed while leaving the sensor unaltered. Fig. 3.13 shows the general configuration for two approaches. In the model-based approach, the sensor signal is corrected based on prior knowledge about the origin of the error, for instance nonlinearity or a calibration curve, stored in a look-up table. If the errors are unknown (interference), the error signal could be measured separately by additional sensors. The output of these sensors is used to

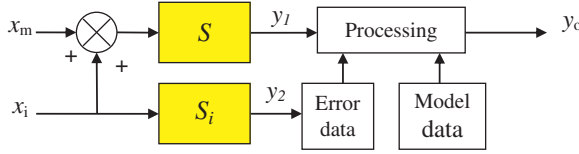


Figure 3.13 Model-based correction and measurement-based correction.

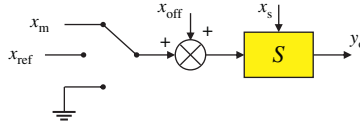


Figure 3.14 Three-point measurement to eliminate scale and offset errors.

correct the original sensor signal. The method is straightforward but requires additional sensors, at least one for each type of interference.

Dynamic correction involves a particular sensor design. Basically, the method involves a series of measurements of the same quantity, in such a way as to eliminate the errors by postprocessing. This can be done in various ways, according to the type of quantity and error:

- multiple input signal measurements, eliminating scale and offset errors;
- cyclic interchanging of components (dynamic matching);
- cyclic changing the sensitivity direction of the sensor (flipping).

The first method is illustrated in Fig. 3.14. Additive errors are represented by the signal x_{off} . Multiplicative errors due to the interfering signal x_s are represented by the relative error ε_s . Alternately, the input of the system is connected to the measurand (yielding an output y_{o1}), to “ground” (giving output y_{o2}) and to a reference (resulting in the output y_{o3}).

The three system outputs are as follows:

$$\begin{aligned} y_{o1} &= S(1 + \varepsilon_s)(x_m + x_{\text{off}}) \\ y_{o2} &= S(1 + \varepsilon_s)(x_{\text{off}}) \\ y_{o3} &= S(1 + \varepsilon_s)(x_{\text{ref}} + x_{\text{off}}) \end{aligned} \quad (3.23)$$

From these equations, the measurand can be calculated as follows:

$$x_m = \frac{y_{o1} - y_{o2}}{y_{o3} - y_{o2}} x_{\text{ref}} \quad (3.24)$$

Offset and scale errors are completely eliminated if the errors do not change during the sequence of the three measurements. The method requires a reference of the measurand type as well as a possibility to completely isolate the input from the measurand to find the offset error contribution. For most electrical quantities, like

voltage, capacitance, and resistance, this is quite an easy task. This is not the case for many other physical quantities: repetitively short-circuiting the input of a magnetic field sensor or a force sensor. For instance, without removing the measurand itself requires complicated and therefore impractical shielding techniques.

All sensor systems suffer from offset and drift, which hampers the measurement of small, slowly varying signals. *Modulation*, as discussed earlier, is a very effective way to eliminate offset. However, modulation of the input signal is not always possible. An alternative way to reduce offset is *flipping* the directivity of the sensor, without changing the sign and value of the offset. Clearly, the method can only be applied for sensors that are sensitive to the direction of the input quantity (which should be a vector).

Flipping is performed either by changing the carrier signal in modulating sensors or by rotation of the sensor itself. An example of a modulating sensor (Chapter 2: Sensor fundamentals) is the Hall sensor for the measurement of magnetic field strength (Chapter 6). This is a modulating type sensor because the output is proportional to both the input signal and an auxiliary signal, in this case a DC bias current. Assuming the offset being independent of the bias, the offset reduction follows from Eq. (3.25), where x_c is the carrier signal that is flipped between two successive measurements.

$$\begin{aligned} y_{o1} &= S(x_c x_m + x_{\text{off}}) \\ y_{o2} &= S(-x_c x_m + x_{\text{off}}) \end{aligned} \quad (3.25)$$

Obviously, the difference between the two outputs is proportional to the input signal and offset independent. When the sensor is not of the modulating type, the same effect is obtained by just rotating the sensor [8]. Since this mechanical flipping requires some moving mechanism, the method is restricted to low-frequency applications.

All error reduction methods discussed in this section are illustrated schematically in Fig. 3.15. In this scheme, the basic signal flow is shown in dark color. The

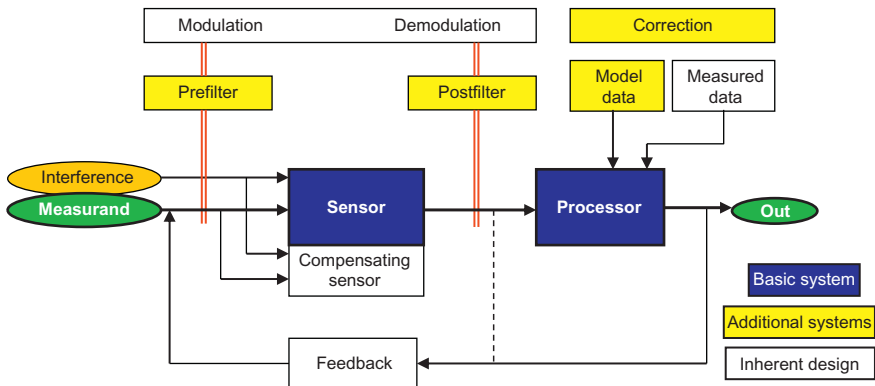


Figure 3.15 Overview of error reduction methods.

performance of a noncompensated sensor can be improved by adding error-reducing systems, for instance filters, modulators, and correction circuitry (halftone). When designing a sensor system, other techniques are worth consideration, for instance the inclusion of a compensating sensor in a balanced configuration, or the incorporation of a modulator whenever possible (white parts in Fig. 3.15). During the design process of a particular sensing system, it is recommended to consider all these possibilities, in connection to the possible occurrence of errors.

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- [2] ISO/IEC Guide 98-3:2008, a reissue of the 1995 version of the Guide to the expression of uncertainty in measurement (GUM).
- [3] ISA S37.1: Electrical transducer nomenclature and terminology, 1975; ISBN 0-87664-113-3.
- [4] Various documents of the ISA working groups, for instance: ISA-S37.2, Specification and tests for piezoelectric acceleration transducers. ISA-S37.3, Specification and tests for strain gage pressure transducers, 1982; ISBN 0-87664-378-0. ISA-S37.5, Specification and tests for strain gage linear acceleration transducers. ISA-S37.6, Specification and tests for potentiometric pressure transducers, 1982; ISBN 0-87664-380-2. ISA-S37.12, Specification and tests for strain gage force transducers. ISA-S37.10, Specification and tests for piezoelectric pressure transducers, 1982; ISBN 0-87664-382-9. ISA-S37.12, Specification and tests for potentiometric displacement transducers, 1977; ISBN 0-87664-359-4.
- [5] International Vocabulary of Metrology—Basic and general concepts and associated terms (VIM 3rd Edition); document produced by Working Group 2 of the Joint Committee for Guides in Metrology (JCGM/WG 2), JCGM 200: 2012.
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- [7] B.M. Dutoit, P.-A. Besse, A.P. Friedrich, R.S. Popovic, *Demonstration of a new principle for an active electromagnetic pressure sensor*, Sens. Actuators 81 (2000) 328–331.
- [8] M.J.A.M. van Putten, M.H.P.M. van Putten, A.F.P. van Putten, *Full additive drift elimination in vector sensors using the alternating direction method (ADM)*, Sens. Actuators A. 44 (1994) 13–17.

Further reading

Many books on sensors and measurement comprise chapters on accuracy and error reduction, for instance:

Measurement, instrumentation, and sensors handbook, in: J.G. Webster, H. Eren (Eds.), *Spatial, Mechanical, Thermal, and Radiation Measurement*, second edition, CRC Press, Boca Raton, FL, 2014.

P.P.L. Regtien, F. van der Heijden, M.J. Korsten, W. Olthuis, *Engineering Aspects of Measurement Science*, Koogan Page Ltd, London, 2004.

P. Ripka, A. Tipek (Eds.), *Modern Sensors Handbook*, ISTE Ltd, London, 2007.

A.F.P. van Putten, *Electronic Measurement Systems*, IOP Publishing, Bristol, PA, 1996.

In this chapter, we discuss sensors based on resistive effects. These sensors behave as an electric resistor whose resistance is affected by a particular physical quantity. The resistance may change according to a change in material properties, a modification of the geometry or a combination of these. Quantities that can easily be measured using resistive effects are temperature (thermistors and metal thermometers), light (LDR or light-dependent resistor), deformation (piezoresistors), and magnetic field strength (magnetoresistors). By a special construction or material choice, resistors can be made useful for sensing various quantities, for instance force, torque, pressure, distance, angle, velocity, and acceleration.

After having defined resistance and resistivity, we discuss successively potentiometric sensors, strain gauges, piezoresistive, and magnetoresistive sensors. Further, some thermal and optical sensors based on resistive effects are reviewed. The last section presents a number of applications of resistive sensors, including the interfacing.

4.1 Resistivity and resistance

The electrical conductivity σ (the inverse of electrical resistivity ρ) is defined as the ratio between current density J (A/m²) and electric field strength E (V/m):

$$\sigma = \frac{1}{\rho} = \frac{J}{E} \quad (4.1)$$

Conductivity is a pure material property: it does not depend on shape or size of the device (skin effect and thin-layer effects are disregarded here). In this section, all materials are assumed to be homogeneous. The resistance between the endpoints of a bar with length l and constant cross-section A equals:

$$R = \rho \frac{l}{A} \quad (4.2)$$

The parameter l is used to create distance sensors and angular displacement sensors: these sensors are called potentiometric sensors and are discussed in [Section 4.2](#). Strain gauges are based on changes in l/A (applied in force and pressure sensors, [Section 4.3](#)), while the parameter ρ is the property of interest in piezoresistive, magnetoresistive, thermoresistive, and optoresistive sensors ([Sections 4.4–4.7](#)). [Table 4.1](#) presents an overview of the various resistive sensors discussed in this chapter.

Table 4.1 Overview of resistive sensor types

Domain	Measurand	Sensor type
Geometrical	Linear displacement	Potentiometer
	Angle	Potentiometer
	Strain	Metal strain gauge
Mechanical	Force, torque, pressure	Piezoresistor
	Acceleration	Potentiometer + seismic mass
Magnetic	Magnetic field	Magnetoresistor
Thermal	Temperature	Thermistor, Pt100
Optical	Light flux	LDR

4.2 Potentiometric sensors

4.2.1 Construction and general properties

Potentiometric displacement sensors can be divided into linear and angular types, according to their purpose and associated construction. A potentiometric sensor consists of a (linear or toroidal) body which is either wire wound or covered with a conductive film. A slider (or wiper) can move along this conductive body, acting as a movable electrical contact. The connection between the slider and the object of which the displacement should be measured is performed by a rotating shaft (angular potentiometers), a moving rod, an externally accessible slider (sledge type), or a flexible cable that is kept stretched during operation. Fig. 4.1 shows a schematic view of some of these constructions. In all cases the resistance wire or film and the wiper contacts should be properly sealed from the environment to minimize mechanical damage and corrosion. This is an important issue when applied in mechatronic systems that operate in harsh environments. Robust potentiometers have a stainless steel shaft or rod, and a housing of, for instance, anodized aluminum. The moving parts of the potentiometer are provided with bearings, to minimize the mechanical force needed to initiate movement of the slider and minimizing wear.

Potentiometric sensors are available in a wide variety of ranges. Linear types vary in length from several millimeters up to a few meters, angular types have ranges from about $\pi/2$ rad up to multiples (2–10) of 2π (multiturn potentiometers), achieved by built-in gears or a spindle construction.

The specification of potentiometric sensors is standardized by the Instrument Society of America [1]. We list here the major items, in a short formulation. VR stands for voltage ratio, that is, the ratio between the wiper voltage and the full voltage across the resistor:

- range (linear distance or angle)
- linearity (in %VR over total range)
- hysteresis (in %VR over specified range)
- resolution (average and maximum)
- mechanical travel (movement from one stop to the other)

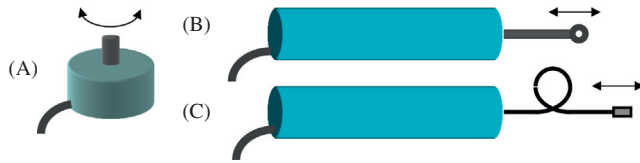


Figure 4.1 Various connections to the slider: (A) rotating shaft, (B) sliding rod, and (C) flex cable.

Table 4.2 Typical specifications of potentiometric sensors

Parameter	Linear	Rotational
Range	2 mm to 8 m	10–60° rev
Resistance	1 k Ω to 1 M Ω $\pm$ 5%	As linear
Resolution		
Normal	$\pm$ 0.1% FS	0.2–2°
Lowest, wire	10 μ m	
Lowest, film	0.1 μ m	
Nonlinearity	0.01–1% FS	As linear
Temperature coefficient	10 ^{–3} /K	As linear
Temperature range	–20 to 150°C	As linear
$v_{\max}$ wiper	1 m/s	20 rpm
Reliability, wire	10 ⁶ movements	id (abbr. of idem), revolutions
Reliability, film	10 ⁷ –10 ⁸ movements	id (abbr. of idem), revolutions
Maximum power	0.1–50 W	As linear

- electrical travel (portion of mechanical travel during which an output change occurs)
- operating temperature
- temperature error (in %VR per °C or in %VR over a quarter of the full range)
- frequency response (at given amplitude)
- cycling life (number of cycles at 1/4 of the maximum frequency)
- storage life (month, year)
- operating force or torque (break-out force or torque) to initialize movement
- dynamic force or torque (to continuously move the shaft after the first motion has occurred)
- shaft overload (at the extremities of the mechanical travel; without damage or degradation)
- shaft axial misalignment

A manufacturer should mention all these specifications in the data sheets of the device. Table 4.2 lists the main specifications for various types of potentiometers. Besides the specifications listed in this table, many other parameters should be considered when using a potentiometer as displacement sensor, in particular in mechatronics applications. Some of them are listed below:

- maximum allowable force or torque on the wiper;

- minimum force or torque to move the wiper; typical starting torque is 0.1 Ncm, for a “low-torque” potentiometer this can be less than 0.002 Ncm and for a robust type as high as 10 Ncm;
- maximum (rotational) wiper speed (usually about 1000 rpm);
- maximum voltage across the resistor (typically 10 V);
- maximum current through the wiper contact (typically 10 mA).

4.2.2 Electrical characteristics

Potentiometric displacement sensors can electrically be connected in two different ways: the potentiometric wiring and the rheostat wiring (Fig. 4.2). In rheostat mode the device acts just as a variable resistor (two terminal); the potentiometer mode is suitable for voltage division (two-port configuration; Fig. 4.5).

The VR of a potentiometer equals R_{12}/R_{13} which, for an ideal potentiometer, is equal to x/L , with L the electrical stroke and x the distance between the start position and the wiper position (Fig. 4.3).

Nonlinearity and resolution are the main causes of a deviation from this ideal transfer. A linear relationship between position and wiper voltage requires a wire or film with homogeneous resistivity over the whole range. The intrinsic nonlinearity can be as good as 0.01% (see Table 4.2). Improper interfacing may introduce additional nonlinearity, as will be explained in Section 4.2.3.

The position resolution of a wire-wound potentiometer is set by the number of turns n . With R the total resistance the resistance of a single turn amounts $\Delta R = R/n$. As the wiper steps from one turn to the next, the VR changes leap-wise with an

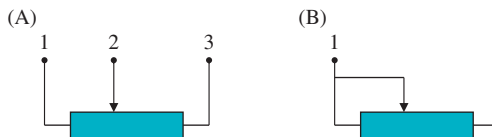


Figure 4.2 (A) Potentiometric wiring and (B) rheostat wiring.

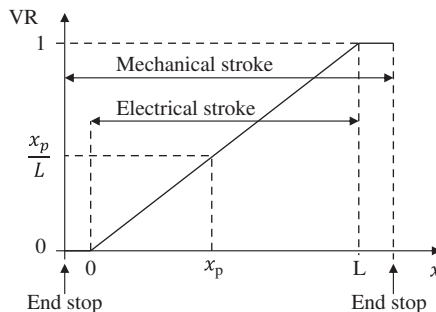


Figure 4.3 Transfer characteristic of an ideal potentiometer.

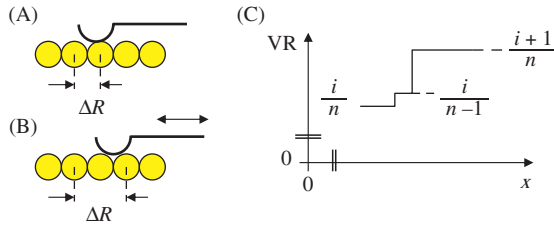


Figure 4.4 (A) Slider on top a winding, (B) slider between two windings, and (C) illustration of the resolution of a wire-wound potentiometer.

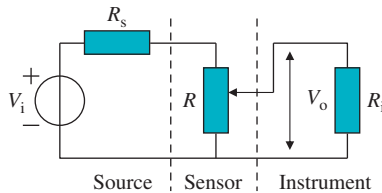


Figure 4.5 Interface circuit for a potentiometer.

amount of $1/n$ when the wiper moves continuously (Fig. 4.4A); hence the resolution equals $\Delta R/R = 1/n$. At wiper position on top of turn i , VR equals i/n ; on top of the next turn, it increases to $(i+1)/n$. Actually the wiper may short circuit one turn when positioned just between two windings (Fig. 4.4B). In those particular positions the total resistance drops down to $(n-1)\Delta R$, hence $VR = i/(n-1)$, which is slightly more than i/n , as shown in Fig. 4.4C.

The resolution can be increased (without change of outer dimension) by reducing the wire thickness. However, this degrades the reliability because a thinner wire is less wear resistant. The resolution of a film potentiometer is limited by the size of the carbon or silver grains that are impregnated in the plastic layer to turn it into a conductor. The grain size is about $0.01 \mu\text{m}$; the resolution is about $0.1 \mu\text{m}$ at best.

4.2.3 Interfacing

The interfacing of a potentiometric sensor is essentially simple (Fig. 4.5).

To measure the position of the wiper the sensor is connected to a voltage source V_i with source resistance R_s ; the output voltage on the wiper, V_o , is measured by an instrument with input resistance R_i . Ideally the voltage transfer V_o/V_i equals the VR. Due to the presence of a source resistance and load resistance, the transfer might differ from the VR. We will calculate the error introduced by both these effects.

First assume $R_s = 0$ and $R_i \rightarrow \infty$; under this condition the output voltage of the sensor satisfies Eq. (4.3) for a linear potentiometer or Eq. (4.4) for an angular potentiometer:

$$V_o = \frac{x}{L} V_i \quad (4.3)$$

$$V_o = \frac{\alpha}{\alpha_{\max}} V_i \quad (4.4)$$

where L is the total electrical length and $\alpha_{\max}$ is the maximum electrical angle. The sensitivity of a linear sensor is

$$S = \frac{dV_o}{dx} = \frac{V_i}{L} (\text{V/m}) \quad (4.5)$$

and apparently is independent of the resistance R and proportional to the source voltage. The sensitivity can be increased by increasing the source voltage. Obviously the maximally permissible power $P_{\max} = V_{i,\max}^2/R$ should be kept in mind. When ambient temperature increases, the maximum allowable dissipation drops; it is wise to carefully check the data sheets on this aspect.

Instability of V_i results in an output change that is indiscernible to displacement. The effect is maximal for $x = L$, so a stability criterion for V_i is

$$\frac{\Delta V_i}{V_i} < \frac{\Delta x_m}{L} \quad (4.6)$$

where Δx_m is the smallest detectable displacement. Otherwise stated the stability of the source voltage should be better than the resolution of the potentiometer.

A nonzero value of the source resistance introduces a scale error. For $R_s \neq 0$ and $R_i \rightarrow \infty$ the sensor transfer is

$$V_o = \frac{R}{R + R_s} \cdot \frac{x}{L} \cdot V_i \approx \left(1 - \frac{R_s}{R}\right) \cdot \frac{x}{L} \cdot V_i \quad (4.7)$$

Hence the sensitivity is reduced by an amount R_s/R with respect to the situation with an ideal voltage source ($R_s = 0$).

A load resistance R_i results in an additional nonlinearity error. Now assuming $R_s = 0$, the voltage transfer is

$$\frac{V_o}{V_i} = \frac{x/L}{1 + (x/L)(1 - (x/L))(R/R_i)} \approx \frac{x}{L} \left\{ 1 - \frac{x}{L} \left(1 - \frac{x}{L}\right) \frac{R}{R_i} \right\} \quad (4.8)$$

The approximation is valid when $R/R_i \ll 1$. The end-point linearity error (see Section 3.1), in this case the deviation from the ideal transfer x/L , amounts:

$$\text{NL(abc)} = \frac{V_o}{V_i} - \frac{x}{L} = -\frac{x^2}{L^2} \left(1 - \frac{x}{L}\right) \frac{R}{R_i} \quad (4.9)$$

The maximal error is $(-4/27)(R/R_i)$, occurring at $x/L = 2/3$. This error adds up to the intrinsic nonlinearity error of the sensor, which is due to unevenly winding or inhomogeneity of the wire or film material. The *relative* nonlinearity is

$$\text{NL}(\text{rel}) \frac{\text{NL}(\text{abs})}{V_o/V_i} = -\frac{x}{L} \left(1 - \frac{x}{L}\right) \frac{R}{R_i} \quad (4.10)$$

from which follows a maximum relative deviation of $(-1/4)(R/R_i)$, occurring at the position $x/L = 0.5$ (midway between the end points). Both errors are proportional to the resistance ratio R/R_i . Fig. 4.6 shows the absolute and relative nonlinearity error for the case $R/R_i = 1\%$. In this case the (absolute) nonlinearity is about 0.15%. If, for example, the absolute nonlinearity due to loading should be less than 0.05% over the full range of the potentiometer, then the minimum value for the load resistance should be 300 times that of the potentiometer.

Additional nonlinearity due to a possibly low input resistance of the measurement instrument can be circumvented by inserting a voltage buffer between the

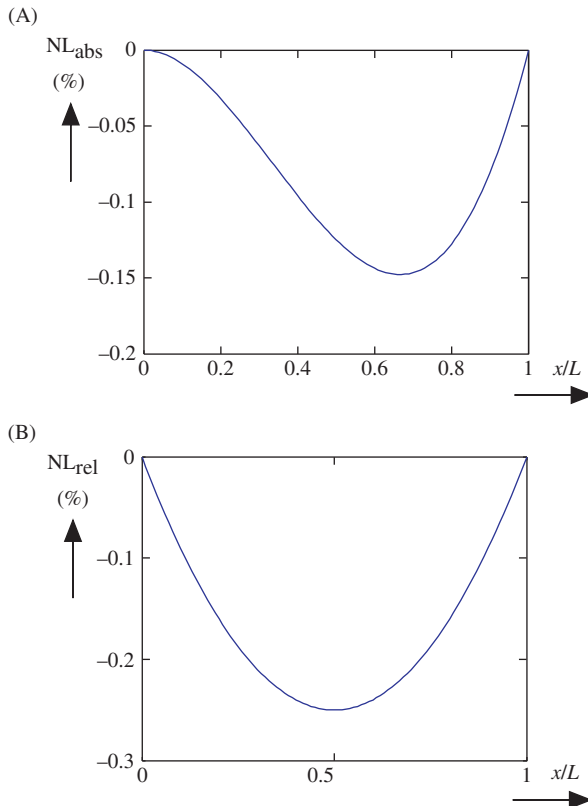


Figure 4.6 Nonlinearity error for $R/R_i = 0.01$: (A) relative error and (B) absolute error.

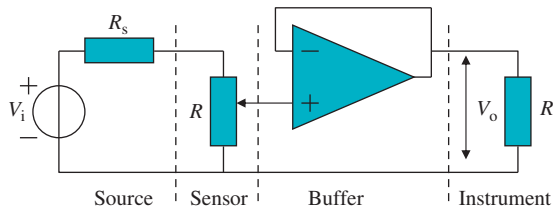


Figure 4.7 Buffering the wiper voltage.

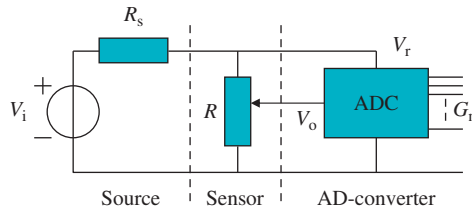


Figure 4.8 Elimination of source voltage variations.

wiper and the measurement instrument, as shown in Fig. 4.7. Such a buffering alleviates the requirements on the input resistance of the readout circuit.

The influence of source voltage changes can be reduced by applying a *ratio method*, as is illustrated in Fig. 4.8. The transfer of the analog to digital converter (ADC) is $V_a = G_n \times V_r$, where G_n is the binary coded fraction between 0 and 1. Since the reference voltage of the ADC equals the voltage across the potentiometer, from Eq. (4.3) it follows $G_n = x/L$ which, in the ideal case, does not depend on V_i . The ADC output can be directly processed by a computer.

Interfacing a potentiometer in embedded systems can be performed without external components. This is illustrated in Fig. 4.9 where a potentiometer is directly interfaced to an Arduino Board. In this case the microcontroller's supply voltage is used as source for the sensor. When no other (heavy) loads are attached to the same source, this is a viable solution. In the default configuration the ADC of the board has the supply voltage as reference. The input impedance is high enough compared to the sensor's resistance to be neglected. In this case the angle of the potentiometer will be linearly mapped to the 0–5.0 V input range. Using the conventional implementation of the ADC functions in Arduino (i.e., `int inputValue = analogRead(A0)`), the angle of rotation 0–270° is mapped to a value between 0 and 1023. A simple code example for recording and plotting the angle is described in Appendix D.

4.2.4 Contact-free potentiometers

Gradually film potentiometers grow in popularity over wire-wound types, because of higher resolution and a better resistance against moisture. However, one important disadvantage still remains: wear due to the sliding contact of the wiper. To get around this

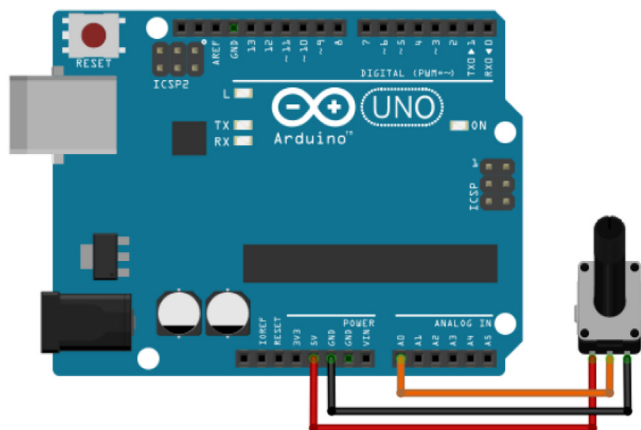
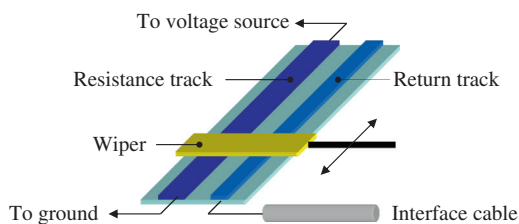


Figure 4.9 Potentiometer connected to an Arduino board, “image made with fritzing.org”.

(A)



(B)

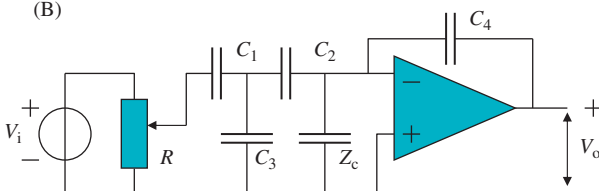


Figure 4.10 Contact-free potentiometer: (A) basic layout and (B) electric circuit model and interface.

drawback, contact-free potentiometers have been developed. In the design presented in Ref. [2] the slider has been replaced by a floating electrode; the resistance track acts as counter electrode of the capacitor. Obviously, wear is minimized in this way.

The basic construction of potentiometers with capacitive readout is shown in Fig. 4.10A. The floating wiper is capacitively coupled to the resistance track and a conductive return track. A voltage V_i is connected across the resistance track and the return track is linked to the interface circuit.

Fig. 4.10B shows the electric circuit model of the sensor and a simple readout circuit: C_1 is the capacitance between the resistance track and the wiper; C_2 is the

capacitance between the return track and the wiper; C_3 is the stray capacitance between wiper and ground. The inverting input of the operational amplifier is virtually at ground potential (see Appendix C, Basic interface circuits), so the voltage across the cable impedance Z_c is zero. This makes the transfer of the device independent of the cable impedance.

When the stray capacitance C_3 is small compared with C_1 and C_2 , the voltage transfer of this circuit is given by

$$\frac{V_o}{V_i} = -\frac{x}{L} \cdot \frac{C_w}{C_4} \cdot \frac{1}{1 + j\omega R_p C_w} \quad (4.11)$$

where $C_w = C_1 + C_2$ and R_p is the parallel resistance of the two parts of the potentiometer. The latter varies with the wiper position; its maximum value occurs when the wiper is in the center position of the potentiometer, and equals $R/4$. The transfer function shows a first-order low-pass behavior, characterized by the time constant $R_p C_w$. Since the wiper capacitance C_w is almost constant, the sensor's time constant varies with the wiper position; halfway through the electrical stroke the system has the lowest bandwidth. For low frequencies of the source voltage the output voltage is directly proportional to the VR of the potentiometer, hence proportional to the displacement of the wiper. The low-frequency gain of the interface circuit amounts C_w/C_4 and can be given a specified value by a proper choice of C_4 .

Note that the feedback capacitor C_4 should be shunted by a resistance to prevent the operational amplifier from running into saturation due to integration of its offset voltage and bias current (see also Appendix C.6, Basic interface circuits). Together with C_4 , this resistance introduces another time constant, so the associated cut-off frequency should be chosen well above the frequency of the voltage source.

Similar to the conventional types the nonlinearity of the contact-free potentiometer is determined by the inhomogeneity of the resistance track and is, therefore, not much better than the traditional types. However, the transfer characteristic is somewhat smoothed due to the extension of the floating wiper: small local irregularities are averaged out.

Contrary to this contact-free solution using capacitive coupling, also film potentiometers with a resistive polymer exist (see Fig. 4.11) which use pressure to make contact between the wiper and the resistance track. In this case the wiper consists of a long conductive track which extends to the full length of the resistance track. Pressure applied by touch, i.e., through a human finger or mechanical device, will make contact between wiper and track at a certain spot. These devices also avoid the sliding friction normally associated with mechanical wipers.

4.2.5 Applications of potentiometers

Potentiometric sensors are very popular devices because of the relatively low price and easy interfacing. Evidently linear and angular potentiometers can be used as sensors for *linear displacement* and *angle of rotation*, respectively. For this



Figure 4.11 Foil potentiometers in various designs. Spectra Symbol Corp. ©.

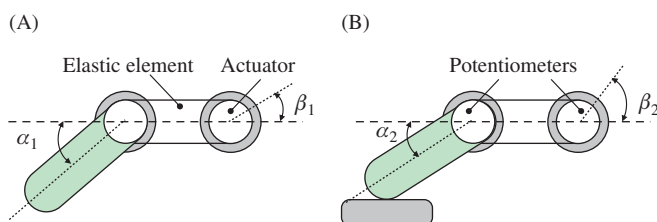


Figure 4.12 Potentiometers in a robot finger for grip control (A) untouched and (B) when touched.

application the construction element of which the displacement or rotation should be measured is mechanically coupled to the wiper. If the range of movement does not match that of the potentiometer, a mechanical transmission can be inserted. However, this might introduce additional backlash and friction, reducing the accuracy of the measurement. Proper alignment of object and sensor is extremely important here.

Potentiometers are widely used in all kind of mechatronic systems to obtain information about angular positions of rotating parts. When small dimensions should be combined with a high resolution, potentiometers may be preferred over the popular optical encoders (see Chapter 7, Optical sensors). For example, controlling a multifingered robotic hand requires several angular position sensors located at the joints of the fingers. Potentiometers have been considered as one of the possibilities to achieve this goal (see, for instance, Ref. [3]). Fig. 4.12 shows how potentiometers, in combination with an elastic element, are used to measure joint angles α and β , from which follows the touch force exerted by the fingertip on an object.

A particular application of a potentiometric sensing device is an angular sensor for knee rotation, described in Ref. [4]. Two nonelastic wires are positioned along the leg, in parallel to the plane of rotation. At one end the wires are connected to

each other and both other ends are connected to the sliders of two potentiometers. Springs keep the wires stretched. On bending the free ends show a relative displacement related to the angle of rotation. The potentiometers form a Wheatstone bridge, so only the position difference of the wire ends is measured. The resolution of the measurement is better than 0.1° over a range of 100° .

The use of potentiometers is not restricted to the measurement of linear and angular displacement. They can also be used for the measurement of acceleration, force, pressure, and level. In these cases the measurand is transferred to a displacement by a proper mechanical construction. Combined with a spring a potentiometer can act as a *force sensor*; with a seismic mass fixed to the slider the construction is sensitive to *acceleration*. Potentiometric *accelerometers* are available for ranges up to 10 g, inaccuracy around 2% full scale (FS), and a lateral sensitivity less than 1% (i.e., 1% of the sensitivity along the main axis).

Potentiometers find also application in *gyroscopes* with spinning rotor. When rotated, the axis of the rotor tends to maintain its original position hence making an angle with the sensor housing in proportion to the angular velocity. Gyroscopes are available in which two angles, roll and pitch, are measured by built-in potentiometers.

Another application is the measurement of *level*. For this purpose, potentiometers of the type shown in Fig. 4.1C are used. In case of a liquid the one end of the flexible cable is connected to a float and at the other end wound on a drum. When the level is raising or lowering, the drum winds or unwinds; a rotational potentiometer measures the rotation of the drum. In case of a granular material the measurement is usually performed intermittently: a weight on a flexible cable drops down until it reaches the top level of the material. The sudden change in the cable tension marks the distance over which the cable has been unrolled.

A potentiometer-like sensor for measuring *tilt* is presented in Ref. [5]. Although not a potentiometer in the usual sense, it functions in a similar way. The resistive material is an electrolytic solution residing in a micromachined cavity, and the three electrodes are fixed to the walls: two at both ends of the cavity and one in the middle. In horizontal position the resistances between the middle electrode and both end electrodes are equal, but when tilted the resistance ratio varies according to the angle of rotation. The potentiometric structure makes part of a Wheatstone bridge which is AC driven to prevent electrolysis. A resolution of better than 1° over an inclination range of $\pm 60^\circ$ is achieved.

4.3 Strain gauges

4.3.1 Construction and properties

Strain gauges are wire or film resistors deposited on a thin, flexible carrier material. The wire or film is very thin, so it can easily be stressed (strain is limited to about 10^{-3}). In 1843 in his first publication on the now well-known bridge circuit, C. Wheatstone mentioned that the resistance of a wire changes due to mechanical stress. Only 80 years later the first strain gauges based on this effect were developed

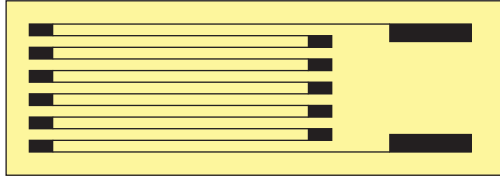


Figure 4.13 General layout of a thin film strain gauge.

independently by E.E. Simmons (at CALTEC) and A.C. Ruge (at MIT). The latter fixed the measuring wire onto a carrier material, resulting in an independent measuring device for stress and strain [6]. Foil gauges, invented by P. Eisler, appeared in 1952.

Early strain gauges were made of thin wires that are folded to obtain high sensitivity in one direction, while keeping the total dimensions within practical limits. The transversal sensitivity is smaller but not unimportant. More popular are the foil gauges (Fig. 4.13): a thin film of conducting material is deposited on an insulating backing material and etched to create a meanderlike structure (the grid). Foil gauges have many advantages over wire gauges:

- more accurate and cheaper production,
- better heat dissipation,
- special constructions possible.

The sensitivity of a strain gauge is expressed in relative resistance change per unit of strain:

$$K = \frac{dR/R}{dl/l} \quad (4.12)$$

K is called the *gauge factor* of the strain gauge. Using the general equation for resistance (Eq. (4.2)) the relative resistance change due to positive strain equals:

$$\frac{dR}{R} = \frac{d\rho}{\rho} + \frac{dl}{l} - \frac{dA}{A} \quad (4.13)$$

Hence the change of the resistance is caused by three parameters: the resistivity, the length, and the cross-section area of the wire or film. In general all three parameters change simultaneously upon applying strain.

The gauge factor for metals can be calculated as follows. When an object is stressed in one direction, it experiences strain not only in this direction but also in perpendicular directions due to the Poisson effect. When a wire or thin film is stressed in the principal plane (longitudinal direction), it becomes longer but also thinner: its diameter shrinks. The ratio of change in length and diameter is the Poisson ratio:

$$\nu = - \frac{dr/r}{dl/l} \quad (4.14)$$

where l is the length of the wire and r the radius of the circular cross-section. Note that according to this definition ν is positive, because dr and dl have opposite signs. The area of a wire with cylindrical cross-section is $A = \pi r^2$, so

$$\frac{dA}{A} = 2 \frac{dr}{r} \quad (4.15)$$

hence

$$\frac{dR}{R} = \frac{d\rho}{\rho} + \frac{dl}{l} - 2 \frac{dr}{r} \quad (4.16)$$

or, using Eq. (4.14):

$$\frac{dR}{R} = \frac{d\rho}{\rho} + (1 + 2\nu) \frac{dl}{l} \quad (4.17)$$

from which finally the gauge factor results:

$$K = 1 + 2\nu + \frac{d\rho/\rho}{dl/l} \quad (4.18)$$

To find a value for the Poisson ratio, we assume the volume $V = Al$ of the wire is unaffected by stress:

$$\frac{dV}{V} = \frac{dA}{A} + \frac{dl}{l} = 0 \quad (4.19)$$

resulting in

$$\frac{dl}{l} = - \frac{dA}{A} = -2 \frac{dR}{R} \quad (4.20)$$

The Poisson ratio in this ideal case, therefore, equals $\nu = 0.5$. For metals of which the strain dependency of the resistivity can be neglected the gauge factor equals $K = 1 + 2\nu$. So the gauge factor of a metal strain gauge is $K = 2$. In other words: *the relative resistance change equals twice the strain*. This rule of thumb is only a rough approximation. First of all the value of 2 for the Poisson ratio is a theoretical maximum: in practice the volume will increase somewhat when the wire is stressed, hence the Poisson ratio will be less than 0.5; actual values for the gauge factor range from 0.25 to 0.35. Further, common strain gauges, built from alloys, have a gauge factor larger than 2; typical values range from 2.1 to 3. This means that for such materials the stress dependency of the resistivity cannot be neglected, and the most right term in Eq. (4.18) can be as large as 1.5. The resistivity of a semiconductor material shows a much higher strain dependence; therefore

Table 4.3 Typical specifications of strain gauges

Property	Metal	Semiconductor
Dimensions	0.6×1 mm up to 150 mm	0.8×0.5 mm (min)
Gauge factor	2–2.5	200 (max)
t.c. gauge factor	Compensated	$4 \times 10^{-4}/\text{K}$
t.c. resistance	$20 \times 10^{-6}/\text{K}$ (constantan)	
Linearity	0.1% (up to 4000 μ strain)	0.02% (min)
Breaking strain	20,000 μ strain	
Fatigue life	Up to 10^7 strain reversals	
Temperature range	-70 to $+200^\circ\text{C}$ (max 400)	$0-175^\circ\text{C}$ (max 400)
Power dissipation	200 mW/cm ² (max)	

semiconductor strain gauges have a gauge factor much larger than 2; this will be discussed in [Section 4.4](#) on piezoresistive sensors.

The maximum strain of a strain gauge is not large: about 10^{-3} . For this reason, strain is often expressed in terms of *microstrain* (μ strain): 1 μ strain corresponds to a relative change in length of 10^{-6} . Consequently the resistance change is small too: a strain of 1 μ strain results in a resistance change of only 2×10^{-6} . The measurement of such small resistance changes will be discussed in the section on interfacing.

[Table 4.3](#) lists some specifications of strain gauges. For comparison a column for user mountable semiconductor strain gauges has been added. It should be noted that these gauges show a considerable nonlinearity; even in a balanced bridge this effect cannot be neglected.

Typical (standardized) resistance values of strain gauges are 120, 350, 700, and 1000 Ω . Strain gauges have an intrinsic bandwidth of over 1 MHz. So the system bandwidth depends mainly on the (mechanical) interface and readout electronics.

The resistance of a strain gauge changes not only with stress but also with temperature. Two parameters are important: the temperature coefficient of the resistivity and the thermal expansion coefficient. Both effects must be compensated for, because resistance variations due to stress are possibly much smaller than changes provoked by temperature variations. The effect on resistivity is minimized by a proper material choice for the strain gauge film or wire, for instance constantan, an alloy of copper and nickel with a low-temperature coefficient. Residual temperature effects due to a nonzero temperature coefficient are further reduced by a proper interfacing (see [Section 4.3.2](#)).

To compensate for thermal expansion, manufacturers supply gauges that can be matched to the material on which the gauges are mounted (so-called *matched gauges*). When free gauges are employed, one should be aware of the difference in thermal expansion coefficient of the gauge and the test material. In this case the relative resistance change due to temperature effects is expressed by

$$\frac{\Delta R}{R} = \alpha_T \Delta T + (\alpha_s - \alpha_g) K \Delta T \quad (4.21)$$

where α_T is the temperature coefficient of the metal, α_s and α_g are the thermal expansion coefficients of the specimen and the gauge, respectively. The effect of the different thermal expansion coefficients cannot be distinguished from an applied stress, and should therefore be minimized by using matched gauges when large temperature changes might occur.

Strain gauges are sensitive not only in the main or axial direction but also in the transverse direction. The transverse sensitivity factor F_t , defined as the ratio between the transverse sensitivity K_t and the axial sensitivity K_a , is of the order of a few percent, and cannot always be neglected. The factor F_t is determined by the manufacturer, using a specified calibration procedure. In general a force applied to an object in axial direction generates a biaxial strain field, due to the Poisson effect. The transverse sensitivity of the strain gauge is responsible for an additional resistance change as a result of this transverse strain. If only axial strain has to be measured, the output (resistance change) should be corrected for the transverse strain. This, however, requires knowledge of both the factor F_t and the Poisson ratio ν_a of the object material. To simplify correction, strain gauge manufacturers specify the (overall) gauge factor K , based on a calibration with a test piece with a Poisson ratio of $\nu_o = 0.285$. Thus mounted on a material with the same Poisson ratio, no correction for transverse sensitivity is needed. If, on the other hand, the Poisson ratio differs substantially from the value during calibration, a correction factor

$$C_t = \frac{1 - \nu_o F_t}{1 - \nu_a F_t} \quad (4.22)$$

should be applied, for the most accurate measurement result.

When both axial and transverse strains have to be determined, a set of two strain gauges can be applied. Manufacturers provide multielement strain gauges deposited on a single carrier (see Fig. 4.14 for a few examples).

Other configurations are also available; for instance, a strain gauge rosette (three gauges making angles of 120°). The three-element gauge is used when the principle strain axis is not known. From the multiple output of this set of gauges, all strain components of a biaxial strain field (including the shear component) can be calculated [7].



Figure 4.14 Various combinations of strain gauges on a single carrier.

4.3.2 Interfacing of strain gauges

The resistance change of strain gauges is measured invariably in a bridge. The bridge may contain just one, but more often two or four active strain gauges, resulting in a “half-” and “full-bridge” configuration, respectively. The advantages of a half- and full-bridge are an effective temperature compensation and a better linearity of the sensitivity.

The general expression for the bridge circuit from Fig. 3.3 is given in Eq. (4.23):

$$\frac{V_o}{V_i} = \frac{R_2}{R_1 + R_2} - \frac{R_4}{R_3 + R_4} \quad (4.23)$$

When in equilibrium, the bridge sensitivity is maximal if all four resistances are equal. We consider first the case of three fixed resistors and one strain gauge, for instance R_2 . Assuming $R_1 = R_3 = R_4 = R$ and $R_2 = R + \Delta R$ (which means the strain gauge has resistance R at zero strain), the bridge output voltage is

$$V_o = \frac{\Delta R}{2(2R + \Delta R)} \cdot V_i = \frac{\Delta R}{4R} \cdot \frac{1}{1 + \Delta R/2R} \cdot V_i \quad (4.24)$$

The output is zero at zero strain. The transfer is nonlinear; only for small relative resistance changes, the bridge output can be approximated by

$$V_o \approx \frac{\Delta R}{4R} \cdot V_i \quad (4.25)$$

Better bridge behavior is achieved when both R_1 and R_2 are replaced by strain gauges, in such a way that, upon loading, one gauge experiences tensile stress and the other experiences compressive stress (compare the balancing technique as discussed in Chapter 3, Uncertainty aspects). In practice this can be realized, for instance in a test piece that bends upon loading: the gauges are fixed on either side of the bending beam, such that $R_1 = R - \Delta R$ and $R_2 = R + \Delta R$. The resulting bridge output becomes:

$$V_o \approx \frac{\Delta R}{2R} \cdot V_i \quad (4.26)$$

The transfer is linear, and twice as high compared to the bridge with only one gauge. It is easy to show that the transfer of a four-gauge or full bridge is doubled again:

$$V_o = \frac{\Delta R}{R} \cdot V_i = K \frac{\Delta l}{l} \cdot V_i \quad (4.27)$$

Although the temperature sensitivity of a strain gauge element is minimized by the manufacturer, the remaining temperature coefficient may cause substantial

measurement errors at small values of the strain. In a bridge configuration, this temperature-induced interference can be partly reduced. When the gauges have equal gauge factors, equal temperature coefficients and operate at equal temperatures, the temperature sensitivity of the half- and full-bridge is substantially reduced compared with a bridge with just a single active element. Assume in a half-bridge the two active resistances vary according to $R_1 = R - \Delta R_S + \Delta R_T$ and $R_2 = R + \Delta R_S + \Delta R_T$, where ΔR_S is the change due to strain and ΔR_T the change due to temperature. The other two resistance values are $R_3 = R_4 = R$. Substitution of these values in Eq. (4.23) results in

$$V_o = \frac{1}{2} \frac{\Delta R_S}{R + \Delta R_T} \cdot V_i \quad (4.28)$$

In equilibrium ($\Delta R_S = 0$) the output voltage (the offset) is independent of ΔR_T . A similar expression applies for the full bridge:

$$V_o = \frac{\Delta R_S}{R + 2\Delta R_T} \cdot V_i \quad (4.29)$$

Note that only the temperature coefficient of the offset is eliminated, not that of the bridge sensitivity, which is shown by rewriting Eq. (4.29) to be:

$$\frac{V_o}{V_i} \approx \frac{\Delta R_S}{R} \left(1 - 2 \frac{\Delta R_T}{R} \right) \quad (4.30)$$

A condition for adequate temperature compensation is proper mounting of the two or four active bridge elements. The combined gauges in Fig. 4.14 are very useful since they guarantee optimal temperature matching.

Strain gauges in a differential bridge configuration allow the measurement of very small strain values, down to 0.1μ strain. The problem of measuring such small strain is actually shifted to the bridge amplifier. Its offset, drift, and low-frequency noise obscure the measurement signal. The way out is modulation: the bridge circuit supply voltage is not a DC but an AC voltage with fixed amplitude and frequency. The output is an amplitude-modulated signal with suppressed carrier, which can be amplified without difficulty: possible offset or low-frequency noise from the amplifier is removed by a simple high-pass filter (see Chapter 3, Uncertainty aspects). Demodulation (by synchronous detection) yields the original, amplified signal. Using this method, strain down to 0.01μ strain can be measured easily.

Intrinsic shortcomings of strain gauges like the temperature-dependent sensitivity and nonlinearity can be reduced by dedicated digital signal processing. Manufacturers of strain gauge measurement transducers provide signal processing systems with these facilities. Current research is aiming at the development of integrated circuits in which both analogue and digital signal processing are combined,

resulting in small-sized, low-cost, and versatile interfaces for strain gauges. For example, in Ref. [8], four different algorithms are compared and evaluated, implemented with analogue, mixed and digital hardware, performing gain and offset compensation and correction.

4.3.3 Applications of strain gauges

Strain gauges are suitable for the measurement of all kind of force-related quantities, for example normal and shear force, pressure, torsion, bending, and stress. Strain gauges respond primarily on strain, $\Delta l/l$. Using Hooke's law the applied force is found from the value of the compliance or elasticity of the material on which the strain gauge is fixed.

There are two ways strain gauges are applied in practice:

1. mounted directly on the object whose strain and stress behavior has to be measured; when cemented properly, the strain of the object is transferred ideally to the strain gauge (for instance to measure the bending of a robot arm);
2. mounted on a specially designed spring element (a bar, ring, or yoke) to which the force to be measured can be applied (for instance to measure stress in driving cables and guy ropes).

Strain gauges are excellent devices for the measurement of force and torque in a mechatronic construction. The unbound gauges are small and can be mounted on almost any part of the construction that experiences a mechanical force or moment.

Another approach is to include load cells in the construction. A *load cell* consists of a metal spring element on which strain gauges are cemented. The load is applied to this spring element. The position of the (preferably four) strain gauges on the spring element is chosen such that one pair of gauges is loaded with compressive stress and the other pair with tensile stress (differential mode). If the construction does not allow such a configuration, the two pairs of strain gauges are mounted in a way that one pair experiences the strain that has to be measured, while the other pair is (ideally) not affected by the strain. The second pair merely serves for offset stability and temperature compensation. Obviously the construction of the spring element and the strain gauge arrangement determine the major properties of the device. Fig. 4.15 shows several designs of spring elements for the measurement of force, for various ranges.

Fig. 4.15A presents a typical construction for large loads. The central part of the transducer is a metal bar with reduced cross-sectional area where gauges are mounted. There are four strain gauges in a full-bridge configuration. Two strain gauges (front and back side) measure the axial force, with equal sensitivity; two other gauges measure the transverse force, also with equal sensitivity. The gauges are arranged in the bridge of Fig. 3.3, according to the sequence (R_1 front— R_2 left— R_3 back— R_4 right). The axially positioned gauges respond to a strain as

$$\frac{\Delta R_1}{R_1} = \frac{\Delta R_3}{R_3} = K \frac{\Delta l}{l} \quad (4.31)$$

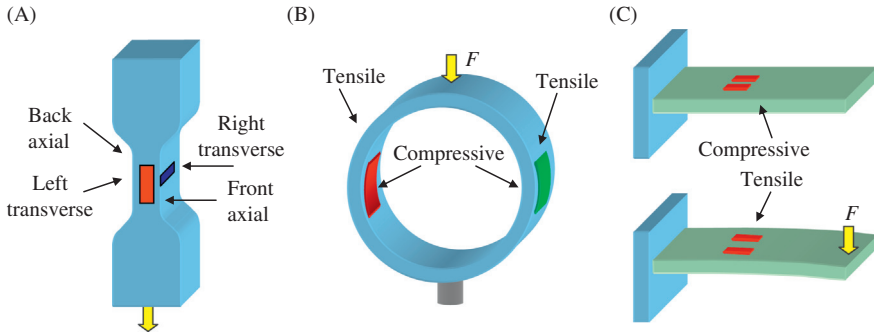


Figure 4.15 Different spring element designs: (A) column type, (B) yoke type, and (C) bending beam.

and the resistance of the transverse gauges change as

$$\frac{\Delta R_2}{R_2} = \frac{\Delta R_4}{R_4} = -\nu K \frac{\Delta l}{l} \quad (4.32)$$

Substitution in Eq. (4.23) and assuming $\Delta R_i/R_i \ll 1$ for all four gauges the bridge transfer is:

$$\frac{V_o}{V_i} \approx -K(1 + \nu) \frac{\Delta l}{l} \quad (4.33)$$

The applied force F is found using Hooke's law or, rewritten in terms of force and deformation, $F = (\Delta l/l) \cdot AE$, with A the cross-section area of the bar and E Young's modulus of the material (or the elasticity c). Note that due to the Poisson ratio of the spring element, the compensating strain gauges (in the transverse direction) contribute significantly to the bridge output. With strain gauges mounted directly on a construction part the same relations between the bridge output and the force apply.

Fig. 4.15B shows a ring- or yoke-type spring element. Here the four strain gauges can be mounted in differential mode, compressive and tensile, with almost equal but opposite sensitivity to the applied force. For this arrangement Eq. (4.27) applies and full benefit from the advantages of a full bridge is achieved. The beam-type spring element of Fig. 4.15C allows a differential mode measurement of the strain as well. Although two strain gauges are sufficient (one on the top and one at the bottom), four gauges are preferred to build up a full bridge.

Torque measurements are performed in a similar way as axial force measurements. The strain gauges are positioned on the beam or shaft under angles of 45° relative to the main axis; see Fig. 4.16. Again four gauges are used to complete a full bridge: one pair experiences positive strain, the other negative strain. The transfer of a measurement bridge composed of these four gauges satisfies Eq. (4.27).

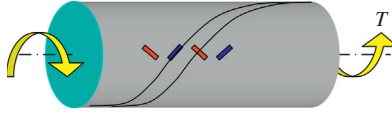


Figure 4.16 Measurement of torque with strain gauges.

Although the mutual position on the shaft is not critical, a close mounting is preferred to ensure equal temperatures of the gauges.

To determine the applied torque (or torsion moment) T_M (Nm) from the response of the strain gauges, we use the relation between the torque and the shear stress T_{shear} (N/m²) at the outer surface of the shaft. For a circular, solid shaft, this is

$$T_{\text{shear}} = \frac{16T_M}{\pi D^3} \quad (4.34)$$

with D the shaft diameter. Further, with Hooke's law and assuming all normal stress components zero (pure torsion) the bridge output is found to be:

$$V_o = KT_{\text{shear}} \frac{1 + \nu}{c} V_i = KT_M \frac{1 + \nu}{c} \frac{16}{\pi D^3} V_i \quad (4.35)$$

where K is the gauge factor of the strain gauges and ν the Poisson ratio of the shaft material.

When torque of a *rotating shaft* has to be measured, conventional wiring of the bridge circuit is not practical. Possible solutions are slip rings (wear sensitive) and transformer coupling (with coils around the rotating shaft). A contact-free torque measurement system is discussed in Chapter 7, Optical sensors.

Many motion control tasks require both torque and force information, up to all six degrees of freedom (d.o.f.). Special mechanical spring elements have been designed to simultaneously measure all these components. They are incorporated, for instance, between a link and the end effector of the machine. Such end-of-arm products are readily available from the market, in a variety of shapes, dimensions (down to 15 mm diameter), and measurement ranges.

A simple design of a *force–torque sensor* consists of a spring element with six or more strain gauges, mounted on a spring element of particular shape. A common spring type used for measuring all six components is the Maltese cross; see Fig. 4.17A for a simplified sketch. The wheel-like device is inserted in the robot element of which torque and force components have to be determined. Both element ends 1 and 2 are rigidly connected to the sensor 3: part 1 to the outer side and part 2 on the hub. On each of the four “spokes,” two pairs of strain gauges are mounted (Fig. 4.17B).

When a force or torque is applied to part 2 of the specimen, the eight differential pairs of strain gauges respond in proportion to these quantities, with a sensitivity that depends on the construction of the spring element. The gauges are arranged in

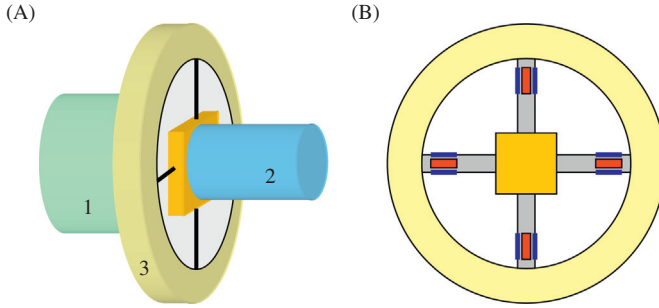


Figure 4.17 Spring element for six-d.o.f. torque–force sensor: (A) mounting between mechanical interface, (B) positioning of strain gauges.

a bridge configuration for obvious reasons. Each gauge pair responds to more than just one of the components F_x , F_y , F_z , T_x , T_y , and T_z . The sensitivity is described by the matrix equation:

$$[S] = [C] \cdot [F] \quad (4.36)$$

where $[F]$ is the 6×1 force–torque matrix, $[S]$ the 1×8 strain matrix (if there are eight strain gauge pairs), and $[C]$ is the 6×8 sensitivity matrix or coupling matrix of the spring element. The force and torque components are calculated using the inverse expression:

$$[F] = [C]^{-1} \cdot [S] \quad (4.37)$$

with $[C]^{-1}$ the decoupling matrix. Note that $[C]^{-1}$ strongly depends on the geometry of the spring element and on the position of the strain gauges.

Various other mechanical structures have been studied with the objective of combining minimal coupling between the force components with constructive simplicity. For instance, Ref. [9] gives an extensive analysis of a six-d.o.f. force–torque sensor for a robot gripper. The construction consists of a set of parallel-plate beams provided with strain gauges and allows the simultaneous measurement of three force and three torque components. The force and torque ranges of this combined sensor are 50 N and 5 Nm, respectively; interference (crosstalk) errors are around 1%.

Using finite element methods the sensitivity matrix can be calculated and the geometry can be optimized to a minimum coupling of the force–torque components (see, for instance, Refs [10,11]). However, tolerances in the positioning of the gauges and in the geometry of the spring element make calibration of the sensor necessary for accurate measurements of the force and torque vectors.

Sensor requirements for applications in the *consumer market* are much less strict compared to those in the precision manufacturing. In recent years, strain gauges have become the typical solution for measuring weight in various economically

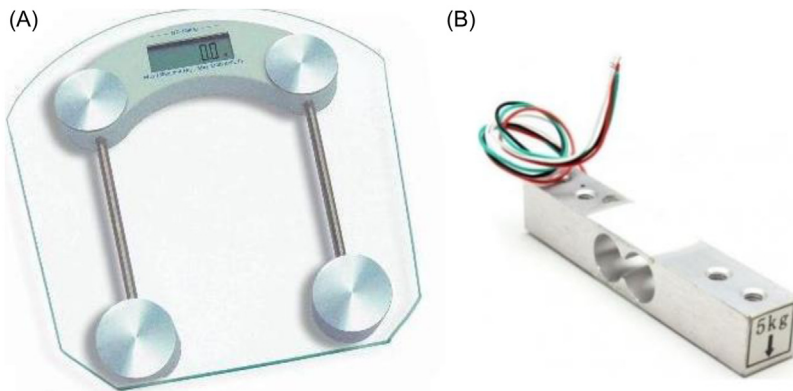


Figure 4.18 (A) Bathroom scale using four-point load cells. (B) Full-bridge yoke used in kitchen scales.

priced household equipment. A typical example is found in bathroom scales, where four yokes are mounted at the corners of the plate, each containing two strain gauges in half-bridge configuration (Fig. 4.18A). These four half-bridges are connected to form a full-bridge configuration, thereby summing the individual contributions to the total weight of the person standing on the plate. In kitchen scales, on the other hand, typically one yoke with a full-bridge configuration is used (Fig. 4.18B).

Integrated yokes measuring forces and torques along multiple axes are commonplace in *robotic manipulators*. The sensors are usually mounted just before the end effector. These sensor systems are highly integrated and have signal processing and acquisition hardware mounted in one single compact unit, shown in Fig. 4.19.

Also in humanoid robotics such as the iCub project (see Fig. 4.20), six-d.o.f. force/torque sensors are used to detect forces on the limbs, allowing advanced control strategies like gravity compensation, balancing and eventually walking.

4.4 Piezoresistive sensors

4.4.1 Piezoresistivity

Piezoresistive sensors are based on the change in electrical resistivity of a material when this is deformed. Many materials show piezoresistivity, but only those with a high sensitivity are suitable to be applied in sensors. Examples are semiconducting materials and some elastomers. The most popular piezoresistive semiconductor is silicon: this material can be used as the carrier of the sensor and, moreover, part of the interface electronics can be integrated with the sensor on the same carrier. Elastomers can be made piezoresistive by a special treatment, for instance by adding conductive particles to the nonconducting elastic material. This is discussed in Section 4.4.3.



Figure 4.19 Set of integrated 6—d.o.f. force/torque sensors (http://www.ati-ia.com/ja-JP/Products/ft/images/ATI_Family_of_Force-Torque_Sensors.png).



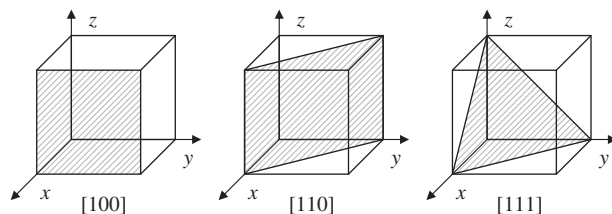
Figure 4.20 Picture of the iCub robot (<http://www.icub.org/>) showing locations of six-d.o.f. force/torque sensors necessary for balancing.

The underlying physical principle of piezoresistivity in silicon goes back to the energy band structure of the silicon atom. An applied mechanical stress will change the band gap. Depending on the direction of the applied force with respect to the crystal orientation, the average mobility of electrons in n-type silicon is reduced, resulting in an increase of the resistivity. So the gauge factor of n-type silicon is negative and reaches values as high as -150 . The absolute gauge factor increases with increasing doping concentration.

In p-silicon, holes are the majority carriers: their mobility is influenced by the position in the valence band. The gauge factor of p-silicon appears to be larger than

Table 4.4 Some gauge factors for silicon [12]

Orientation	p-Type	n-Type
[111]	173	−13
[110]	121	−89
[100]	5	−153

**Figure 4.21** Three orientation surfaces in silicon.

n-silicon (at the same temperature and doping concentration), and has a positive value. In both cases the piezoresistive effect dominates the geometric effect (as used in metal strain gauges).

Table 4.4 shows numerical values for the gauge factor of p- and n-doped silicon, for three different crystal orientations, and a doping level corresponding to a resistivity of 1 Ωcm . Fig. 4.21 displays these three main crystal orientations of silicon.

Although the resistance change is primarily caused by material deformation, it is common use to express the piezoresistivity of silicon in terms of pressure sensitivity:

$$\frac{\Delta R}{R} = K \cdot S = K \cdot s \cdot T = \pi \cdot T \quad (4.38)$$

with K the gauge factor as defined in Eq. (4.12), π the piezoresistivity (m^2/N) and S and T the mechanical strain and tension in the material, respectively. This is a simplified expression: the piezoresistive coefficient depends strongly on the direction of the applied force relative to the crystal orientation. The pressure sensitivity π of piezoresistive sensors (in silicon) depends on three factors:

1. the conductivity (orientation dependent)
2. the direction of the applied force
3. the orientation of the resistors with respect to the crystal orientation.

The second factor in this list is related to the elastic behavior of the material as already described by the 6×6 compliance matrix (see Appendix A, Symbols and notations):

$$S_k = s_{kl} T_l \quad k, l = 1, \dots, 6 \quad (4.39)$$

The combined orientation-dependent conductivity and compliance yields an expression for the relative resistance change r as a function of the vector T :

$$r_i = \pi_{ij} T_j \quad i, j = 1, \dots, 6 \quad (4.40)$$

For silicon many of the matrix elements are zero due to crystal symmetry, and some are pair-wise equal. This results in the piezoresistivity matrix equation for silicon:

$$\begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \\ r_5 \\ r_6 \end{pmatrix} = \begin{pmatrix} \pi_{11} & \pi_{12} & \pi_{12} & 0 & 0 & 0 \\ \pi_{12} & \pi_{11} & \pi_{12} & 0 & 0 & 0 \\ \pi_{12} & \pi_{12} & \pi_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & \pi_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & \pi_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & \pi_{44} \end{pmatrix} \cdot \begin{pmatrix} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \\ T_6 \end{pmatrix} \quad (4.41)$$

So there are only three independent components describing the piezoresistivity of silicon. Their numerical values are given in [Table 4.5](#).

n-Type silicon appears to have a strong negative piezoresistivity in the x -direction, and about half as much positive in the y - and z -directions; p-silicon is less sensitive in these directions. However, a shear force (with respect to an arbitrary direction) results in a large resistance change.

The unremitting progress in micromachining technology and the creation of microelectromechanical devices (MEMS) have great impact on sensor development. Advantages of this technology are

- all piezoresistors are deposited in one processing step, resulting in almost identical properties;
- the resistors on the membrane can be configured in a bridge;
- the resistors have nearly the same temperature, due to the high thermal conductivity of silicon;
- interface electronics, including further temperature compensation circuits, can be integrated with the sensor bridge on the same substrate;
- the dimensions of the device can be extremely small; they are mainly set by the package size and the mechanical interface.

Table 4.5 The piezoresistivity components of silicon for p- and n-type, in $\times 10^{-12}/\text{Pa}$ [12]

Material	p-Type	n-Type
π_{11}	66	−1022
π_{12}	−11	534
π_{44}	1381	−136

Sensors based on this technology are now widely spread, but much research is still going on to further improve the (overall) performance. MEMS also have their limitations, and other technologies are also being investigated and further developed to create better or cheaper sensors. There is also a tendency to combine technologies to benefit from the advantages provided by each of them.

4.4.2 Silicon piezoresistive sensors

Silicon technology allows the construction of a variety of sensors that make use of the piezoresistive properties of silicon. Examples are pressure and force sensors, accelerometers and gyroscopes.

Fig. 4.22 shows the basic configurations of a silicon *pressure sensor* and a silicon *force sensor*, both based on piezoresistive silicon.

The sensor carrier or substrate is a silicon chip: a rectangular part cut from the wafer, with thickness about 0.6 mm. The wafer material is lightly doped with positive charge carriers, resulting in p-type silicon. On top of the wafer, a thin layer of n-type silicon is grown, called the epitaxial layer or *epilayer*. The substrate is locally etched away from the bottom up to the epitaxial layer, using selective etching technology. The result is a thin silicon membrane consisting of only the epitaxial layer, the thickness of which is some μm . This membrane acts as a deformable element.

Using standard silicon processing technology, piezoresistive sensors are deposited at positions on the membrane where the deformation is greatest. The gauge factor of silicon is much larger than that of metals; however, the temperature coefficient of the resistivity is also higher. Therefore silicon strain sensors are invariably configured in a full-bridge arrangement.

The silicon piezoresistors are deposited on the crystal surface, so they are technologically bound to a specific orientation (one of the orientations in Fig. 4.21). Once the surface orientation being chosen, the resistors have to be positioned such that maximum sensitivity is obtained. Piezoresistors usually have a meanderlike

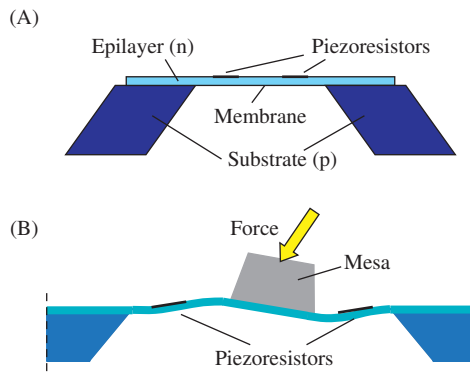


Figure 4.22 Cross-section of (A) a piezoresistive pressure sensor in silicon technology (simplified), (B) shear force sensor (not on scale).

elongated shape, to obtain directional sensitivity in a restricted area (as with metal strain gauges). To optimize sensitivity the main axis of the resistor should coincide with the direction of maximal piezoresistivity on the membrane surface.

The membrane deforms under a pressure difference (as in Fig. 4.22A) or on an applied force (Fig. 4.22B). Special designs allow the measurement of both normal forces and shear forces. Independent measurement of the force components is accomplished by proper positioning of a set of piezoresistors on the membrane (see, for instance, Ref. [13]).

A common problem with all these types of sensors is the packaging. On the one hand the measurand (e.g., pressure and force) should have good access to the sensitive membrane in order to produce a deformation. On the other hand the silicon chip must be protected against mechanical damage. Gas pressure sensors are encapsulated in a small box provided with holes that give the gas access to the membrane, at the same time shielding the chip from direct mechanical contact with the outside world. Force sensors require mechanical contact between the membrane and the (solid) object, which is accomplished by a force-transmitting structure, for instance a small steel ball, a small piece of material fixed in the center of the membrane (a mesa) or a thin overlayer from an elastic material. In all cases this will affect the elastic properties of the membrane. In commercial force sensors the piezoresistors on the micromachined silicon are configured in a half- or full-bridge, to reduce temperature sensitivity.

The same technology is used to construct silicon *accelerometers* and *gyroscopes*. Fig. 4.23 shows the basic setup of an integrated accelerometer, consisting of a mass-and-spring element. All mechanical elements are created in silicon, by selective etching.

The seismic mass is an isolated part of the substrate, obtained by selective etching from the bottom side of the chip. The springs are silicon beams made from the epitaxial layer, by etching holes in the membrane. The mass suspends from the substrate by the thin beams, on top of which the piezoresistive elements are deposited. When the structure experiences an acceleration in a direction perpendicular to the chip surface, the mass will move up or down due to its inertia. The beams bend, and the piezoresistive elements respond to this deformation.

Since the device is sensitive to inertial forces, it can be packed in a hermetically closed housing, making it resistant against mechanical and chemical influences from the environment.

Silicon accelerometers with micromachined mass, spring, and integrated piezoresistors feature a high resonance frequency (up to 150 kHz), small dimensions and

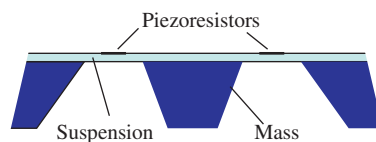


Figure 4.23 Structure of an integrated silicon accelerometer with piezoresistive elements.

weight (down to 0.4 g) and low-cost. They are available with one, two, or three sensitive axes. Mounted at suitable spots on a mechatronic construction, they furnish useful information on position, orientation, and rotation of movable parts. The technology allows integration of the interface electronics with the sensor body, offering the prospect of very low-cost devices. One of the first silicon accelerometers with a complete interface circuit is described in Ref. [14]. The rectangular seismic mass is made using bulk micromachining and the circuit is realized in complementary metal-oxide semiconductor (CMOS) technology.

Micromachined *gyroscopes* are constructed likewise. They basically consist of a vibrating mass connected by a thin beam to the sensor base. The micromass vibrates in resonance mode (for instance by an electrostatic drive) and the corresponding bending of the beams is measured in two directions (preferably with four piezoresistors in full-bridge configuration as discussed in Chapter 3, Uncertainty aspects). Upon rotation of the structure the suspension undergoes torsion that is measured by piezoresistors integrated in the suspending beam. When the structure rotates, the vibration modes change, resulting in a phase shift of the bridge signals. Examples of such micromachined angular rate sensors with piezoresistive sensors are presented in Refs. [15,16].

4.4.3 Piezoresistive elastomers

Piezoresistive elastomers are elastomers that are made conductive by impregnation with conductive particles (e.g., carbon and silver). The resistivity depends on the concentration of conductive particles, their mutual distance and the contact area between touching particles.

When the material is pressed, more particles make contact, tending to decrease the resistivity of the material. The resistance between two adjacent or opposing points of the elastomer layer changes in a nonlinear way with the applied pressure. The blue squares (dark gray in print version) in Fig. 4.24 present a typical resistance—pressure characteristic, revealing that piezoresistive sensors are very sensitive (the resistance may change several orders of magnitude) but highly nonlinear [17]. The same figure shows that the conductance (i.e., $1/R$) has an almost linear relation with the pressure (red diamonds (light gray in print version)). A suitable way of creating this reciprocal value is by using a standard inverting amplifier as discussed in Appendix C.4, Basic interface circuits.

Besides the nonlinear behavior, unfortunately most piezoresistive materials show also hysteresis, poor reproducibility, and creep, mainly because of permanent position changes of the conductive particles within the elastomer after being compressed, or lack of elasticity. The typical behavior of conductive elastomers is still not fully understood; many attempts are being made to model the piezoresistive properties in relation to composition and manufacturing methods (see, for instance, Ref. [18]). Despite poor performance of the sensing properties, some useful designs have been constructed and applied for a variety of applications where accuracy is not an important issue. Fig. 4.25 shows an example of such an elastomeric sensor, illustrating its small dimensions (thickness about 0.3–0.6 mm) and flexibility.

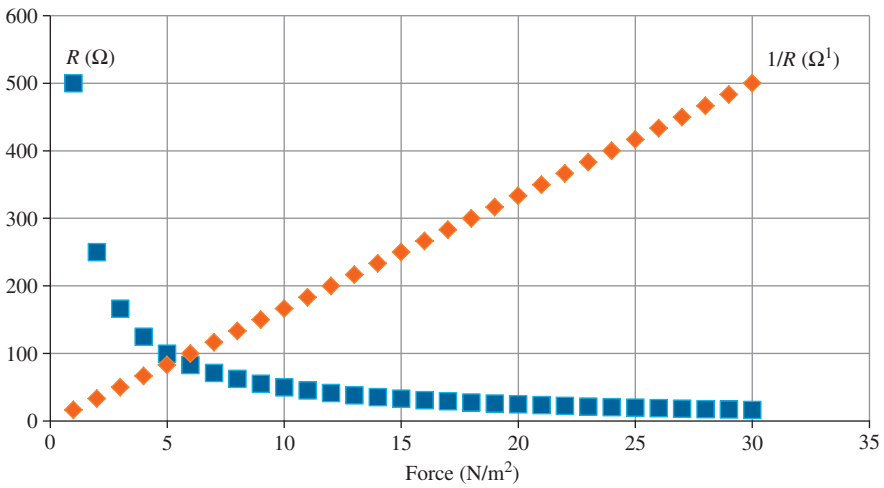


Figure 4.24 Typical resistance–pressure characteristic of conductive elastomers: bulk resistance.

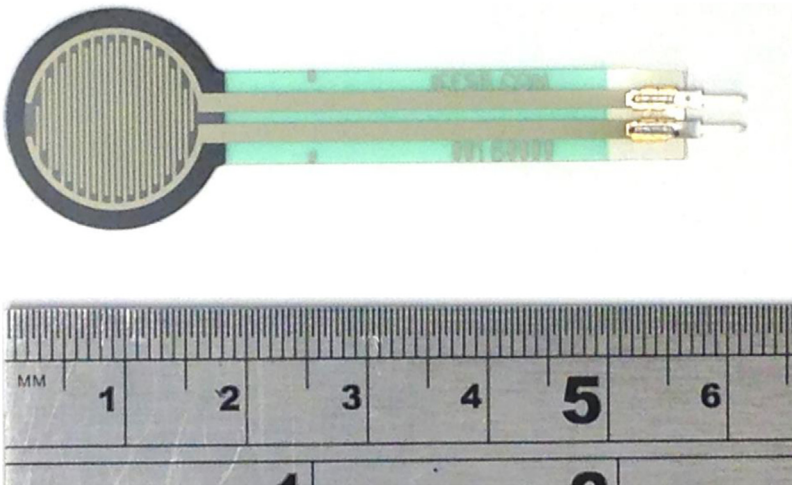


Figure 4.25 Force sensor using piezoresistive elastomers.

4.4.4 Applications of piezoresistive sensors

Piezoresistive sensors are suitable for the measurement of a variety of quantities. The word *piezo* is derived from the Greek *piezein*, which means to press, so pressure is an obvious quantity that can be measured by these sensors. However, many other physical quantities can be measured using the piezoresistive effect.

A 3D *force sensor* for biomedical applications is presented in Ref. [19]. The sensor has a construction similar to the one shown in Fig. 4.17 but is realized in silicon technology. Piezoresistors located at proper places on the square silicon frame (2.3×2.3 mm) measure three components of the force (0–2 N) applied to a short (one-half mm) protruding mesa. Evidently the sensor needs to be packaged in such a way that the externally applied force is properly transferred to the silicon mesa, at the same time providing an adequate mechanical and chemical protection.

Another application of a piezoresistive sensor is the *displacement* sensor described in Ref. [20], which is based on a conductive paste, deposited onto a piece of rubber. The high elasticity of the rubber and the paste allows large strain values, up to 40%. Its electrical resistance varies also roughly by this amount. As with all sensors based on piezoresistive elastomers, this sensor also exhibits a significant hysteresis. The sensor is designed for measuring the displacement of a soft actuator.

For the measurement of *body parameters* (e.g., posture, gesture, and gait), wearable sensors are being developed. Yarn-based sensors are a good solution for this application since they can easily be integrated into fabrics for clothing. Suitable sensor materials are piezoresistive polymers, rubbers, and carbon as coating material. Fibers from these materials can be interwoven with the textile. Stretching and bending of the textile result in elongation of the fibers, and hence a change in resistance. An application example of such wearable sensing is given in Ref. [21], reporting about such sensors for the measurement of aspiration. The elongation of the fiber can be as large as 23%, resulting in a resistance change of about 300%.

Piezoresistive sensors are also found in *inclinometers*. Such sensors measure the tilt angle, that is the angle with respect to the earth's normal. Mounted on a robot, for instance, the sensor provides important data about its vertical orientation, which is of particular interest for walking (or legged) robots. In Ref. [22] a micromachined inclinometer was proposed, based on silicon piezoresistive sensors. The sensor consists of a micromass suspended on thin beams. Gravity forces the mass to move toward the earth's center of gravity. The resulting bending of the beams is measured in two directions, by properly positioned integrated piezoresistors. The authors report an average sensitivity of about 0.1 mV per degree over a range of $\pm 70^\circ$ inclination.

Resistive sensors based on piezoresistive elastomers can be manufactured as extremely flat devices, which offers a large amount of freedom for design and applications. Typically a sensor can be manufactured using a number of layers of durable plastic coated with the elastomer, combined with flexible tracks of a “normal” conductor. Besides measuring linear forces or shear forces these sensors can also be made into resistive bend sensors. Fig. 4.26 shows a typical resistive bend sensor using plastic foil and a resistive elastomer, applied in, for instance, a human–computer interface. These sensors are frequently applied in sensor gloves (such as the “grandfather” of sensor gloves: the Nintendo power glove shown in the same figure).

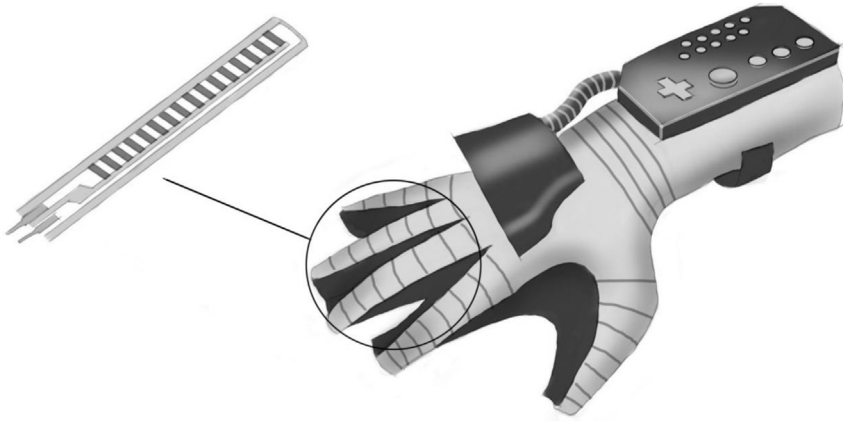


Figure 4.26 Resistive bend sensor and application example in a glove.

4.4.4.1 Tactile sensors

Piezoresistive elastomers can be used for a variety of sensing tasks in robotics. The pressure sensitivity of the bulk resistance is useful to sense touch (recall the high sensitivity for small forces), to measure gripping force and for tactile sensing. The material is shaped in sheets, which is very convenient for the construction of flat sensors, and in particular for tactile sensors.

Piezoresistive elastomers belong to the first *tactile sensors* in robot grippers [23,24]. They still receive much attention from designers of robots intended for human-like capabilities, in particular soft gripping (see, for example, Fig. 4.27).

Most resistive tactile sensors are based on some kind of piezoresistive elastomer and can be used for the construction of tactile sensors. Many researchers have reported on the usefulness of these so-called force-sensitive resistors as tactile sensors, for instance in Refs. [25–27].

Not only is the bulk resistivity a proper sensing parameter, but it is also possible to utilize the surface resistivity of such materials. The contact resistance between two conductive sheets or between one sheet and a conducting layer changes with pressure, mainly due to an increase of the contact area (Fig. 4.28). The resistance–pressure characteristic is similar to that of Fig. 4.24.

An important aspect of tactile sensors based on resistive sheets is the selection and readout of the individual pressure points (taxels). Most popular is the row–column readout, accomplished by a line grid of highly conductive electrodes on either side of the elastomer, making right angles and thus defining the pressure points of the sensor matrix (Fig. 4.29).

Individual taxels are addressed by selecting the corresponding row and column, for instance by applying a reference voltage on the column electrode and measuring the current through the selected row electrode. By using multiplexers for both the row and the column selection the whole matrix can be scanned quickly. However due to the continuous nature of the resistivity of the sheet, the selected taxel

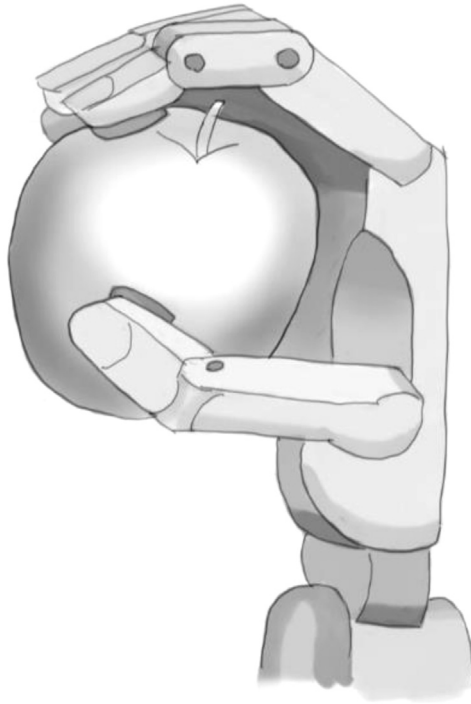


Figure 4.27 Robot hand using piezoresistive elastomers in the fingertip.

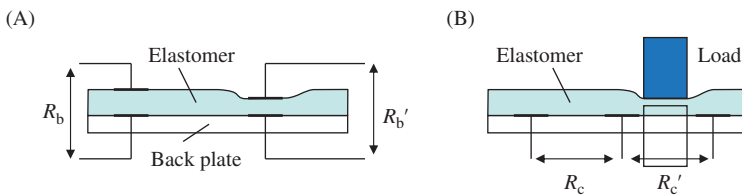


Figure 4.28 Piezoresistive tactile sensors: (A) bulk mode and (B) contact mode.

resistance is shunted by resistances of all other taxels, as can be seen in the model of the tactile sensor given in Fig. 4.28B. For instance when selecting taxel $a - 1$, the taxel resistance R_{a1} is shunted by resistances $R_{ab} + R_{b1}$ and $R_{a2} + R_{12}$, resulting in unwanted crosstalk between the taxels. Even when the interelectrode resistances R_{ab} and R_{12} are large compared with the taxel resistances, the selected taxel resistance is shunted by $R_{a2} + R_{2b} + R_{b1}$. As a consequence when one or more of the taxels $a - 2$, $b - 2$ and $b - 1$ are loaded, the unloaded taxel $a - 1$ is virtually loaded. This phenomenon is denoted by “phantom images.” In an $n \times m$ matrix many of such phantom images are seen by the selected taxel, an effect that is more pronounced when loading multiple taxels of the tactile device.

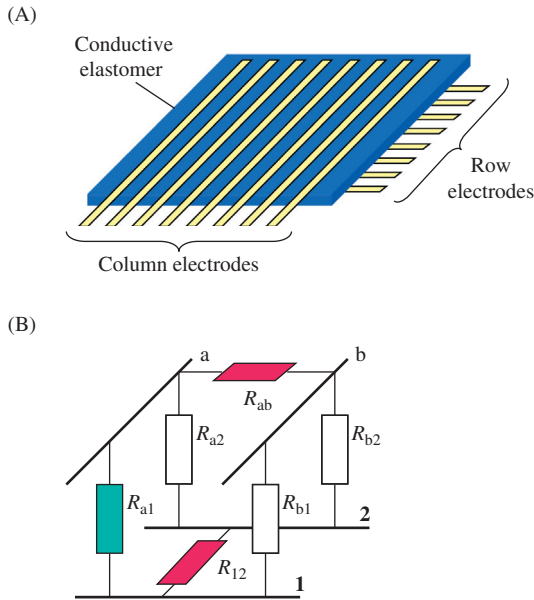


Figure 4.29 Basic idea of row–column readout of a tactile matrix: (A) row and column electrodes on a piezoresistive elastomer and (B) model with four taxels, showing shunt resistances.

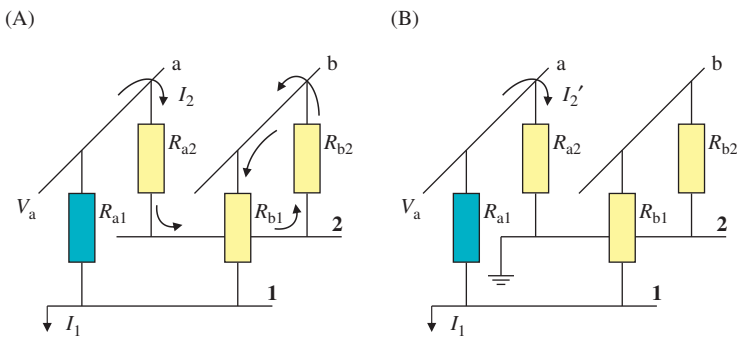


Figure 4.30 Reduction of crosstalk: (A) original selection mode and (B) improved selection mode.

Crosstalk and phantom images are reduced by actively guarding the nonselected rows and columns, thus zeroing the potential over all nonselected taxels. The principle is illustrated with the simple 2×2 matrix of Fig. 4.30.

In Fig. 4.30A, selection of taxel $a-1$ is performed by connecting a voltage V_a to column electrode a , and measuring the resulting current through row electrode 1, while all other rows and columns remain floating. Obviously since an additional current component I_2 flows through the other three taxel resistances, the apparent

taxel resistance amounts $V_a/I_1 = R_{a1}/(R_{a2} + R_{2b} + R_{b1})$. In Fig. 4.30B the nonselected row electrodes are all connected to ground; the additional current, which is now I'_2 , flows directly to ground, so the measured resistance is $V_a/I_1 = R_{a1}$, which is just the resistance of the selected taxel. Note that the current through the selected column electrode a can be quite large, in particular when many taxels on this column are loaded and thus have low resistance values to ground. Although not strictly necessary, grounding of the nonselected column electrodes is preferred too, to prevent possible interference due to the large resistance of nonloaded taxels. Various alternative schemes have been investigated aiming at increased effectiveness of this solution and reduction of electronic complexity, for instance in Refs. [28–30].

Many researchers have tried to increase the resolution of tactile sensors, at the same time reducing the major drawbacks as technical complexity, stiffness, dimensions, and susceptibility to damage. We give here a selection out of the many ideas published during the last few decades, as a source of inspiration for mechatronic designers.

Glued onto the elastic layer, the conductive electrodes affect significantly the elastic properties of the pressure sensitive material. Therefore the use of anisotropic elastomers has been proposed: this material shows a high conductivity in one direction and a low conductivity in perpendicular direction (see, for instance, Ref. [31]). Another solution is given in Ref. [30], where the functions of pressure sensitivity and readout electrodes are combined: the sensor consists of two orthogonally positioned arrays of conductive fibers. The 8×8 taxels are defined by the crossing points of the fibers. The contact resistance changes with pressure, mainly due to a reduction in contact area.

A completely different approach is to avoid having electrodes on top of the elastic layer, leaving the front side of the tactile sensor free to be accessed by objects. One of the solutions, applied in, for instance, the tactile sensor described in Ref. [32], is based on a double-sided printed circuit board. The 16×16 taxel sensor responds to the contact resistance between a highly conductive elastomeric sheet and an electrode pattern deposited on the printed circuit board. The layout of one taxel on the PCB is given in Fig. 4.31, showing how the crossings between column electrodes and row electrodes can be realized. The pressure sensitive part of each taxel consists of the two crossing areas between row and column.

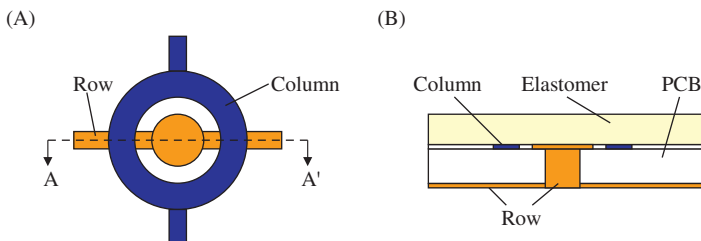


Figure 4.31 Taxel layout (A) top view, (B) cross-section through A–A'.

An often overlooked aspect is mechanical crosstalk between adjacent taxels, due to the stiffness of the elastic layer or an additional protective layer. The sheet material acts as a spatial low-pass filter in the transduction from applied force at the top to the measurement side at the bottom of the sheet [33]. Hence the spatial resolution is not equal to the pitch of the electrodes but might be substantially lower.

Many attempts have been undertaken to increase the spatial resolution by applying silicon technology. One of the first results of this approach is described in Ref. [34], where the conductive elastomer is mounted on top of a silicon wafer, provided with electrodes and electronic circuitry to measure the (pressure sensitive) contact resistance. An all-silicon tactile sensor lacks the piezoresistive elastomer: it consists of an array or matrix of pressure sensors, similar to the devices shown in Section 4.4.2. A few examples of such completely integrated resistive tactile sensors are given here. The first one [35] consists of 32×32 piezoresistive bridges integrated on a single chip measuring 10×10 mm. The chip contains signal processing circuitry as well. To prevent damage when pressed the fragile sensor surface is covered with an elastic protective layer. A further example [36] concerns a 4×8 tactile sensor specifically designed for a large force range (up to 50 N). Finally in Ref. [37] a 4×4 tactile sensor with a different architecture is presented. The diaphragm layer is deposited over a matrix of small cavities at the top of the wafer, building up a matrix of membranes (without etching from the back side). Each element contains one piezoresistor. These resistors are connected sequentially to a Wheatstone bridge by controlling a set of on-chip CMOS switches.

Another aspect to be discussed here is the measurement of *shear forces* using a tactile sensor. Most of the devices discussed so far are only sensitive to normal forces, that is, a force pointing perpendicular to the sensor surface. A shear sensor should also be sensitive to tangential forces. Few resistive tactile sensors thus far offer this ability [38,39].

A frequently used alternative for resistive sensors as tactile sensors is the use of capacitive sensors. Also with capacitive sensors “taxels” can be created, for example the “skin” in the earlier mentioned robot “iCub” (Fig. 4.20) contains a large grid based on capacitive sensing. These systems will be described in Chapter 5, Capacitive sensors.

4.4.4.2 Touch sensors

Elastomer-based force sensors are not the most suitable for absolute (calibrated) force or weight measurement due to the nonlinear effects. Despite this fact the sensors have proven very suitable for other applications, illustrated by the design of a robotic foot of the robot Tulip [40] shown in Fig. 4.32. The sensors are very sensitive for “first touch” so good for detecting floor impact during walking. By measuring the difference between four sensors located at the corners of the foot the position of the center of mass can be determined accurately since unwanted effects (nonlinearity, temperature sensitivity, creep) affect all four sensors in the same way.

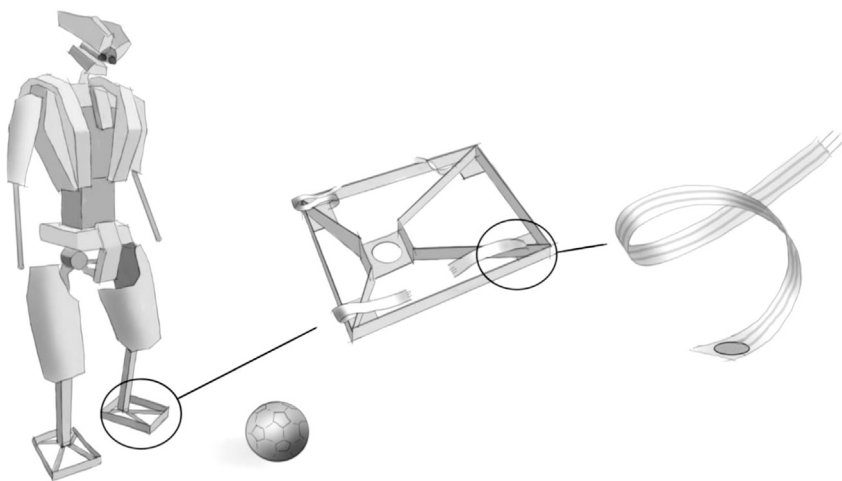


Figure 4.32 Robot foot including foil-based pressure sensors “image made with fritzing.org”.

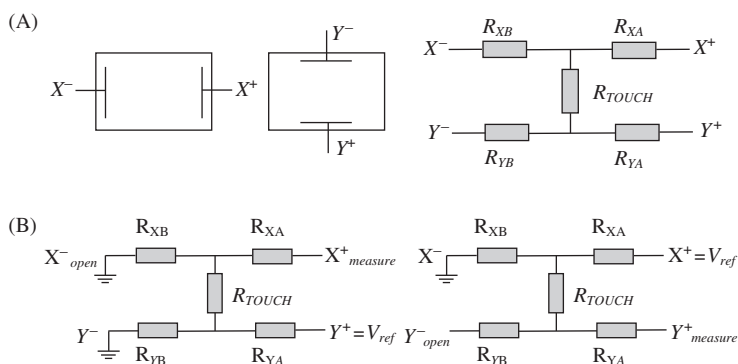


Figure 4.33 Schematic drawing of a 4-wire resistive touch screen (A) electrode position, (B) readout of the touch point position.

4.4.4.3 Touch screens

Resistive touch screens use a similar strategy as the tactile matrix described in Fig. 4.28. However, instead of an array of electrodes, only two electrodes are placed at opposite edges of a film coated with a uniformly distributed conductive coating. Two coated sheets are placed with the conductive layers facing each other, separated by a layer of spacer dots. Upon application of pressure (by a human finger or pointing device) two coupled voltage dividers are formed, allowing position detection, as shown in Fig. 4.33A. By biasing one of the dividers (i.e., the X-axis), one tap of the other axis can be used as sensing point as shown in Fig. 4.33B.

Although these resistive screens are still used in (industrial) human interface applications, they are largely replaced by capacitive screens because the latter have

a higher repeatability and support multitouch. Resistive touch screens have to be recalibrated occasionally since the system relies on absolute resistive values, whereas a capacitive touch screen relies on sensing relative capacitive changes (Section 5.4.3).

4.4.5 Interfacing piezoresistive sensors

In this section a number of practical examples for interfacing piezoresistive sensors. Interfacing of these sensors for embedded applications will be discussed using the Arduino microcontroller platform introduced in Chapter 1, Introduction. Code examples and a more detailed discussion of the platform are given in Appendix D, Practical guideline and code examples.

In e-books on sensors (see, for instance, Ref. [41]), application examples dealing with qualitative analysis of sensor data can be found. In most cases a voltage divider circuit (Fig. 4.34A) is used to convert a resistive change into a voltage that can be measured using an ADC. However, a voltage divider introduces additional nonlinearities. When a sensor is placed in the lower branch of the divider (in other words: using a “pull up” resistor R_1), the output voltage V_o satisfies $V_i \cdot R_{\text{sensor}} / (R_{\text{sensor}} + R_1)$. Moreover, the pull-up (or pull-down) resistor might introduce additional (thermal) noise and temperature dependencies to the system. In Appendix C.2, Basic interface circuits, alternative circuits are discussed.

Fig. 4.34B illustrates a practical example of interfacing a nonlinear piezoresistive sensor using an inverting amplifier and negative voltage source. Using the graph-writer example code shown in Appendix D the circuit of Fig. 4.35 is a practical implementation of the circuit shown in Fig. 4.34B and can be used as linearization circuit.

When choosing the resistor values for pull-up or pull-down, the selection has to be in the expected range of the resistive sensor. Besides this match the sum of the resistances (sensor and pull-up/pull-down) should be high enough to minimize current consumption and reduce heating (I^2R power dissipation). The ICL7660 is chosen in this case as supply voltage inverter. With the two capacitors this circuit generates a voltage of -5 V from the normal 5 V supply voltage. In the code now the measured voltage increases linearly with the applied force. For calibration a linear multiplication and offset might be sufficient.

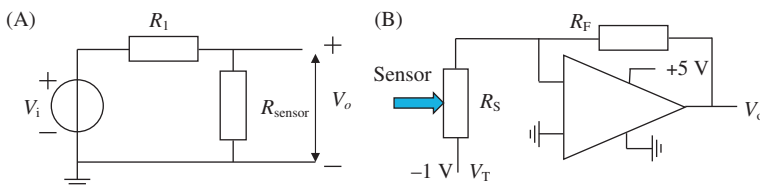


Figure 4.34 (A) Voltage divider, (B) conductance measurement ($1/R_s$) using an inverting amplifier.

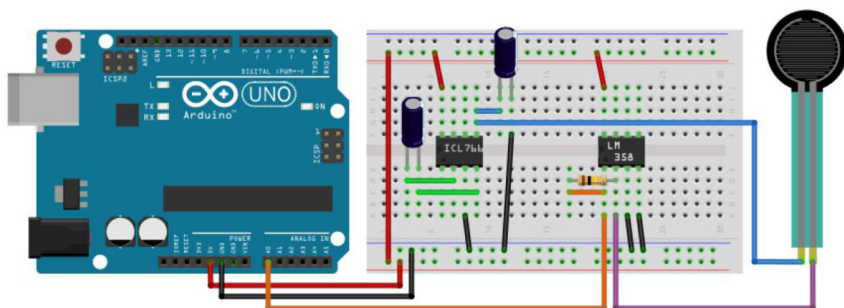


Figure 4.35 Practical interface circuit for a piezoresistive force sensor using a negative voltage.

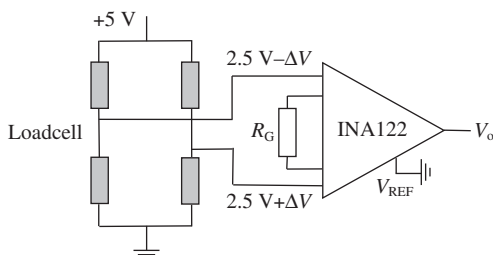


Figure 4.36 Schematic connection of load cell and instrumentation amplifier.

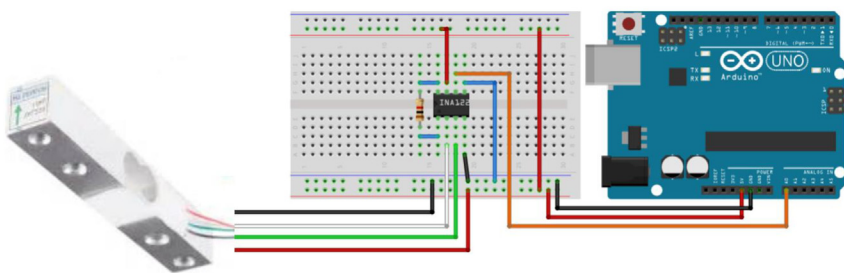


Figure 4.37 Instrumentation amplifier connecting a load cell to an Arduino board “image made with fritzing.org”.

A load cell consisting of four strain gauges in bridge configuration as discussed in Section 3.2.1 can be interfaced using an instrumentation amplifier (Fig. 4.36). The voltage difference between the two branches of the Wheatstone bridge can be fed directly into the amplifier. In order to facilitate noise immunity an AC voltage could be applied to power the bridge (conf. Chapter 3, Uncertainty aspects), however, for the sake of simplicity the load cell will be powered by the supply voltage. Fig. 4.37 illustrates the interfacing of a load cell and the instrumentation amplifier to an Arduino board.

4.5 Magnetoresistive sensors

4.5.1 Magnetoresistivity

Some ferromagnetic alloys show anisotropic resistivity, which means that the resistivity depends on the direction of the current through the material. This property arises from the interaction between the charge carriers and the magnetic moments in the material. As a consequence the resistivity depends on the magnetization of the material. This effect, called anisotropic magnetoresistivity (AMR), was discovered by W. Thomson in 1856, and is manifest in materials as iron, nickel, and alloys of those metals (permalloy). The effect is rather small and requires very thin layers to be useful for sensing applications. In 1970 the first magnetoresistive head for magnetic recording appeared, but it was only in 1985 that a commercial tape drive with the magnetoresistive head was introduced in Ref. [42].

To describe anisotropic resistivity, Eq. (4.1) is rewritten into

$$\begin{pmatrix} E_x \\ E_y \end{pmatrix} = \begin{pmatrix} \rho_x & 0 \\ 0 & \rho_y \end{pmatrix} \begin{pmatrix} J_x \\ J_y \end{pmatrix} \quad (4.42)$$

Here ρ_x and ρ_y are the components of the resistivity in x - and y -directions. In general the resistivity is large in the direction of the magnetization and small in the perpendicular direction. Assume the magnetization is in the x -direction. If ϕ is the angle between the current density vector J and the magnetization vector M , the resistivity can be described by

$$\rho(\phi) = \rho_x + \rho_y \cos^2 \phi \quad (4.43)$$

or in a different way:

$$\rho(\phi) = \rho_0 (1 + \beta \cos^2 \phi) \quad (4.44)$$

The material parameters ρ_0 and β determine the sensitivity of the device. The angle ϕ runs from 0° (where the resistivity is maximal) to 90° (minimum resistivity). As an example, for a 50 nm thick layer of Permalloy, ρ_x is about $2 \times 10^{-7} \Omega\text{m}$ and ρ_y about $3 \times 10^{-9} \Omega\text{m}$ [43]. The sensitivity of an AMR material is usually expressed as

$$\frac{\Delta \rho}{\rho} = \frac{\rho_x - \rho_y}{\rho_x + \rho_y} \quad (4.45)$$

so the maximum relative change in resistivity (at room temperature) amounts a few % only. An external magnetic field in the y -direction will change the direction of the magnetization. So when the current remains flowing along the z -axis, the angle ϕ changes, and hence the resistivity of the layer (Fig. 4.38).

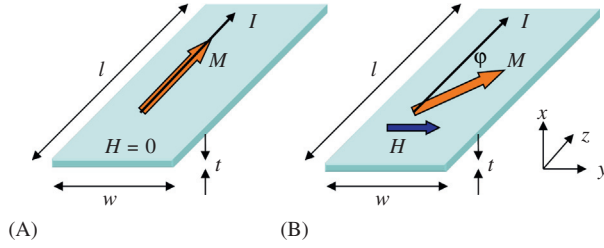


Figure 4.38 Magnetoresistivity: the angle ϕ is affected by a magnetic field H_y perpendicular to I ; this changes the resistivity of the device in the direction of z .

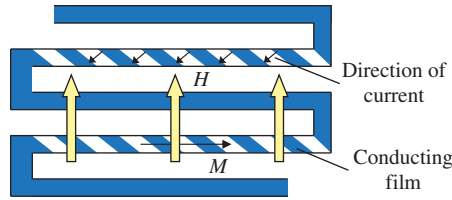


Figure 4.39 Introducing angle offset by forcing the current to follow a 45° path (barber pole).

The magnetization vector M has a preferred direction, set by the shape of the ferromagnetic body. For a film-shaped body (length l , width w , and thickness t) the preferred magnetization is along the z -direction, with a strength that can be expressed by an effective magnetic field $H_0 = (t/w)M_s$. The relative change in resistance can now be expressed in terms of H_0 and the external field H_y , according to the equations:

$$\frac{\Delta R}{(\Delta R)_{\max}} = 1 - \left(\frac{H_y}{H_0} \right)^2 \quad (H_y < H_0) \quad (4.46)$$

$$\frac{\Delta R}{(\Delta R)_{\max}} = 0 \quad (H_y > H_0) \quad (4.47)$$

So the resistance decreases with the external magnetic field in both positive and negative y -direction. For sensor purposes, this symmetric sensitivity is very unlucky: it is strongly nonlinear and multivalued. To improve sensor behavior, an angle offset is required to shift the zero to, for instance, -45° . One way to achieve this is the introduction of an extra magnetic field. A more elegant way is to offset the direction of the measurement current I . This is accomplished by a special arrangement of the electrodes, called the “Barber pole” construction Ref. [44], after the similarity to the red-white spinning poles at barber shops (Fig. 4.39). Due to the low resistance of the electrodes (deposited as thin layers on the ferromagnetic sheet) the current is forced to flow through the device under an angle of approximately

45°. At zero field the angle between the current I and the magnetization M is 45°, corresponding to a resistivity of about half the minimum value. When a magnetic field is applied in positive y -direction, the angle decreases, so the resistivity increases and vice versa.

Commercial barber pole magnetic sensors contain four meanderlike structures as in Fig. 4.39, arranged in a full bridge to compensate for common mode errors. Typical data: measurement range 0–10⁴ A/m (when specified in gauss, the range refers to magnetic induction B rather than magnetic field strength H and a conversion factor according to $B = \mu_0 \mu_r H$ must be applied; see Chapter 6, Inductive and magnetic sensors, on inductive and magnetic sensors); temperature range –40°C up to 150°C, nonlinearity error 1% at FS sweep. Resolution and offset are mainly determined by the interface electronics; the resolution is good enough to measure the earth's magnetic field, allowing compass heading (mobile robots) and attitude sensing (walking robots). Three-axis devices are available as well: they actually consist of three orthogonally positioned magnetosensors in one package.

Around 1980 magnetoresistive devices were realized that exhibit a much larger sensitivity, according to the so-called *giant magnetic resistance* (GMR) effect and the *giant magnetic impedance* (GMI) effect. A GMR device consists of a multilayer of ferromagnetic thin films (typically 1–10 nm thick), sandwiched between conductive but nonferromagnetic interlayers. The physical principle differs fundamentally from the AMR sensors. In the absence of an external field the magnetization in the successive layers of a GMR structure is antiparallel. In this state the resistance is maximum. At a sufficiently strong external magnetic field the magnetizations are in parallel, corresponding to a minimum resistance. The GMI sensor employs the skin effect, the phenomena in which conduction at high frequencies is restricted to a thin layer at the surface of the material. The axial impedance of an amorphous wire may change as much as 50% due to an applied magnetic field Ref. [45]. Present research is aiming at higher sensitivity, better thermal stability, and wider frequency range (see, for instance, Refs. [46,47]).

Commercial GMR sensors became available around 1995. Current research focuses on even higher sensitivity: in layered structures of amorphous ribbons made up of particular alloys, GMR ratios of up to 2400% have been reported [48]. Since GMR reading heads for magnetic discs are gradually replacing the older thin film AMR heads, there is some confusion about the terminology: GMR is now often called just MR or AMR.

In 1993 another group of materials, manganese-based perovskites, has been discovered exhibiting an even stronger magnetoresistive effect, at least at low temperatures and high magnetic fields. For this reason the effect is called colossal magnetoresistivity [49]. Unfortunately at room temperature the effect is much smaller, but nevertheless can be employed for sensor purposes [50].

Table 4.6 presents some specifications of these three types of magnetoresistive sensors. The resistors in the AMR sensor are configured as two Wheatstone bridges, allowing the measurement of the magnetic field in two orthogonal directions. The selected GMR sensor uses a Wheatstone bridge as well. Its output is a differential voltage, and a differential amplifier is required to convert this to a single-sided

Table 4.6 Comparison of magnetoresistive sensors

Type ^a	AMR	GMR	GMI	Units
Sensitivity	5	75	240	mV/Gauss
Linear range	6	2	>2	Gauss
Frequency range	5000	1000	1	kHz
Number of axes	2	1	3	—

^aAMR: HMC1052 (Honeywell); GMR: AAH00202 (NVE Corp.); GMI: AGMI302 (Aichi Corp.).

output. The GMI sensor in Table 4.6 consists of an amorphous metal wire with a pickup coil wrapped around the wire. This wire is driven by an AC current of 200 kHz. The frequency range of this sensor is, however, much lower compared to GMR and AMR: DC to 1 kHz. The sensor package contains three GMI sensing elements; the output signals are buffered in a sample-hold circuit, amplified and multiplexed.

4.5.2 Applications of magnetoresistive sensors

A magnetoresistive sensor measures essentially magnetic field strength. Therefore many applications are feasible, for instance as a compass (for mobile robots). Combined with a magnetic source (e.g., permanent magnet, active coil and earth magnetic field), magnetic field sensors are useful devices for the measurement of a variety of quantities in the mechanical domain.

Various possibilities for linear and angular position detection using AMR sensors are presented in Ref. [51]. In Ref. [52], AMR sensors are applied for vehicle detection: the sensors measure the change in earth magnetic field when a vehicle is passing the sensor. The system is used to monitor the traffic on highways.

GMR (and GMI) sensors too have been extensively tested for measuring a variety of mechanical quantities, for instance contact-free position [53], angle and speed [54], stress (in steel bars [55]), and torque [56]. They appear also useful for nondestructive testing [57] and, because of their small size, for biomedical applications; see, for instance, Refs. [58,59].

Most commercially available AMR sensors are manufactured as simple analog devices without additional processing “on chip” displayed graphically in Fig. 4.40. For further processing a separate ADC is necessary. This in contrary to, for example, Hall effect–based position sensors which are usually manufactured with a digital signal interface. In terms of performance, manufacturers list as advantages of AMR sensors compared with Hall effect sensors a higher sensitivity (so more cost effective since the necessary magnets can be smaller), smaller packages, and lower power consumption. Regarding applications in mechatronics, AMR sensors are used for similar purposes as Hall sensors (Section 6.2.2).

Properties of commercial magnetic sensors and applications based on the combination of a magnetic source and magnetic sensors will be discussed further in the chapter on inductive and magnetic sensors.

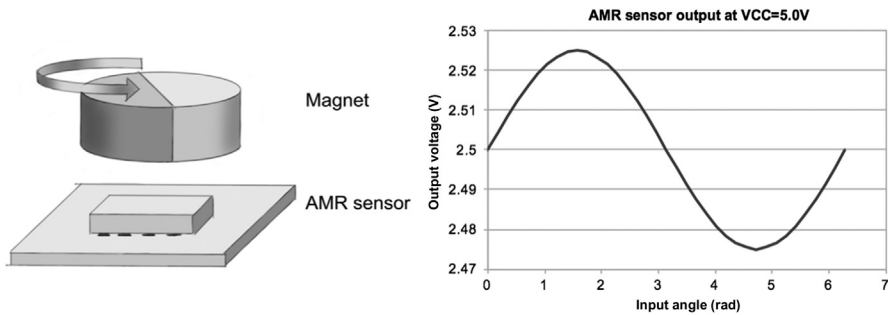


Figure 4.40 Schematic drawing of an AMR-based rotary sensor with analogue output.

4.6 Thermoresistive sensors

4.6.1 Thermoresistivity

The resistivity of a conductive material depends on the concentration of free charge carriers and their mobility. The mobility is a parameter that accounts for the ability of charge carriers to move more or less freely throughout the atom lattice; their movement is constantly hampered by collisions. Both concentration and mobility vary with temperature, at a rate that depends strongly on the material.

In intrinsic (or pure) *semiconductors* the electrons are bound quite strongly to their atoms; only a very few have enough energy (at room temperature) to move freely. At increasing temperature, more electrons will gain sufficient energy to be freed from their atom, so the concentration of free-charge carriers increases with increasing temperature. As the temperature has much less effect on the mobility of the charge carriers, the resistivity of a semiconductor decreases with increasing temperature: its resistance has a negative temperature coefficient (NTC).

In *metals* all available charge carriers can move freely throughout the lattice, even at room temperature. Increasing the temperature will not affect the concentration. However at elevated temperatures, the lattice vibrations become stronger, increasing the possibility that the electrons will collide and hamper free movement throughout the material. Hence the resistivity of a metal increases at higher temperature: their resistivity has a positive temperature coefficient (PTC).

The temperature coefficient of the resistivity is used to construct temperature sensors. Both metals and semiconductors are applied. They are called (metal) resistance thermometers and thermistors, respectively.

4.6.2 Resistance thermometer

The construction of a high-quality resistance thermometer requires a material (metal) with a resistivity temperature coefficient that is stable and reproducible over a wide temperature range. By far the best material is platinum, due to a number of favorable properties. Platinum has a high melting point (1769°C), is

chemically very stable, resistant against oxidation, and is available with high purity. Platinum resistance thermometers are used as international temperature standard for temperatures between the triple point of the hydrogen equilibrium (13.8033 K) and the freezing point of silver (+961.78°C) but can be used up to 1000°C.

A platinum thermometer has a high linearity. Its temperature characteristic is given by

$$R(T) = R(0) \{ 1 + aT + bT^2 + cT^3 + dT^4 + \dots \} \quad (4.48)$$

with $R(0)$ the resistance at 0°C. The values of the coefficients $R(0)$, a , b , ... are specified according to various standards and temperature ranges. As an example the resistance of a Pt100 is characterized, according to the DIN-IEC 751 standard, as

$$\begin{aligned} R(0) &= 100.00\Omega \\ a &= 3.90802 \times 10^{-3}/\text{K} \\ b &= 5.8020 \times 10^{-7}/\text{K}^2 \\ c &= 4.2735 \times 10^{-10}/\text{K}^3 \end{aligned}$$

for a temperature range $-200^\circ\text{C} < T < 0^\circ\text{C}$; for temperatures from 0 to 850°C the parameters a and b are the same, and $c = 0$. The tolerances are also specified in the standard definition. For instance the resistance value of a “class A” Pt100 temperature sensor at 0°C is 0.06%, which corresponds to a temperature tolerance of 0.15K. At the upper end of the range (850°C) the tolerance is 0.14% or 1.85K. Class B sensors have wider specified tolerances, for instance 0.3K at 0°C.

There also exist resistance temperature sensors with other values than 100Ω at 0°C, for instance the Pt1000 with a resistance value of 1000Ω at 0°C. However, the Pt100 is most popular for industrial applications.

The sensitivity of a Pt100 is approximately 0.4%/K or 0.39Ω/K. The parameters b and c account for the nonlinear relationship between temperature and resistance. Within a limited temperature range the nonlinearity might be neglected, when a high accuracy is not required.

The tolerances and nonlinearity errors discussed so far relate to the sensor only. Additional errors may arise due to:

- self-heating
- errors of the interface circuit
- connecting cable resistance.

Self-heating should be minimized by reducing the current through the sensor and a low thermal resistance to the environment. A measurement current I introduces heat dissipation of $I^2 R(T)$ in the sensor. For example at 0°C and 1 mA current the dissipation is 0.1 mW. In order to limit the error due to self-heating to 0.1°C the sensor should be mounted in such a way that the thermal resistance is less than 10^3 K/W .

Special integrated circuits are available that facilitate the interface between resistive sensors and a microprocessor or microcontroller. They are based on either single-, two-, or three-point calibration techniques (see Section 3.2.6). Present

interface modules have high resolution and accuracy and are suitable for multisensor applications as well. For instance the interface circuit for a Pt1000 resistive temperature sensor presented in Ref. [60] has a resolution of 0.1Ω , a relative systematic error less than 0.01% , and a temperature coefficient of about $1.5 \times 10^{-7}/\text{K}$ over the range $10\text{--}50^\circ\text{C}$.

A simple analogue interface circuit for a resistance thermometer is shown in Fig. 4.41.

The output voltage of this circuit (assuming ideal properties of the operational amplifier and using the equations from Appendix C, Basic interface circuits) is

$$V_o = E \frac{R_3}{R_3 + R_4} \left(\frac{R_4}{R_3} - \frac{R(T)}{R_1} \right) \quad (4.49)$$

With the resistors R_3 and R_4 the output can be adjusted to zero at an arbitrary temperature (for instance 0°C). The resistor R_1 sets the sensitivity of the circuit. Obviously the accuracy of this interface is set by the tolerances of the voltage source E and the resistances R_1 , R_3 , and R_4 , which all should be chosen in accordance to the required performance of the measurement system.

Resistive sensors can also be connected in a bridge configuration, in order to reduce nonlinearity and unwanted common mode interferences. The effect of cable resistance might be substantial, in particular when the sensor is located far from the measuring circuit. Its influence can be compensated (see Chapter 3, Uncertainty aspects).

4.6.3 Thermistors

A thermistor (contraction of the words thermally sensitive resistor) is a resistive temperature sensor built up from ceramics. Commonly used materials are sintered oxides from the iron group (e.g., chromium, manganese, nickel, cobalt, and iron); the most popular material is Mn_3O_4 . These oxides are doped with elements of different valence to obtain a lower resistivity, giving them semiconductor properties

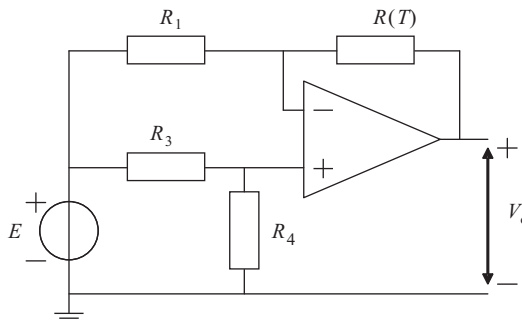


Figure 4.41 Interface circuit using a single operational amplifier.

(mainly of the p-type). Several other oxides are added to improve the reproducibility. To obtain a stable sensitivity, thermistors are aged by a special heat treatment. A typical value of the drift in resistance after aging treatment is $+0.1\%$ per year.

Thermistors cover a temperature range from -100 to $+350^\circ\text{C}$, but particular types go down to 2 K (ruthenium oxide). Their sensitivity is much larger than that of metal resistance thermometers. Furthermore the size of thermistors can be very small, so that they are applicable for temperature measurements in or on small objects. Compared to metal resistance thermometers, a thermistor is less stable in time and shows a much larger nonlinearity.

The resistance of most semiconductors has a NTC. This also applies to thermistors. That is why a thermistor is also called an NTC-thermistor or just an NTC although also thermistors with a PTC do exist.

The temperature dependence of an NTC is given by

$$R(T) = R(T_0)e^{B\left\{\left(1/T\right) - \left(1/T_0\right)\right\}} \quad (4.50)$$

where $R(T_0)$ is the resistance at a reference temperature T_0 (usually 25°C), B is a constant that depends on the type of NTC.

From this equation, it follows for the temperature coefficient (or sensitivity) of an NTC:

$$S = \frac{1}{R} \frac{dR}{dT} = - \frac{B}{T^2} (K^{-1}) \quad (4.51)$$

Further it should be realized that due to the nonlinearity of the temperature characteristic, the sensitivity varies with temperature (as also appears from the expression given above). The parameter B is in the order of 2000 – 5000 K . For instance at $B = 3600\text{ K}$ and room temperature ($T = 300\text{ K}$) the sensitivity amounts to -4% per K. At 350 K the sensitivity has dropped to -3% per K.

The resistance value of a thermistor at the reference temperature depends on the material, the doping type and concentration, the device dimension and geometry. Values of R_{25} (the resistance at 25°C) range from a few ohms to some $100\text{ k}\Omega$. The accuracy of thermistors is in the order of $\pm 1^\circ\text{C}$ for standard types and $\pm 0.2^\circ\text{C}$ for high precision types.

Like metal resistive sensors, NTCs too suffer from self-heating. The current through the sensor should be kept low in order to avoid large errors due to self-heating. Generally a current less than 0.1 mA is acceptable in most cases (this is called zero-power mode). The effect of self-heating also depends on the encapsulation material and on the dimensions and shape of the sensor. In particular the condition of the environment (gas or liquid, still or flowing) determines the self-heating. A measure for this effect is the thermal dissipation constant, a figure that is provided by the manufacturer for various sensor types and various environmental conditions. It ranges from about 0.5 to some 10 mW/K .

The basic shape of a thermistor is a chip: a slice of ceramic material with metalized surfaces for the electrical contacts. The device is encapsulated in a coating of

thermally conductive epoxy to ensure mechanical protection and a low thermal resistance to the measurement object. There are various shapes available: disc, glass bead, probe, surface mount. The smallest devices have a size down to 1 mm.

To measure the resistance value of an NTC the device can be connected in a single-element bridge (one NTC and three fixed resistances), or in a half- or full-bridge configuration (with two respectively four NTCs). This type of interfacing is used when small temperature changes or temperature differences have to be measured.

Thermistors are small-sized and low-cost temperature sensors, and useful in applications where accuracy is not a critical design parameter. They can be found in all kind of systems, for the purpose of temperature monitoring and control, for temperature compensation in electrical circuits (e.g., amplifiers and oscillators) and many other uses.

Besides NTC thermistors, there are also PTC thermistors. The base material is barium or strontium titanate, made semiconductive by adding particular impurities. The temperature effect differs essentially from that of a thermistor. PTCs have a PTC over a rather restricted temperature range. Altogether they cover a temperature range from 60 to 180°C. Within the range of a PTC the characteristic is approximated by

$$R(T) = R(T_0)e^{BT}, \quad T_1 < T < T_2 \quad (4.52)$$

The sensitivity in that range is B (per K) and can be as high as 60% per K. PTCs are rarely used for temperature measurements, because of lack of reproducibility and the restricted temperature range of the individual device. They are mainly applied as safety components to prevent overheating at short circuits or overload.

A relatively recent and demanding application example for thermistors (NTC resistors) is the use in plastic extruder heads for 3D printers (Fig. 4.42). After many changes and design variations, currently almost every extruder design makes use of a similar 100 k Ω glass thermistor. This resistor typically has a temperature range between -50 and 260°C , and values for $R(25)$ and B of 100 k Ω ($\pm 1\%$) and 3950 K, respectively.

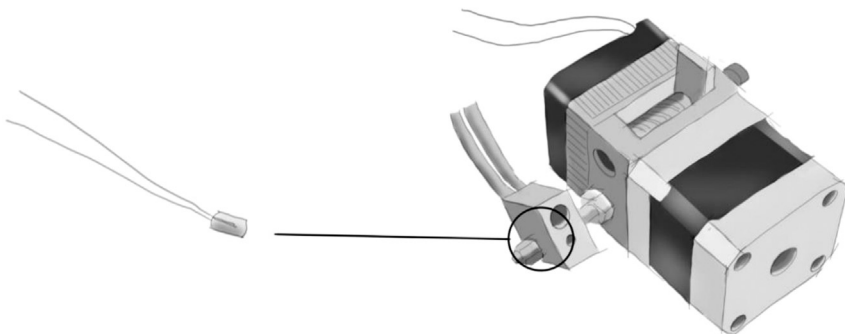


Figure 4.42 Generic 3D printer extruder and a 100 k Ω thermistor.

4.7 Optoresistive sensors

The resistivity of some materials, for instance cadmium sulfide (CdS) and cadmium selenide (CdSe), depends on the intensity of incident light. This is called the photoresistive effect. A resistor made up of such a material is the LDR (photoresistor). In the absence of light the concentration of free-charge carriers is low, hence the resistance of the LDR is high. When light falls on the material, free charge carriers are generated; the concentration increases and thus the resistance decreases with increasing intensity. The resistance of the LDR varies as

$$R(E_d) = a \cdot E_d^{-b} \quad (4.53)$$

where E_d is the incident power per unit area, a and b being constants that depend on the material and the shape of the resistor.

The sensitivity of an LDR depends on the wavelength of the light, so it is expressed in terms of spectral sensitivity ($\Delta R/E_d$ per unit of wavelength). Fig. 4.43B shows the relative spectral sensitivity for two types of CdS resistors, one

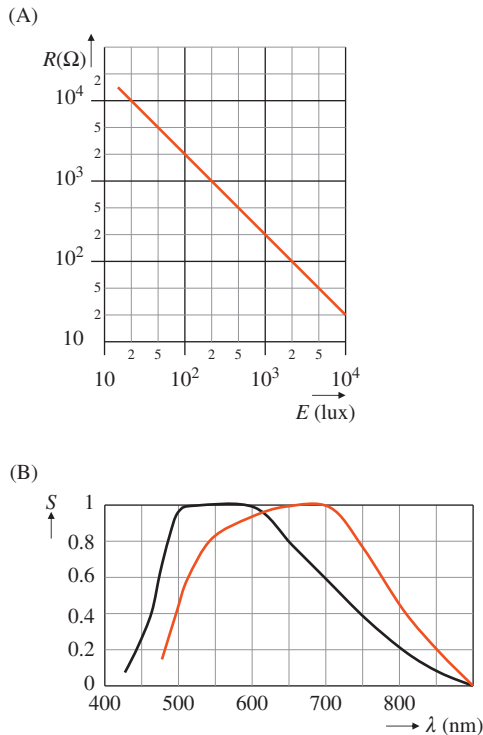


Figure 4.43 Characteristics of an LDR: (A) sensitivity characteristic and (B) spectral sensitivity.

typical and one with high sensitivity for red light. The sensitivity is normalized to the maximum sensitivity within the bandwidth. Apparently below 400 nm and above 850 nm the LDR is not sensitive. The spectral sensitivity of this material matches rather well that of the human eye. Therefore the sensitivity is also expressed in terms of lux. For other wavelengths, other materials are used: in the near-IR region (1–3 μm) PbS and PbSe, and in the medium and far IR (up to 1000 μm), InSb, InAs, and many other alloys. Fig. 4.43A shows the resistance–illuminance characteristic of a typical CdS LDR. Note the strongly nonlinear response of the device.

Even in complete darkness the resistance appears to be finite; this is the dark resistance of the LDR, which can be more than 10 M Ω . The light resistance is usually defined as the resistance at an intensity of 1000 lx; it may vary from 30 to 300 Ω for different types. Photoresistors change their resistance value rather slowly: the response time from dark to light is about 10 ms; from light to dark, the resistance varies only about 200 k Ω /s, resulting in a response time of about 1.5 s.

LDRs are used in situations where accuracy is not an important issue (dark–light detection only), for example in alarm systems, in the exposure-meter circuit of automatic cameras, and in detectors for switching streetlights on and off. Also in almost every starter kit for embedded control, an LDR is added as generic analog input device. The simplicity of use and convenient interfacing (using a voltage divider) make the LDR the standard device for getting started with (resistive) sensors in embedded systems.

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Capacitive sensors for displacement and force measurements have a number of advantages. A capacitor consists of a pair of conductors; since no other materials are involved, capacitive sensors are very robust and stable, and applicable at high temperatures and in harsh environments. The dimensions of capacitive sensors may vary from extremely small (in Micro-ElectroMechanical Systems, MEMS) up to very large (several meters). The theoretical relation between displacement and capacitance is governed by a simple expression, which in practice can be approximated with high accuracy, resulting in a very high linearity. Using special constructions, the measurement range of capacitive sensors can be extended almost without limit while maintaining the intrinsic accuracy. Moreover, because of the analog nature of the capacitive principle, the sensors have excellent resolution.

This chapter starts with resuming the notions capacitance and permittivity. Next, we discuss basic configurations for capacitive sensors. The capacitance value changes with variation in the geometry. We will focus in particular on linear and angular displacement sensors and on force sensors. Next, integrated silicon capacitive sensors are briefly reviewed and some interface circuits are given to measure small capacitance changes.

5.1 Capacitance and permittivity

The capacitance (or capacity) of an isolated conducting body is defined as $Q = C \cdot V$, where Q is the charge on the conductor and V is the potential (relative to “infinity,” where the potential is zero by definition). To put it differently, when a charge Q from infinite distance is transferred to the conductor, its potential becomes $V = Q/C$.

In practice we have a set of conductors instead of just one conductor. When a charge Q is transferred from one conductor to another, the result is a voltage difference V equal to Q/C ; the conductors are oppositely charged with Q and $-Q$, respectively (Fig. 5.1). Again, for this pair of conductors, $Q = C \cdot V$, where V is the voltage difference between these conductors.

Usually, the capacitance is defined “between” two conductors: this capacitance is determined exclusively by the geometry of the complete set of conductors and the dielectric properties of the (nonconducting) matter in between the conductors and not by the potential of the conductors. The set of conductors is called a capacitor. A well-known configuration is a set of two parallel plates, the flat-plate capacitor, with capacitance

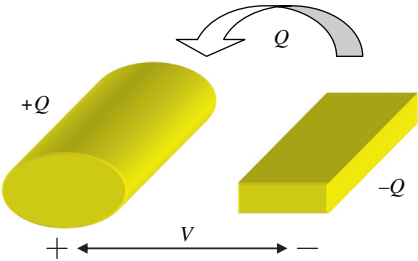


Figure 5.1 Relation between voltage and charge.

Table 5.1 Dielectric constants of various environmental and construction materials [1,2]

Material	ϵ_r	Material	ϵ_r
Vacuum	1	Al_2O_3	10
Dry air (0°C, 1 atm)	1.000576	SiO_2	3.8
Water (0°C)	87.74	Mica	5–8
Water (20°C, $\omega \rightarrow 0$)	80.10	Teflon	2.1
Water (20°C, $\omega \rightarrow \infty$)	4.5–6	PVC	3–5
Ice (0°C, $\omega \rightarrow 0$)	260	Silicon (20°C)	11.7
Ice (0°C, $\omega \rightarrow \infty$)	3.2	Glass, Pyrex 7740	5.00

$$C = \epsilon \cdot \frac{A}{d} \quad (5.1)$$

where A is the surface area of the plates and d is the distance between the plates. This is only an approximation: at the plate's edges the electric field extends outside the space between the plates, resulting in an inhomogeneous field near the edge (*stray field* or *fringe field*).

The parameter ϵ is called the *permittivity* and describes the dielectric properties of the matter in between the conductors. It is written as the product of ϵ_0 , the permittivity of free space or vacuum (about 8.8×10^{-12} F/m) and ϵ_r , the relative permittivity. The latter is also called the *dielectric constant* of the medium between the conductors. For vacuum $\epsilon_r = 1$, for air and other gases the dielectric constant is fractionally higher, liquids and solids have larger values (Table 5.1).

For all kinds of configurations, the capacitance can be calculated [3]. Two straight parallel conductors (Fig. 5.2A) with radius a and centers at spacing d have a capacitance per unit length of:

$$C' = \frac{\pi \epsilon_0 \epsilon_r}{\ln \left\{ \left(d + \sqrt{d^2 - 4a^2} \right) / 2a \right\}} \text{ or, for } d \gg a, C' = \frac{\pi \epsilon_0 \epsilon_r}{\ln(d/a)} [\text{F/m}] \quad (5.2)$$

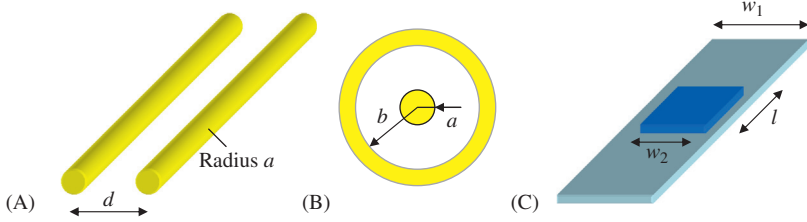


Figure 5.2 Various configurations: (A) parallel conductors; (B) coaxial conductors; (C) parallel plates.

A coaxial cable (two concentric cylinders, Fig. 5.2B) with outer diameter of the central conductor a and inner diameter of the shield b has a capacitance per unit length equal to

$$C' = \frac{2\pi\epsilon_0\epsilon_r}{\ln(b/a)} [\text{F/m}] \quad (5.3)$$

The capacitance of other, less symmetrical configurations can be calculated analytically, but results in rather complex expressions [3]. For instance consider a flat-plate capacitor (Fig. 5.2C) with rectangular top electrode (width w_2 and length l) opposed to a long strip-electrode (width w_1) at distance d . The capacitance of this structure (as often encountered in capacitive displacement sensors) is expressed as follows [4]:

$$C = \frac{\epsilon_0\epsilon_r l}{\pi} \ln \left[\frac{\cosh(\pi(w_1 + w_2)/4d) \cosh(-\pi(w_1 + w_2)/4d)}{\cosh(-\pi(w_1 - w_2)/4d) \cosh(\pi(w_1 - w_2)/4d)} \right] \quad (5.4)$$

Anyhow, for a homogeneous dielectric, the capacitance can always be written in the form

$$C = \epsilon \cdot G \quad (5.5)$$

where G is a geometric factor. For the parallel plate capacitor with plate distance d and infinite plate dimensions, $G = A/d$. When the plates have finite dimensions, as for instance for the structure described by Eq. (5.4), G can still be approximated by A/d ; we discuss the conditions for this approximation later.

Most capacitive sensors for mechatronic applications are based on changes in the geometric factor G , thereby assuming a constant value of ϵ . As a fair approximation, the value for the dielectric constant ϵ_r of air can be set to 1. At small changes of A or d , however, deviations from this value must be taken into account because the capacitance C changes, using Eq. (5.1) as:

$$\frac{dC}{C} = \frac{\partial\epsilon}{\epsilon} + \frac{\partial A}{A} - \frac{\partial d}{d} \quad (5.6)$$

As a matter of fact, ε depends somewhat on the air composition (see for instance [5]):

$$\varepsilon_r - 1 = A_d \cdot \frac{p_d}{T} + A_c \cdot \frac{p_c}{T} + \frac{p_w}{T} \cdot \left(A_w + \frac{B_w}{T} \right) \quad (5.7)$$

where p_d , p_c , and p_w are the partial vapor pressure of, respectively, dry air, CO_2 , and water vapor in mbar. A_d , A_c , A_w , and B_w are empirical parameters, and T is the absolute temperature.

Furthermore, due to relaxation effects, ε appears to be frequency dependent. The dielectric constant is expressed in complex notation: $\varepsilon = \varepsilon' - j\varepsilon''$, where both the real and imaginary parts are frequency dependent. For water the frequency dependency is expressed as [2]:

$$\varepsilon_w(\omega) = \varepsilon_w(\infty) + \frac{\varepsilon_w(0) - \varepsilon_w(\infty)}{1 + (\omega\tau_r)^2} \quad (5.8)$$

where τ_r is the relaxation time. For liquid water at 0°C , τ_r amounts 17.8×10^{-12} s, $\varepsilon_w(\infty) \approx 5$, and $\varepsilon_w(0) \approx 88$. In mechatronics we will consider only the static dielectric constant, that is, the value at frequencies far below the relaxation frequency.

Most capacitive displacement sensors operate in air. The influence of atmospheric changes on the dielectric constant can be summarized as follows [5]: starting with standard air ($T = 293.15$ K, $p_d = 100$ kPa, $p_w = 117.5$ Pa, and $p_c = 30$ Pa), the dielectric constant changes by:

- 2.3×10^{-6} per K in temperature,
- 5.3×10^{-6} per kPa absolute pressure,
- 90×10^{-6} per kPa water vapor pressure, and
- 9×10^{-6} per kPa CO_2 pressure.

Temperature effects can be minimized using balancing techniques (Section 3.2) and proper electrode materials. Humidity cannot be ignored in high precision capacitive displacement sensors; either the temperature should be kept sufficiently far above the dew-point temperature or humidity effects must be avoided through the use of special designs [6].

Many capacitive displacement sensors are based on flat-plate capacitors (Fig. 5.3). Either the plate distance d or the effective plate area A is used as a

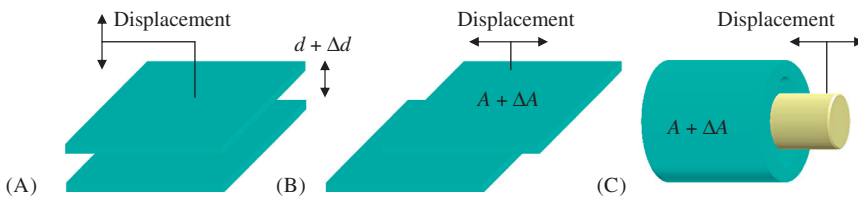


Figure 5.3 Capacitive displacement sensors: (A) and (B) flat plate; (C) cylindrical.

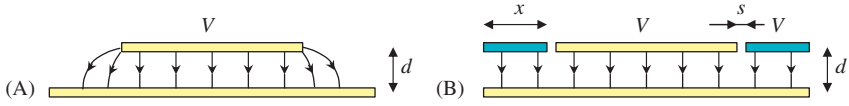


Figure 5.4 (A) Fringe fields and (B) active guarding reduces fringe fields.

position-dependent parameter. Other shapes are also used as displacement sensor, for instance the cylindrical one in Fig. 5.3C. When using the approximated expression (5.1), an error is made due to stray fields (fringes) at the edges of the plates. The practical implication of this error is a nonlinear relationship between displacement and capacitance.

The effect of stray fields can be reduced by the application of *guarding*. One electrode is grounded; the other, active electrode of the capacitor, is completely surrounded by an additional conducting electrode in the same plane and isolated from the active electrode (Fig. 5.4). The potential of the guard electrode is made equal to that of the active electrode (*active guarding*), using a buffer amplifier (Appendix C3). The result is that the electric field is homogeneous over the total area of the active electrode, assuming infinite guard electrodes and a zero gap width between the two electrodes.

However, since the guard electrode has finite dimensions and the gap width is not zero, a residual error occurs. This error depends on the dimensions of the guard electrode and the gap width [3]:

$$\begin{aligned} \frac{\Delta C}{C} &\leq e^{-\pi x/d} \\ \frac{\Delta C}{C} &\leq e^{-\pi d/s} \end{aligned} \quad (5.9)$$

with d is the plate distance, x is the width of the guard electrode, and s is the gap width between guard and active electrodes. As a rule of thumb, for x/d and d/s equal to 5, these errors are less than 1 ppm.

Finally, when the plates are not exactly in parallel, Eq. (5.1) does not hold anymore. The relative error at a skew angle α is of the order $\alpha^2/3$ [7]. For 1 degree (or 0.017 rad), this corresponds to an error of about 10^{-4} only.

5.2 Basic configurations of capacitive sensors

5.2.1 Flat-plate capacitive sensors

Capacitive sensors are very useful for the measurement of displacement utilizing variation in the geometric factor G in Eq. (5.5). If one of the plates is part of a deformable membrane, pressure can also be measured using the capacitive

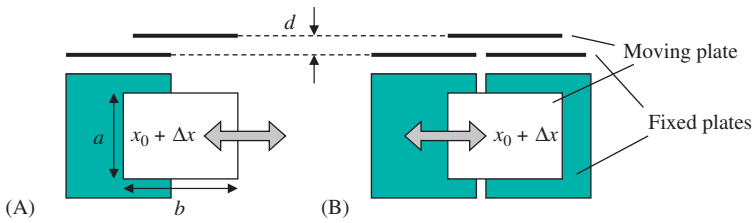


Figure 5.5 Basic capacitive displacement sensors with variable surface area: (A) single mode and (B) differential mode.

principle. Generally, electric fields are better manageable than magnetic fields: by (active) guarding, it is easy to create electric fields that are homogeneous over a wide area. This is the major reason that displacement sensors based on capacitive principles have excellent linearity.

Fig. 5.5 shows, schematically, two basic configurations for linear displacement. The moving object of which the displacement has to be measured is connected to the upper plate. In these examples, the parameter A (effective surface area) varies with displacement.

In Fig. 5.5A a linear displacement of the upper plate in the indicated direction introduces a capacitance change which is, ideally, $\Delta C = \varepsilon \Delta x \cdot a/d$, or a relative change $\Delta C/C = \Delta x/x$. All other parameters need to be constant during movement of the plate. Capacitive sensors for rotation can be configured in a similar way, with segmented plates rotating relatively to each other, where the distance d remains constant. To assure a linear relation between the displacement and the capacitance change, active guarding has to be applied in all cases.

Fig. 5.5B shows a differential transducer (see Chapter 3: Uncertainty aspects): a displacement of the upper plate causes two capacitances to change simultaneously but with opposed sign: $\Delta C_1 = -\Delta C_2 = \varepsilon \Delta x \cdot a/d$. The initial position is defined as the position for which $C_1 = C_2$. For this position ($\Delta x = 0$), a change in a or d (due to for instance play, backlash or temperature change) does not introduce a zero error, as long as both capacitance changes are equal.

An additional advantage of the differential configuration is the extended dynamic range: in the reference or initial position the capacitances of the two capacitors are equal. Since only the difference is processed, the initial output signal is zero. A small displacement results in a small output signal that can be electronically amplified without overload problems. A single capacitance in the initial position may produce a considerable output signal, which cannot be amplified much more, unless it is first compensated by an equal but opposite offset signal. However, compensation by an equally shaped sensor is more stable than compensation in the electrical domain.

A disadvantage of the configurations shown in Fig. 5.5 is the electrical connection to the moving plate, required for the supply and transmission of the measurement signals. Fig. 5.6 shows a configuration without the need for such a connection.

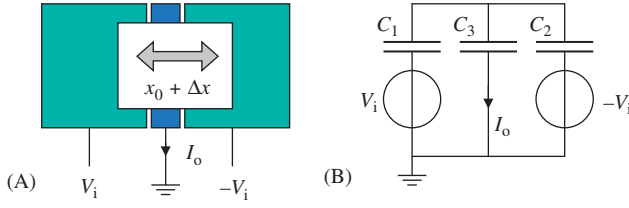


Figure 5.6 Differential capacitive displacement sensor with current read-out: (A) top view of a flat-plate capacitor and (B) electronic model.

The moving plate acts here as a coupling electrode between two equal, flat electrodes and a read-out electrode (in the middle). All fixed electrodes are in the same plane. In the initial position the coupling electrode is just midway the two active plates, supplied by sinusoidal signals with opposite phase. In this position, both (balanced) signals are coupled to the read-out electrode where they cancel, resulting in a zero output signal. When the top electrode shifts to an off-center position, the coupling becomes asymmetrical, resulting in an output signal proportional to the displacement, and with a phase indicating the direction of the movement. Using the simplified model of Fig. 5.6B, it is easy to verify that the current through the read-out electrode satisfies:

$$I_o = \frac{j\omega C_1 V_1 + j\omega C_2 V_2}{1 + C_1/C_3 + C_2/C_3} \quad (5.10)$$

Note that C_3 does not change with displacement: its surface area remains unaltered. When $V_1 = -V_2 = V_i$, the output current I_o is directly proportional to the capacitance difference $C_1 - C_2$. In a differential configuration, $C_1 = C_0 + \Delta C$ and $C_2 = C_0 - \Delta C$, hence

$$I_o = \frac{2j\omega \Delta C}{1 + 2C_0/C_3} \cdot V_i \quad (5.11)$$

The output current appears to be proportional to ΔC and consequently to the displacement Δx . Its phase is either $+\pi/2$ or $-\pi/2$ according to the direction of the displacement. It should be pointed out that proportionality only holds when fringe field effects are small or when these fields are eliminated by guarding.

An alternative read-out scheme is shown in Fig. 5.7A. Two adjacent plates are supplied with two sinusoidal voltages with phase difference $\pi/2$. The voltages are capacitively coupled to the common read-out electrode, via the moving plate of the capacitor, similar to the design in Fig. 5.6A. When the moving electrode is just opposite the left plate, the phase of the output current is just $\pi/2$, according to Eq. (5.10). When the electrode arrives just above the right plate, the phase is π . When moving from one electrode to the next, the output phase changes gradually over $\pi/2$ rad.

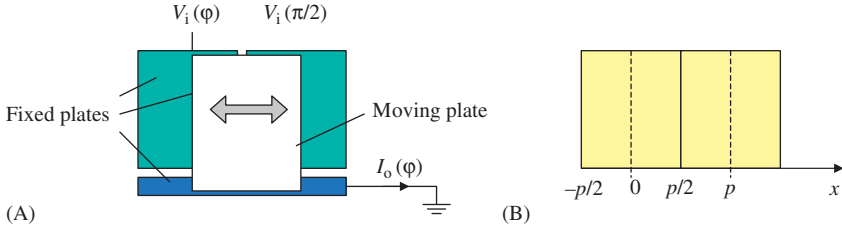


Figure 5.7 Phase read-out of a capacitive displacement sensor: (A) structure and (B) co-ordinates.

To calculate the phase of the output current in dependence of the displacement, we define the co-ordinates as in Fig. 5.7B. The center of the moving plate runs from $x = 0$ to $x = p$. For simplicity, all plates have equal width p . Suppose the input voltages are $V_1 = \hat{V} \sin \omega t$ and $V_2 = \hat{V} \cos \omega t$. According to Eq. (5.10), the output current equals

$$I_o(t) = \frac{C_1 \omega \hat{V} \cos \omega t + C_2 \omega \hat{V} \sin \omega t}{1 + C_1/C_3 + C_2/C_3} \quad (5.12)$$

The capacitances C_1 and C_2 have the value $\varepsilon a w/d = c' w$, with a the (constant) plate length and w the effective width, running from p to 0 for C_1 and from 0 to p for C_2 . With Fig. 5.7B the capacitances are found:

$$\begin{aligned} C_1(x) &= c'(p - x) \\ C_2(x) &= c'x \end{aligned} \quad (5.13)$$

Substitution in Eq. (5.12) results in

$$I_o(t) = K \frac{(p - x) \cos \omega t + x \sin \omega t}{1 + c'p/C_3} = \hat{I} \cos(\omega t + \varphi) \quad (5.14)$$

where K is a constant. The phase angle satisfies the relation

$$\varphi = \arctan \frac{x}{p - x} \quad (5.15)$$

The phase varies with displacement in a slightly nonlinear way (Fig. 5.8). Stray fields cause further deviations from linearity, but even with guarding the relation is essentially nonlinear. However, by modifying the electrode shapes, it is possible to get an almost linear relationship.

Capacitive displacement sensors can also be configured in a cylindrical configuration, as in Fig. 5.3C. The principle is the same, but a cylindrical set-up is more compact, has less stray capacitances, and therefore a better linearity. This type of

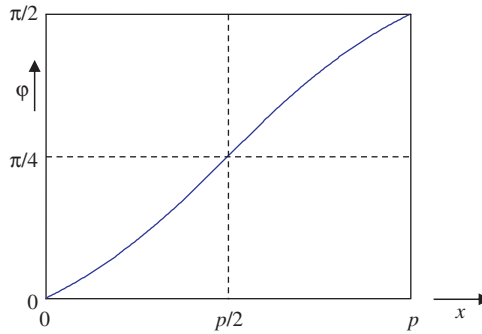


Figure 5.8 Phase of the output current versus displacement.

capacitive sensor is called a linear variable differential capacitor (LVDC). The sensor exhibits extremely good linearity (better than 0.01%) and a low-temperature sensitivity (down to 10 ppm/K).

The rotational version of the LVDC is called RVDC (rotational variable differential capacitor). It is based on the same principle but configured for angular displacements. Angular sensitivity is obtained using triangularly shaped electrodes. Further specifications on these sensors are given in the overview table (Table 5.2) in Section 5.4.

The lower limits of linearity, stability, and accuracy of these precision sensors are set by the air humidity: water vapor in the air may condensate in edges and small gaps of the construction (capillary condensation), thereby locally replacing air (with dielectric constant of about 1) by liquid water (with $\epsilon_r \approx 80$, see Table 5.1). Even at temperatures above dew point, water vapor may condensate due to contamination: hygroscopic particles attract water molecules and act as condensation nuclei. This means that for the highest performance the temperature of the sensor should be kept well above the dew-point temperature.

5.2.2 Multiplate capacitive sensors

The measurement range of the linear capacitive sensors discussed so far is limited to approximately the width of the moving plate (Figs. 5.5–5.7). However, the range can simply be extended by repeating the basic structure of the previously discussed configuration. Fig. 5.9A shows this concept [8]. It consists of an array of sections similar to the one in Fig. 5.7. When the moving plate approaches the end of one section, it simultaneously enters the next section.

The fixed electrodes in the array are connected to sine wave voltages with phase differences of $\pi/2$. When the moving plate travels along four successive sections, the phase of the voltage on this plate changes from 0 to 2π (Fig. 5.9B). This is repeated for each group of four electrodes. Hence the output phase changes periodically with displacement, with a period of four times the plate pitch p . An

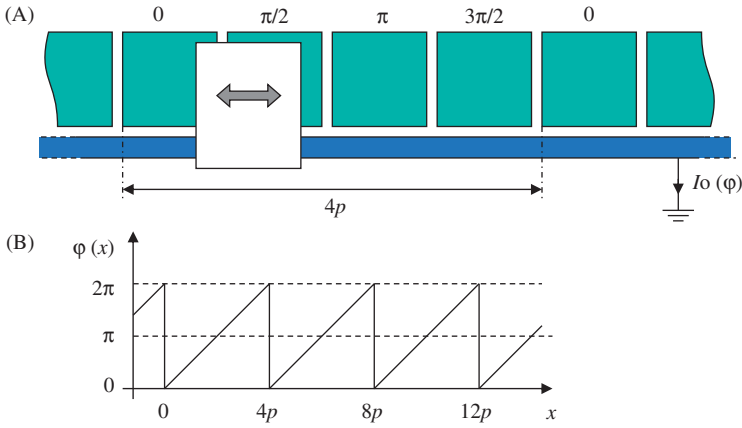


Figure 5.9 Basic set-up of a multiplate capacitive displacement sensor with phase read-out: (A) range extension by multiple electrodes; (B) linearized transfer characteristic.

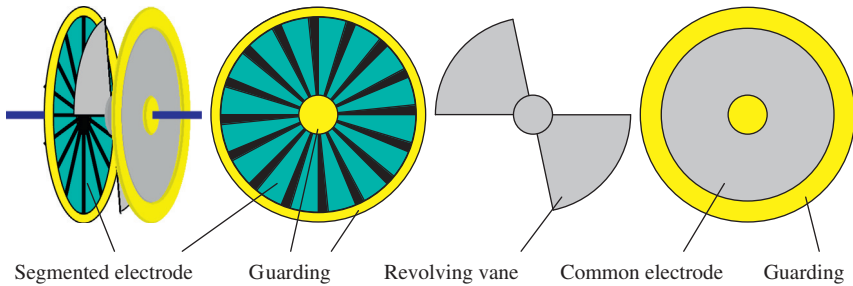


Figure 5.10 Basic structure of a capacitive multiplate angular sensor; the vane rotates between the fixed segmented and common electrodes.

unambiguous output is obtained by keeping track of the number of passed cycles, using an incremental counter.

The straight lines in Fig. 5.9B are actually four s-shaped curves from Fig. 5.8 in series, but nonlinearity can be compensated for by appropriate shaping of the fixed electrodes. Phase differences can be measured with high resolution, down to 0.1 degree. By periodically repeating the structure, a large range is obtained combined with high resolution. A well-known application is found in some electronic calliper rules: these have a range of about 20 cm and a resolution of 0.01 mm.

Capacitive angular sensors operate in a similar way (Fig. 5.10). The fixed segmented plates are arranged in a circular array and the moving plate is a vane connected to the rotating shaft.

The challenge of the designer is to obtain the highest possible resolution over a fixed range of 2π rad. Various solutions have been proposed, for instance multiple electrodes on the moving part. Evidently, guard electrodes are indispensable here to

reduce stray fields. The resolution of capacitive multiplate angular sensors can be as high as 18 bit or 5 s of an arc [9].

5.2.3 *Silicon capacitive sensors*

Silicon micromachining technology offers the possibility to construct extremely small capacitive sensors. The technology allows the fabrication of very thin membranes, similar to piezoresistive sensors as described in Chapter 4, Resistive sensors. Instead of adding piezoresistors to the spring element, the flexible membrane is opposed to a fixed flat electrode, building a miniaturized flat-plate capacitor. An applied force or pressure difference causes the membrane to deflect, resulting in a capacitance change that can easily be measured. Advances in the technology of MEMS have resulted in the realization of microsensors for other quantities as well, for instance, acceleration. These systems now find widespread applications in automotive and other large volume market segments.

These technologies are highly attractive for accelerometers, rate gyroscopes, and (gas) pressure sensors but less for force sensors because of the susceptibility to mechanical damage of the tiny movable parts. Accelerometers get much attention because the force is introduced simply by the inertia of a seismic mass fabricated on the same chip. Capacitive sensors for other measurands are also considered to be implemented in MEMS technology, for example, the angular sensor is discussed in Ref. [10].

From a technological point of view, however, a capacitive sensor is more difficult to realize compared to its resistive counterpart. The surfaces of the various parts making up a capacitance should be provided with a conducting layer acting as one of the capacitor's flat plates. Furthermore, the spacing between the plates should be made small, to obtain a reasonable capacitance and sensitivity, and the alignment of the two electrodes is also a critical processing step.

5.2.3.1 *Silicon pressure sensors*

The first silicon capacitive pressure sensors consist of a stack of three layers: a support layer, a membrane (etched silicon), and a top layer with the fixed counter electrode. The support layer and top layer can be made from silicon or glass. The electronic circuitry resides on the membrane chip or the (silicon) top layer. The layers are connected using special techniques. Fig. 5.11 shows some examples [11–13] of integrated capacitive pressure sensors (vertical scale much larger than horizontal scale). In the configuration in Fig. 5.11B, the electronics and the membrane are made from separate wafers, to enhance technological freedom: the processes for etching membranes and deposition of electronic circuitry are not fully compatible. The figures show only a general layout; details can be found in the cited papers.

A large variety of alternative structures have been proposed over the last *decennia*. In Ref. [14] the membrane chip is positioned flipped at the top, whereas a reference capacitance is included in the structure. A structure without separate

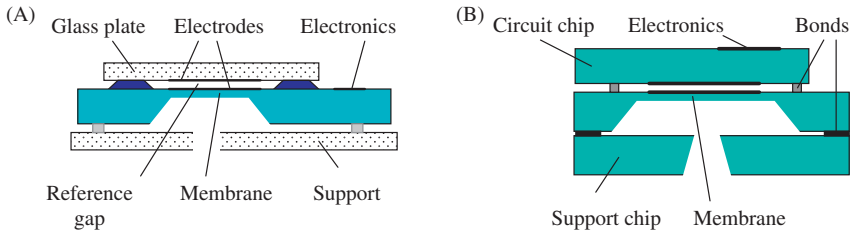


Figure 5.11 Basic structures of integrated capacitive pressure sensors: (A) with glass top plate and (B) with three silicon layers.

Source: From (A) After C.S. Sander, J.W. Knutti, J.D. Meindl, A monolithic capacitive pressure sensor with pulse-period output. *IEEE Trans. Electron Devices* ED-27 (5) (1980) 927–930; Y.S. Lee, K.D. Wise, A batch-fabricated silicon capacitive pressure transducer with low temperature sensitivity. *IEEE Trans. Electron Devices* ED-29 (1) (1982) 42–56; (B) After A. Hanneborg, T.-E. Hansen, P.A. Ohlckers, E. Carlson, B. Dahl, O. Holwech, An integrated capacitive pressure sensor with frequency-modulated output. *Sens. Actuators A* 9 (1986) 345–351.

top layer is described in Ref. [15]. Both capacitor plates are constructed on the membrane chip using surface micromachining. The gap is realized by selective etching of a so-called sacrificial layer, positioned between the two electrode layers. Here, too, a reference capacitor (insensitive to pressure) has been integrated.

Due to the small dimensions of the structures (in the order of millimeters), the capacitance value is small too, and the change caused by the measurand is even smaller. A larger (initial) capacitance may be realized by reducing the gap distance between the plates. However, electrostatic (attractive) forces between the plates can easily result in “buckling” and short-circuiting of the capacitor. The alternative is to increase the effective surface area. This can be realized by a supporting dielectric structure, as is applied in Ref. [16]. The sensor is made up of two processed wafers, put together face-to-face and resulting in a narrow gap between them. After bonding the two wafers, the substrate of the top layer is removed by selective etching, leaving a thin membrane (2.5 μm) as the remainder of the top wafer. The membrane is supported by a hexagonal grid of a dielectric material that prevents it from collapsing. In this way a relatively large area combined with a narrow gap is achieved, and hence a larger capacitance and sensitivity can be obtained than with other techniques.

5.2.3.2 Silicon accelerometers

Many *accelerometers* are based on capacitive sensing techniques, for instance the accelerometer with feedback as introduced in Chapter 3, Uncertainty aspects. A few *decennia* ago it was shown that the complete system can be integrated on silicon, resulting in a small, easy-to-mount device [17].

Capacitive accelerometers made in MEMS technology are basically structured as given in Fig. 4.23 (a piezoresistive accelerometer): they consist of a seismic mass suspended on flexible beams. Instead of integrated piezoresistors, electrodes are deposited on proper places in the structure forming flat-plate capacitors. The mass moves due to an inertial force, resulting in a change in distance between the plates, and this causes the capacitance to change. By a special configuration with three or four masses and associated electrodes, it is possible to realize a 3D accelerometer in a single piece of silicon [18]. Together with the MEMS sensor, additional electronics, and signal processing, for instance voltage regulators or an ASIC (application-specific integrated circuit) and a temperature sensor for offset compensation can be housed in a small package [19].

Micromachined acceleration sensors suffer from small capacitances too and hence have low sensitivity. A larger (initial) capacitance might be realized by a dielectric support structures (see the preceding section on pressure sensors). Another way is to increase the effective surface area. This is realized in MEMS technology, allowing the construction of so-called comb structures. Fig. 5.12 shows the basic configuration.

When the two combs move relative to each other in the direction of the arrow, the effective surface area of the capacitor changes. The more fingers, the larger the surface area and the higher the capacitance. Such structures, and even more complicated configurations, can be readily made using advanced MEMS technology. The same structure is also used for capacitive actuators, so-called comb drives.

5.3 Interfacing

5.3.1 Interfacing with analog circuits

In high-resolution sensors and microsensors, the capacitance changes that have to be measured can be extremely small (down to fractions of a femtofarad or 10^{-15} F).

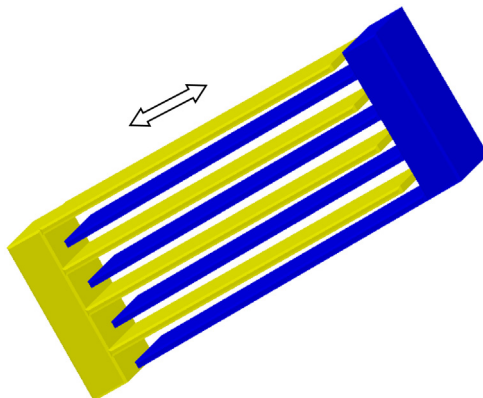


Figure 5.12 Principle of interdigitated fingers (comb structure) in MEMS technology.

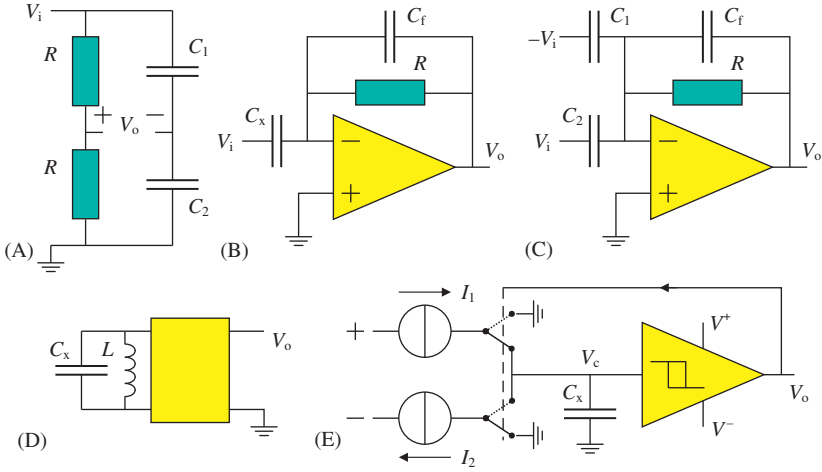


Figure 5.13 Methods for the measurement of capacitance changes: (A) bridge; (B) and (C) current–voltage measurement—single and differential; (D) frequency measurement; (E) time measurement.

Adequate interfacing is required to preserve the intrinsic performance of the sensor system. We discuss four major analog interface methods for capacitive sensors (Fig. 5.13):

1. impedance measurement (preferably in a bridge),
2. current–voltage measurement (with operational amplifier),
3. frequency measurement (C determines the frequency in an LC -oscillator), and
4. time measurement (charging and discharging C with constant current).

For each of these methods, we give a brief analysis (see Appendix C for the transfer of operational amplifier configurations). The bridge transfer (Fig. 5.13A) is:

$$\frac{V_o}{V_i} = \frac{1}{2} - \frac{C_1}{C_1 + C_2} = \frac{1}{2} \cdot \frac{C_2 - C_1}{C_1 + C_2} \quad (5.16)$$

In a single sensor configuration, $C_1 = C + \Delta C$ and $C_2 = C$, hence

$$\frac{V_o}{V_i} \approx - \left(\frac{\Delta C}{4C} \right) \cdot \left(1 - \frac{\Delta C}{2C} \right) \quad (5.17)$$

The transfer is frequency independent but nonlinear. In a differential or balanced configuration, $C_1 = C + \Delta C$ and $C_2 = C - \Delta C$, thus

$$\frac{V_o}{V_i} = - \frac{\Delta C}{2C} \quad (5.18)$$

Clearly, the differential mode yields better linearity and a wider dynamic range (see also Chapter 4, Resistive sensors, on resistance bridges). A proper measurement of the bridge output voltage requires a differential amplifier with high input impedance and high common mode rejection ratio.

Interface circuits based on current–voltage measurements are shown in Fig. 5.13B and C: the first for single mode operation and the second for differential mode operation. If resistance R is disregarded, the transfer function of the single mode circuit is

$$\frac{V_o}{V_i} = \frac{C + \Delta C}{C_f} \quad (5.19)$$

and for the differential mode:

$$\frac{V_o}{V_i} = 2 \frac{\Delta C}{C_f} \quad (5.20)$$

Again the advantage of a balanced configuration appears immediately from expression (5.20), which is linear, and the output is zero in the initial state (equal capacitances). The transfer is frequency independent if resistance R in Fig. 5.13C is left out. However, the circuit does not work properly in that case (see Appendix C) due to integration of the offset and bias currents of the operational amplifier. The resistance R prevents the circuit from running into overload but causes the transfer to fall off with decreasing frequency from about $f = 1/2\pi RC_f$. This means that the frequency of the interrogating signal V_i should be chosen well above this cut-off frequency.

When the maximum capacitance change is small, the feedback capacitance C_f should be chosen small too, for a reasonable gain of the circuit (as follows from Eq. (5.20)). However, stray capacitances (wiring, input capacitance of the amplifier) set a limit to the minimum value for C_f (to some pF). In integrated capacitive sensors, these stray capacitances are very small, allowing a small feedback capacitance, hence high gain.

The sensitivity is limited by the stability of the initial state (where the output should be zero). Neither the amplitude drift nor the frequency instability of the oscillator affects the zero stability since they are common to both differential sensors. The performance of the measurement is mainly limited by the phase difference between the two AC voltage sources. The output appears to be very sensitive for deviations from the required 180 degrees phase difference, a fact that can be used to create a sensitive capacitance-to-phase converter [20].

A simple though rather inaccurate method for measuring capacitance changes is the conversion to frequency, shown in Fig. 5.13D. The capacitance is part of an LC-oscillator circuit that generates a periodic signal with frequency

$$f = \frac{1}{2\pi\sqrt{LC_x}} \approx f_0 \left(1 - \frac{\Delta C}{2C}\right) \quad (5.21)$$

where f_0 is the frequency in the initial state. An advantage of the oscillator method is easy signal processing with a microprocessor: the frequency can be determined by simply counting the number of clock pulses during one cycle of the oscillator signal. Obviously, the other circuit elements must be stable and the oscillation process should be guaranteed over the entire capacitance range. A detailed analysis of a circuit based on this principle can be found in Ref. [21]. The interface contains a microprocessor-controlled feedback system with a voltage-controlled oscillator, which keeps the actuation frequency equal to the resonance frequency of the LC -circuit. This interface has a sensitivity of 100 Hz/fF and a resolution of about 0.1 fF.

An interface circuit that combines the advantages of a time signal output and high linearity is given in Fig. 5.13E, an example of a relaxation oscillator. The unknown capacitance is periodically charged and discharged with currents I_1 and I_2 . During charging, V_c increases linearly with time until the upper hysteresis level of the Schmitt trigger (Appendix C) is reached. At that moment the switches turn over and the capacitance is discharged until the lower hysteresis level is reached. The result is a periodic triangular output signal with fixed amplitude between the levels of the Schmitt trigger and a frequency related to the capacitance according to:

$$f = \frac{1}{2C_x V_s} \quad (5.22)$$

where V_s is the hysteresis range of the Schmitt trigger. The method yields accurate results because the frequency depends only on these fixed levels and the charge current.

5.3.2 Interfacing to embedded systems

In the previous section the sensing device is always a capacitor of which a particular parameter (A , d , or ε) changes due to a measurand. The alternative of this so-called internal capacitance is the external capacitance, that changes by human presence. In embedded systems a common way to measure capacitance is by measuring the time to charge or discharge this capacitor or capacitive sensor. The use of an RC -network allows the designer to set the order of magnitude of the measured charge time. This enables also a trade-off in implementation between sample time and resolution: a long measurement time allows for a high resolution (many time-steps) but also a low sample rate (caused by the time between measurements). The method is suitable for both external and internal capacitances.

Fig. 5.14 shows a schematic method for measuring externally caused variations in capacitance, using a microcontroller. One side of a capacitor is formed by a piece of conducting material, such as an antenna or section of a foil. One pin of the microcontroller is used to charge this capacitor; the other pin is used as digital input

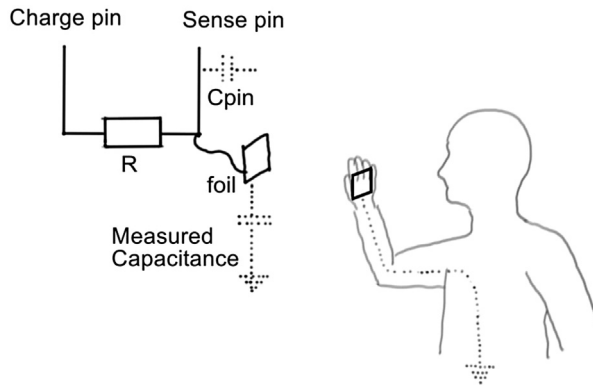


Figure 5.14 Sensing an external capacitance in an embedded system.

to detect the moment when this charge voltage exceeds a certain level, normally defined by the logic level of the digital input. In a 5 V microcontroller system such as the earlier discussed Arduino, this level lies around 2.5 V. This means that the capacitive sensor is charged until it reaches 2.5 V. After this the capacitive sensor can be fully discharged by making the detection pin an output, simply by short-circuiting the capacitor to completely discharging it. As soon as the capacitive sensor is completely discharged, the cycle can start again. Note that no analog voltage is measured, just the time it takes to fully charge the capacitor formed by the foil and the human presence.

A similar approach can be used for measuring an internal capacitance (Fig. 5.15). The resistance value R has to be chosen in a range such that the charge time can be measured with sufficient resolution by the microcontroller system. Appendix D shows some code examples and lists some libraries facilitating (external) capacitive sensing using an Arduino board.

The described method uses the microcontroller input- and output-pins to charge, discharge, and detect the voltage level of a capacitor. Instead of sensing a change in capacitance, the voltage used to charge the capacitor can be measured using this strategy. With fixed values for the capacitor and resistor, so a fixed value of RC , the charge voltage, will be determined by the input voltage. This approach allows for a simple, albeit nonlinear, analog to digital conversion, which can be implemented using a single microcontroller pin. Since the nonlinearity is highly predictable (it is a first-order RC-network), the relation between measured time and input voltage can be linearized.

Fig. 5.16 shows an Arduino board with breadboard, an RC-network (100 nF, 100 k Ω), and a potentiometer as analog sensor or voltage source. In this case the voltage is measured simultaneously with one of the analog to digital converter's input pins and with the RC-network connected to pin 2. By making pin 2 an output, the capacitor can be fully charged (or fully discharged). After switching back to input (high impedance), the capacitor will be discharged (or charged) by the sensor

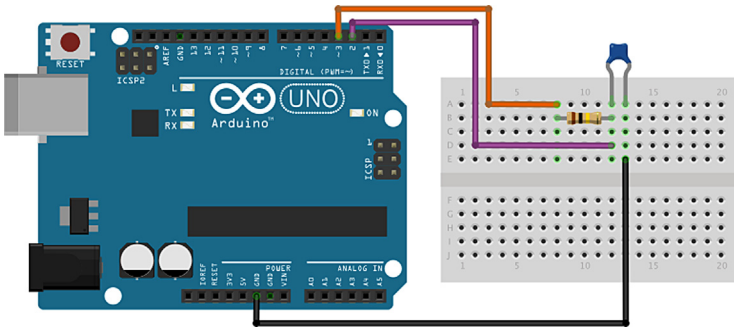


Figure 5.15 Measuring capacitance by an Arduino “image made with fritzing.org”.

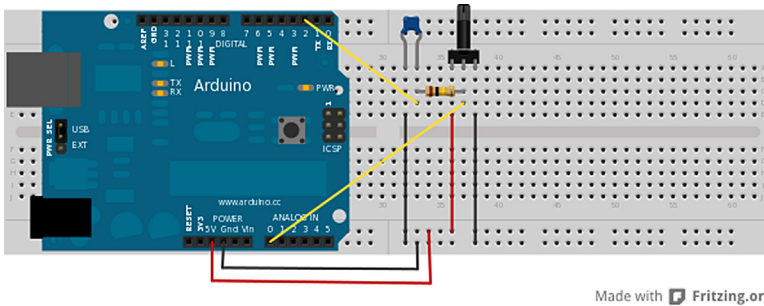


Figure 5.16 Arduino board measuring a voltage using an RC-network “image made with fritzing.org”.

voltage supplied by the potentiometer (acting as a position sensor here). The charge time t depends on $V(t)$, dictated by $V(t) = V_{\text{source}} \{1 - e^{-t/RC}\}$, with fixed values for R and C .

This strategy allows turning any regular microcontroller pin into an analog sensing pin; especially when the amount of analog inputs is limited (or unavailable) this approach might be beneficial. Commercially available optical array sensors like the one shown in Fig. 5.17 already have built-in capacitors for this very purpose, taking into account the usually limited availability of analog inputs on conventional microcontroller systems. Although the sensor is in the optical domain, the applied interfacing strategy is capacitive.

5.4 Applications

5.4.1 Capacitive sensors for position- and force-related quantities

Capacitive sensors are suitable for the measurement of all sorts of position-related quantities: displacement, rotation, speed, acceleration, and tilt. Combined with an

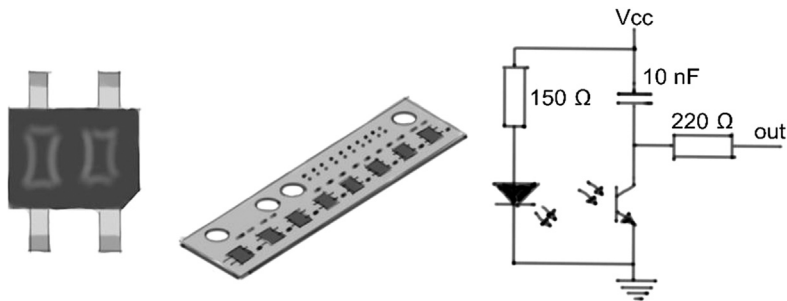


Figure 5.17 Optical array sensor with built-in capacitive sensing.

Table 5.2 Typical specifications of various capacitive sensors

Type	Range	Resolution	t.c. gain (K ⁻¹)	T _{max} (°C)	Bandwidth (Hz)
LVDC	2.5–250 mm	1(0.01) μm	10 ⁻⁴	80	250
RVDC	70°	0.1 arcsec		150	
Acceleration (feedback)	± 0.5 · · · ± 150 g	10 ⁻⁶ g	10 ⁻⁴	125	300
Tilt	± 80°	0.01°		80	

elastic element, force, pressure, torque, and mass sensors can also be realized using capacitive principles. Most of these sensors are based on Eq. (5.1), indicating the relation between the capacitance value and a geometric parameter (e.g., effective surface area and plate distance). Capacitive sensors are particularly suitable for applications with high demands (for instance extreme temperatures). The mechanical construction is simple and robust. Furthermore, extremely small capacitance changes (down to 1 fF) can be measured with simple interface circuits. Finally, stray capacitances and other capacitances due to wiring and amplifiers can easily be eliminated using guarding, virtual grounding, and proper interfacing.

As with all displacement sensors, capacitive displacement sensors can be modified to make them suitable for the measurement of angular rate, acceleration, force, torque, mass, and pressure. Differential configurations are recommended and, where appropriate, a feedback principle as well, to reduce intrinsic sensor errors (refer to Chapter 3, Uncertainty aspects, for a capacitive accelerometer based on feedback). Table 5.2 resumes some specifications of commercial capacitive sensors for various measurement quantities.

Using the circular multiplate capacitor discussed in Section 5.2.2, angular position and angular speed can be determined with high accuracy, as has been demonstrated in many papers, for instance in Refs [22–24]. With a careful design, angular position sensors can have a resolution of 18 bit, a reproducibility of 17 bit

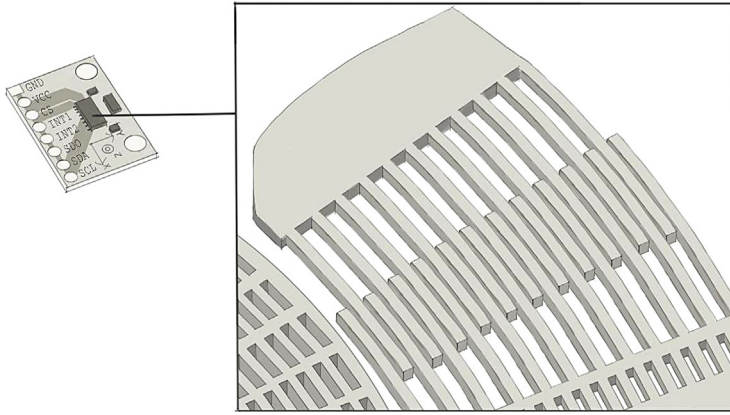


Figure 5.18 Multiaxis accelerometer on a breakout board with internal MEMS structure.

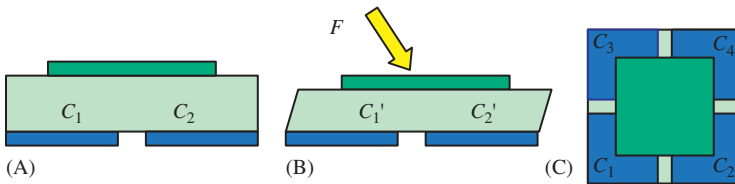


Figure 5.19 3D force sensor layout with elastic dielectric: (A) and (B) cross section; (C) top view.

and an absolute accuracy better than 14 bit. A micromachined contact-free angle sensor was already mentioned in [Section 5.2.3](#) [10]: this angle sensor combines an inductive principle for the transduction from angle (with respect to a fixed magnetic field) to the deflection of a polysilicon micromass and the capacitive measurement of this deflection. A planar micromachined *gyroscope* is described in Ref. [25] with a balanced capacitive read-out circuit. A graphical impression of this micromachined structure as can be found in gyroscopes and accelerometers is shown in [Fig. 5.18](#).

With capacitive sensors, the *torque* on (rotating) axes can be measured [26–28]. In some of these applications the sensor on the axis is purely passive, which means that no power supply on the axis is needed. The information transfer to and from the axis is accomplished either inductively or with pure capacitive techniques.

The capacitive *mass* sensor in Ref. [29] uses a metal spring as the elastic element; this sensor is designed for a low-temperature sensitivity, for which thermally stable materials have been selected. The capacitive *force* sensor in Ref. [30] contains an elastic dielectric; its basic configuration is depicted in [Fig. 5.19](#).

It is sensitive in two shear directions and the normal direction. At zero force, all four capacitances $C_1 - C_4$ are equal. A pure normal force will increase each capacitance equally, and a pure shear force results in an increased difference between

adjacent capacitances (differential method), according to the direction of the applied shear force. The interface should be able to distinguish between these force components.

5.4.2 Sensing applications using internal capacitances

In this section examples of capacitive measurements for a variety of applications are discussed, illustrating the versatility of the capacitive sensing method. Selected measurands are distance, displacement, thickness, rotation, strain, force, level, and flow. Some special applications concern the detection of persons and rain on a car's windscreen.

Capacitive sensors offer the possibility of highly accurate *distance* or *displacement* measurements, as in controlled x – y tables. A capacitive sensor designed for product inspection is given in Ref. [31]. The goal is to measure the inner diameter of cylinders using a co-ordinate measuring machine with touch probe. In this work the bending of the touch probe (a thin stylus) is determined by capacitive means. The method allows measuring of internal diameters less than 0.3 mm, with an accuracy of 1 μm .

Coating *thickness* can be measured in several ways. For a nonconductive coating on a conductive plate, the capacitive method is suitable to measure the thickness of such a coating. An example of this method is given in Ref. [32], proposing a capacitive probe and giving an analysis of the various sources of errors.

In Section 5.2.2 multiple plate capacitive sensors were discussed, to enlarge the range of a (linear) displacement sensor. An alternative solution is given in Ref. [33], where a configuration is presented similar to the inductosyn given in Chapter 6 on inductive sensors. The sensor consists of two printed circuit boards (PCBs), each provided with an array of electrodes (transmitter and receiver), able to slide linearly over each other as in an optical encoder. The signal transfer from transmitter to receiver is determined by the configuration of the electrodes and shows a triangular course with displacement. Although realized with simple technology (e.g., PCBs, copper electrodes, and coating), the resolution of the sensor appears to be about 126 nm, over a range of 20 mm.

Not only linear displacement but also *rotation* can be measured accurately by capacitive means. The classical example is the “trimmer” or rotary capacitor, which uses a series of parallel plates with overlapping region A. Rotating one set of the plates will change the total active surface area of the capacitor, shown in Fig. 5.20. An example with segmented electrodes was given in Fig. 5.10. A modified version is presented in Ref. [34], having a completely isolated rotor. The main advantage of this design is that no electrical connections to the rotating part are needed.

A totally different application of a capacitive sensor is found in Ref. [35]: the measurement of tire *strain*. Car tyres are usually reinforced with layers of steel wires. When the tire is loaded, the resulting strain causes the distance between these wires to increase. Hence, the capacitance between the wires changes accordingly. The paper describes how to measure this capacitance change. The interface is based on an oscillator (like in Fig. 5.13D), but instead of an LC-oscillator, an

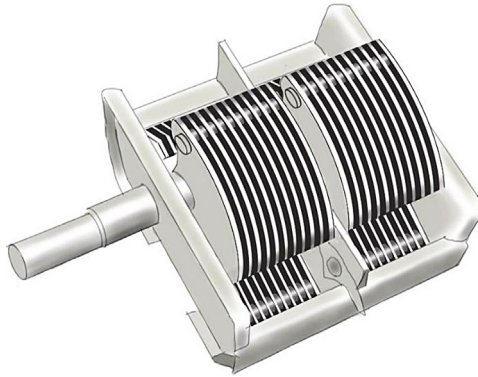


Figure 5.20 Rotary capacitor.

RC-oscillator is chosen. Wireless read-out allows strain measurement during rotation of the wheel with tire. Another approach to measure tire strain using a capacitive method is described in Ref. [36]. The authors have fabricated a particular strain gauge, consisting of a pair of interdigitated flat electrodes on a flexible polyimide carrier. The gauge, glued onto the inside tire, responds to strain by a change in capacitance [37].

As mentioned before, capacitive sensors can also be applied for *force* and *pressure* measurements. A particular application is on-line weighing of (for instance) cars [38]. The sensor consists of two rubber layers sandwiched between (three) conductive sheets. When pressed, the distance between the conductive sheets becomes smaller, producing an increase in capacitance. The sensor is flexible, light-weight, and easy to carry. It can simply be positioned on the road and is capable of dynamically measuring the weight of a (slowly) passing car.

Thus far we have used the geometric factor G in Eq. (5.5) as the basis for a capacitive measurement. The dielectric constant ε_r is another parameter determining the capacitance value of a capacitive structure and hence a workable method for sensing. Measuring liquid *level* in a tank is an obvious example. A pair of flat-plate electrodes hanging vertically in a tank represents a capacitance that depends on the dielectric constant of the fluid between these plates (Fig. 5.21A).

In an empty tank this is air (or another gas with $\varepsilon_r \approx 1$), in a full tank the liquid (for instance water with $\varepsilon_r \approx 80$). A partly filled capacitor can be conceived as two capacitors in parallel: one filled with the liquid up to the upper level (C_L), and one with air from the level to the top of the plates (C_A). The total capacitance is the sum of these two capacitances, and hence a measure for the level. Obviously, this method holds only for nonconducting liquids. Since water is both dielectric and conductive, at least one of the electrodes should be isolated from the liquid, and special measures have to be taken when the container is grounded, which is explained in Ref. [39]. By inserting two reference capacitors, one at the top (in air) and another at the bottom (in the liquid), as shown in Fig. 5.21B, the influence of several parameter variations can be eliminated [40]. Instead of one continuous

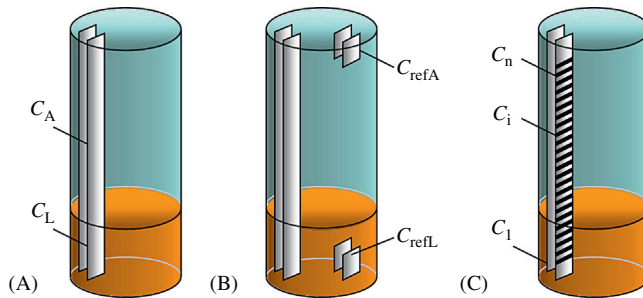


Figure 5.21 Capacitive level measurements.

capacitor, an array of smaller capacitors C_i can be conceived, resulting in a discrete output (Fig. 5.21C). In Ref. [41] such a solution is presented, with a resolution of 0.1 mm in level. Furthermore, Ref. [42] shows how a capacitive sensor structure can be used to detect unbalanced load in a washing machine.

The capacitive method makes it possible to detect water drops on a windscreen: a *rain sensor*. It consists basically of a pair of flat coplanar electrodes; the dielectric is composed of three parts: at the back side glass, at the front side air and/or water. To be less sensitive to temperature variations and other possible common mode interferences, a differential structure is preferred: one capacitor is exposed to water, the other is not and serves as a reference capacitor [43].

The dielectric constant of a human being differs substantially from that of air, which makes it possible to capacitively detect the presence or passage of *persons*. Pavlov et al. [44] describe a configuration with small electrodes located in a doorway, giving a capacitance change of a few tenths pF when a person passes. The interface is based on the oscillation method and with special signal processing it is also possible to extract particular features of the passing person, for instance high or low speed and direction. George et al. [45] discuss a capacitive method to detect the presence of a person on a car seat. The seat is provided with a set of electrodes in the seat as well as the back rest. The sensor configuration enables the discrimination between an adult and a child, and between persons and objects (for instance bottles with water). Another capacitive technique for occupancy detection is presented in Ref. [46]. The method is based on an impedance model of biological tissue, being part of the dielectric between two electrodes in the seat. The measured impedance parameters are compared with the model parameters, from which information about the occupancy is derived. An extension to *position* of humans is presented in Ref. [47]; large flat electrodes are integrated in the floor and walls of a 2×2 m room. A detailed analysis of the changes in the various capacitors building up this configuration shows that it is possible to locate persons with reasonable resolution. This approach of human presence sensing differs from the approach that will be described in Section 5.4.3 where external variation in capacitance is measured, caused by human presence. In that situation the human is actively forming part of the capacitor—or a parallel capacitance to the existing one.

One of the many ways to measure *flow velocity* of a substance moving through a pipe is correlation: two sensors placed a distance d apart from each other measure some property of the flowing substance, for instance reflection (scattering), acoustic noise or thermally induced temperature variations. The cross correlation of the (noisy) signals is maximal for a delay time equal to $\tau = d/v$ from which the velocity is determined. An implementation using a capacitive principle is found in Ref. [48], applied for a flow of granular solid. Two ring-shaped electrodes are mounted at the outside of the pipe, a distance d apart and a third electrode just midway between them. Due to the nonhomogeneity of the flowing material, the capacitances of these two capacitors show random fluctuations. The flow velocity is found from the cross correlation of the two capacitance signals.

A magnetic flux can also be measured using a capacitive principle [49]. A varying magnetic flux in a (ferromagnetic) material produces eddy currents (see Chapter 6), which in turn create a voltage difference across the material. This voltage can be measured using, for instance, the needle method: two needle-like probes are pressed on the surface of the material under test, and the potential difference is a measure for the eddy currents and hence the magnetic flux. To be able to measure in this way, the needles must make electrical contact, so any possible isolating coating should be removed at the contact places. In Ref. [49] the needles are replaced by conductive pads acting as pick-up electrodes: the voltage is coupled capacitively to the measurement instrument. Calculations show that the voltages are small (mV range) but measurable, if precautions are taken as outlined in the previous sections: differential configuration, guarding, and proper filtering.

A common application of a capacitive sensor in consumer products is the condenser or electret microphone. This microphone functions as an electrostatically charged device, using permanently charged material. Commercially available electret microphones need a simple circuit consisting of a resistor to supply a bias voltage, together with a capacitor for decoupling any DC offset (see Fig. 5.22). By changing the distance between two charged plates, one being stationary, one being the diaphragm that is moved through air pressure, the capacitance of the sensor

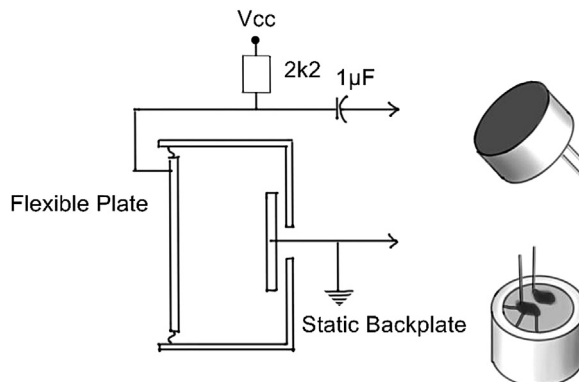


Figure 5.22 Schematic drawing of a condenser microphone and a physical component.

changes. This change can be measured and amplified. Although size and characteristics might vary, the main application for these microphones lies in the audio domain, that is, 50–20,000 Hz.

This section clearly shows that the capacitive sensing principle can be applied in numerous situations. The configuration is simple, a balanced mode is preferred, and proper interfacing may reduce the influence of stray and cable capacitances on the sensitivity and stability of the transfer.

5.4.3 Sensing applications using external capacitances

By changing internal parameters such as plate size (overlapping surface area), inner-plate distance, and dielectric properties, the capacitance value of the capacitor changes, turning the capacitor into a sensor. Besides this “internal” sensing, as discussed in Section 5.4.2, a capacitor can also be used as a sensor for external variations in capacitance, caused by the presence of other objects. Instead of the presence of external objects, also human presence, that is, the position of hands or fingertips, can be measured. This section discusses some examples of external sensing.

The classical example of a device sensing external variations in capacitance is the Theremin organ. Invented and patented in 1928 by the Russian inventor Léon Theremin [50], this device is also among the first electronic instruments ever designed. By changing the position of the player’s hands with respect to two antennae, volume and pitch of the generated tone are changed. The antennae form part of capacitors in LC-oscillator circuits. By changing the capacitance C , the frequency of the oscillator changes, according to Fig. 5.13D. Fig. 5.23 shows a modern design of the classic instrument.

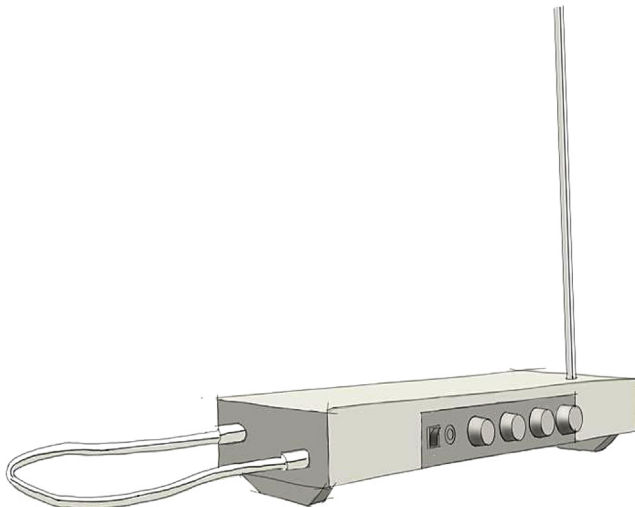


Figure 5.23 A reincarnation of the classic organ by Theremin.

The fact that, using capacitive sensors, the proximity of fingers or a hand can be detected without making electrical contact makes it an ideal technology for measuring human input. For example in situations where input (i.e., pressing a button) is necessary in an environment that is wet, or needs to be sterile, capacitive touch sensors can provide a solution.

The antenna shown in Fig. 5.23 can detect proximity of a human hand—the instrument, however, requires frequent calibration. The amount of charge, ground contact, and capacitance of the player may change over time, even during play.

By designing patterns whose capacitance change by imposing human presence, this negative side effect can be omitted. A nice example is the capacitive “click wheel” that has been used as rotational input device on audio players, shown in Fig. 5.24. This wheel consists of a number of foil segments, the capacitance of each is sensed with respect to the others.

The principle of a simple linear slider is shown in Fig. 5.25. By taking the difference between two segments and comparing that number with the total measured capacitance, a reliable indication of the finger position can be given. The position is relative to this change, that is, $(A - B)/(A + B)$.

A capacitive touch-sensor can be manufactured using a grid of conductive strips, manufactured transparently in glass. This sensor can be placed on top of an LCD display, thus forming a touchscreen that is widely used for human input in devices such as smartphones. A schematic overview of such a touchscreen is given in Fig. 5.26. This array of small capacitors is influenced by the presence of human fingers, and, contrary to the resistive touchscreen described in the previous chapter, can detect presence of multiple fingertips at the same time. The signal processing that is needed to determine the position of the fingertips bears similarities with image processing. It requires techniques such as thresholding, dilation, and blob-tracking to accurately measure and follow the motion of (multiple) fingertips. A discussion of those techniques is beyond the scope of this book.



Figure 5.24 Audio player with capacitive input device.

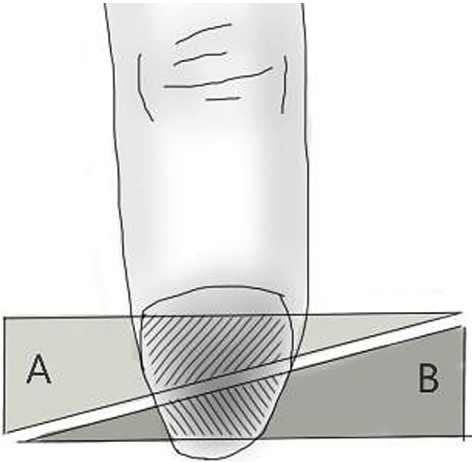


Figure 5.25 Measuring the finger position by a capacitance difference; the *shaded area* forms two capacitors A and B.

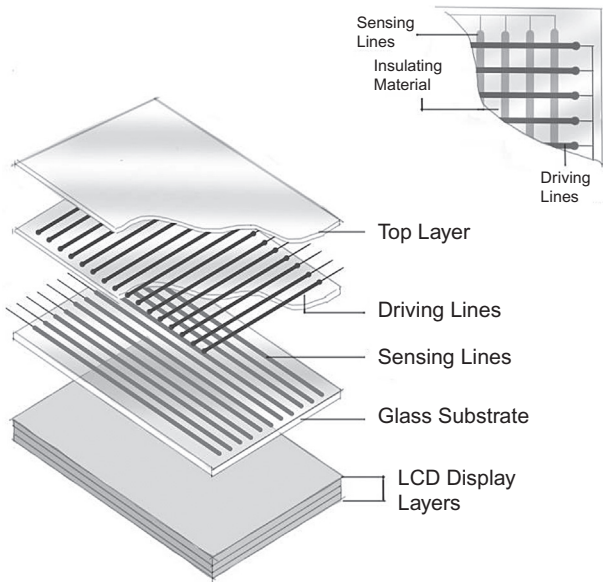


Figure 5.26 Schematic overview of the construction of a capacitive touchscreen.

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Inductive sensors employ variables and parameters like magnetic induction B , magnetic flux Φ , self-inductance L , mutual inductance M , or magnetic resistance R_m . By a particular construction of the device, these quantities are made dependent on an applied displacement or force. First we review various magnetic quantities and their relations. Next the operation and the specifications of the major types of magnetic and inductive sensors are reviewed. Special attention is given to transformer-type sensors. This chapter concludes with a section on applications.

6.1 Magnetic and electromagnetic quantities

6.1.1 Magnetic field strength, magnetic induction and flux

The magnetic field strength H , generated by a flow of charged particles, is defined according to

$$I = \oint_C H \cdot dl \quad (6.1)$$

where I is the current passing through a closed contour C (Fig. 6.1A). The quantities H (A/m) and dl (m) are vectors. For each configuration of conductors carrying an electric current the field strength in any point of the surrounding space can be calculated by solving the integral equation (6.1). A current I through a long, straight wire produces a magnetic field with strength $H = I/2\pi r$ at a distance r from the wire. So the field strength is inversely proportional to the distance from the wire. The field lines (lines of equal field strength) form concentric circles around the wire, so the vector direction is tangent to these circles (Fig. 6.1B).

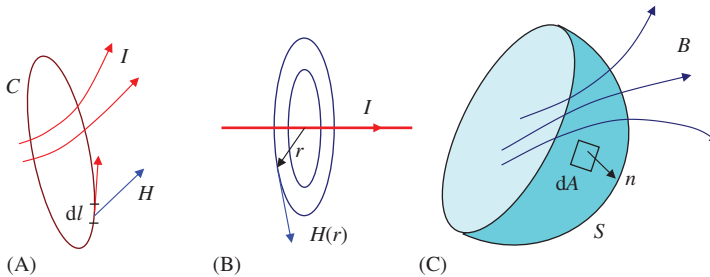


Figure 6.1 (A) Magnetic field generated by current I , (B) calculation of field strength due to a straight wire carrying a current I , and (C) calculation of magnetic flux.

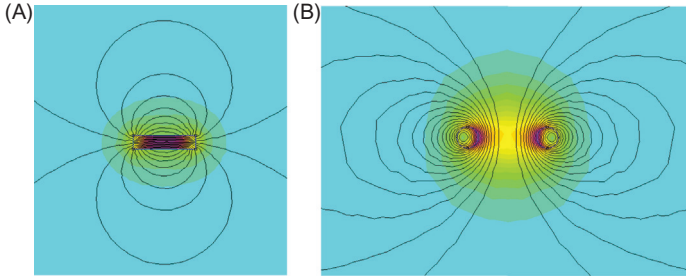


Figure 6.2 Examples of magnetic fields obtained by FEM analysis: (A) a permanent magnet and (B) a single turn with DC current 1 A.

Only for structures with a strong symmetry, simple analytical solutions can be obtained. Magnetic fields of practical devices and shapes are studied using finite element method (FEM). The space is subdivided in small (triangular) areas and for each area the equations are solved numerically. FEM programs calculate the field strength and direction over the entire region of interest; the results are visualized in color or gray-tone pictures or with “field lines.”

As an illustration, Fig. 6.2 shows the magnetic field of a permanent magnet and of a wire loop with a DC current. The FEM program also shows the numerical values of the field quantity in each point of the space enclosed by a specified boundary.

Evidently a stronger field strength can be obtained by increasing the current. However, a more efficient method is to make multiple turns of the wire. Each turn carries the current I , thus contributing to the field strength. For example the field inside a coil with n turns is proportional to the product of n and I . The product nI (expressed as ampere-turns) is a measure for the strength of such a magnetic source.

Other quantities that describe magnetic and induction phenomena are the magnetic induction B (unit Tesla, T, kg/As^2) and the magnetic flux Φ (unit Weber, $\text{Wb} = \text{kg m}^2/\text{As}^2 = \text{Tm}^2$). By definition the flux is

$$\Phi = \iint_S B \cdot dA \quad (6.2)$$

In words the flux is the inner vector product of the magnetic induction vector and a surface patch dA , integrated over the total area S for which the flux is calculated (Fig. 6.1C). In particular for a homogeneous field that makes an angle α with the normal on a flat surface A , the flux is

$$\Phi = B \cdot A \cdot \cos\alpha \quad (6.3)$$

When the surface is in parallel to the field ($\alpha = \pi/2$), there is no flux through that surface. The flux is maximal through a surface perpendicular to the field ($\alpha = 0$).

The magnetic field strength of (permanent) magnets is expressed in terms of magnetic induction B (so in Tesla) rather than of H . For instance the Earth's magnetic field strength is about $60 \mu\text{T}$, and the strengths of permanent magnets range from 0.01 to 1 T.

Free charges moving in a magnetic field experience a Lorentz force, driving them into a direction according to the well-known right-hand rule:

$$F_l = q(v \times B) \quad (6.4)$$

This happens also with the free electrons in a conductor that moves in a magnetic field. The movement results in a potential difference, the induction voltage, across the conductor and satisfies the equation

$$V_{\text{ind}} = - \frac{d\Phi}{dt} \quad (6.5)$$

which is the induction law of Faraday. In an open wire loop, moving in a magnetic field, the induction voltage appears between both ends of the wire; the current through the wire is zero. In a closed loop the induced voltage causes a current equal to V_{ind}/R , where R is the resistance of the wire loop. From Eq. (6.5) it follows that the induced voltage differs from zero only when the flux changes with time. At constant flux the induced voltage is zero. The definition of flux in Eq. (6.5) links the units volt (V) and Weber (Wb).

6.1.2 Permeability

The magnetic quantities H and B are related by the equation

$$B = \mu H = \mu_0 \mu_r H \quad (6.6)$$

The quantity μ is the (magnetic) permeability; μ_0 , the permeability of free space, equals $4\pi \times 10^{-7} \text{ Vs/Am}$ by definition. The relative permeability μ_r is a material property (compare ε_r for a dielectric material). For vacuum $\mu_r = 1$, for gases and many nonferrous materials it is very close to 1. The permeability of ferromagnetic materials is much higher, but strongly nonlinear; at higher values of H the material shows saturation and hysteresis. Table 6.1 shows some values of the static permeability for several materials, as well as values for the saturation induction. Mumetal is often used for shielding system parts that are sensitive to magnetic fields. The nonlinearity of μ_r is employed in, for instance, fluxgate sensors (Section 6.2.3).

6.1.3 Eddy currents

Any conductor in a nonstationary induction field experiences induction voltages. This holds not only for wires (where it is used for the generation of electric currents) but also for bulk material (as the iron cores of transformers and electric

Table 6.1 Permeability of various construction materials [1,2]

Material	μ_r (max)	B_{sat} (T)
Vacuum	1	
Pure iron	5000	2.2
Transformer steel	15,000	2.0
Mumetal ($\text{Fe}_{17}\text{Ni}_{56}\text{Cu}_5\text{Cr}_2$)	100,000	0.9
Superalloy ($\text{Fe}_{16}\text{Ni}_{79}\text{Mo}_5$)	1,000,000	0.8

Table 6.2 Comparison between the electrical and the magnetic domain

Electrical domain	Magnetic domain	
$E = \frac{1}{\sigma} \cdot J$	$H = \frac{1}{\mu} \cdot B$	(6.7)
$V = \int E \cdot dl$	$n \cdot I = \int H \cdot dl$	(6.8)
$I = \iint J \cdot dA$	$\Phi = \iint B \cdot dA$	(6.9)
$V = R_e \cdot I$	$n \cdot I = R_m \cdot \Phi$	(6.10)
$R_e = \frac{1}{\sigma} \cdot \frac{l}{A}$	$R_m = \frac{1}{\mu} \cdot \frac{l}{A}$	(6.11)

machines). The induced currents through such material follow more or less circular paths; therefore they are called eddy currents. They produce unwanted heat, so normally they are minimized by, for instance, increasing the resistance of the bulk material. In constructions with a lot of iron (e.g., transformers and electric machines), this is accomplished by laminating the material: instead of massive material the construction is built up of a pile of thin iron plates (lamellae) packed firmly together. Eddy current can only flow in the plane of the plates, but cannot cross the boundary between two adjacent plates. A useful application of eddy currents for sensors is described in [Section 6.3.3](#), the eddy current proximity sensor.

6.1.4 Magnetic resistance (reluctance) and self-inductance

The analogy between the description of magnetic circuits and electrical circuits is demonstrated by the equations in [Table 6.2](#).

Eq. (6.7) links the intrinsic and extrinsic field variables in the electrical and magnetic domain, respectively (Chapter 2, Sensor fundamentals). The electrical conductivity $\sigma = 1/\rho$ opposes the magnetic permeability μ . With Eq. (6.8) the field quantities E and H are converted to the circuit quantities V and I . Eq. (6.9) defines density properties, and Eq. (6.10) defines electrical and magnetic resistances, respectively. The latter is also called *reluctance*. Finally, Eq. (6.11) expresses the electric and magnetic resistances in terms of material properties and shape

parameters: l is the length of a device with constant cross-section and A its cross-section area.

In an electric circuit consisting of a series of elements the current through each of these elements is the same. Analogously the flux through a series of magnetic elements is the same. So the resistances (reluctances) of these elements can simply be summed to find the total reluctance of the series circuit.

The *self-inductance* of a magnetic circuit with coupled flux is found as follows. The induced voltage equals $V_{\text{ind}} = n \cdot (d\Phi/dt)$ (when there are n turns). Substitution of Φ using Eq. (6.9) yields $V_{\text{ind}} = (n^2/R_m) \cdot (dI/dt)$ and since $V = L(dI/dt)$ the self-inductance is

$$L = \frac{n^2}{R_m} = n^2 \cdot \frac{\mu A}{l} \quad (6.12)$$

So the coefficient of self-inductance (unit Henry, $H = \text{Wb/A}$) is proportional to the square of the number of turns and inversely proportional to the reluctance. Several sensors, based on a change in self-inductance and reluctance, will be further discussed in this chapter.

6.1.5 Magnetostriction

All ferromagnetic materials exhibit the magnetostrictive effect. Basically, it is the change in outer dimensions of the material when subjected to an external magnetic field. In the absence of an external field the magnetic domains (elementary magnetic dipoles) are randomly oriented. When a magnetic field is applied, these domains tend to line up with the field, up to the point of saturation. The effect is not strong: materials with a large magnetostriction (for instance Terfenol-D) show a sensitivity of about $5 \mu\text{strain}$ per kA/m , with a maximum strain between 1200 and 1600 μstrain at saturation [3].

The inverse magnetostrictive effect is called the Villari effect: a change in magnetization when the material is stressed. This effect is used in magnetostrictive force sensors, discussed in [Section 6.3.6](#).

6.2 Magnetic field sensors

This section presents various sensors for the measurement of magnetic field strength or magnetic induction. In most mechatronic applications the magnetic field is not the primary measurement: combined with a magnetic source (e.g., permanent magnet and coil) they are used to measure displacement quantities and (with an elastic element) force quantities. Such sensors are discussed in [Section 6.3](#). The sensors described in this section are coil, Hall sensors, fluxgate sensors, and magnetostrictive sensors. One of the most sensitive magnetic field sensors is the Superconducting QUantum Interference Device (SQUID). This sensor operates at

cryogenic temperature (liquid helium or liquid nitrogen) and is used mainly in medical applications and for material research. They are rarely used in mechatronics.

Most research on magnetic field sensors is focussed on Hall sensors and fluxgate sensors, in particular to reduce dimensions and fabrication costs, by applying MEMS technology and integration with interface electronics (see further [Section 6.4](#)). Sometimes innovative concepts are introduced, but the application to mechatronic systems requires further development. An example of such a new principle is given in Ref. [4]. The sensor proposed herein consists of two thin flexible plates (cantilevers); when magnetized by an external field, the repulsive force causes a displacement of one of the plates relative to the other, similar to the classic gold-leaf electrometer. In force equilibrium the displacement is a measure for the external magnetic field.

Combining [Eqs. \(6.3\) and \(6.6\)](#) gives for the flux through a magnetic circuit with n windings and area A

$$\Phi(t) = n \cdot A(t) \cdot \mu(t) \cdot H(t) \quad (6.13)$$

where all parameters may vary with time due to a time-varying quantity. A magnetic or inductive sensor can be based on a change in each of these parameters, resulting in an induction voltage equal to

$$V(t) = \frac{d\Phi(t)}{dt} = n \cdot \left\{ \mu H \frac{\partial A(t)}{\partial t} + A H \frac{\partial \mu(t)}{\partial t} + \mu A \frac{\partial H(t)}{\partial t} \right\} \quad (6.14)$$

The remainder of this section deals with four types of magnetic field sensors: the coil, Hall sensors, fluxgate sensors, and magnetostrictive sensors. Their principle of operation and performance is essentially based on this equation.

6.2.1 Coil

The most straightforward method for the transduction from magnetic field to an electric voltage is a coil: [Eq. \(6.5\)](#) relates the induced voltage in a coil to the magnetic flux. At first sight, only AC fields can be measured in this way since the induced voltage is proportional to the rate of change in flux. Static fields can nevertheless be measured, just by rotating the coil. Let the surface area of the coil be A and the frequency of rotation ω , then for a homogeneous induction field B , the induced voltage equals:

$$V(t) = -B \cdot A \cdot \frac{d\sin\alpha(t)}{dt} = -B \cdot A \cdot \omega \cdot \cos\omega t \quad (6.15)$$

With a rotating coil very small induction fields can be measured. Disadvantages of the method are movable parts, the need for brushes to make electrical connection to the rotating coil and for an actuator to procure rotation.

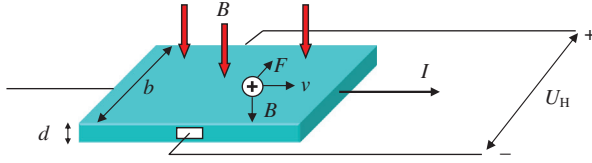


Figure 6.3 Principle of the Hall sensor.

6.2.2 Hall plate sensors

The Hall plate is based on the magnetoresistive effect. In 1856 W. Thomson (Lord Kelvin) discovered that a magnetic field influences the resistivity of a current-conducting wire (see also Section 4.5 on magnetoresistive sensors). Later this effect was named the Gauss effect. Only after the discovery of the Hall effect in 1879, by the American physicist E.F. Hall, could the Gauss effect be explained. Both the Gauss and the Hall effects are remarkably stronger in semiconductors, so they became important for measurement science only after the development of semiconductor technology.

The Hall effect is caused by the Lorentz forces on moving charge carriers in a solid conductor or semiconductor, when placed in a magnetic field (Fig. 6.3). The force F_1 on a particle with charge q and velocity v equals:

$$F_1 = q(v \times B) \quad (6.16)$$

The direction of this force is perpendicular to both B and v (right-hand rule). As a result the flow of charges in the material is deflected and an electric field E is built up, perpendicular to both I and B . The charge carriers experience an electric force $F_e = qE$ that, in the steady state, counterbalances the Lorentz force: $F_e = F_1$. Hence:

$$E = v \times B \quad (6.17)$$

Assuming all charge carriers have the same velocity the current density J equals nqv with n the particle density. When B is homogeneous and perpendicular to v (as in Fig. 6.3), the electric field equals simply $E = JB/nq$. Finally with $I = bdJ$ and $V = Eb$, the voltage across the Hall sensor becomes:

$$V = \frac{1}{nq} \cdot \frac{IB}{d} = R_H \cdot \frac{IB}{d} \quad (6.18)$$

The factor $1/nq$ is called the Hall coefficient, symbolized by R_H . In p-type semiconductors, holes are majority carriers, so q is positive. Obviously the Hall voltage is inversely proportional to the thickness d . A Hall sensor, therefore, has often the shape of a plate (as in Fig. 6.3), explaining the name “Hall plate” for this type of sensor. The Hall voltage has a polarity as indicated in Fig. 6.3. For n-type

Table 6.3 Hall coefficient and resistivity of various semiconductors used for Hall plates and integrated silicon Hall sensors [5,6]

Material	Conditions	R_H (m ³ /C)
GaAs	$\rho = 2 \times 10^{-3} \Omega\text{m}; T = 25^\circ\text{C}$	-1.7×10^{-3}
InAs	$\rho = 1 \times 10^{-3} \Omega\text{m}; T = 25^\circ\text{C}$	-3.7×10^{-3}
InSb	$\rho = 5 \times 10^{-5} \Omega\text{m}; T = 25^\circ\text{C}$	-3.8×10^{-4}
p-Si	$n = 0.3 \times 10^{10} \text{cm}^{-3}$	50
n-Si	$n = 1.5 \times 10^{10} \text{cm}^{-3}$	-150

semiconductors the charge carriers (electrons) are negative thus the polarity is just the inverse. The Hall coefficient is large in semiconductors, because the charge density is much lower compared to that in conductors.

The assumption of equal velocity for the charge carriers is only an approximation: the velocity distribution within the material is not completely uniform. This results in a deviation of the Hall coefficient from that given in Eq. (6.18). Practical values range from 0.8 to 1.2 times the theoretical values. Table 6.3 shows typical values of the Hall coefficient for various semiconductor compounds as used in Hall plates.

Hall plates can also be implemented in silicon. The thin “plate” consists of the epitaxial layer of a silicon chip (see also Chapter 4, Resistive sensors). Currents that are generated in this layer (by on-chip current sources) deflect in a magnetic field, resulting in a voltage difference between two lateral points on the chip. This voltage difference is measured by some integrated electronic interface. The Hall coefficient can be tuned to a desired value by the doping concentration (see some examples in Table 6.3). Here also the measured value of R_H deviates strongly from the value according to Eq. (6.18) because of the nonhomogeneous velocity distribution of the charge carriers. Silicon technology offers the possibility of 2D and even 3D directivity. Such silicon Hall devices can be realized in bipolar, junction field effect transistor (JFET) as well in complementary metal-oxide transistor (CMOS) processes [6–9]. Obviously, these technologies allow integration of all necessary circuitry, resulting in a very compact device.

When applying Hall plates for the measurement of magnetic fields, some shortcomings should be considered. First the construction is never fully symmetric: the voltage contacts are not precisely positioned perpendicular to the main axis of the plate. This results in an *offset voltage*: the Hall voltage is not zero at zero field. This error is called the resistive zero error and is proportional to the current through the plate. It can be compensated for by using a compensation voltage derived from the current. Uncompensated InSb and InGaAs Hall sensors have offsets in the order of 0.1 and 1 mT, respectively. Silicon Hall devices suffer from an even larger offset, arising from layout tolerances and material inhomogeneity. Various methods have been proposed to eliminate this offset (see Section 3.3), of which the spinning method is rather popular [10]. Typical offset values range from 10 to 50 mT for standard silicon Hall-effect devices down to 10 μT for offset-compensated designs.

In an AC magnetic field the output voltage of a Hall plate is not zero, even at zero current. The changing flux induces a voltage in the loop consisting of the

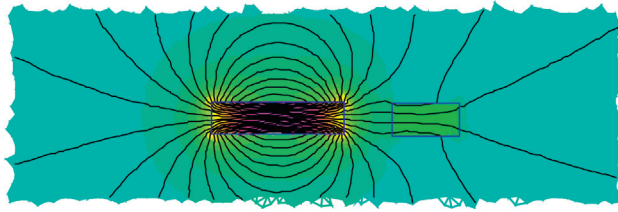


Figure 6.4 Effect of flux concentrator; the rectangular piece of iron at the right of the permanent magnet deforms the original field pattern.

connection wires and the voltage contacts. This is called the inductive zero error. Such errors are small in integrated Hall-effect sensors because of the small dimensions of such devices.

As shown in Section 3.3, *modulation* (and in particular chopping) is an effective way to circumvent offset. Chopping has been demonstrated to be feasible for magnetic fields as well [11]. The chopping is realized by surrounding the Hall sensor by a torroidal coil with a ferromagnetic core of high permeability, as a magnetic shield. When the coil is not activated, the core acts as a magnetic shield, and the external magnetic field cannot reach the sensor. When the coil is activated such that the core is saturated, the permeability is low, and hence the shielding effect is also low; the sensor receives almost the total external field to be measured.

Another way to improve the performance of a magnetic field measurement (and in particular for Hall sensors) is the application of so-called *flux concentrators*. The sensor is provided with a piece of material with high permeability; the magnetic field to be measured is locally concentrated in this material, and the Hall sensor is positioned in that region of concentrated field lines. The ferromagnetic material acts as a field amplifier, so very weak fields can be measured in this way. Depending on the material and the shape of the concentrators, a gain of more than 6000 can be achieved [12]. Fig. 6.4 illustrates the effect of flux concentration. The piece of iron located at the right side of the permanent magnet constricts the field lines of the otherwise symmetric field pattern, due to its lower reluctance compared to air (as on the left side). The method is also applicable in CMOS-type Hall sensors, by deposition of thin layers of high permeability material nearby and even on top of the chip [13]. Condition for an accurate measurement is that the field gain has a known value.

Traditional Hall sensors are sensitive in one direction only, perpendicular to the plate or chip. A triaxial sensor can simply be created by mounting three of these sensors orthogonally on a common base. This solution is chosen in Ref. [14] for the realization of a magnetodosimeter for monitoring exposure to strong magnetic fields. To achieve a low offset, spinning current Hall devices (manufactured in CMOS technology) are used.

Hall sensors are still a subject of research, aiming at higher sensitivity, lower offset, and reduced manufacturing costs. Special technological knacks make it possible to create 2D and 3D Hall sensors in silicon (see for instance Refs. [15,16]).

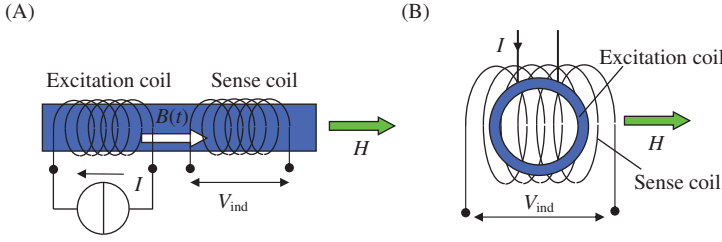


Figure 6.5 Structure of a fluxgate sensor: (A) basic structure and (B) ring shaped.

The Hall effect in standard silicon Hall sensors is located in a thin plate-like layer close to the surface of the chip. To obtain sensitivity in the third direction, perpendicular to the plate, sensors are constructed with a vertical sensitivity [17].

Not only crystalline silicon but also other materials are investigated for their applicability to Hall sensors. Polycrystalline Hall sensors have been reported with a sensitivity of 19 mV/T [18], or a plasma as sensitive material, resulting in a 10 mV/Gauss sensitivity [19]. Hall sensors with a self-calibration functionality have been reported as well. The sensor in Ref. [20] has a built-in reference (a coil) to perform self-calibration; all circuit parts are implemented in CMOS technology.

6.2.3 Fluxgate sensors

Like the Hall plate the fluxgate sensor measures magnetic field strength and is therefore a suitable device in mechatronic systems, for instance as part of a displacement sensor (combined with a permanent magnet or an activated coil). Basically the fluxgate sensor (or saturable-core magnetometer) consists of a core from soft magnetic material and two coils: an excitation coil and a sense coil (Fig. 6.5A).

The excitation coil supplies an AC current that periodically brings the core into saturation. Hence the permeability of the core material changes with twice the excitation frequency, between values corresponding to the unsaturated and the (positive and negative) saturated states. An external magnetic field H produces an additional induction field B in the core of the sensor, according to Eq. (6.6). Since the permeability varies periodically, so does this added field: it is modulated by the varying permeability. In the sense coil a voltage is induced according to Eq. (6.5). Assuming homogeneous and uniform fields, $\Phi = n\mu HA$, with A is the cross-section of the core and n is the number of turns. The voltage of the sense coil due to the external field only becomes:

$$-V_{\text{ind}} = \frac{d\Phi}{dt} = \frac{d\{nA\mu_0\mu_r(t)H\}}{dt} = nA\mu_0H \frac{d\mu_r(t)}{dt} \quad (6.19)$$

where H is assumed to be a static external field. Hence the output voltage of the sensor is periodic, with an amplitude proportional to the magnetic field strength to be measured. Since the relation between B and H is strongly nonlinear, the shape of the output voltage is a distorted sine wave, almost pulse like. Frequency selective

detection of the output (synchronous demodulation on the second harmonic of the excitation frequency, see Chapter 3, Uncertainty aspects) makes the measurement highly insensitive to interference. A more detailed description of the operating principle is given in (for instance) Ref. [21].

Since the actuating current produces an AC induction field $B(t)$ and hence an output voltage in the sense coil, the output is not zero at zero external field. To counterbalance this voltage a second exciting coil could be added. A more elegant way is to employ a ring-shaped core (Fig. 6.5B). The excitation coil is wound toroidally around the ring, whereas the sense coil fully encloses the ring, with its radial axis in the plane of the ring. At $H = 0$ the induced voltage is zero because of ring symmetry: the sensor is in balance. A field along the axis of the sense coil disturbs this symmetry, resulting in an output voltage proportional to the field strength. The sensitivity strongly depends on the core material, the coil configurations and the interfacing (e.g., excitation and sensing).

A further improvement of the sensor performance is obtained by the feedback principle (see Chapter 3, Uncertainty aspects). The output of the phase-sensitive detector is amplified and activates a feedback coil around the sense coil. When the loop gain is sufficiently large the resulting induced field is zero, whereas the amplified sense voltage serves as the sensor output (analogous to the feedback accelerometer in Fig. 3.9).

Coils are relatively bulky components, and are not easy to miniaturize. Many attempts have been made to decrease the size of fluxgate sensors without deteriorating its performance. A first step is to create coils on a PCB. Examples of flat core designs for fluxgate sensors are found in Refs. [22–25]. A next step toward further miniaturization is to implement the coils in IC-compatible or micromachining technologies, combined with microstructured magnetic core material. Various configurations and technological solutions have been proposed and tested to further reduce size, increase sensitivity, improve directivity, extending the frequency range and create multi-axis sensors, see for instance Refs. [26–32]. Interfacing of fluxgate sensors (e.g., the actuation signal and the demodulation method) is an important issue to achieve maximum results [33,34].

Table 6.4 lists some maximum specifications of commercial fluxgate sensors. Table 6.5 compares major properties of various magnetic field sensors discussed so far.

Table 6.4 Specifications of fluxgate sensors

Parameter	Value (typ.)	Unit
Range	10^{-4}	T
Resolution	10^{-10}	T
Sensitivity	10	$\mu\text{V/nT}$
Noise	10	$\text{pT}/\sqrt{\text{Hz}}$
Excitation frequency	0.4–100	kHz
Bandwidth	1	kHz
Offset drift	1	pT/K
Maximum temperature	70	$^{\circ}\text{C}$

Table 6.5 Comparison of various magnetic field sensors

Property	Fluxgate	AMR	Hall (Si)	Unit
Range	10^{-4}	$10^{-3}-10^{-2}$	100	T
Sensitivity	4×10^4	5	0.1	V/T
t.c. sensitivity	30	600		ppm/K
Linearity	10^{-5}	10^{-2}		—
Offset	0.001–0.01	10–100	1–100	μ T
t.c. offset	0.03–0.2	10–100		nT/K
Bandwidth	$10-10^4$	$10^6-5 \times 10^6$		Hz

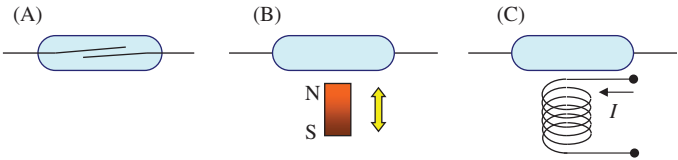


Figure 6.6 Reed switch (A) structure, activated by (B) a permanent magnet (proximity sensor) and (C) a coil (switch).

6.3 Magnetic- and induction-based displacement and force sensors

In this section we discuss various types of sensors based on induction or a change in magnetic field strength. Most of these sensors are constructed to determine linear or angular position, or their time derivatives (e.g., velocity and acceleration).

6.3.1 Magnetic proximity switches

A simple, versatile magnetic proximity sensor is the reed switch: a magnetically controlled switch consisting of two magnetizable tongues or reeds in an hermetically sealed housing filled with an inert gas (Fig. 6.6A).

When exposed to a magnetic field, the reeds become magnetized and attract each other, making electrically contact. When the field disappears, the reeds open by their own elasticity. A proximity sensor is built by combining the reed switch with a movable permanent magnet or coil (Fig. 6.6B and C).

Reed switches are available in normally-on and normally-off types, and with built-in switching coils. When the switch is closed, its resistance (the on-resistance) should be as low as possible. To that end the reeds are covered with a thin layer of ruthenium or an alloy of gold and ruthenium: materials with a very low contact resistance. The on-resistance is in the order of 0.1Ω . Conversely the isolation resistance or off-resistance should be as high as possible; practical values are in the order of $10^{12}\Omega$.

Table 6.6 Characteristics of reed switches with built-in switching coil (small to large types)

Parameter	Typical values	Unit
Turn-on value	8–16 to 50–75	Ampere-turns
Turn-off value	4–14 to 17–30	Ampere-turns
Maximum switching power	10–25	W
Maximum switching current	750	mA
Maximum switching voltage	100–250	V

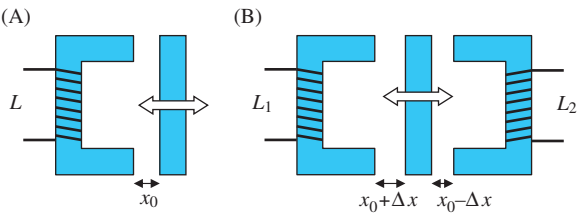


Figure 6.7 Displacement sensors based on variable self-inductance: (A) single and (B) differential.

A reed switch is a mechanical device and, therefore, the switching speed is low compared to electronic switches (typically 0.2 ms turn-on time, 0.02 ms turn-off time). Further the switch is susceptible to wear (life times in the order of 10^7 switches). Another disadvantage of the reed switch is the *bouncing* of the reeds during switching, caused by the mass-spring behavior of the reeds. Finally the switch shows hysteresis: the turn-on value exceeds the turn-off value. Some additional characteristics are given in Table 6.6.

Reed switches are applied in numerous commercial systems: from cars (e.g., monitoring broken lights and level indicators), up to electronic organs (playing contacts), in telecommunication devices and in test and measurement equipment.

6.3.2 Inductive proximity and displacement sensors

The general principle of a displacement sensor based on variable self-inductance is depicted in Fig. 6.7A. The self-inductance of the configuration is approximately equal to $L = n^2/R_m$, according to Eq. (6.12). A displacement Δx of the movable part causes the reluctance R_m to change because the air gap width changes by an amount of $2\Delta x$. With Eq. (6.12), and noting that the iron and the air gaps are in series and hence their reluctances add, the self-inductance modifies according to

$$L(x) = \frac{\mu_0 A n^2}{l_r/\mu_r + 2(x_0 + \Delta x)} = \frac{\mu_0 A n^2}{l_0 + 2\Delta x} = \frac{L_0}{1 + 2\Delta x/l_0}$$

(6.20)

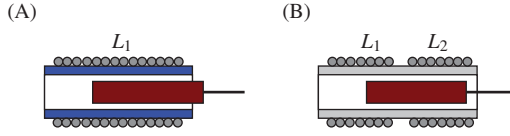


Figure 6.8 Displacement sensors with movable core: (A) single and (B) balanced.

where μ_r is the permeability of the iron core, l_r the path length of the flux through the iron part, x_0 the initial air gap, L_0 the self-inductance at the reference position x_0 and $l_0 = l_r/\mu_r + 2x_0$ the total effective flux path in the initial position. Then at a displacement Δx the self-inductance changes by

$$\Delta L = -L_0 \frac{2\Delta x/l_0}{1 + 2\Delta x/l_0} = -L_0 \frac{2\Delta x}{l_0} \left\{ 1 - \frac{2\Delta x}{l_0} + \frac{1}{2} \left(\frac{2\Delta x}{l_0} \right)^2 - \dots \right\} \quad (6.21)$$

This result expresses a strongly nonlinear sensitivity. A differential configuration gives some improvement (Fig. 6.7B). The sensitivity is doubled, whereas the non-linearity is reduced to a second-order effect, as is shown in Eq. (6.22):

$$\begin{aligned} \Delta L = L_2 - L_1 &= \frac{L_0}{1 - 2\Delta x/l_0} - \frac{L_0}{1 + 2\Delta x/l_0} = L_0 \frac{4\Delta x/l_0}{1 - (2\Delta x/l_0)^2} \\ &= L_0 \frac{4\Delta x}{l_0} \left\{ 1 + \left(\frac{2\Delta x}{l_0} \right)^2 - \dots \right\} \end{aligned} \quad (6.22)$$

The range of this sensor is limited to $2x_0$. Another variable inductance configuration with a much wider range is shown in Fig. 6.8A: a coil with movable core. Since the self-induction varies nonlinearly with displacement too, the differential configuration in Fig. 6.8B is better because quadratic terms cancel, as was demonstrated in the previous equations.

A common disadvantage of all self-inductance types is the coil itself. The device is not an ideal self-inductance: the wire resistance and the capacitance between the turns cannot be neglected. Further the sensors suffer from iron loss (by eddy currents) and hysteresis. Due to these factors, the sensor impedance deviates remarkably from a pure self-inductance. In Section 6.3.4 a type of inductive displacement sensor will be introduced in which most of these drawbacks do not apply.

6.3.3 Eddy current displacement sensors

Eddy currents originate from induction: free-charge carriers (electrons in a metal) experience Lorentz forces in a varying magnetic field, and cause currents to flow in that material (Section 6.1.3). In an eddy current sensor, this effect is used to measure displacement.

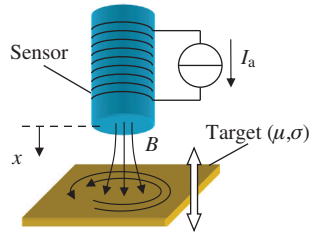


Figure 6.9 Principle of an eddy current proximity sensor.

The self-inductance of an isolated coil (not surrounded by any material) can be approximated by Eq. (6.12). When a conductive or ferromagnetic object approaches the coil, its self-inductance will change. We distinguish two possibilities: a conductive object and a ferromagnetic object.

A conductive object located in the magnetic field of a coil activated with an AC current experiences an induction voltage according to Eq. (6.5), and since the material is conductive, currents will flow through the object (Fig. 6.9). They are called *eddy currents* or Foucault currents, because they follow circular paths within the object. These currents produce a magnetic field that counteracts the original field from the coil (Lenz's law). Hence the flux produced by the coil will reduce and, since $L = \Phi/I$, the self-inductance decreases due to the presence of the conductive object. When the object comes closer to the coil (where the magnetic field is stronger), the eddy currents become larger, and so the self-inductance further decreases. This effect is stronger in materials with a low resistivity, because of the higher eddy currents. In conclusion the sensor impedance *decreases* upon the approach of a *conducting, nonferromagnetic* material.

The situation is different when the object is ferromagnetic. The reluctance of a ferromagnetic material is $R_m = l/\mu_0\mu_r A$, where l is the length of the (closed) flux line in the magnetic structure (composed of the sensor, the target, and the surrounding air) and A the cross-section. Further the self-inductance equals $L = n^2/R_m$. Hence when a ferromagnetic material approaches a coil, the impedance of the sensor coil *increases*; the effect is stronger for materials with a high permeability μ_r . So the sensitivity of an eddy current sensor depends strongly on the material the movable object is made of. Fig. 6.10 shows typical transfer characteristics (impedance change versus target distance) for various materials.

The sensor impedance is a rather complicated function of the distance: the frequency, the nature of the material, and the orientation of the object have substantial influence on the sensor sensitivity. Other metal or ferromagnetic objects in the neighborhood of the sensor may reduce the measurement accuracy.

Fig. 6.11 shows an electric model of the sensor. The network elements in this model have the following meaning:

- R_1 : copper losses of the coil (increases with frequency due to the skin effect)
- R_2 : resistance of the object (depends on the conductivity and the frequency, the latter due to the skin effect and the permeability)

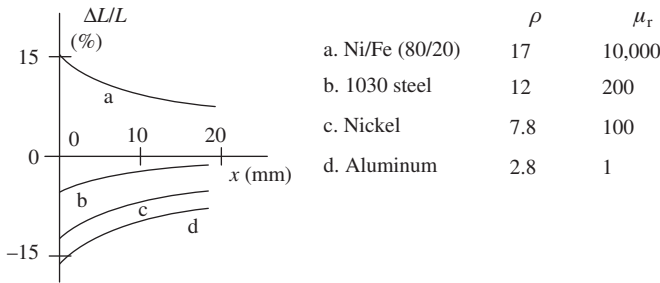


Figure 6.10 Relative sensitivity $\Delta L/L$ (%) of an eddy current proximity sensor, for various materials (after Kaman Measuring Systems).

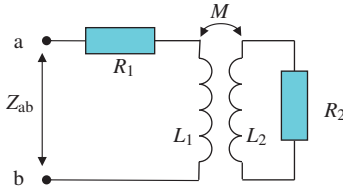


Figure 6.11 Simplified model of an eddy current sensor (left part) with target object (right part).

- L_1 : coil's self-inductance
- L_2 : measure for the counter-field produced by the eddy currents in the object (depends strongly on the magnetic properties of the material)
- M : measure for the coupling between sensor and object (hence dependent on the distance); another measure for the coupling is the coupling factor, defined by $k^2 = M^2/L_1L_2$.

In Ref. [35], a more detailed analysis of an eddy current displacement sensor is given. In this approach the target is divided in concentric circles carrying the eddy currents. In Ref. [36] the current density and contours of eddy currents are studied with the Maxwell equations as a starting point.

The measurement frequency of an eddy current sensor is in the order of 1 MHz. Some types contain a second or compensation coil, arranged in a half-bridge or a transformer configuration. More specifications are listed in Table 6.8 at the end of this section. Nonferrous and isolating objects normally give no response; however, by applying a thin conducting layer, for instance an aluminum foil, they can be detected as well, since eddy currents flow just near the surface of the conductor (*skin effect*).

Two basic eddy current sensor types are distinguished: simple coil (as in Fig. 6.10) and differential coil. The latter consists of two adjacent or concentric coils, one of which is activated, the other of which acts as a sensor. The coupling between the two coils is determined not only by the sensor structure but also by eddy currents induced in the object near the coils.

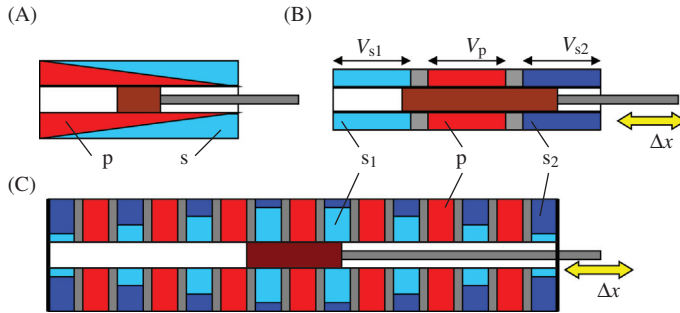


Figure 6.12 Concepts of an LVDT (A) with tapered primary and secondary, (B) with one primary and two secondary coils, and (C) with complementary tapered windings.

Wire-wound coils are bulky. Special designs have been developed to reduce the dimensions. A first step to a smaller and cost-effective coil is the development of flat coils on a PCB (cf. fluxgate sensors). The coils are either single sided, having spiral conductors just on one side of the PCB [37–39], double sided (half of each turn is deposited on the top side, the other half on the back side, and connected by conducting material in small holes through the PCB) [40], or multilayered [41]. A further step is the application of micromachining technology, for instance the **L**ithographie, **G**alvanoformung, **A**bformung — Eng.: Lithography, Electroplating, and Molding (LIGA) process [42], and the integration of the coils with the read-out electronics [43,44].

6.3.4 Variable differential transformers

A coil with a movable core as displacement sensor (Fig. 6.9) shows strong nonlinearity even when configured in balance. A much better linearity is obtained with a transformer with a movable core, the linear variable differential transformer (LVDT). Fig. 6.12A shows an LVDT with one primary and one secondary coil. The coils have tapered windings in opposite directions. Without a ferromagnetic core, the coils are only weakly coupled: a voltage on the primary coil gives only a small output voltage at the secondary coil.

However, when a ferromagnetic core (with high permeability) is inserted in the hollow cylinder, the coupling between the coils is much stronger at the position of the core. The transformer ratio varies from high to low when the core moves from left to right, according to the varying winding ratio at the core position. So the output voltage varies with the core displacement. Although irregularities in the windings are smoothed out by the core, the linearity is not very high.

A better construction is the one shown in Fig. 6.12B. This device consists of one primary coil and two secondary coils, positioned symmetrically with respect to the primary, and wound in an opposing direction. When the core is in its center position, the voltages over the secondary coils are equal but with opposite polarity (due to the winding directions). The total output voltage of the two coils *in series* is zero. When the core shifts from its center position, the output voltages are not equal

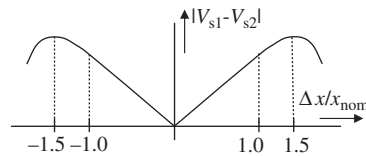


Figure 6.13 Typical transfer characteristic of an LVDT.

anymore: the one becomes higher while the other lower. The difference is a measure for the distance from the center position (Fig. 6.13).

Note that the output amplitude is equal for positive and negative displacements. Information about the direction of displacement is given by the phase of the output signal: the phase with respect to the primary voltage is either 0 or π , depending on the direction of the displacement.

The stroke of the construction in Fig. 6.12B is limited to about 1/3 of the total length. A shorter core would result in a much larger range but at the expense of linearity. The solution is obtained by distribution of the primary and secondary windings over the sensor body as depicted in Fig. 6.12C. The distribution is such (tapered) that the output amplitude varies linearly with core displacement, over a range about 80% of the length [45]. End-of-stroke nonlinearity is further compensated for by additional turns at the extremities.

The LVDT is operated with an AC voltage; its amplitude and frequency can be chosen by the user within a wide range (typically 1–10 V, 50 Hz to 10 kHz). Its sensitivity is expressed in mV (output) per volt (input) per m (displacement), so in $\text{mV V}^{-1} \text{m}^{-1}$. Users who wish to avoid the handling of AC signals (i.e., amplitude and phase measurement) can choose the (more expensive) DC–DC LVDT, with built-in oscillator and phase-sensitive detector (see Chapter 3, Uncertainty aspects, for details on modulation and synchronous detection): both input (supply voltage) and output (displacement signal) are DC voltages.

A stainless steel housing guarantees proper shielding against mechanical and chemical influences as well as against electrical and magnetic interference. Special types withstand high pressures (up to 200 bar) or are radiation resistant. To further improve the performance, special constructions and compensation techniques have been developed. A self-compensating arrangement with two sets of secondary coils is presented in Ref. [46]. The two sets are exposed to the same environmental influences and excitation voltages, but they generate two outputs: a sum and a difference voltage. The ratio of the two is independent on common interferences (more or less similar to the postprocessing method for a position sensitive diode (PSD) in Chapter 7, Optical sensors). A 2D version of the LVDT is presented in Ref. [47], with a range of 30 cm in two directions. A special design for very low temperatures (70 K) is given in Ref. [48].

To illustrate the quality of standard LVDT sensors, Table 6.7 presents selected specifications of a typical commercial type LVDT. The overview Table 6.8 at the end of this section lists some general characteristics of the LVDT.

Table 6.7 Product information of an LVDT (Novotechnik, Germany)

Electrical and mechanical data	
Mechanical range	12 mm
Required measuring force	4 N
Weight	90 g
Electrical range	10 mm
Absolute nonlinearity	$\pm 0.2\%$
Operating voltage	18–30 V (DC)
Signal out	4–20 mA
Current consumption	50 mA
Load impedance	0–500 Ω
Temperature coefficient	<80 ppm/°C
Environmental data	
Temperature range	–25 to +70°C
Frequency of operation	Maximum 10 Hz at 10 mm measuring stroke
Shock	50 g
Mechanical life	10^8 operations

Table 6.8 Overview of characteristics of various inductive sensors

Type	Range ^a	Sensitivity; resolution	$T_{\max}$ (°C)
Differential coil	0.1–200 mm	10–100 mV/(mm V)	
Eddy current	0.1–60 mm	0.1–5 V/mm	300
LVDT	± 1 mm to ± 50 cm	1–500 mV/(mm V)	500
RVDT	± 40 to 360	1–10 mV/(°V)	500
Resolver	2π	$2\pi/\text{rev}$	
Inductosyn, linear	Several m	0.1 μm	
Inductosyn, rotational	2π	0.05 arcsec	
Coded magnetic strip	10–50 cm	–	60
Steel band	3 m to ∞	50 μm	50
Magnetostrictive	10– 10^3 Nm	10^{-9} m ² /N	120
Proximity	1–100 mm	1% FS reproducibility	70

^aRange in FS, so from 0 up to the indicated value, for various types.

The rotational version of the LVDT is the rotational variable differential transformer (RVDT). The principle of operation is the same as for the LVDT. Due to the particular configuration of the coils, the range is restricted to less than 180 degree rotation.

6.3.5 Resolvers and synchros

Inductive sensors for the full range of 2π are the *resolver* and the *synchro*. These types are based on a variable transformer as well, with fixed and rotating coils. The coupling between primary and secondary coils depends on the angle between the

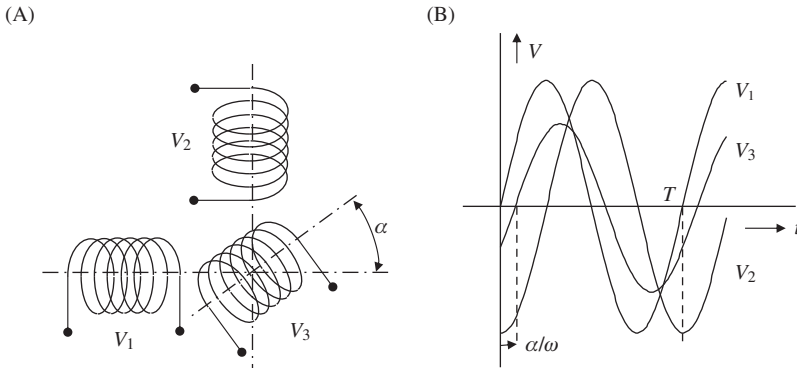


Figure 6.14 Resolver: (A) operating principle and (B) input and output voltages.

coils. The resolver (Fig. 6.14A) essentially consists of two fixed coils (stator) making angles of $\pi/2$ and one rotating coil, the rotor.

The voltages across the primary coils have a phase difference $\pi/2$ rad: $V_1 = V \cos \omega t$ and $V_2 = V \sin \omega t$, respectively. Both stator coils induce voltages in the rotor coil; the two induced voltages add up in the rotor. Assuming equal amplitudes of the stator voltages, the rotor voltage is

$$\begin{aligned} V_3 &= a \cdot V \cdot \cos \omega t \cos \alpha + a \cdot V \cdot \sin \omega t \sin \alpha \\ &= a \cdot V \cdot \cos(\omega t + \alpha) \end{aligned} \quad (6.23)$$

Hence the phase relative to V_1 is equal to the geometric angle of the rotor (Fig. 6.14B). The coefficient a in Eq. (6.23) accounts for the transfer between stator and rotor.

Instead of supplying the input voltage to the stator coils (so-called stator supply mode), the input voltage can be supplied to the rotor coil as well (rotor supply mode): in this case the stator coils provide two output signals from which the mechanical angle can be derived. Multipole resolvers have two stator coils and a $2p$ wound rotor. The output phase at the stator coils varies over a full period when the rotor rotates over an angle of $2\pi/p$, so the resolution is increased by a factor p .

Configurations with three stator coils are called synchros. The three coils make geometric angles of 120 degree and are supplied with three sinusoidal voltages which also have phase differences of 120 degree. The principle is the same as for the resolver.

Resolvers and synchros have a measurement range of 2π rad. Measurement frequencies are around 10 kHz. The performance is mainly limited by the read-out electronics, in particular the phase measurement circuitry. The accuracy can be better than 0.001 rad (3 min of arc or minarc), whereas a resolution down to 40 s of arc can be achieved (1 degree = 60 min of arc = 3600 s of arc). An analysis of the various kinds of errors can be found in Ref. [49], together with a compensation

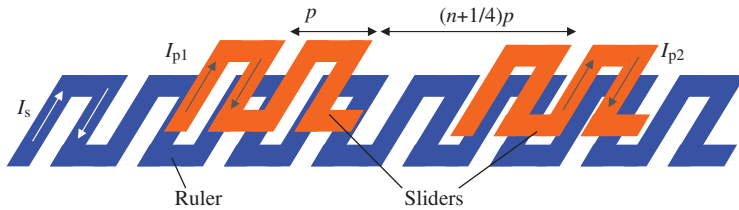


Figure 6.15 Layout of an Inductosyn.

method to reduce errors. Other attempts to reduce errors are found in, for instance [50], using a look-up table (so calibration is required) and [51], in which special electronics perform an accurate conversion from resolver output to a DC signal with an error as low as 0.01 degree.

A variation on the resolver is the Inductosyn. We discuss only the linear Inductosyn here: the rotational version operates in the same way. The linear Inductosyn (Fig. 6.15) consists of a fixed part, the ruler or scale, and a movable part, the slider.

Both the ruler and the slider have meander-shaped conducting strips on an isolating carrier (like a printed circuit board) and isolated from each other by a thin insulating layer. The ruler acts as one elongated coil; its length determines the measurement range. The slider consists of two short coils with the same periodicity as that of the ruler. However, the geometric distance between the two parts of the slider is $(n + 1/4)$ of a geometric period p . The Inductosyn is activated in a similar way as the resolver: the sliders are supplied with AC currents I_{p1} and I_{p2} with $\pi/2$ phase difference. The ruler receives the sum of two induced voltages, according to Eq. (6.23). Again the amplitude of the output signal is almost independent of the slider position; the phase is a measure for the displacement. Unlike the resolver, the Inductosyn has no iron, so the operating frequency can be higher: up to about 150 kHz.

To measure absolute displacement over the total extent of the ruler, the system must keep track of the number of electrical periods that have passed. In this way an almost infinite range can be achieved. The Inductosyn is very suitable for accurate positioning in, for instance, a lathe.

Table 6.8 compares major specifications of the various inductive sensors discussed in this chapter.

6.3.6 Magnetostrictive or elastomagnetic sensors

A magnetostrictive (or magnetoelastic or elastomagnetic) sensor is based on the change of the magnetic flux in a magnetic material due to deformation. Therefore the effect is mainly used for force sensing. Basically, two different magnetoelastic sensor systems can be recognized: uni- and multidirectional. Sensors of the first type consist of a coil with (cylindrical) core from a suitable magnetostrictive material (Fig. 6.16A). Upon compression of the core the permeability changes, and so

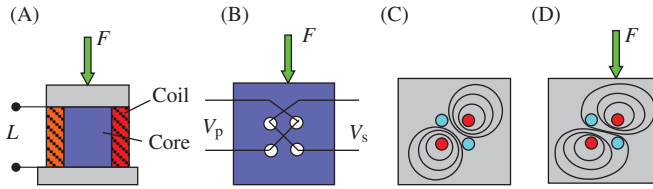


Figure 6.16 Magnetostrictive force sensors: (A) unidirectional sensor, (B) transformer configuration, (C) field lines at zero force, and (D) field under stress [53].

do the reluctance and the self-inductance, according to the empirical and linearized expression:

$$\frac{\Delta L}{L} = -\frac{\Delta R_m}{R_m} = \frac{\Delta \mu_r}{\mu_r} = k \cdot T \quad (6.24)$$

where k is the sensitivity (m^2/N) and T is the mechanical stress in the material. The sensitivity is small: about $2 \times 10^{-9} \text{ m}^2/\text{N}$. Furthermore the output signal depends on the temperature and on the frequency and amplitude of the supply voltage. The overall accuracy is several percent.

Multidirectional devices use the effect that magnetic flux lines change direction when a force is applied. Such sensors can be used for the measurement of force or torque and using special designs for both force and torque simultaneously [52]. Fig. 6.16B shows a magnetoelastic force sensor configured as a transformer. The core consists of a pile of ferromagnetic lamellae. Both primary and secondary coils have only a few turns, positioned perpendicularly to each other. When no load is applied, the magnetic field lines of the primary coil (Fig. 6.16C) do not cross the secondary coil, hence the transfer is low. Upon an applied force the permeability of the magnetic material loses its isotropy, resulting in an asymmetric pattern of field lines (Fig. 6.16D). In this situation, field lines can intersect the secondary coil, generating an output signal proportional to the force.

In the so-called Torductor [53], designed for contact-free torque measurement of an (rotating) axis, this axis itself acts as the magnetostrictive medium. It makes up the magnetic joint between the primary and the secondary coils of a transformer. The primary coil introduces a magnetic field in the axis. The transformer is configured in such a way that at zero torque the transfer is zero too. An applied torque results in torsional stress (cf. Fig. 4.14) causing a change in direction of the field lines, according to the Villari effect. This in turn results in an induced voltage in the secondary coil of the transformer, similar to the torque sensor in Fig. 6.16B. By a set of magnetoelastic transducers with proper configuring the magnetic cores and the primary and secondary windings, a combined force and torque sensor can be realized, with reasonably small crosstalk between the two measurands [52].

An alternative for the magnetostrictive metal is stress-sensitive amorphous ribbon [54]. When taped on the curved surface of an axis, the ribbon is stressed,

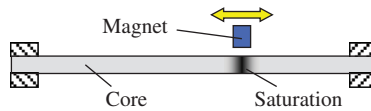


Figure 6.17 Linear displacement sensor based on local saturation.

becomes anisotropic, and exhibits magnetoelastic properties that can be used to measure torque, similar to strain gauges.

Magnetostriction can also be used for linear displacement sensing, through use of a special design. One such design consists of a rod made up of a magnetostrictive material (the fixed part, as the body of a linear potentiometer). A movable coil surrounding the rod is connected to the part whose position or displacement has to be measured (cf. the wiper of the potentiometer). The coil is activated by a short pulse, which generates an ultrasonic wave in the rod. The wave travels from the coil toward each of the ends of the rod, where ultrasonic receivers measure the travel times. Clearly the position of the coil follows directly from the traveled path of the two waves. Magnetostrictive-related hysteresis limits the accuracy, but this can be reduced (up to a factor of 10) by a proper biasing of the magnetic material [55].

This section concludes with a linear induction-type position sensor of which the concept is shown in Fig. 6.17. The structure is similar to the magnetostrictive sensor from the previous paragraph. It consists of an elongated soft magnetic core and a magnet (or activated coil); the latter moves along the core similar to the wiper of a potentiometer.

At the position of the magnet, the core is locally saturated, so the permeability of the core at that position has a much lower value compared to the remainder of it. The total reluctance of the structure depends now on the position where the core is saturated: the magnet acts as a magnetic shortcut of the core. The position-dependent reluctance can be measured in various ways. In Ref. [56] this is accomplished by an LVDT-like configuration with primary and secondary coils, and in Ref. [57] by a self-inductance measurement in differential configuration. In both these cases the prototypes have a measurement stroke of about 20 cm.

6.4 Interfacing

6.4.1 Interfacing inductive sensors

For properly interfacing an inductive or magnetic sensor, it is important to note the difference between the various sensor groups. Fig. 6.18 presents an overview of the various sensing principles using magnetic or inductive sensing:

- *Magnetic type*: the *output* of the magnetic sensor varies with distance between sensor and magnet.
- *Induction type*: the moving magnet induces a *voltage* in a coil; the output is proportional to the (relative) velocity.

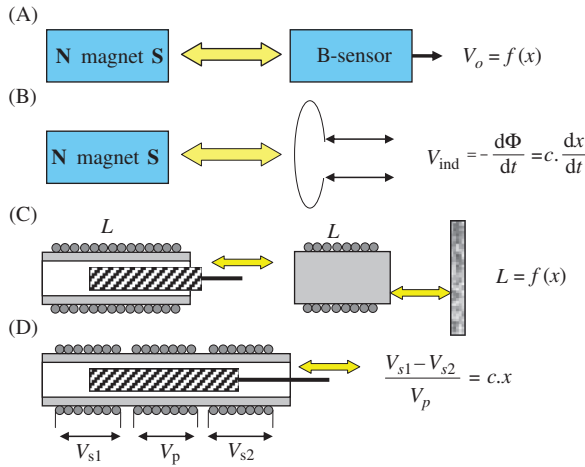


Figure 6.18 Various sensor principles: (A) magnetic, (B) inductive, (C) inductance, and (D) transformer type.

- *Inductance type:* the *self-inductance* of the coil(s) varies with the position of the moving core.
- *Transformer type:* the *transformation ratio* varies with the position of the moving core.

According to the type of sensor, an interface circuit has to be designed for the conversion of its output parameter into a voltage or current. Modern integrated magnetic field sensors have a built-in interface: the output is a voltage or sometimes a current or a frequency proportional to the applied magnetic field. The output of an induction-type sensor is a voltage, which only needs to be amplified if the voltage level is too low. It should be pointed out that although the overall sensitivity is increased by the gain of the amplifier, the S/N ratio is decreased, or at best remains the same when the amplifier noise is small compared to the noise from the sensor.

The output quantity of an inductance sensor is a change in self-inductance. The conversion of this quantity into an electrical signal can be accomplished in similar ways as for capacitive sensors (Fig. 5.11). However, while capacitors behave as an almost ideal capacitance, this is not the case for coils: the wire resistance and the capacitances between the turns are usually not negligible, making the impedance of a coil differ from just a pure inductance.

Most integrated interface circuits are based on a relaxation oscillator because of circuit simplicity. The relaxation oscillator generates a pulse- or square-shaped periodical signal with a frequency determined by the value of the self-inductance of the sensor. Since the method is essentially analogue, a high resolution can be achieved, down to 50 nm [58].

A completely different approach is given in Ref. [59], where the frequency-dependent transfer characteristic of a low-pass filter (including the sensor impedance) is used to convert a change in self-inductance into a change in the output amplitude of the filter.

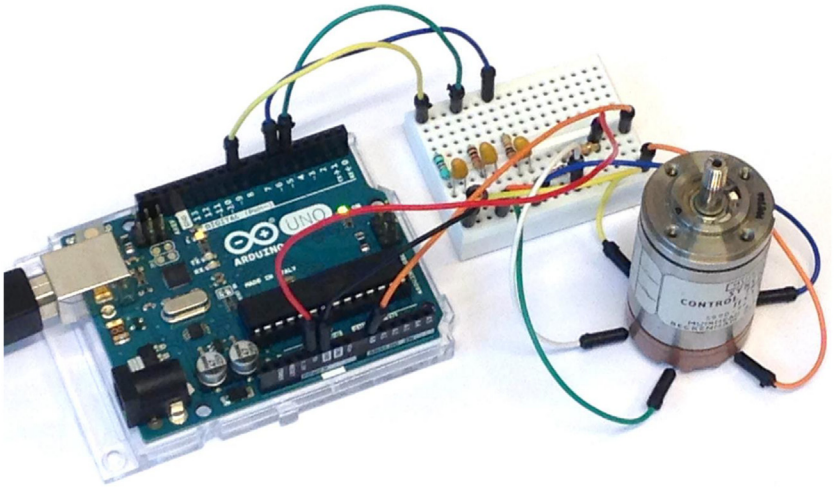


Figure 6.19 Synchro connected to an Arduino board using only passive components.

6.4.2 Interfacing to embedded systems

Many sensors discussed in the previous sections are available together with interface circuits in one package. They provide output voltages suitable for a direct connection to an ADC (such as with linear (proportional) Hall sensors), or standard 24 V outputs for industrial programmable logic controllers (PLCs). Some sensors like the absolute Hall-effect position sensors have a communication bus which can directly be interfaced to embedded electronics. On-axis rotary sensors manufactured for example by AMS (AMS.com) have a serial peripheral interface communication bus which facilitates calibration options for the end user, as well as a high-resolution position output, directly suitable for further processing.

The transformer-based sensors as discussed in [Section 6.3.5](#) are, however, a different and more difficult class of sensors to interface in the digital domain. Tailored solutions exist, although it might be useful to give an example of how to interface such sensor types (i.e., a synchro) using the Arduino board, with only a few passive components. The example setup is shown in [Fig. 6.19](#).

A synchro typically contains three stator windings for a three-phase excitation voltage. The phase of the rotor voltage changes according to the rotation angle. Many microcontroller boards like the Arduino do not have dedicated analogue outputs but can generate pulse width modulated (PWM) signals based on internal timers. When the PWM frequency is high enough compared to the highest frequency component of the generated signal, it is possible to generate analogue-like signals by filtering. In the sketched interfacing example in [Fig. 6.20](#) the Arduino board generates three sine waves with phase differences of 120 degrees, which are used to power the three stator windings.

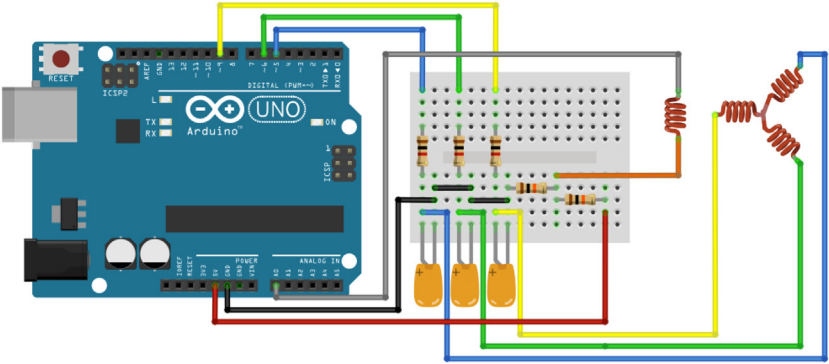


Figure 6.20 Schematic drawing of synchro coils wired to an Arduino.

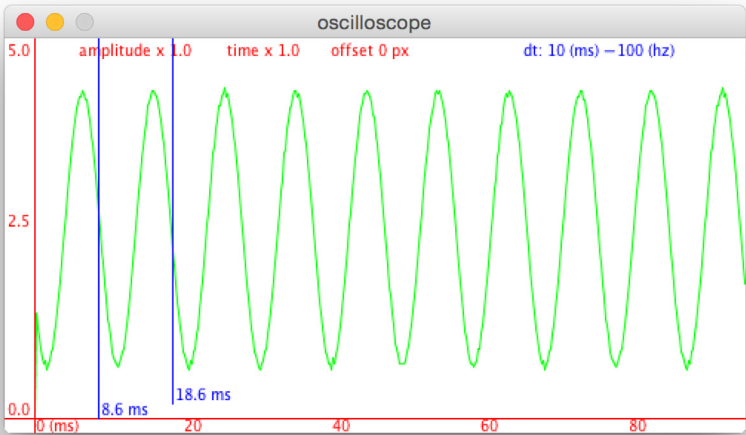


Figure 6.21 Signal plot of the rotor voltage recorded in processing.

The voltage at the rotor winding is sampled using one of the analogue inputs using the standard ADC. Since this ADC cannot read negative voltages, the second connection of the rotor is biased with a 2.5 V offset using a voltage divider. When (only) the phase shift of the signal is detected, a digital input is enough for measuring the zero crossing. The analogue output voltage can also be measured, and the phase of the signal can be determined, for example, by taking the arcsine of the signal voltage, as shown in Appendix D).

In Figs. 6.20 and 6.21 the synchro's stator windings are excited with a 100 Hz sine wave. The PWM frequency (typically around 8 kHz) is filtered out using three

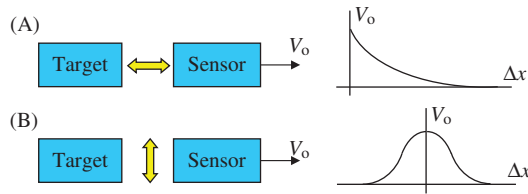


Figure 6.22 Two general configurations for magnetic displacement sensors; the block arrows show relative movement between magnetic source and sensor.

passive low-pass filters consisting of a $1\text{ k}\Omega$ resistor and a $1\text{ }\mu\text{F}$ capacitor. In Appendix D the software for generating the stator signals and measuring (and converting) the rotor signal is described. Fig. 6.21 shows this voltage at 100 Hz.

6.5 Applications

Inductive and magnetic sensors cover a wide application area. In mechatronics, they can be used to measure many quantities: linear and angular displacement and their time derivatives, force, torque, and material properties. Eddy current sensing is also used to detect defects in metal structures or for quality monitoring in production.

6.5.1 Contact-free sensing using magnetic and inductive sensors

The magnetic displacement sensors in this section are based on a position-dependent magnetic field strength. The strength of a magnetic field, originating from a permanent magnet or an activated coil, depends on the distance from the magnetic source (compare Eq. (6.1)). Generally the strength decreases with increasing distance from the source, in a way that depends on the pattern of magnetic field lines (as represented in Fig. 6.2).

Magnetic field strength can be measured by any magnetic sensor, for instance a Hall plate, a fluxgate sensor or a detection coil. The latter responds to fluctuating fields only, so in this case the magnetic field must be generated by an AC-activated coil.

Displacement measurements using a magnet–sensor pair have a limited range, a strong nonlinearity (depending on the relative movement, Fig. 6.22), and are sensitive to the orientation of the source relative to the sensor. Ferromagnetic construction parts in the neighborhood of the sensing system may disturb the field pattern. The major application, therefore, is as *proximity sensor* or as *switch*.

An important parameter is the switching distance, which depends on the mechanical layout of the sensor but also on the dimensions and material of the moving part. In commercial systems the switching point can be adjusted over a limited range by varying the sensitivity of the electronic interface (see Table 6.8).

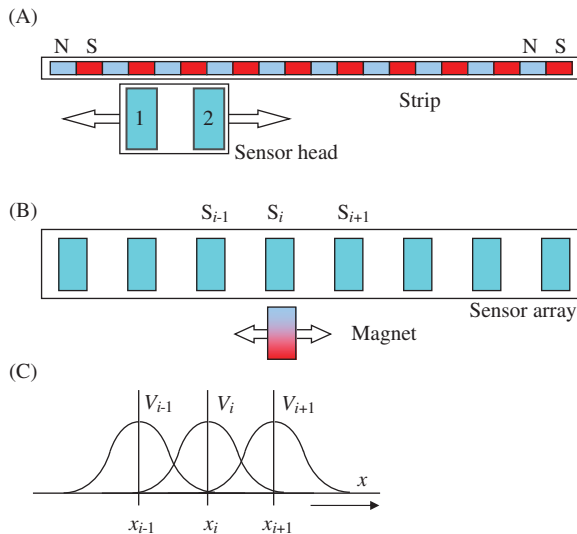


Figure 6.23 Long-range displacement sensing with magnetic sensors: (A) array of magnets and single sensor, (B) array of sensors and single magnet, and (C) sensitivity characteristics.

Long-range displacement measurements can be realized using permanently magnetized strips. Fig. 6.23 shows some possible configurations. One option is a strip that is magnetized with alternating north and south poles (Fig. 6.23A).

A magnetic field sensor moves along the strip, like the slider in a potentiometer. Starting from a reference position, an electronic counter keeps track of the number of transitions from negative to positive sensor output, similar to the optical incremental encoder that will be discussed in Chapter 7, Optical sensors. With two sensors spaced $(n + \frac{1}{4})$ of a magnetic period (which can be as small as $200 \mu\text{m}$), the direction of movement can be determined as well. The reference marks are not susceptible to magnetic fields. Advantages of this type of sensor are its robustness and corrosion resistance (strips of stainless steel). The measurement range is unlimited. It is possible to attach the strip to curved surfaces as well. Attainable resolution is $0.5 \mu\text{m}$ (over a limited range); maximum velocity of the sensor head is about 20 m/s . Opposed to this multimagnet single-sensor system stands the multisensor single-magnet system, based on the configuration of Fig. 6.23B. When the sensors are positioned in such a way that the sensitivity characteristics overlap, unique position measurement over a wide range is achieved (Fig. 6.23C). Interpolation between two adjacent sensor positions provides accurate distance data. Absolute linear encoders using a special code and read-out procedure are available, providing a measurement accuracy of $\pm 5 \mu\text{m}$ and a resolution down to 1 nm , over a range of over 25 m .

The self-inductance of a coil not only depends on the lift-off (distance to a conducting target) but also on the conductivity of the target (see Fig. 6.10). This property is exploited in Ref. [60] to measure liquid level and liquid conductivity at the same time, using two coaxially coils located above the liquid. A model-based

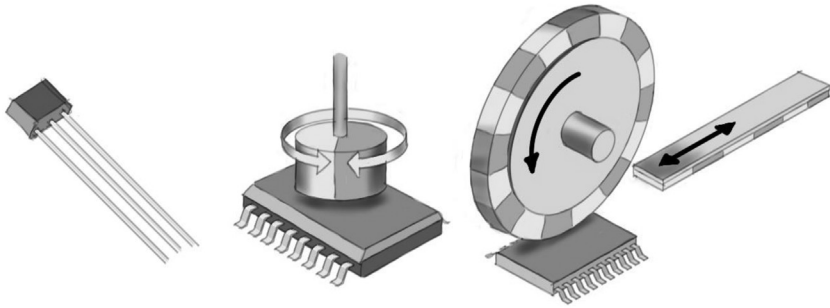


Figure 6.24 Hall-effect sensors with integrated processing

approach is followed to infer both quantities from the frequency-dependent imaginary part of the impedance. Level and conductivity ranges are 0–30 cm and 4–10 S/m, respectively.

As discussed previously in the section on resistive sensing, AMR sensors are frequently used to detect rotation and translation in (robotic) applications using a combination of static magnets and sensors. Although the sensitivity and linearity of Hall-effect sensors is essentially small, advancements in designing integrated versions combining sensing, conditioning, calibration, and communication in a single chip have turned Hall-effect position sensors into the market as a viable alternative.

A linear proportional Hall-effect sensor (Fig. 6.24 on the left) in combination with static magnets exhibits a strong nonlinear behavior as shown in Fig. 6.22, due to the inhomogeneity of the magnetic field. Therefore they can be used primarily as switching type or limit type sensors. However, it is well possible to linearize this behavior. Especially where space constraints are the most limiting factor, these sensors can still offer a reasonably accurate position reading. Humanoid robot finger designs (http://www.shadowrobot.com/downloads/dextrous_hand_final.pdf), for example, frequently employ these sensors. Although the sensor does not rely completely on the absolute value of the field strength provided by a single magnet, a homogeneous field generated by multiple magnets can be detected (shown in Fig. 6.24 in the center and right picture) and a very accurate position reading can be achieved. Sensors in this category typically offer a resolution of 12–16 bit (<http://ams.com/eng/Products/Magnetic-Position-Sensors>).

6.5.2 Applications of variable reluctance and eddy current sensors

Eddy current sensors and other variable reluctance sensors are suitable for testing the surface quality of metal objects (cracks increase the resistance for eddy currents), object classification based on material properties (the sensor impedance depends on the resistivity, Fig. 6.10), contact-free distance measurement and event detection (e.g., holes, edges, and profiles, in metal objects).

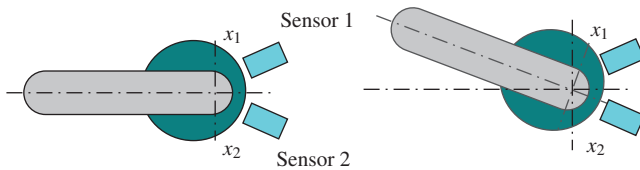


Figure 6.25 Rotation measurement using eddy current sensors; in the left position, sensors 1 and 2 have the same output.

6.5.2.1 Angular displacement

An inductive *tachometer* consists of a toothed wheel fixed on the rotating shaft and an inductive measuring head positioned close to the circumference of the wheel. Each time a tooth passes the sensor head, the interface electronics gets a count pulse; the pulse rate is related to the rotational speed of the shaft. Inductive tachometers are very robust and often applied in hazardous environments. A miniaturized version of this tachometer is described in Ref. [44]. The (flat) coil measures 1×1 mm and has 10 turns only. A CMOS interface circuit converts a change in inductance (due to a passing tooth of a gear wheel) to a frequency change from about 9 to 11.6 MHz. This sensor also allows analogue distance measurements with 50 nm resolution over a 0.5 mm range [43].

Although the working range of eddy current sensors is limited, the measurement range can be enlarged by mechanical reduction of the movement to be measured. As an example, Fig. 6.25 shows a configuration with an eccentric and two (balanced) Eddy current sensors to measure *rotation*. In the neutral position (left), distances x_1 and x_2 are equal; when the bar rotates clockwise, x_1 decreases whereas x_2 increases. Both the amount and the direction of the rotation are measured using very simple and robust sensors.

In the eddy current angle sensor described in Ref. [61], the large range (30 degrees) is obtained by a special mechanical construction: the rotating part has a semicylindrical shape, turning in a coil that makes an angle with the axis.

6.5.2.2 Linear displacement and velocity

When a conductor moves in a magnetic field, eddy currents are induced, affecting the self-inductance of the coil that produces the magnetic field. This is the basic principle for a contact-free *velocity* or *acceleration* sensor. A detailed analysis of this concept is given in Ref. [62].

An alternative approach to measure velocity using eddy current sensors is described in Ref. [63], applied to rail vehicles. This method is based on correlation (the same as described in Chapter 7, Optical sensors, and Chapter 9, Acoustic sensors). In this case two eddy current sensors positioned about 10 cm above the rail track and with a fixed distance d apart pick up the irregularities of the rail (the rail clamps are actually detected). The cross-correlation function of the two signals shows a peak for a delay time equal to d/v . In this case the correlation

function is periodic but a special correlator is able to find the correct maximum value. In practice a rail vehicle also makes common (vertical and sideways) movements. A differential configuration of eddy current sensor is insensitive to such movements.

The presence of capacitances and the skin effect make the impedance of an eddy current sensor frequency dependent. So the transfer characteristic contains information about not only the distance between the sensor and the target (the “lift-off”), but also the properties of the target: thickness and material. In general the sensor can be modeled as a second-order system, of which the resonance frequency and the quality factor (or damping) are determined by the material properties, the thickness, and the lift-off. This makes eddy current sensing suitable for *thickness* measurements, for instance of a nonconductive coating on a metal background or of conductive sheets [64], for measuring conductivity and permeability [65] and for material identification [66], to mention just a few examples.

Pressure is usually measured by an intermediate elastic element: its deformation is proportional to the applied force or pressure. This deformation can be measured by an eddy current or variable reluctance sensor. In Ref. [67], this principle is demonstrated, where the elastic element is a flat membrane. The deflection is sensed by a flat coil (made on a PCB) and by force feedback using a second coil the deflection is compensated, according to the general feedback principle explained in Chapter 3, Uncertainty aspects.

6.5.2.3 *Nondestructive testing and material characterization*

Eddy current sensors are widely used for *nondestructive testing* (NDT) of all kind of products and materials. In this application the object is scanned by moving one or more eddy current sensors over the area of interest. Surface irregularities are detected as an unwanted variation in distance to the object. Material defects (e.g., cracks and corrosion) are detected based on a change in local conductivity or permeability. The most simple sensor is the flat coil, which is adequate for, for instance, profile measurements [68]. With an array of sensors the scanning can be limited to just one direction, a useful solution when the resolution requirements are not very high. For the detection of more complicated structures like pipes, various other possible configurations have been proposed [41,69]. A particular example is the use of eddy current sensing for metal tag recognition [70]. The tags consist of a specific flat metal pattern, and are scanned with an eddy current sensor (in this case a transmitter and receiver coil).

Flat coiled eddy current sensors are also suitable for imaging *roughness* and defects in metal surfaces. Either the object is moved along an array of eddy current sensors or the test surface is scanned mechanically by a single sensor (cf. Section 1.1.4). The technique provides images with a spatial resolution down to 1 mm (see for instance Ref. [41]).

Another method to detect defects in conductive structures is magnetic flux leakage (MFL). The principle of this technique is shown in Fig. 6.26. The object under test is magnetized by an external magnet. In the ideal case the magnetic field is

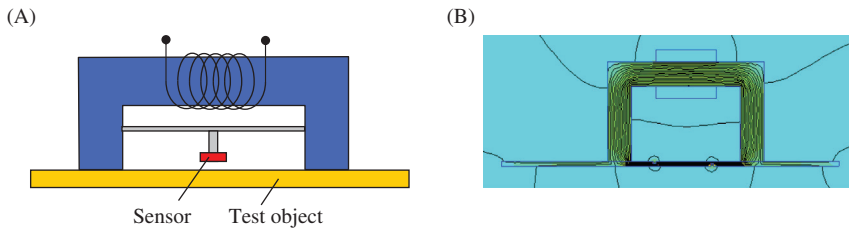


Figure 6.26 Principle of magnetic flux leakage for NDT: (A) measurement setup and (B) simulation example with two defects in the plate under test: left at the top side, right at the bottom side.

mainly located inside the object because of its high permeability compared to the surrounding air. In case of a surface defect (e.g., hole and crack) the permeability at that location is low, and this causes the magnetic flux to bulge out of the material. This “leakage” of the magnetic field can be measured by a magnetic sensor located just above the object’s surface. Note that the defects create field lines perpendicular to the surface, so sensing in this direction is recommended. With sensitive magnetic sensors, it is possible to detect defects in the order of micrometers, and even defects at the bottom side of (relatively thin, several mm) plates or pipe walls.

The MFL technique is still widely studied, aiming at better resolution and a more accurate localization and characterization of defects. A promising technique is the pulsed method that employs the dynamic behaviour of the pulse response for the interpretation of the defects. For instance in Ref. [71], it is shown that surface and subsurface defects give distinctive responses, and from the measured time-to-peak the depth of the defects can be reconstructed.

A completely different application of a magnetic field sensor is found in Ref. [72]. Goal is to create an image of steel objects embedded in concrete structures. An excitation coil supplied by a DC current generates a static magnetic field over the area of interest. The pattern of the magnetic field reflects the shape of an embedded steel object. An image is obtained by a 2D scanning sequence over the test area by a sensitive magnetic sensor. An optimized layout of the sensor setup and advanced signal processing are required to obtain an image of reasonable quality, but the method enables the visualization of objects at a depth up to 10 cm.

6.5.3 Applications of other inductive sensors

Velocity and *acceleration* can be derived from position by taking the first and second derivative of the displacement signal. Real accelerometers make use of a seismic mass: when accelerated, the inertial force causes a deformation of an elastic element connected to the mass. The *accelerometer* described in Ref. [73] is based on an LVDT with a magnetic fluid as movable core. The position of the ferrofluidic sphere is measured in the same way as is the core in a normal LVDT. In this sensor the inertial force on the fluid is in equilibrium with the magnetic force.

A magnetic fluid is also used in the *tilt sensor* presented in Ref. [74]. Here the magnetic fluid acts as the support of a permanent magnet moving between two magnets located at both sides of the sensor housing. When tilted, the magnetic core is displaced over a distance determined by the tilt angle and the magnetic forces. The position is measured by a differential pair of coils around the construction.

Pressure and *force* are likewise determined by an elastic intermediate. The deformation or displacement of that element can be measured by an induction method and is accomplished in various ways. For instance the bending of a conductive membrane affects the reluctance of a magnetic circuit composed of this membrane and one or more coils. If the membrane is not conductive or ferromagnetic, small ferrite objects can be attached to both sides of the membrane [75], together with two coils forming a differential variable reluctance circuit. A strain sensor in which the coil itself acts as a deforming element is presented in Ref. [76]. The coil is embedded in a flexible epoxy, and the change in coil shape causes its self-inductance to change.

The combination of a directional sensitive magnetic field sensor and a permanent magnet that can rotate with respect to the sensor makes the basic structure of a magnetic *angle sensor*. The magnetic sensor can be of any type. An angular position sensor over the full 360 degree based on a 3D integrated Hall sensor is presented in Ref. [77]. Although a 2D sensor would suffice in this application (giving the sine and cosine of the angle), the third sensitivity axis (measuring tilt angle) is used to compensate for the misalignment of the sensor relative to the magnet.

The magnetic angular sensor presented in Ref. [78] is based on a different approach. The (integrated) device comprises four Hall sensors, a flux concentrator, and an analogue signal processing circuit. By a special configuration of the Hall sensors and a ratiometric principle an almost linear output (1%) is realized over an angular range of 120 degree.

Fluxgate sensors have a high sensitivity, and are therefore suitable for measuring the Earth's magnetic field (e.g., as part of a compass). They are widely used for navigation purposes. Research is aiming at the increase of overall performance, a lower price and reduced dimensions. Examples of such research work are presented in Ref. [79] for satellite navigation, [80] for spacecraft, and [81] for land navigation.

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Most displacement and force sensing systems operating on optical principles consist of three basic parts: a light source, a light sensor, and the transmitting medium. Often auxiliaries are required, such as lenses, optical wave guides, mirrors, filters, polarizers, diaphragms, and choppers. An optical displacement sensor is constructed in such a manner that a change in distance between two sensor parts or between one sensor part and a moving object results in a change in transmission, reflection, absorption, scattering, or diffraction of a beam of light. The majority of optical displacement sensors are based on variable reflection (continuous) or transmission (usually on-off). Other modalities are not often encountered in mechatronics. Optical force sensors are either derived from displacement sensors by adding one or more spring elements, or based on a change in parameters of suitable optical materials (in particular fibers). Since light is a wave, one can also make use of travel time (or ToF), phase shift, and interference.

Optical fibers gain increasing interest as sensors, next to their role as data transport medium. The transmission properties of such fibers can be modulated by various physical properties, for instance temperature, humidity, bending, strain, and concentration of chemicals put in contact with the fiber. The reader is referred to the literature on this specific subject.

First we discuss in this chapter the major optical variables and parameters. Next we review the properties of some electro-optical and optoelectric components commonly used as measurement tools. The subsequent section deals with methods on how to build up sensing systems for the measurement of position and displacement, using such components. This section also includes a discussion on optical encoders (linear or angular sensors with binary output). [Section 7.3](#) deals with some basic electronic interface circuits. Finally [Section 7.4](#) is devoted to the application of optical sensing systems in mechatronics.

Appendix A.4 lists various optical quantities with their definitions and units, as used in this chapter.

7.1 Electro-optical components

Most optical sensors applied in mechatronic systems are used for the measurement of displacement or distance between (moving) parts of the construction. Their output is used to control proper operation of the system, for instance to perform a specified action (i.e., movement and rotation) or to maintain a specified condition (i.e., position and force). A general setup of an optical sensing system is given in [Fig. 7.1](#). It consists of a light emitter (light source) and a light receiver (light detector). The light travels through a medium (usually air but may include parts of the construction) from transmitter to receiver. The transmission path is influenced (modulated) by the

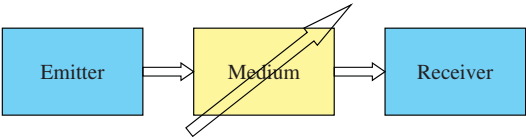


Figure 7.1 Basic configuration of an optical sensing system.

Table 7.1 Overview of optical emitters and receivers, modulation types, and possible accessories

Emitters	Modulation	Receivers	Accessories
Light bulb (Gas) Laser Laser diode LED	Transmission Reflection Absorption Scattering Refraction Polarization Dispersion	Photoresistor Photovoltaic cell Photodiode Phototransistor PSD Line scan camera Matrix camera	Lenses Mirrors Reflectors Filters Fibers Collimators

quantity that has to be measured. Various properties of the light can be affected by the measurand. This can be done in various ways, as shown in [Table 7.1](#). The same table also gives various types of emitters, receivers, and possible accessories that may build up the optical sensing system. Clearly the large number of combinations gives the designer much freedom in choosing the best solution for the intended application.

Clearly the application of an optical sensing system requires the incorporation of optical components in the mechatronic construction; they make up an integral part of it. Therefore it is important to include the (optical and any other) sensing system from the very beginning in the design process of the mechatronic system.

Since most optical sensing systems comprise a combination of a light emitter and a light detector, we give a review of some of these electro-optical devices and their properties.

7.1.1 Light emitters

The next list gives commonly used emitters for the application in displacement and force transducers together with a general characterization. For detailed information the reader is referred to the data sheets and catalogues of the manufacturers, most of them freely available on the Internet.

The major types of light emitters are:

- Thermal light bulb:* A very cheap and easy to get optical source, also available in small dimensions (for instance a bicycle taillight). Major characteristics:
- wide optical spectrum (almost white light)
 - wide beam angle (almost omnidirectional)

- slow; small bandwidth: Due to the thermal operation the intensity cannot change very fast; not suitable in modulated systems.

LED (light-emitting diode): A cheap and widely used light source for numerous applications (e.g., illumination, displays and remote control). Main characteristics:

- materials: GaAs and other 3–5 compounds
- relatively narrow emission spectrum (tens of nm)
- covering all colors in the visible spectrum and IR
- electrically controllable intensity (so suitable in modulated systems)
- moderately focused beam (with built-in lens).

Semiconductor laser (laser diode): Low-power types having appearance and dimensions similar to LEDs, but whose physical operation is different; well-known from CD and DVD players, laser pointers, and laser printers. Main characteristics:

- materials: GaAs and other 3–5 compounds
- laser stimulation by injection of charged particles (current)
- electrically controllable intensity
- monochromatic (very narrow spectrum, a few nanometers)
- covering most colors in the visible spectrum, IR and near UV (based on GaN)
- moderately focused beam (same as LED).

Solid-state laser: Much more expensive device, suitable for large optical power. Major characteristics:

- materials: $\text{Nd}^{3+}:\text{Y}_3\text{Al}_5\text{O}_{12}$ (YAG: yttrium aluminum with neodymium ion impurity); ruby ($\text{Cr}^{3+}:\text{Al}_2\text{O}_3$)
- laser stimulation by optical means (light pulses)
- monochromatic (very narrow optical spectrum) in visible spectrum and infrared
- narrow beam
- intensity control only possible with special components.

Gas laser: Much more expensive device, suitable for large optical power. Major characteristics:

- materials: He–Ne, Ar gas
- laser stimulation by gas discharge
- monochromatic (very narrow optical spectrum) in visible spectrum and IR
- narrow beam
- intensity control only possible with special components.

Many other types of lasers exist, mainly intended for other applications, such as cutting, drilling, and welding. For mechatronic applications the LED and the laser diode are recommendable devices because of their small size, simple interfacing, and easy to modulate. Important parameters to be considered when designing a sensor system are the output intensity or power versus input current (sensitivity), output intensity versus wavelength (spectral sensitivity), and output intensity versus angle of divergence (directivity diagram).

There are a vast number of LEDs and laser diodes in the market. Some manufacturers offer the possibility to search in their database by particular specifications as required by the designer, for instance by power range or wavelength. For each product type, main specifications are tabulated, but not always in a structured, consistent way. Finding exactly what is needed for a particular application is still time consuming.

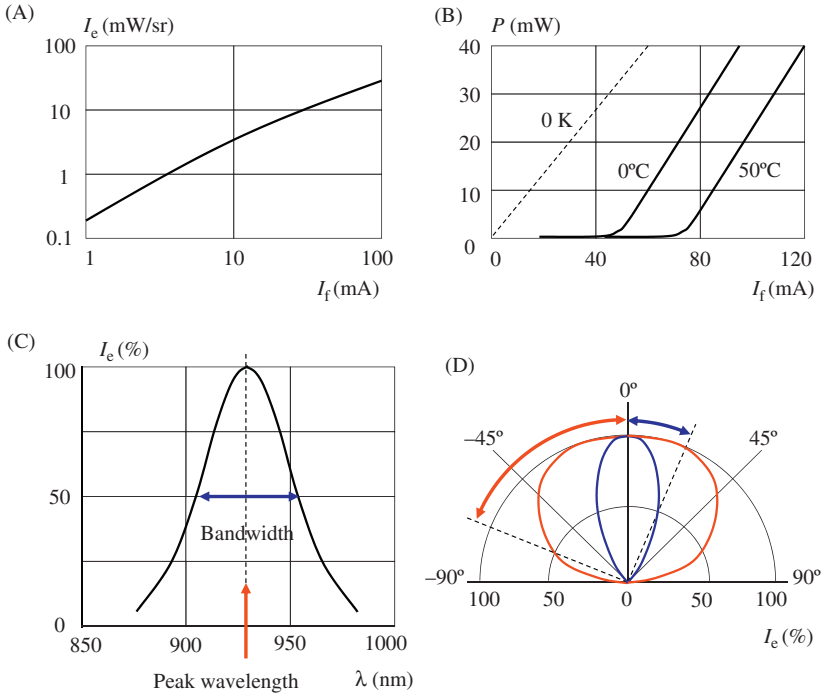


Figure 7.2 Typical characteristics of light-emitting devices: (A) axial radiant intensity versus forward current (LED), (B) output power versus forward current (laser diode), (C) relative radiant intensity versus wavelength (IR LED), and (D) directivity diagrams (LED).

Graphical data provide better information compared to numerical data. Fig. 7.2A–D shows examples of such graphs: the output characteristics for a LED and a laser diode, the optical spectrum and the directivity.

Intensity versus forward current: Fig. 7.2A shows that the intensity and power of a LED is about proportional to the forward current. The maximal intensity of a LED ranges from a few mW/sr up to several W/sr, depending on the type. The intensity drops with increasing temperature, at a rate of about 1% per Kelvin. A laser diode (Fig. 7.2B) starts to laser from a specified forward current, typically between 50 and 100 mA. Here, too, the intensity drops with increasing temperature, mainly because of the decreasing quantum efficiency at higher temperatures.

Wavelength: A typical plot of the spectral emission is given in Fig. 7.2C. The peak wavelength is determined by the semiconductor material type (Table 7.2). In-between values are also available; these colors are obtained by small additives of other materials. The optical bandwidth is the wavelength interval at 50% relative intensity. LEDs have optical bandwidths in the order of 20–50 nm; a laser diode is much more monochromatic with optical bandwidths of only 1 nm.

Directivity diagram: Fig. 7.2D is an example of the directivity diagram. The half angle (denoting the beam width) is defined as the direction in which the intensity

Table 7.2 Peak wavelength of some LED types

Composition	Peak wavelength (nm)	Color
InGaN	470	Blue
GaP	555–590	Green-yellow
GaAsP	630	Orange
GaAlAs	650	Red
GaAs	930	Infrared
InGaAsP	1300	Infrared

has dropped by 50% relative to the intensity in the direction of the main axis (0 degrees). The diagram combines the directivity of two types: a narrow beam and a wide beam type. Usually a small angle is obtained by a lens at the front. Note that laser diodes have also a relatively wide beam angle (due to the short optical path the light travels in the device).

Some types of laser diodes contain an additional photodiode within the housing, suited to monitor and possibly control the output intensity. Other important parameters to consider when choosing a light source are the temperature sensitivity of the peak wavelength (about 0.1 nm/K for a LED), the rise and fall times (when used as a switching device) and the heat resistance (when used near maximal power).

7.1.2 Light sensors

Commonly used optical sensors and their general characteristics are:

- *Photoresistor*: Small; cheap; sensitive to visible and IR light; no directional sensitivity; slow (large response time, see Chapter 4: Resistive sensors).
- *Photodiode*: Small; cheap; sensitive to visible and IR light; moderate directional sensitivity; fast response time; also available as quadrant detector (four diodes in one casing).
- *Phototransistor*: Similar to photodiode, somewhat slower.
- *Diode array*: Array of photodiodes: from a few (in a single casing) up to over 4000 elements (on a chip); line rate up to 100 kHz; gray-tone and color.
- *Position sensitive diode (PSD)*: Radiometric properties as photodiode; 1D and 2D position sensitive (see Section 7.1.3).
- *CCD camera (area scan)*: Resolution up to 2048 × 2048 elements; frame rate up to 1000/s for low-resolution types; gray-tone and color.
- *CMOS camera (area scan)*: Higher resolution compared to CCD camera; somewhat worse noise performance.

Line and area scan cameras are excellent devices to perform imaging tasks, and hence play an important role in mechatronics and in particular robotics. The market offers a gradually increasing number of cameras with built-in image preprocessing. Pixel size of both line and scan cameras is in the order of 5 × 5 μm. Current research aims at increasing the resolution (number of pixels) and the speed (measured in either pixel acquisition time or frame rate). Chips with over 60 million

pixels are feasible. CMOS cameras have higher resolution because there are no charge buffers on the chip as with CCD. Moreover camera and system clocks are synchronized, eliminating the need for a frame grabber and thus increasing the overall frame rate. Cameras will not be discussed further in this book: the reader is referred to textbooks on this subject and the information provided by the manufacturers.

Fig. 7.3A–D shows typical characteristics of photodiodes: voltage–current characteristics, sensitivity and temperature characteristics, and the spectral sensitivity. The directional sensitivity is given in a similar way as with emitters; half angles range from 10 to 60 degrees, depending on the presence of a built-in lens.

Voltage–current characteristic: Fig. 7.3A depicts four curves for different values of the incident light intensity. In the absence of light, the voltage–current relation (uppermost curve) is the same as for a normal pn -diode: an exponentially increasing current with forward voltage and a small leakage current (the dark current) when reversed biased. When light falls on the pn -junction, the leakage current increases because photons generate additional electron–hole pairs which may result in an external current. As can be seen in Fig. 7.3A the short-circuit current (represented by the bullets on the I -axis) increases in proportion to the incident light. The open voltage (represented by the circles on the V -axis) increases as well with irradiance but in a nonlinear way.

Sensitivity characteristic: The photocurrent is proportional to the incident light over more than six decades (Fig. 7.3B). This is the main reason why the current

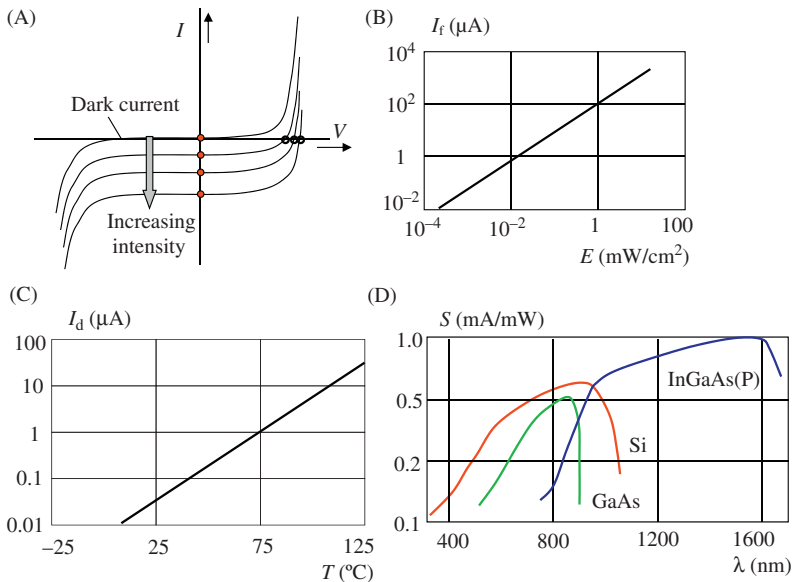


Figure 7.3 Typical characteristics of photodiodes: (A) current–voltage characteristic, (B) sensitivity characteristic of a silicon photodiode, (C) dark current versus temperature, and (D) spectral sensitivity of a Si, a GaAs and an InGaAsP diode.

mode (or conductivity mode) is preferred over the photovoltaic mode of operation. Current readout is easily accomplished using an operational amplifier in current-to-voltage converter arrangement (Section 7.3.1). Usually a reverse voltage is applied to ensure the diode always operates in the flat part of the characteristics in Fig. 7.3A.

Dark current: Not only light (optical energy) but also thermal energy gives rise to the generation of additional charge carriers around the pn -junction. This is exactly why the reverse current of a pn -diode is not zero. Roughly the thermally induced leakage current doubles each 7°C . At room temperature the leakage current of a silicon diode is very small, some 10 pA, but since a photodiode has an essentially larger active area, its dark current is also much larger, and moreover, it increases exponentially with temperature (Fig. 7.3C).

Spectral sensitivity: Assuming a quantum efficiency of 100%, each incident photon creates one electron–hole pair, if the photon energy is larger than the bandgap energy E_g (to generate a free electron):

$$E_g = h \cdot \nu \quad (7.1)$$

where ν is the frequency and h Planck's constant. So E_g of the material determines the maximum wavelength. Silicon has a bandgap equal to 1.12 eV, corresponding to a limiting wavelength of about 1100 nm. The lower boundary of the spectrum is set by the absorption of the light before it has reached the pn -junction. In general the absorption coefficient of the materials involved grow with reduced wavelength. The result is a spectral band that strongly depends on the materials. Fig. 7.3D gives the spectral sensitivities of three photodiodes: Si, GaAs (both sensitive in the visible part of the spectrum) and a special diode for large wavelengths. Detailed information on device characteristics and performance of other types can be found in data books of the manufacturers.

7.1.3 Position sensitive diode

A PSD is a light-sensitive diode that is not only sensitive to the intensity of the incident light but also to the position where the incoming light beam hits the diode surface. Fig. 7.4 shows, schematically, the configuration of a lateral silicon PSD.

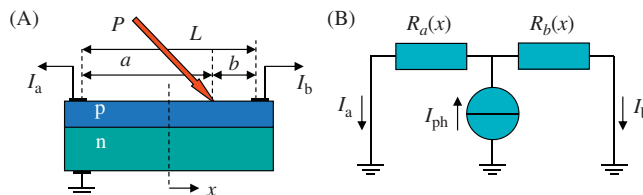


Figure 7.4 Position sensitive diode (PSD): (A) principle of operation and (B) current division.

The device consists of an elongated pn -photodiode with two connections at its extremities and one common connection at the substrate. An incident light beam can penetrate through the top layer, down to the depleted region around the pn -junction where it generates electron–hole pairs. As in a normal photodiode the junction is reversed biased. Due to the electric field across the junction the electrons are driven to the positive side, the holes move to the negative side of the junction. The electrons arriving in the n -region flow through the common contact to ground; the holes in the p -layer split up between the two upper contacts, producing two external photocurrents I_a and I_b .

Fig. 7.4B shows an electric model of the PSD. It is used to explain how the current division depends on the position of the light beam. The photocurrent (modeled as a current source I_{ph}) originates from the point where the beam hits the diode. The division over the two contacts is determined by the resistances from this point onto the two end points (R_a and R_b). Applying Kirchhoff's current law yields for the two output currents:

$$\begin{aligned} I_a &= \frac{R_b}{R_a + R_b} I_{ph} \\ I_b &= \frac{R_a}{R_a + R_b} I_{ph} \end{aligned} \quad (7.2)$$

Assuming a homogeneous resistance over the whole length of the PSD, Eq. (7.2) can be rewritten as:

$$\begin{aligned} I_a &= \frac{b}{a + b} I_{ph} \\ I_b &= \frac{a}{a + b} I_{ph} \end{aligned} \quad (7.3)$$

Generally the origin of the coordinate system of the PSD is chosen in its center. Thus x is the position of the light beam relative to the center ($x = 0$). Further when the total length of the PSD is L , the distance a in Fig. 7.4A equals $L/2 + x$ and b equals $L - a$, so

$$\begin{aligned} I_a &= \left(\frac{1}{2} - \frac{x}{L} \right) I_{ph} \\ I_b &= \left(\frac{1}{2} + \frac{x}{L} \right) I_{ph} \end{aligned} \quad (7.4)$$

Apparently the difference between the two output currents, $I_a - I_b$ equals $-2xI_{ph}/L$ and is linearly dependent of x and I_{ph} . However, it is preferred to have an

output signal that only depends on the position x and not on the light intensity of the incident beam. In order to obtain such an output, $I_a - I_b$ is divided by $I_a + I_b = I_{ph}$, resulting in

$$\frac{I_a - I_b}{I_a + I_b} = \frac{2x}{L} \quad (7.5)$$

So to perform an intensity-independent position measurement the PSD should be connected to an electronic interface for three signal operations: addition, subtraction, and division (see [Section 7.3.2](#)). A PSD is essentially an analogue device: the photocurrents vary analogously with the position of the incident light beam. This is one of the reasons why researchers have designed PSDs with on-chip (analogue) signal processing to accomplish intensity-independent output signals according to [Eq. \(7.5\)](#) [1,2].

With the PSD in [Fig. 7.4A](#) the position of the light beam can be determined only in one direction. For a 2D measurement a 2D-PSD is required: this type of PSD has a square-shaped sensitive surface and two pairs of current contacts, one pair for each direction. Its operating principle is exactly the same as for the 1D-PSD.

The linearity of a PSD depends largely on the homogeneity of the resistance in the top layer. In the central part (about 70% of the total length or area) the linearity is better than 1%, whereas at the edges the linearity error rises significantly: this should be considered when operating the device in that area.

The range of a linear PSD is almost equal to its length: from several mm up to 10 cm; the width is a few millimeters. A 2D-PSD measures 1×1 to 4×4 cm. The total resistance between two opposing contacts is several kilo ohms ($k\Omega$). Devices with larger size are difficult to realize in silicon. Other materials are being investigated to increase the lateral dimensions. For instance PSDs made from hydrogenated amorphous silicon (a-Si:H) have been realized with a range of 10×10 cm and comparable sensitivity [3]. Research is going on to further improve the detectivity of PSDs and to reduce the effects of background light [4].

Most PSDs are made of silicon, hence their spectral sensitivity is comparable with normal silicon photodiodes: most LEDs and laser diodes match well in this respect with the PSD.

A PSD responds to the *optical center of intensity* of the incident light. Nonhomogeneous background light causes a shift of this point, resulting in a measurement error. Note that homogeneous background light changes the scale factor of the system, as can be seen from [Eq. \(7.5\)](#), where an equal contribution to the currents cancel in the numerator but add in the denominator. A more in-depth analysis of the effect of environmental illumination sources is given in [Ref. \[5\]](#) for a 2D-PSD.

In general there is just one light spot (from a laser) of which the position can be detected. In case of multiple light spots the beams can be distinguished using modulated light on different carriers [6]. The PSD can be used for contact-free displacement measurements. The way this is accomplished will be discussed in [Section 7.2.2](#) on triangulation.

7.2 Optical displacement sensors

7.2.1 Intensity measurement

A simple though rather inaccurate method to measure displacement is based on the inverse quadratic law: the light intensity from a point source decreases with the square of the distance (see Appendix A.4.1). We distinguish two possible modes: the direct and the indirect mode. Fig. 7.5A and B show the general setup according to the direct mode.

In the direct mode the transmitter (LED or laser diode) is mounted on a fixed part of the construction while the sensor is positioned on the moving part or target (or vice versa). Comparing Fig. 7.5A and B makes clear that the light intensity (in W/m^2) drops with the square of the distance (see Appendix A.4.3 for a detailed analysis). Assuming a homogeneous light beam over the complete beam angle in the configuration of Fig. 7.5A, the sensor output I_o is inversely proportional to the square of the distance, so

$$I_o \approx \frac{1}{x^2} \quad (7.6)$$

Actually the inverse square law is just an approximation, since the emission is not homogeneous over the whole cone, as can be seen in the directivity diagrams in Fig. 7.2D.

Evidently the sensor output not only depends on distance but also on the source intensity and the sensitivity of the sensor. These parameters should have sufficient stability to be able to distinguish their changes from displacement-induced intensity changes. The stability requirements can be weakened by applying a second sensor, with fixed position relative to the source, as a reference. The output quantity is the difference or (better but more complicated to implement) the *ratio* of both sensor

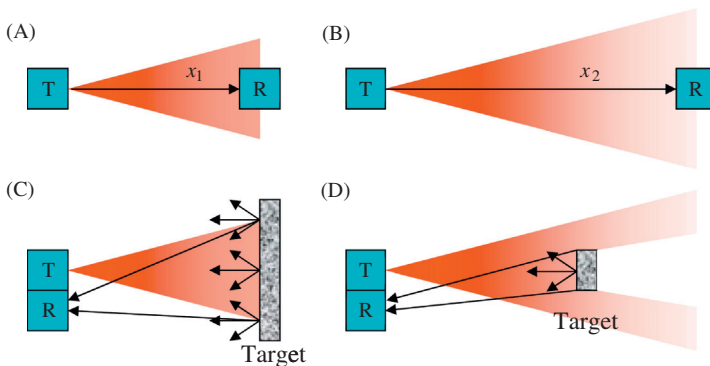


Figure 7.5 Displacement sensing by variation of intensity (A), (B) direct mode, (C) indirect mode with large target, and (D) indirect mode, with small target; T = transmitter (source), R = receiver (sensor).

signals. If both sensors have stable, identical sensitivity, source instability can be completely eliminated. The final accuracy is limited by changes in the difference of sensor parameters.

Fig. 7.5C shows the indirect mode, suitable for contact-free distance measurements. Source and sensor are mounted both on a fixed part of the construction. The source casts a light beam on the target, which scatters this light in all directions (diffuse reflection; we disregard here specular reflection for simplicity). Most surfaces behave as a Lambertian surface, i.e., they scatter the incident light in all directions equally (see Appendix A.4.2). Actually the object acts as a secondary light source, in the same way we see the moon by the sunlight scattered from its surface. The intensity of the detected light depends on the distance from the emitter–receiver system to the reflecting target hence is a measure for the position of the moving part.

The inverse square law (7.6) also applies for this configuration, as long as the target intercepts the full beam. If, on the other hand, the target is smaller (as shown in Fig. 7.5D) the reflecting surface area is constant (that of the target). The irradiance (incident power per unit area) of the target decreases with the square of the distance from the source (because the surface area is constant). The illuminated target acts as a secondary light source, the light of which is measured by the sensor. For this part the inverse square law also applies, so in total the sensor output drops with the *fourth* power of the distance between source and target (see Appendix A.4.3 for a more general derivation):

$$I_o \approx \frac{1}{x^4} \quad (7.7)$$

Note that the output depends also on the angle of the object's surface relative to the optical axis of the source. The fourth-power dependence results in an even smaller measurement range. Clearly the design of a proximity sensor must be such that the object always intersects the whole beam, in other words, a narrow beam is preferred.

Like Eq. (7.6), also Eq. (7.7) is an approximation since it does not account for the directivity of the source and the sensor, or an inhomogeneous light beam. Nevertheless they are useful for a quick check during the design process. Appendix A.4 gives a more general proof of these relationships.

For contact-free distance measurements according to Fig. 7.5C and D special devices are available, in which the emitter and receiver are mounted in a single housing (Fig. 7.6).

When configured for small distances, this sensor system is referred to as an *optical proximity sensor*. The optical axes make a fixed angle; as can be seen in Fig. 7.7A, light can only travel from transmitter to receiver when the reflecting target falls in the area where the directivity curves of the transmitter and receiver overlap. The useful range of the sensing system is somewhat smaller: both the lower and the higher limits of the detection range are set by the configuration geometry and by the directivity characteristics of the devices: $x_a < x < x_b$ (Fig. 7.7B).

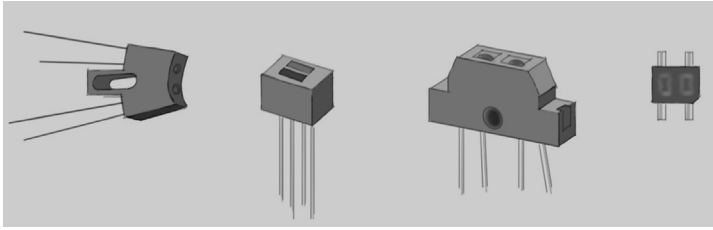


Figure 7.6 Some sensor devices for reflective optical distance sensing.

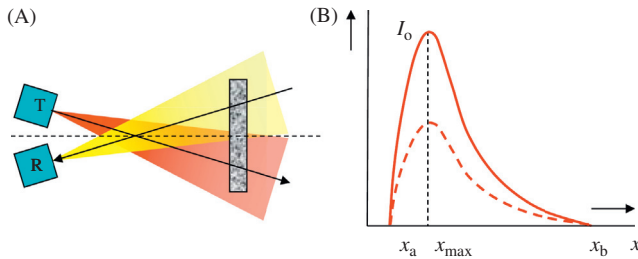


Figure 7.7 Optical proximity sensing: (A) useful range and (B) transfer characteristics.

All considerations given for this type of linear displacement sensors hold for angular sensors too. Angular sensitivity is obtained using the direction sensitivity of the source or sensor.

The contact-free distance sensors discussed here are simple and cheap. Principally due to the analogue nature, with the reflection method a very high resolution (down to $0.01\ \mu\text{m}$) can be obtained [7]. However, the accuracy is low: since the output is an intensity signal, intensity variations due to other causes will be taken as a displacement. For instance changes in the target reflectivity, the intensity of the emitter and the sensitivity of the receiver all contribute to a change in the output signal. The effect of different reflection coefficients is depicted in Fig. 7.7B, where the upper curve refers to a surface with a relatively high reflectivity and the lower (dotted) one to a more absorbing surface.

Compensation, balancing and feedback make the output more tolerant to changes in these parameters (see the general principles in Chapter 3: Uncertainty aspects). Instead of a single receiver a pair or a quad (four diodes arranged in a 2×2 matrix) is used. The position of the incident light beam is controlled in such a way that all sensors have the same output: this guarantees a fixed position of the beam—exactly between the diode pair or in the center of the quad. Displacement information is derived from the actuators controlling the beam position. In this way accurate position information is required with rather inaccurate optical sensors. Similar concepts are used in CD-players to keep the reading head exactly on the right track on the disk.

In mechatronics and many other applications it is not always of primary importance to find the exact distance: the detection of an occurrence would be sufficient in many cases (door open or close, presence of a person or object). For this purpose

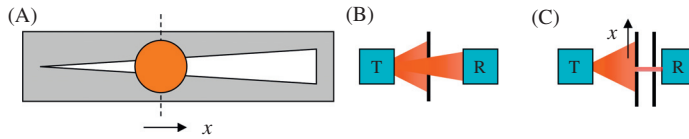


Figure 7.8 Examples of displacement sensors using intensity variation in transmission mode: (A) long-range front view, (B) side view, and (C) short-range side view.

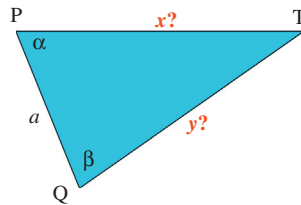


Figure 7.9 Triangulation principle with a Position sensitive diode (PSD).

special optical sensors have been constructed with a wide range of specifications. Systems in which the sensor faces the source (direct mode) have the widest range: up to 35 m. Indirect mode systems have a smaller range, because only part of the scattered light will return to the sensor. With special reflectors (retroreflectors) the maximum range is about 10 m; when only the reflection of the object itself is used the distance range is several meters.

Up to here only sensors in reflection mode have been discussed. Measuring (linear and angular) displacement can also be performed by an intensity method in transmission mode. A simple configuration is given in Fig. 7.8.

Light transmission is modulated by the tapered slot in the moving mask: a very cheap and simple linear displacement sensor suitable for applications with low demand on accuracy. A rotational version can be made in a similar way, with a tapered slot in a circular segment. The dimensions of the slot are chosen such that the whole displacement range is covered from 0% to 100% transmission. The principle is also suitable for measuring very small movements (submillimeter). A high sensitivity (but small range) is obtained by a configuration with a narrow slot in the moving mask and a fixed slot in front of the receiver (Fig. 7.8C). By shaping the slot in a particular way, an arbitrary transfer function can be obtained, for instance a logarithmic or a parabolic relationship between displacement and transmission. For each application optimal dimensions can easily be found by inspection of the amplitude of the movement to be monitored.

7.2.2 Triangulation

A triangle is completely determined by three parameters (sizes or angles), a property that is used to find an unknown distance. Assume x in Fig. 7.9 is an unknown distance.

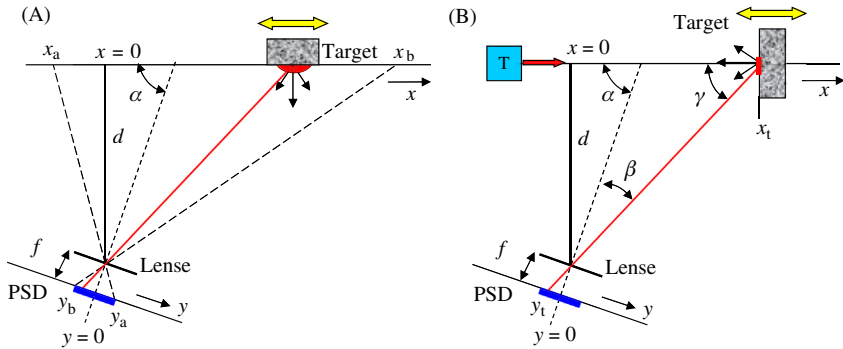


Figure 7.10 Triangulation applied to contact-free distance measurement: (A) direct mode and (B) indirect mode.

When one side a and the angles α and β are known, the other sides x and y follow from

$$x = a \frac{\sin \beta}{\sin(\alpha + \beta)}; \quad y = a \frac{\sin \alpha}{\sin(\alpha + \beta)} \quad (7.8)$$

This principle is used to measure displacement or distances in a variety of situations. Fig. 7.10A shows a configuration using the direct mode.

With one fixed distance d , one fixed angle α and one measured distance y (on a PSD or diode array) the unknown distance can be calculated. In this configuration for 1D displacement measurement, the position x of the target has to be measured while moving along the x -axis. It carries an omnidirectional light transmitter, viewed by a PSD (or a line camera). The sensor is positioned in the focal point of the lens, with focal distance f . This creates a sharp image on the PSD only if the spot is far away (which in most practical situations is indeed the case). In general the optimal position (and orientation) of the PSD should fulfill the Scheimpflug condition, in order to have the spot always in focus on the PSD, irrespective of the distance [8]. From Fig. 7.10A it follows that the measurement range (travel from x_a to x_b) depends on the size of the PSD (from y_a to y_b), and the focal length f and can further be adjusted with the parameters d and α .

Fig. 7.10B gives a configuration in indirect mode. A light beam from a laser source casts a spot on a suitable, well-defined point at the movable target. Part of the scattered light is projected onto the PSD. A displacement of the target causes a displacement of the projected light spot on the sensor. The x -position of the laser source is irrelevant here, as long as the spot intensity is large enough to create a bright spot on the PSD. Clearly each target position x_t corresponds to a spot position y_t on the PSD. This relation depends on the geometry and the focal distance of the lens and can be found using the goniometric relation:

$$\tan \alpha = \tan(\beta + \gamma) = \frac{\tan \beta + \tan \gamma}{1 - \tan \beta \tan \gamma} \quad (7.9)$$

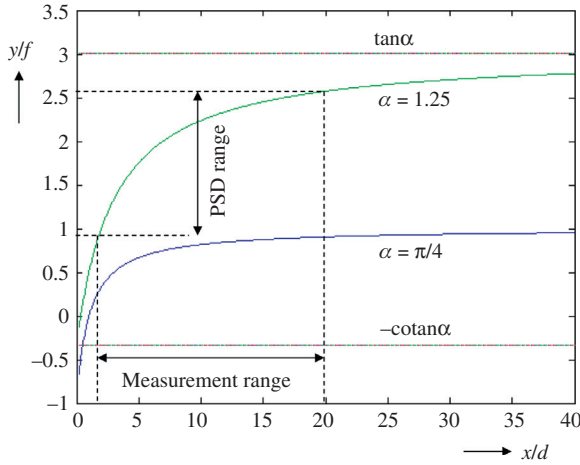


Figure 7.11 Relation between distance x to be measured and position y of the light spot on the PSD, normalized to x/d and y/f ; measurement range and PSD range are given for $\alpha = 1.25$.

With $y/f = \tan \beta$ and $a/x = \tan \gamma$ (see Fig. 7.10B) we arrive at

$$y = f \cdot \frac{x \tan \alpha - d}{x + d \tan \alpha} \quad \text{or} \quad x = d \cdot \frac{y \tan \alpha + f}{f \tan \alpha - y} \quad (7.10)$$

Fig. 7.11 shows the effect of the parameter α on the range and on the transfer characteristic. The horizontal lines— $\cotan \alpha$ and $\tan \alpha$ represent the values for $x = 0$ and $x \rightarrow \infty$, respectively, in this case for $\alpha = 1.25$ rad. At a fixed length of the PSD the measurement range increases for increasing d and decreasing f . In general the transfer is nonlinear; only for $\alpha = \pi/2$ (the optical axes of the source and the sensor are perpendicular) the transfer is linear: $y/f = x/d$; the range is rather small. For $\alpha = 0$ (parallel optical axes) the transfer is simply the inverse: $y/f = -d/x$. In practice a compromise must be made with respect to range and inaccuracy by nonlinearity. The range can be enlarged using rotational mirrors, a technique that will be discussed in Section 7.4 on applications.

The size of the light spot image on the sensor depends on the beam diameter and divergence, and may be substantially larger than the required resolution of the sensor. For a PSD this is not very relevant because it responds to the optical center of intensity, which lies on the main axis of the light beam. Obviously the spot size should not exceed the *width* of the PSD. A more serious problem is the low S/N ratio due to poorly reflecting surface materials, a slanting angle of incidence or simply a too large distance. Using multisensor techniques and signal averaging the accuracy can be improved over a factor of 10 [9].

When using a diode array instead of a PSD, the light spot may simultaneously activate several adjacent elements of the array. Therefore the element in the center

Table 7.3 Comparison between position sensitive diode (PSD) and camera for position measurements

Item	PSD	Camera (b/w)
Position information	Analogue	Quantized
Number of positions	1	#pixels
Position resolution	1 ppm	4096 pixels
Dynamic position range	1:10 ⁶	1:10 ³
Accuracy	High	Calibration required
Speed	30 kHz per point	80 MHz pixel rate (20 kHz line rate)
Light source	LED, laser	Environmental

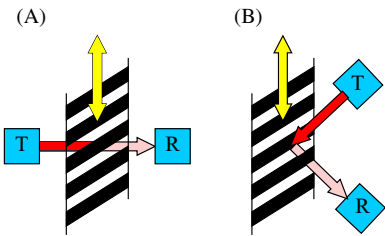


Figure 7.12 Optical encoders in (A) transmission mode and (B) reflection mode.

of all activated elements (or the median) should be selected as being the best position of the spot. While designing a displacement sensing system often a trade-off has to be made between a (1D or 2D) PSD and a (line or matrix) camera. [Table 7.3](#) presents some basic differences between these two devices, which could be helpful in making a proper choice. Note that numerical data are typical; higher values for resolution and speed are available.

7.2.3 Optical encoders

The optical sensors described in this section are digital in nature. They convert, through an optical intermediate, the measurement quantity into a binary signal, representing a binary coded measurement value. Sensor types that belong to this category are optical encoders, designed for measuring linear and angular displacement, optical tachometers (measuring angular speed or the number of revolutions per unit of time) and optical bar code systems for identification purposes.

Optical encoders are composed of a light source, a light sensor and a coding device (much the same as the general setup in [Fig. 7.1](#)). The coding device consists of a flat strip for linear displacement or disk for angular displacement, containing a pattern of alternating opaque and transparent segments (the transmission mode) or alternating reflective and absorbing segments (the reflection mode). Both cases are illustrated in [Fig. 7.12](#).

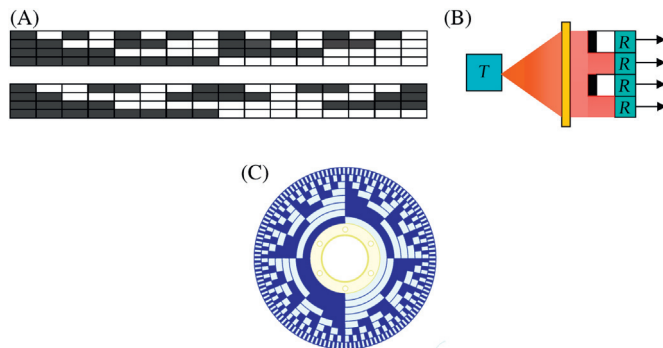


Figure 7.13 Absolute encoders: (A) linear encoders with 4-bit dual code and with 4-bit gray code, (B) readout system with collimator, and (C) angular encoder disc.

The coding device can move relatively to the assembly of transmitter and receiver causing the radiant transfer between them switch between a high and a low value. In the transmission mode the encoder consists either of a translucent material (e.g., glass, plastic, and mylar), covered with a pattern of an opaque material (for instance a metallization), or just the reverse, for instance a metal plate with slots or holes.

Two basic encoder types are distinguished: absolute and incremental encoders. An *absolute encoder* gives instantaneous information about the absolute displacement or the angular position. Fig. 7.13 gives examples of absolute encoder devices in transmission mode.

Each (discrete) position corresponds to a unique code, which is obtained by an optical readout system that is basically a multiple version of Fig. 7.12A. The acquisition of absolute position with a resolution of n bits requires at least n optical tracks on the encoder and n separate sensors.

Obviously the maximum resolution of an absolute encoder is set by that of the least significant bit (LSB) track; evidently it is the outer track of an angular encoder. Practical limitation of the resolution is limited by the dimensions of the detectors. Special integrated circuits including photodiodes and built-in compensation have been developed to maintain the performance also with high resolution encoder discs [10].

The natural binary code is polystrophic, which means that two or more code bits may change for a 1-LSB displacement (see the linear encoder of Fig. 7.13A, upper track). Mechanical tolerances will introduce error codes between two adjacent binary words. For this reason most encoders use the Gray code, which requires also the minimum number of tracks at a given resolution but is monostrophic: at each LSB displacement only one bit will change at a time, as can be seen from the linear encoder in the lower track of Fig. 7.13A.

Serious disadvantages of an absolute encoder are its limited resolution, the large number of output sensors and the consequently high price. A much better resolution is achieved by the *incremental encoder*, of which Fig. 7.14 shows a typical example

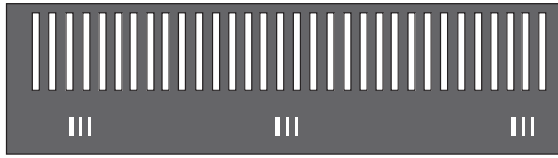


Figure 7.14 Incremental linear encoder scale with coded markers.

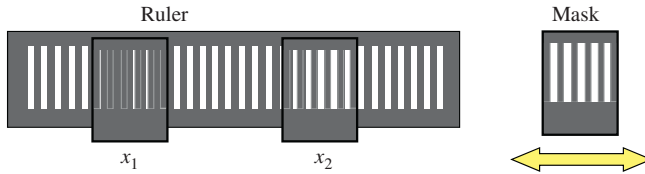


Figure 7.15 Linear incremental encoder with fixed scale and moving mask.

for linear displacement. It has only one track consisting of a large number of transparent slots. In the angular type these slots are arranged radially along the circumference of the optical disc.

Principally the incremental encoder needs only one source and one sensor, facing each other through the code disc (angular) or the scale (linear). The output of the sensor changes alternately from low to high when the encoder is displaced relative to the source–sensor system, generating a number of pulses equal to the number of light-dark-light transitions. Although the actual output signal does not contain information about the absolute position of the encoder, this position can nevertheless be found by counting the number of output transitions or pulses, starting from a certain reference position. The reference position is marked by an extra slot in the disc or scale. Once the position information is lost (for instance due to a power interruption), the system should go to the nearest reference marker. At very large scales, this could take too much time; therefore, long scales have multiple markers, which are coded to make them distinguishable from each other. In Fig. 7.14 the markers are identical but positioned on different distances. The number of lines between two adjacent markers is a unique code for the marker position.

If the incremental encoder is used in the same way as the absolute encoder (one sensor for each line) its resolution would not be much better: the size of the sensor should not exceed the width of one slot. However, the combination with a fixed mask positioned against the moving disc or scale gives a much higher resolution, as is illustrated in Fig. 7.15. The mask has an extension of several line widths and the same pitch as that of the scale.

The light transfer changes periodically from a minimum to a maximum value and back for each displacement over the pitch of the optical pattern. If the scale and the mask are perfectly matched and aligned, the maximum light transfer is 50% (position x_2 in Fig. 7.15), whereas the minimum light transfer is zero over the whole area of the mask (position x_1). So the sensor size is not limitative anymore to the

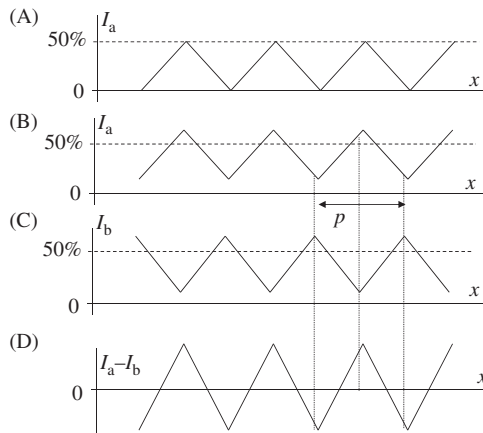


Figure 7.16 Output currents versus displacement (A) without offset, (B) and (C) transfer of each mask with offset, and (D) difference between the two signals.

resolution. However, due to mechanical tolerances and not perfectly collimated light the minimum transfer is not completely zero. These effects become more significant at decreasing line width.

In the ideal case the sensor output changes linearly with the displacement or rotation over half a grating period. At continuous displacement the output changes periodically as indicated with the triangular characteristic in Fig. 7.16. One period of this triangle corresponds to a displacement over one grating period p .

Unfortunately real encoders show some deviations from the ideal behavior. For instance the base line of the triangle I_a in Fig. 7.16A can be shifted upwards or the amplitude decreased, due to misalignment of the scale and the masks, resulting in a position as in Fig. 7.16B. Adding a second moving grating and sensor offers some improvement. When the gratings are positioned $(n + \frac{1}{2})p$ (n real) apart, the outputs I_a and I_b are in antiphase (Fig. 7.16B and C). The difference between both outputs is a triangular signal that has a more stable relation with displacement (Fig. 7.16D): the (common) offset is eliminated and zero crossings define dark–light transitions in a more reproducible manner.

The incremental encoders discussed so far do not provide information about the *direction* of displacement or rotation. They are useful for unidirectional displacements and as (absolute) velocity sensors, but fail when position information is required after displacement in an arbitrary direction. Fig. 7.17 clarifies how to solve this problem. Two pairs of masks are now positioned at a distance roughly $(n + \frac{1}{4})$ of the pitch. A displacement results in two triangular signals according to Fig. 7.16D, $\pi/2$ rad out of phase (Fig. 7.17A and B).

These triangular signals are converted to two binary signals d_1 and d_2 , having also a phase difference $\pi/2$ (Fig. 7.17C). Such a conversion can easily be implemented using comparators that switch their output just on the zero crossings of the triangular signal. From these two signals d_1 and d_2 the direction of the movement

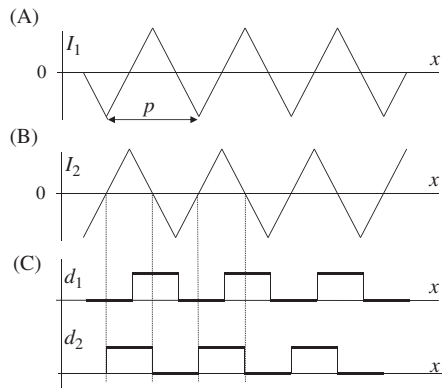


Figure 7.17 Determination of displacement direction using two optical encoders.

can simply be deduced. A movement in the positive x -direction results in a binary word sequence $d_1 d_2$ of 00–01–11–10–00, etc. So code 11 is followed by 10. When the direction of the movement is reversed, code 11 is followed by 01. By driving a pair of flip-flops, information about the direction is easily achieved.

The resolution of an incremental encoder can further be increased as follows. When the relation between displacement and output is known, this information can be used to find intermediate positions between the maximum and the minimum values of the triangle. Hence the incremental encoder offers the possibility to interpolate between successive slots, increasing substantially the resolution of the sensor. In practice the output versus displacement is not a nice triangle as given in Fig. 7.16: the tops may be rounded or the shape is more trapezium like. Better interpolation accuracy is obtained when the output is first converted into a sinusoidal shape. This results in one or two pairs of signals in quadrature ($\pi/2$ phase differences), from which the “electrical” angle can be reconstructed (compare the resolver signal processing in Chapter 6: Inductive and magnetic sensors). Another way is the arctan method: the quotient of two quadrature signals is a tangential function, so by taking the arctan of this function the displacement is obtained. Obviously errors in the amplitude, offset, and phase of these signals introduce position errors. A relation between signal errors and resulting position errors is given in Ref. [11]. A method to further reduce the interpolation error is the generation of higher harmonics as “in-between” quadrature signals [12].

Note that the measurement head of commercial incremental encoders may contain four masks to obtain direction sensitivity (according to Fig. 7.17), zero-crossing accuracy (Fig. 7.16) and interpolation all in one. A fifth mask serves for detection of the reference markers.

The intrinsic resolution of an incremental encoder is set by the number of slots per unit distance or rotation. Apart from interpolation the resolution can further be increased by employing the *Vernier effect* or the *Moiré effect*. Two identical scales

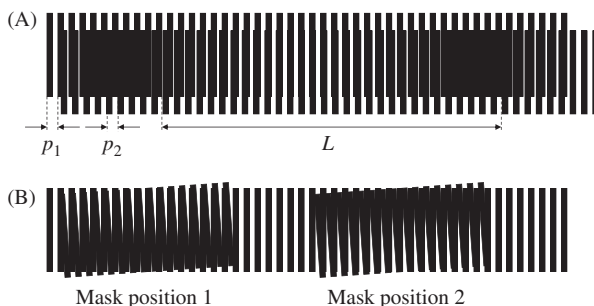


Figure 7.18 Incremental encoder employing (A) the Vernier effect and (B) the Moiré effect for increased resolution.

with patterns having a slightly different pitch cause, when superimposed, alternately light and dark zones along the encoder scale, with a synthetic period L (Fig. 7.18A).

Similarly two scales with the same pitch but making a small angle cause also white and dark zones (Fig. 7.18B). When the scales shift a distance of one pitch relative to each other, the dark zone shifts over a complete synthetic period. The Vernier period equals $L = |p_1 p_2 / (p_1 - p_2)|$. The configuration behaves as an optical displacement amplifier. Its amplification factor is inversely proportional to the pitch difference and can be very large for pitches having slightly different values. The zones have an extension that allows the use of normally sized sensors.

Direction sensitivity is obtained in the same way as is illustrated in Fig. 7.17, using two sets of source–sensor pairs. Here, too, the system allows interpolation between two adjacent positions with maximum and minimum output, similar to the normal encoder. A special design based on this concept is described in Ref. [13], where both shifted and rotated masks are studied.

The Vernier concept can also be applied in absolute encoders, to enhance their intrinsically lower resolution. Details are presented in Ref. [14], dealing with an absolute angular encoder used to control a servo motor. With two discs comprising only three binary tracks each, a resolution of 1.6 degrees can be achieved, instead of 45 degrees with a conventional 3-bit code disc.

The main errors of optical encoders are the quantization error $\frac{1}{2}n$ (where n is the number of binary tracks in an absolute encoder or the number of lines in the incremental encoder without interpolation), the mask tolerances, the misalignment, and the eccentricity of the code patterns. Table 7.4 shows ultimate specifications of several encoder types.

So far we have considered encoders with linear, regular code patterns, and static light beams. Many other code patterns have been proposed to reduce instrument complexity, increase accuracy or enhance applicability (see further Section 7.4 on applications). Instead of a collimated light beam (to fully cover the coded area), a

Table 7.4 Maximum specifications of optical encoders

Encoder type	Range (FS)	Resolution	$T_{\max}$ (°C)
Incremental linear ^a	1 cm to 3 m ^b	80 lines/mm	100
Incremental angular ^a	2π	18,000 lines/ 2π	80
Absolute linear	1 cm to 3 m	1 μm	
Absolute angular	2π	14 bit	80

^aWithout interpolation.
^bLarger range encoders are constructed upon request.

scanning light beam (as used for reading bar codes) is a useful alternative [15]. This approach allows the use of just a single detector and makes additional detectors for determining the direction of movement unnecessary. It is also easier to read codes with a nonregular format, for instance pseudo-random codes. Such codes may yield higher accuracy because they are less noise sensitive. The scanning may slow down the system, but for many applications, such as navigation, this is not a serious limitation.

7.2.4 Interferometry

The concept of interferometry is based on the phenomenon of (optical) interference, occurring when two waves with equal frequency coincide. The resulting amplitude (or intensity) varies with the phase difference between the two waves. In an interferometric sensor such a phase difference occurs due to a path length difference between the two light beams, one of which usually follows a reference path, the other a path of which the length is modulated by a movable part of the construction.

At equal amplitudes of the individual waves the total intensity doubles when the waves are in phase (*constructive interference*), and drops to zero when in antiphase (*destructive interference*). If the wave amplitudes are not the same the minimum intensity is not zero and the interference effect becomes less pronounced.

The wave form of monochrome light (i.e., light with just one wavelength) is described by:

$$A(x, t) = A_0 \cos(\omega t - kx) \tag{7.11}$$

where A_0 is the wave amplitude (for both the electric and the magnetic field components), $\omega = 2\pi f$ is the angular frequency of the wave, $k = 2\pi n/\lambda$ is the wave number and x the coordinate in the direction of propagation. Remember that the refractive index n of a medium is defined as the ratio of the propagation speed of light in a vacuum c and in the medium v , so $n = c/v = \lambda_0/\lambda$. In the remainder of the text we assume $n = 1$, because most applications are in air having a refraction index very close to one.

When two waves with equal frequency (wavelength) travel distances x_1 and x_2 , respectively, their wave forms are described as $A_1 \cos(\omega t - kx_1)$ and

$A_2 \cos(\omega t - kx_2)$. Both waves fall simultaneously on the same sensor, so the wave functions are added:

$$A_{\text{tot}} = A_1 \cos(\omega t - kx_1) + A_2 \cos(\omega t - kx_2) = A(x) \cos\{\omega t - \varphi(x)\} \quad (7.12)$$

where

$$A(x) = \sqrt{A_1^2 + A_2^2 + 2A_1A_2 \cos k(x_1 - x_2)} \quad (7.13)$$

and

$$\tan \varphi(x) = \frac{A_2 \sin k(x_1 - x_2)}{A_1 + A_2 \cos k(x_1 - x_2)} \quad (7.14)$$

Eq. (7.12) describes a periodic signal with radial frequency ω and in which amplitude and phase both vary with $k(x_1 - x_2)$; hence with the difference between the travelled distances.

A flat wave transfers optical (electromagnetic) energy into the direction of propagation x that amounts to $S_x = E_y \cdot H_z$, a quantity that is proportional to the square of the wave function. Since a photosensor responds to the irradiance—that is the average wave energy per unit area—its output is also proportional to the square of the wave function amplitude $A(x)$ in Eq. (7.13). So the sensor output satisfies:

$$I_d = |A(x)|^2 = A_1^2 + A_2^2 + 2A_1A_2 \cos k(x_1 - x_2) = I_o(1 + m \cos k\Delta x) \quad (7.15)$$

with

$$m = \frac{2A_1A_2}{A_1^2 + A_2^2} \quad (7.16)$$

Apparently the sensor output changes sinusoidally with the path difference Δx . The differential sensitivity is at maximum for odd multiples of $\pi/2$ (the so-called *quadrature point*), and minimal at multiples of π (Fig. 7.19). Only when both light wave amplitudes are equal, $m = 1$, resulting in an optimal interference effect. This is why the factor m is called the interference visibility.

In an interferometer the two waves travel along separate paths, one with a fixed length (the reference) and the other having a length that is set by a movable object whose displacement has to be measured. The two waves fall on the same detector where they are effectively added up. According to Eq. (7.15) this results in an output signal proportional to the intensity, which varies with the optical path difference hence with the displacement of the object.

Fig. 7.19 shows that the output varies periodically with displacement; the unambiguous measurement range is just half a wavelength (for red light about 300 nm).

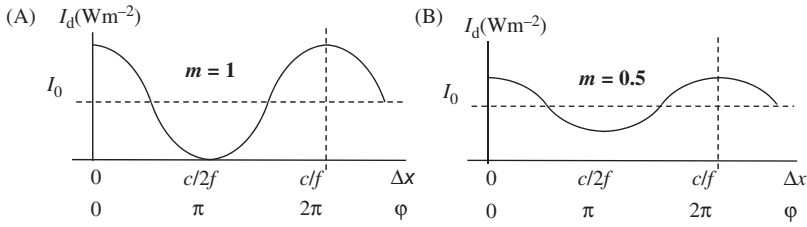


Figure 7.19 Sensor output as a function of the relative displacement: (A) $m = 1$, (B) $m = 0.5$.

To cover a wider range, there are two possibilities. The first is to count the number of dark–light transitions during the movement starting from some reference position, as with the incremental encoder. Another method makes use of an artificially enlarged wavelength, accomplished by mixing two waves of slightly different frequencies ω_1 and ω_2 (or wavelengths λ_1 and λ_2):

$$\begin{aligned}
 A_{\text{mix}} &= A \{ \cos(\omega_1 t - k_1 x) + \cos(\omega_2 t - k_2 x) \} \\
 &= 2A \cos \frac{1}{2} \{ (\omega_1 - \omega_2) t - (k_1 - k_2) x \} \cdot \cos \frac{1}{2} \{ (\omega_1 + \omega_2) t - (k_1 + k_2) x \} \\
 &= 2A \cos \{ \omega_{\text{mix}} t + \varphi_d(x) \} \cdot \cos \{ \omega_s t + \varphi_s(x) \}
 \end{aligned} \tag{7.17}$$

This is an amplitude modulated wave, of which the envelope (see Section 3.2.4) has a frequency ω_{mix} equal to half the difference of the two frequencies ω_1 and ω_2 . This corresponds to a wavelength λ_{mix} equal to

$$\lambda_{\text{mix}} = \frac{2\lambda_1 \lambda_2}{\lambda_1 - \lambda_2} \tag{7.18}$$

also called the *synthetic wavelength*. For instance when the two wavelengths are 650 and 652 nm, the synthetic wavelength amounts 0.424 mm, resulting in an unambiguous displacement range of 0.2 mm (where it was originally 325 nm).

Interference is only visible with two monochromatic, coherent light beams. The creation of such a pair of coherent beams starts with a single, monochrome light source (for instance a laser or laser diode). The emitted light beam is split up into two separate beams, for instance using a semitransparent mirror. Fig. 7.20 shows such a system, known as the classical interferometer or Michelson interferometer.

The beam splitter M_S is a semitransparent mirror: its transmission and reflection coefficients are (about) 50%. The two light beams arrive at the sensor via different paths: one runs from the source, via M_S and M_R and back through M_S to the sensor; it has a fixed length. A second beam travels via the (movable) target and ends at the sensor as well: its total path length varies with the position of the target.

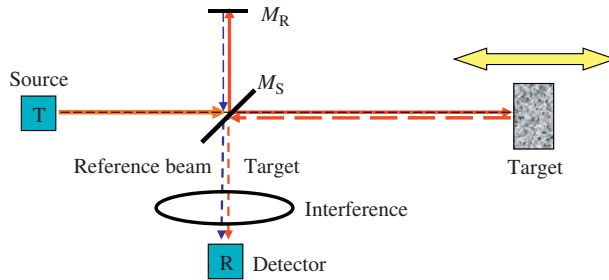


Figure 7.20 Classical interferometer configuration.

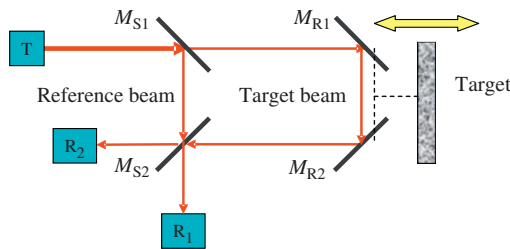


Figure 7.21 Mach–Zehnder interferometer configuration.

Evidently all optical components should be accurately aligned to achieve a proper operation.

A major disadvantage of the interferometer configuration of Fig. 7.20 is the optical feedback towards the source, which may introduce instability of the output frequency. In the configuration of Fig. 7.21 such feedback is eliminated by using an extra beam splitter. This type of interferometer is referred to as the Mach–Zehnder interferometer. Originally designed for the investigation of the properties of transparent samples, it can also be used for displacement measurements. Again two coherent light waves are involved: the reference wave travels over a fixed distance via semitransparent mirrors M_{S1} and M_{S2} , the other reflects at a set of mirrors M_{R1} and M_{R2} fixed on a movable target.

There are two receivers, which can act in balance mode: when one has maximum output, the other has minimum output. The disadvantage of this interferometer is the necessity to connect mirrors to the moving target.

The simplest interferometer is the Fabry–Perot configuration (Fig. 7.22). It is essentially an optical cavity made up from two semitransparent mirrors in parallel. The moving object is fixed to one of these mirrors. The sensor receives light that has travelled a number of path lengths in the cavity.

Suppose the path length between the mirrors is $\frac{1}{2}\lambda$, then the waves leaving the moving mirror have phase differences of λ and are added up (constructive interference); when the path length is just $\frac{1}{4}\lambda$, the waves have phase differences of $\frac{1}{2}\lambda$ resulting in destructive interference.

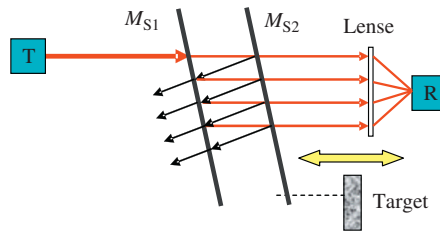


Figure 7.22 Fabry–Perot interferometer configuration.

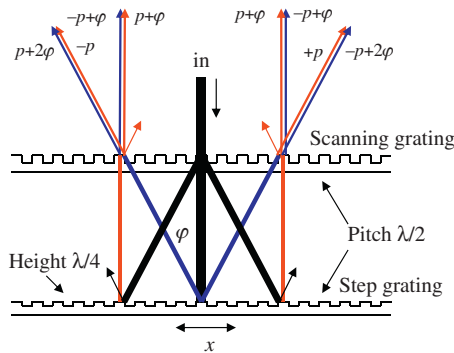


Figure 7.23 Interferometric scale (after Heidenhain).

When the pitch of an incremental encoder is made smaller, in the order of the wavelength, the light is refracted at the grating. This effect is used to further increase the resolution of a linear encoder, by combining it with interference. [Fig. 7.23](#) shows the basic principle of this *interferometric encoder*. The instrument comprises two scales moving relatively to each other, at about 1 mm apart. One is transparent, the other not. The nontransparent scale has a pattern of small grooves, with pitch p ; this is the step grating. The transparent one is the scanning grating with the same pitch. Light that falls on such a grating will be partly diffracted, resulting in diffracted beams of order 0, ± 1 , ± 2 , ... In this case we only consider diffraction of the orders -1 , 0, and $+1$; the order 0 corresponds to the nondiffracted beam.

The 0th-order wave front passing the transparent grating hits the step grating, where it is diffracted again in three directions 0, $+1$, and -1 . When the gratings are in line the wave fronts have all the same phase. However, when the step grating is shifted over a distance x , the diffracted wave front shows a phase shift equal to $\phi = 2\pi np/\lambda$ (n is the order of diffraction). The same holds for the two diffracted beams from the scanning scale: when they hit the step scale, three diffracted beams result, with the same phase shift. The design is made in such a way that the diffracted beams interfere when entering back to the scanning scale: for each of the

three directions the phase difference of the interfering waves is $2p$. Each time the wave front passes the scanning grating, it experiences an additional constant phase shift; by design this phase shift is ϕ for the 0th-order diffracted waves and 0 for the other waves.

Interferometry is mainly applied for linear displacement measurements. However, the interferometric sensing principle can also be used to create angular displacement and velocity sensors. Rotation measurements by interferometry require additional optics, for instance a prism assembly [16,17]. Since the resolution is high, interferometry is also applied in sensor systems where the measurand introduces only small displacements of a sensitive part, for instance pressure, vibration, and inertial acceleration sensors. In these cases the small displacements of a membrane [18], a cantilever [19], and a seismic mass [20], respectively, are measured by an interferometric principle. In all these examples light is guided through fibers to and from the sensor element, allowing the construction of very small sensing devices.

As an interferometer has a very high sensitivity to displacement parameters, it requires a rigid setup and careful adjustment of the optical components. Furthermore a frequency shift Δf induces an output change equivalent to a phase shift of $4\pi\Delta f/c$, where c is the speed of light. An interferometer, therefore, needs a very stable source, to minimize phase noise in the output signal. Altogether an interferometer is quite an expensive instrument.

The range of an interferometer runs from several centimeters up to several tens of meters and depends on the maximal number of subsequent fringes (light–dark transitions) that can be counted. The resolution is set by that of the interference pattern measurement (either in time or in the spatial domain). The accuracy depends largely on the accuracy and stability of the wavelength (the displacement between two consecutive counts). The wavelength in air varies (nonlinearly) with the index of refraction, and hence with temperature, pressure, and air humidity. For small variations of these parameters, an approximation for the wavelength change is [21]:

$$\frac{\Delta\lambda}{\lambda} = 0.9 \times 10^{-6} \times \Delta t - 0.3 \times 10^{-6} \Delta p + 10^{-8} \Delta H \quad (7.19)$$

denoting the relative change in wavelength around atmospheric conditions. In this expression Δt , Δp , and ΔH are the changes in temperature ($^{\circ}\text{C}$), pressure (hPa), and relative humidity (%), respectively. An example: the wavelength of a helium–neon laser in vacuum is $\lambda_0 = 0.6329914 \mu\text{m}$. In air with temperature $t = 20^{\circ}\text{C}$, pressure $p = 1013 \text{ hPa}$, and 50% humidity $\lambda = 0.632820 \mu\text{m}$.

The relative accuracy of a noncompensated interferometer in a conditioned room is not much better than $10 \mu\text{m}$ per meter (hence 10^{-5}), mainly due to pressure dependency. When compensated for environmental parameters (which is never perfect), an accuracy of $3 \mu\text{m/m}$ can be obtained, within a temperature range from 0°C to 40°C . Some types of errors can be reduced using two waves with different wavelengths and assuming the errors are common to both waves [22].

7.2.5 Time-of-flight

Light travels through a medium with a fixed speed v determined by its optical properties, so the elapsed time t for covering a certain distance x is proportional to that distance: $t = x/v$. The ToF method is based on measuring the time interval between the emission of a light pulse and the arrival time of the reflected pulse. The speed of light is high: about 300,000 km/s in air. So the ToF of a light pulse is very short: roughly 3 ns for each meter, or 6 ns for a distance of 1 m to a target and back.

The measurement of short distances calls for fast electronics to obtain a reasonable resolution. For the long-range resolution is not a major problem; the boundary is mainly set by the decreasing intensity of the reflected light at increasing distance, hence the reduced S/N ratio. When the incident laser light makes an angle with respect to the target's normal, the light is reflected away from the receiver. When such a situation is expected to occur the target can be provided with a retroreflecting foil: the reflected beam makes the same angle as the incident beam and most light will be captured by the detector. The same can be done for an absorbing target surface.

7.3 Interfacing

7.3.1 Light-emitting diodes and photodiodes

LEDs and photodiodes are the most frequently used electro-optical components in mechatronic applications. Therefore we give some basic electronic interface circuits for these devices. The simplest circuits are with discrete resistors and transistors, but they have rather poor stability. Fig. 7.24A presents an interface circuit for controlling a LED.

With either E or R the current through the LED, and hence the output power, can be adjusted to a proper value. The exact value follows from the voltage-to-current characteristic of the device and the load line:

$$I = (E - V)/R \quad (7.20)$$

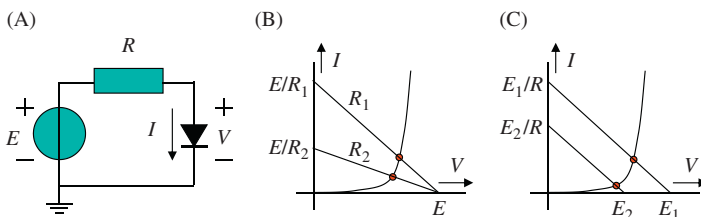


Figure 7.24 Interface circuit for a LED, with resistor and voltage source: (A) circuit, (B) adjustment by resistor, (C) adjustment by a voltage; dots represent the bias points.

Fig. 7.24B shows two load lines corresponding to two different values for R ; in Fig. 7.24C the resistance R is fixed, and the current is adjusted by the voltage E . In both cases the bias point is set by the intersection of the characteristic and the load line.

In Fig. 7.3A two possible readout approaches for a photodiode are indicated: current and voltage readout. In the first case the circuit is loaded by a system with zero input resistance (in Fig. 7.25A modeled by a resistance $R = 0$); in the latter case the measuring system has an infinite input resistance, $R = \infty$. For a finite value of the resistance, the output voltage is given by the intersection of the diode characteristic and the line $V = I_p \cdot R$, as shown in Fig. 7.25B.

Clearly only current readout provides an output voltage that varies linearly with the photocurrent. Similarly only current control of a LED provides a well-defined optical output. These conditions cannot be met easily just by a resistor. Using operational amplifiers (Appendix C), interface circuits for both source and receiver can be designed with much better performance. Fig. 7.26 shows the basic configurations for each of these components.

In both circuits the operational amplifiers are configured in feedback mode; this makes the voltage difference between the two input terminals zero. Hence the voltage at the negative input terminal in Fig. 7.26A is equal to E , forcing a current E/R flowing through resistor R . Since no current flows in the input of the (ideal)

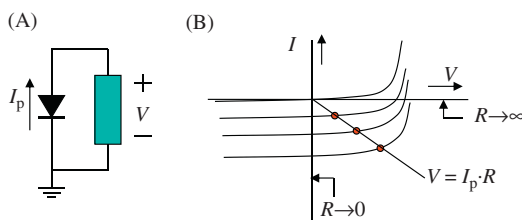


Figure 7.25 Interface circuit for a photodiode with a resistor: (A) circuit, (B) current, voltage and mixed readout; dots represent output voltage at different input power.

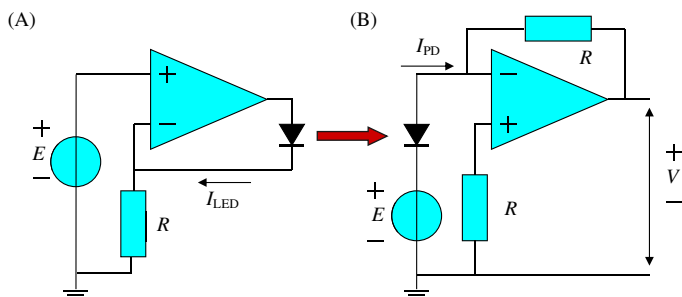


Figure 7.26 Interface circuits with operational amplifiers for (A) a LED and (B) photodiode.

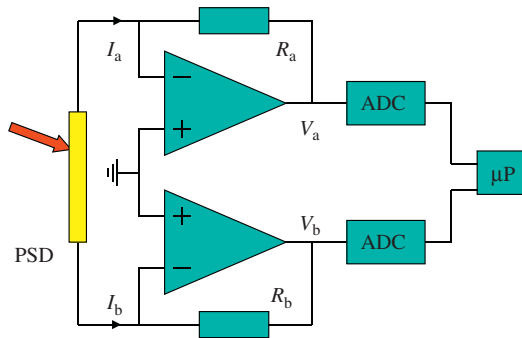


Figure 7.27 Signal processing circuit for a position sensitive diode (PSD) triangulation system.

operational amplifier, this current must also flow through the LED, generating an associated radiant flux. By varying E the radiant flux varies accordingly.

In Fig. 7.26B the operational amplifier is configured as a current-to-voltage converter. The photocurrent generated by the photodiode is forced to flow through feedback resistor R , producing an output voltage $V = -R \times I_{PD}$. The resistor R in series with the positive input terminal serves for compensation of the bias current of the operational amplifier, which flows also through the feedback resistor (see Appendix C).

An effective way to eliminate the influence of environmental light is intensity modulation. This can easily be achieved using the circuit of Fig. 7.26A: the optical output power is proportional to the input voltage E , so when E varies, the intensity is modulated by this voltage. The modulation frequency (the carrier) should be chosen such that interference signals can easily be separated from the signal by filtering (Appendix C).

7.3.2 Interfacing position sensitive diodes

A proper signal processing makes the output of a PSD independent of the intensity of the light beam, as shown in Section 7.1.3. Fig. 7.27 shows a combined analogue/digital processing circuit for PSD signals.

The currents I_a and I_b from the PSD are converted into voltages V_a and V_b , respectively, using simple I–V converters configured with operational amplifiers. Next the output voltages are converted into digital signals by two ADCs. All mathematical operations according to Eq. (7.5) are performed by a microprocessor.

The best performance is obtained when the output range of the I–V converters matches with the input range of the ADCs. In that case the system takes the most advantage from the full resolution of the ADCs. However, the irradiance varies strongly with distance, as shown in Section 7.2.1. At large distances the output signal may drop below the acceptable noise level. On the other hand at close distances where the irradiance is high, the interface electronics may run into overload.

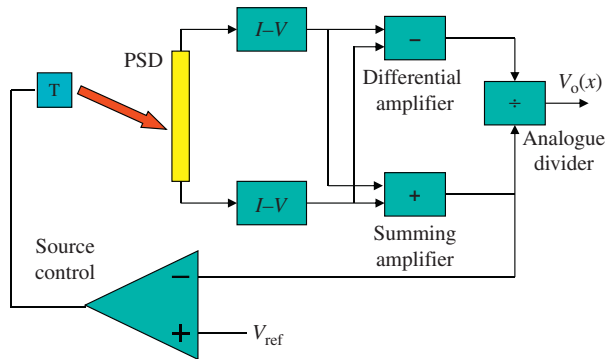


Figure 7.28 Position sensitive diode (PSD) interface (analogue) with stabilized input radiant power.

To extend the measurement range the source intensity could be controlled in such a way that the PSD receives the highest possible radiant power, irrespective of the distance. The sum of both output currents of a PSD is proportional to the irradiance; hence, this sum is a suitable quantity for controlling the emitted radiant power of the source. Fig. 7.28 gives an example of such a control circuit; here, the signal processing is performed by analogue circuits.

The output of the summing amplifier is compared with a reference voltage V_{ref} . The amplified difference controls the current through the light source in such a way that the sum voltage remains V_{ref} . Within the operating range of the control circuit the summing voltage equals the reference voltage. The measurement range can be set by adjusting the reference voltage V_{ref} . Since the intensity of the incident beam is fixed by this feedback, the output signal is that of the differential amplifier, and the (analogue) division can be omitted, which is an important advantage of this approach.

7.3.3 Interfacing optical sensors to embedded systems

Many integrated optical sensors can be directly connected to embedded systems. Fig. 7.29 shows an example of a compact PSD sensor discussed in Section 7.1.3. This sensor uses only three connections: supply voltage, ground and an analogue output voltage. The output voltage varies with the location x of the reflected light spot, which depends on the detected object distance as well as the physical dimensions of the sensor. Source and detector are configured according to Fig. 7.30. For this setup $x = A \cdot f/L$, with L the object distance, A the distance between sensor and projector and f the focal distance of the lens. This relation is an alternative approach to the triangulation option discussed in Section 7.2.2 (Fig. 7.9). Note that the relation is only valid for a limited range of distances L to the object. When the object is too close to the sensor, the distance x of the reflected spot will fall beyond the range of the sensor. This relation allows a straightforward calculation the distance of an

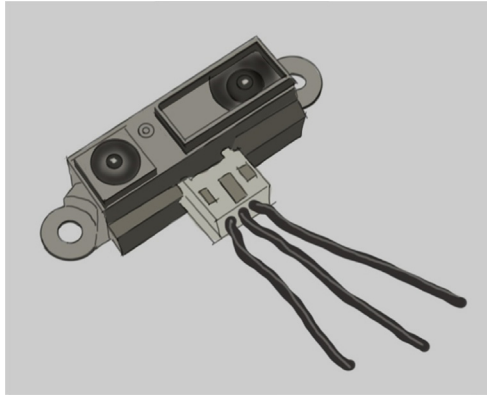


Figure 7.29 Example of a commercial Position sensitive diode (PSD) (after Sharp GP2Y0A51SK0F).

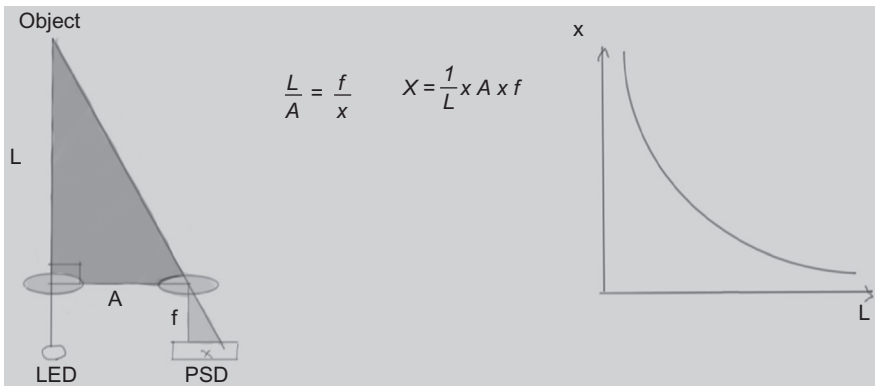


Figure 7.30 Position sensitive diode (PSD) relation for the device in [Fig. 7.29](#).

object based on the measured voltage. Appendix D shows how this voltage is measured using the ADC of an embedded system.

Transmissive or reflective optocouplers require little in terms of interfacing when they are used to detect a digital state. In [Section 7.3.2](#) methods are discussed for using operational amplifiers to create a constant current source for the emitting part and a current-to-voltage converter for the receiving part. For binary detection of a state, such as the presence or absence of an object, often a simpler interfacing strategy suffices. For the emitting part (an LED) a current limiting resistor can be used, and for the receiving photodiode a pull-up resistor as forward bias. Also capacitive interfacing of these sensors is possible, as discussed with the optical array sensor in [Section 5.3.2](#).

The resistor can be chosen such that the output threshold is about 2.5 V. When no light is received the output voltage is higher than 2.5 V and if light is received

the voltage is well below 2.5 V. Under this condition the output can be connected directly to a digital input pin of an embedded controller. Technically, besides this comparator function, also a Schmitt trigger (discussed in Appendix C) would be necessary in order to make sure that there is no bouncing at the transition from light to dark and vice versa.

Incremental encoders use these principles and are usually manufactured with the necessary conditioning circuitry built in. Typically, optical encoders need a supply voltage (i.e. 5.0 V) and give two digital output signals which are 90 degrees out of phase, as shown in Fig. 7.17. Sometimes a third output signal is available that provides an index pulse as position reference (compare Fig. 7.13). Using special software incremental encoders can be sampled by polling (i.e., regularly checking whether the position has changed) or by using so called “external interrupts.” Such an interrupt is based on an external signal, i.e., a rising edge, a falling edge or a change at a particular input pin. By simply counting pulse transitions the encoder position can be measured. For a higher resolution it is possible to measure all distinct (i.e., four) transition phases using quadrature encoding. Code examples are given in Appendix D.

7.4 Applications

Optical sensors are used in a wide variety of applications, ranging from a simple distance measurement to complex navigation systems and object identification. The applications discussed here are categorized in Table 7.5.

Table 7.5 Applications of optical sensing

Application	Primary quantity	Methods
Linear distance (1D)	Medium- and long-range distance	Intensity; triangulation; encoder; interferometry; ToF
Proximity (1D)	Short-range distance	Fiber optic
Roughness, flatness	Distance	2D scanning
Linear velocity	Velocity	Doppler; correlation
Linear acceleration	Velocity; displacement	Differentiation
Rotation; angle; angular rate	Angle	Encoder; interferometry
Object shape (2D, 3D)	3D coordinates	Probing; triangulation
Ranging (2.5D)	Distance	Passive
Navigation (2D)	Distance	Active/passive
Object tracking	Distance	Three modi; active
Strain, force, torque	Deformation	Elastic element; interferometry

7.4.1 Linear displacement sensing

Major measurable attributes of linear displacement are (relative) position, velocity, and acceleration. Optical sensing allows the measurement of these quantities without making contact with the moving object.

7.4.1.1 Linear distance

Optical sensing systems are widely used for contact-free *distance measurement* in mechatronics. The major principles are, in the order of increased accuracy, reflectance, triangulation, and interferometry. The reflectance method according to [Section 7.2.1](#) is very useful for low-accuracy applications and binary sensors. The small-sized electro-optical components can easily be mounted on or integrated into the mechatronic system. If there is not enough space to mount the sensors at the measurement location, the light can be transported to and from the proper positions using optical fibers.

Since *reflectance* depends on the reflectivity of the object, operation is restricted to environments with invariant objects. In applications with a variety of possible objects, accurate distance data can only be achieved after a calibration with those objects. This problem was recognized in the early days of robotics when designing a controlled gripping systems (see for instance Ref. [\[23\]](#)). To become independent of the reflectivity and the orientation of the objects to be handled, special algorithms and sensor configurations have been developed [\[24\]](#). These problems still exist, for instance for medical robots and for robots for (unmanned) inspection and exploration of unknown areas. If objects are completely unknown, as is the case of navigation in an unknown environment, sufficient tolerances should be allowed in the obtained distance data using reflection methods.

To eliminate the influence of changes in source intensity and other unwanted interference in an optical displacement system based on intensity modulation, multiple sensing and feedback are effective solutions. Adding one or more receivers to compensate for the effect of unwanted changes makes reflection-based systems less sensitive to the surface properties and may even allow uncalibrated operation [\[25,26\]](#). Another solution is presented in Ref. [\[27\]](#), where the relative position of transmitter and receiver is adjusted such that the maximum intensity is received. The distance is found irrespective of the surface characteristics. Feedback is an even more powerful solution but has higher system complexity. [Fig. 7.31](#) shows an example of such a system.

The light reflected by the (movable) target is projected onto two photo-sensors via a rotating mirror. The position of this mirror is controlled such that the two sensors receive equal radiation (position x_1 in [Fig. 7.31A](#)). The corresponding angle of the mirror is φ_1 . Upon displacement of the object to position x_2 the light beam shifts from the center of the dual sensor, resulting in different photocurrents. This difference is used to drive the mirror to rotate to an angle φ_2 where the photocurrents become equal again. The control signal or the angle is a measure for the displacement. By feedback the requirements to the source and the sensors are reduced

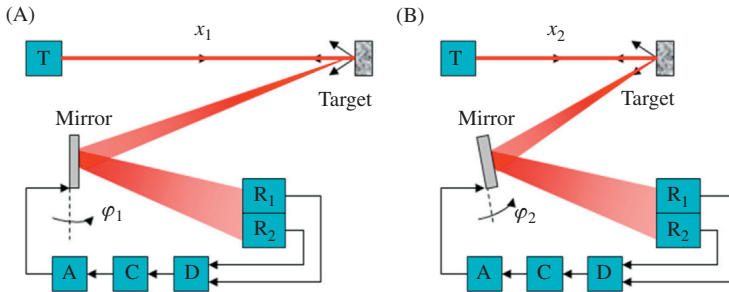


Figure 7.31 Optical position measurement in feedback configuration; (A) far and (B) close by.

significantly, as explained in Chapter 3, Uncertainty aspects. For 2D displacements the moving mirror has 2 d.o.f. and the detector comprises four receivers arranged in a square (a quad).

The principle is applied in for instance *automatic focusing* systems in certain camera types. Another application is found in contact-free measurement of *profiles*. Commercial instruments for that purpose are available with a resolution down to 50 nm, and a range of about 1 m.

All aforementioned examples use the change in irradiance with distance between source and sensor (usually a $1/x^2$ relation). In a light absorbing (or attenuating) medium the intensity drops exponentially, according to Lambert–Beer’s law:

$$I(x) = I(0)e^{-\alpha x} \quad (7.21)$$

where $I(0)$ is the intensity at a point $x = 0$, $I(x)$ the intensity at the position x and α is the absorption coefficient of the medium. The absorption coefficient of air is rather low; some materials show a much larger absorption and can be used to create a distance sensor based on light attenuation. A design with a fluorescence optical fiber is described in Ref. [28]. A light source injects light into the fiber at a particular position along its length. The intensity of the light that arrives at both ends of the fiber is measured by two photodiodes. According to Eq. (7.21) the intensity at each fiber end depends on the distance between the position of the light source and the photodiodes. Similar to the PSD the difference of the photocurrents is a measure for the position of the light source. The distance range equals about the length of the fiber (about 88 cm in the experimental setup).

Distance measurements over a very short range can be performed using optic fibers. Two different arrangements are depicted in Fig. 7.32.

Light from the emitting fiber reflects at the target and is intercepted by the receiving fiber. The light diverges within a short distance from the exit end, so the irradiance at the entrance face of the receiving fiber depends on the distance x , in a similar way as in the arrangements of Figs. 7.5 and 7.7. With two receiving fibers in differential mode, most common mode interference (for instance the intensity of the emitter) is suppressed. The sensitivity characteristic depends on the angle of

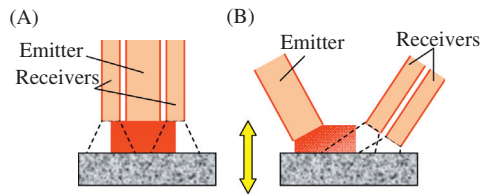


Figure 7.32 Short distance measurement using an optic fiber.

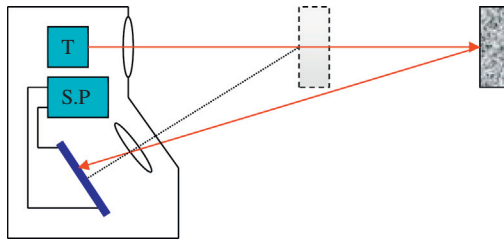


Figure 7.33 General layout of a commercial triangulation distance sensor.

divergence, the standoff distance and the angles between the fiber ends and the flat target. For a more detailed analysis see for instance Ref. [7]. Since the output is an analogue signal the resolution of the distance measurement is mainly noise limited. Using modulation techniques and proper filtering the equivalent noise can be as low as $1 \text{ nm}/\sqrt{\text{Hz}}$ [29]. A method to significantly extend the measurement range is based on two wavelengths, as described in Ref. [30], for example. At the expense of higher system complexity the range can be extended up to 40 mm.

Distance measurements based on reflectance have a limited range, due to the inverse power laws given by Eqs. (7.6) and (7.7). *Triangulation* systems are less sensitive to the intensity of the reflected beam, if properly interfaced. In the triangulation system shown in Fig. 7.8 the PSD may be replaced by a diode array, which simplifies the interfacing, but the resolution is restricted to that of the array.

Commercial triangulation systems are available in a compact housing that includes laser, one or two PSDs, optical components, and interface electronics to generate an output independent of the intensity, according to Eqs. (7.5) and (7.10), (Fig. 7.33). The instrument either contains a display or provides an electrical output. Measurement ranges run from a few millimeters up to several meters; reproducibility is in the range ± 1 to $\pm 10 \mu\text{m}$.

Size reduction of the optical system is another issue to increase the versatility of distance measurement systems based on triangulation. An example of a technological solution to miniaturization is given in Ref. [31], where the LIGA process (German: Lithographie, Galvanoformung, und Abformung) is applied to realize the required optical structure. The total device measures $7 \times 7 \times 3 \text{ mm}$; obviously the measurement range is small too—about 1 mm. However, the repeatability error is less than $3 \mu\text{m}$.

An example of a displacement sensor using interferometry in combination with the feedback principle of Chapter 3, Uncertainty aspects, can be found in Ref. [32]. The system uses the speckle effect of a laser beam falling on a nonspecular surface. Speckles are randomly distributed light and dark areas on the surface; this speckle pattern changes with the distance from the laser to the surface. The feedback system described in Ref. [32] uses this dependency. With a set of piezoelectric actuators the light spot on the target is controlled in such a way that it follows a bright speckle when the target is moving. In this way the movement of the target is transformed to that of the actuator. An essential element of the system is a self-mixing interferometer (this will be explained in the section on velocimeters). The system enables displacement measurements over a range of 500 mm with submicron resolution.

Commercial sensing systems based on the *ToF* principle cover ranges from about 0.5 m up to 10 km, with an inaccuracy ranging from a few millimeters for short-range types to a few centimeters for the long-range types. The resolution is typically one order in magnitude better. Besides simple distance measurements the principle is also applicable for range finding and imaging; such systems comprise a rotating scanning mirror for 1D or 2D scanning of the reflective object.

7.4.1.2 Surface properties: roughness, flatness, thickness

Triangulation is applied in a multitude of applications where an accurate contact-free distance measurement is required. Very high resolution is needed in applications like roughness measurements and profile measurements. In such applications 1D laser triangulation is combined with a (2D) scanning mechanism.

In Ref. [33] a triangulation system is described that performs the simultaneous measurement of surface *roughness* and surface height (displacement in the normal direction). The roughness measurement is based on the scattering of a light beam incident normal to the surface; the height measurement follows the standard triangulation method. A linear photodiode array is used to determine the position of the reflected light. With this system roughness can be measured over a range from 5 to 100 nm; the height range amounts to $\pm 300 \mu\text{m}$.

Since the triangulation principle allows contact-free distance measurements, it is preeminently suitable for measuring of objects having a high temperature. An example of this application is found in Ref. [34] where a system is described for measuring the flatness of hot steel plates just after being pressed by a pair of rolls. In the application a light strip is projected under an angle of 30 degrees onto the sheet (moving at a maximum speed of 15 m/s). A line scan camera with 2048 pixels views the strip in perpendicular direction, so it receives a light spot of about 10 pixels in width. Using a special algorithm the resolution is increased to an equivalent of 0.1 mm in height of the steel plate.

Position or displacement sensors that are intended for a very particular application may be redesigned to serve other applications in mechatronics and quality inspection. Examples are the optical mouse and the DVD pickup system. In Ref. [35] an optical mouse, consisting of a LED and a CMOS camera, is used for measuring the

viscoelastic properties of polyethylene. Experiments showed the feasibility (and limitations) of this application. A commercial DVD pickup head appears also suitable as a basis for an optical accelerometer [36] and a touch trigger probe [37].

A particular application area is the exploration of an (unknown) environment or object reconstruction for recognition purposes. Triangulation methods used for this specific application field are further discussed in [Section 7.4.5](#).

7.4.1.3 Linear velocity and acceleration

Velocity can be derived from distance information by taking the time derivative of the displacement signal. Likewise acceleration is obtained by differentiation of the velocity signal. So, in principle, any displacement sensor can be taken as the starting point of a velocity or acceleration measurement. Since any measurement signal contains noise, differentiation will increase the noise level of the velocity signal obtained in this way. A better option is to select a measurement principle that produces an output signal that is directly related to the speed or acceleration of the object under test, without the need of a differentiating operation.

The velocity of a vehicle (e.g., car, train, and mobile robot) is often determined from the rotational speed of the wheels (obtained by a tachometer, for instance):

$$v_{\text{lin}} = 2\pi R \cdot n/60 \quad (7.22)$$

where n is the number of revolutions per minute (rpm) and R the circumference of the wheel. The method is rather inaccurate because slip and wear of the wheels introduce measurement errors. Alternative approaches are Doppler measurement and correlation, both to be implemented by optical sensing. The basic operation of the correlation method using optical sensors is presented in [Fig. 7.34](#).

Two sets of a transmitter–receiver pair in reflection mode are mounted at the moving vehicle, a distance d apart in the direction of the movement. When the surface is rough the reflected signals depend somewhat on the particular structure at the point of impact. While moving the output signals will show a random component according to the varying scattering of the surface. Both output signals are (about) the same but displaced over a time interval d/v . The time delay between these signals can easily be derived by cross-correlation: the cross-correlation

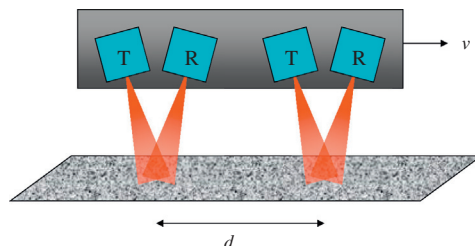


Figure 7.34 Velocity measurement using cross correlation.

function shows a maximum for a time difference equal to d/v , from which the velocity is calculated.

Although the implementation seems straightforward, a proper result is not evident. The S/N ratio is adversely affected by vibrations or other movements (i.e., roll and pitch) of the vehicle, misalignment of the optics, and surface characteristics. Moreover a high speed requires a high sampling rate to obtain a reliable (digital) correlation function, whereas at low speed the delay time is long, which necessitates longer processing time to avoid large measurement errors. Various solutions have been proposed to overcome such difficulties. In Ref. [38] a system is presented that makes use of optical fibers transmitting the light from two-laser diodes towards the moving surface. The laser heads are 30 cm apart, and the distance to the surface is about 1 m. Using a special correlation technique the speed of the moving surface was measured up to 30 km/h, with an error of 9%.

Many alternative methods for contact-free velocimetry using optical means have been proposed. We mention just some of them here. Apart from time filtering of the reflected signals, spatial filtering is another useful approach. The moving object is illuminated by environmental lighting (so not necessarily coherent light). The illuminated area is viewed by a photodetector through a grating with small periodicity p . When the object moves with velocity v in the plane of the grating, the output of the detector contains a frequency component equal to kv/p , with k the optical magnification. In Ref. [39] a velocimeter is described that uses this principle; the grating has been replaced by a CCD camera, acting simultaneously as detector and spatial filter device. Information about the direction of the movement is obtained by creating a quadrature signal, which is simply accomplished by a modified CCD readout sequence. Experiments revealed velocity errors of less than 0.1% up to a velocity of 20 m/s.

Various optical velocimeters are based on *self-mixing interferometry*. Self-mixing is obtained by optical feedback: part of the laser light is fed back via an external reflecting surface into the laser cavity. When particular conditions with respect to phase and amplitude of the returning light are fulfilled, the laser beam shows power fluctuations that are more or less saw-tooth shaped. The fluctuating intensity is observed using a photodiode at the back of the laser. One of the advantages of self-mixing interferometry is a more compact arrangement of the optical parts and simple alignment.

Velocimeters based on self-mixing interferometry are described in, for instance, Refs [40,41]. At constant distance between the target and the laser head the power fluctuations have a more or less constant amplitude. When the target moves with velocity v , the spectrum of the fluctuations contain the Doppler frequency f_D , from which the velocity can be derived using:

$$v = \frac{\lambda}{2} \cdot f_D \cdot \frac{1}{\sin \varphi} \quad (7.23)$$

where λ is the wavelength of the emitted laser light and φ is the angle of incidence. At moderate velocities the Doppler frequency is rather high; for instance, using a

laser with wavelength 680 nm and normal incidence ($\varphi = \pi/2$), the Doppler frequency at a velocity of 1 m/s equals 2.9 MHz. Accurate determination of this frequency is hampered by the presence of the speckle effect, which introduces multiplicative noise to the signal [40]. Moreover when used in automotive applications, e.g., other movements introduce measurement errors. In particular fluctuations in the angle φ and variations in the height above the ground may give large errors, as can be seen in Eq. (7.23). These errors can (largely) be eliminated using a differential configuration, as described in Ref. [41]: two lasers are pointing to the ground, with incident angles of ± 18 degrees with respect to the ground normal. The Doppler frequency that can be reconstructed from the two output signals is less sensitive to car movements other than in the driving direction. Experiments with the two-laser configuration showed a mean standard deviation less than 0.04%, at velocities up to 100 km/h.

A well-known application of the optical *ToF* principle (Section 7.2.5) is found in laser guns that measure car speed. Usually these systems emit a series of short pulses; from the ToF of the reflected pulses both distance and velocity of the vehicle can be derived. Typical range and accuracy are $5\text{--}500 \pm 2$ km/h.

Inertial-based sensors respond directly to *acceleration*, avoiding the noise-enhancing differentiation of position or velocity signals. Such an accelerometer comprises a mass and a spring element (see also Chapter 8: Piezoelectric sensors). When the mass is accelerated the resulting inertial force causes the elastic element to deform in proportion to the applied acceleration. This deformation can be measured in various ways, for instance by optical means. However, the displacement is generally very small, and proper measurement requires a sensor with high resolution in terms of displacement. Configurations with optical fibers in reflection mode (Fig. 7.32) are suitable candidates for this task.

A particular solution is proposed in Ref. [42]. Here the (small) displacement is measured by an incremental encoder using the Moiré effect (according to Fig. 7.18B). One grating is fixed to the housing; the other grating, making a small angle with respect to the first, is connected to the seismic mass. Light is conducted to and from the gratings through a pair of optical fibers (transmitter and receiver), providing the measurement of the transmission, which is modulated by the movement of the mass. Due to the small angle even small displacements of the mass result in a measurable displacement of the Moiré pattern. Actually there must be two pairs of transmitter–receiver fibers to enable measurement of the direction of displacement according to the principle given in Fig. 7.17. The system is used for measuring vibrations of large civil structures and has a useful bandwidth of 5 Hz. The resonance frequency of the mass-spring combination (here 20 Hz) limits the bandwidth, as is further explained in Chapter 8, Piezoelectric sensors.

7.4.2 Angular displacement sensing

Angle measurements can be categorized in angular displacement (φ), angular speed or rate $\dot{\varphi}$, and angular acceleration ($\ddot{\varphi}$). Accurate measurement of *angular*

displacement is mostly performed by encoders, either absolute or incremental (Section 7.2.4). Angular movement over a very small range can also be detected using the configuration of Fig. 7.32A: the transmission from transmitting to receiving fiber is modulated not only by a vertical displacement but also by rotation of the target as well.

A particular application is the *inclinometer* or *tilt sensor*: it measures the angle with respect to the earth normal and is a useful device for walking robots to control movement and equilibrium. The market offers various ready-to-use inclinometers, covering ranges from ± 1 to ± 90 degrees, with excellent linearity. The mechanical types are based on a free-moving pendulum that always points downwards, also when the housing makes an angle φ with the earth normal. At the pivot of the pendulum some means of displacement sensing is connected, which gives an output signal that is related to φ . This can be a simple optical displacement sensor in reflection or transmission mode, by an encoder or a vane connected to the other end of the pendulum. Application of a feedback loop (Chapter 3: Uncertainty aspects) reduces errors due to, for instance, a strong nonlinear relationship between angle and measured displacement.

The proximity sensor in Fig. 7.32 is also sensitive to angular displacement of the reflecting surface. This concept is further detailed in Ref. [43]. The fiber-optic angular sensor is composed of a rotatable mirror, one central input fiber and four output fibers. The configuration combines a high angular sensitivity and a negligible sensitivity to normal displacements.

Fluidic inclinometers use a liquid with air bubble to measure tilt [44]. A small hemispherical compartment with the liquid is mounted on top of a silicon wafer in which a quad diode structure is deposited. The bubble always resides at the upper position of the compartment. Light from an LED, mounted on top of the structure, travels through the liquid with the bubble and arrives at the diode structure, at a position that depends on the place of the bubble and hence on the tilt angle. With four photodiodes the inclination angle in two directions is obtained.

Angular rate information could be obtained by counting the number of zero transitions in the output signal of an incremental encoder (Fig. 7.16) over a specified time interval. However, incremental encoders have a limited slew rate (maximum speed), above which the transitions cannot be detected correctly. Besides this the high resolution of most incremental encoders is not needed to achieve rate information with reasonable accuracy: the number of revolutions per second (rps) or rpm will do in most applications.

Low-cost angular rate sensors or *tachometers* are realized simply by mounting one or more segments of a reflective material, such as aluminum foil, along the circumference of the rotating shaft or on the head of the shaft (Fig. 7.35). In case of a reflective shaft one should take an absorbing material, like black paint or black paper. The receiver output signal is a pulse-shaped signal with pulse frequency $f = np/2$ Hz, n being the number of rps and p the number of transitions between reflective and nonreflective material along the circumference. The measured angular speed equals $2\pi n = 4\pi f/p$ rad/s and can be determined by digital processing.

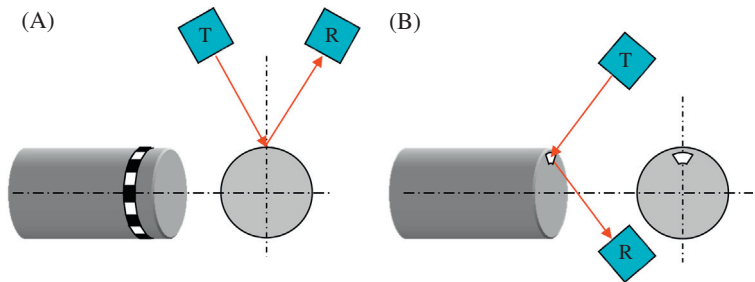


Figure 7.35 Simple optical tachometers; reflection from (A) the side and (B) the head of the shaft.

Table 7.6 Some mechanical specifications of encoders

Property	Maximum value
Starting moment	10^{-3} N m
Inertial moment	10 g cm ²
Shaft load—radial	10–150 N
Shaft load—axial	6–250 N
Slewing speed	5000 rpm
Lifetime	$4 \cdot 10^8$ rev.

At high speed only one pair of black-and-white areas suffices ($p = 2$). At lower speeds a larger number of intensity changes per revolution is required to minimize the quantization error, being 1 p/s . The performance is further limited by possible environmental light: although this effect can be eliminated by thresholding the detector output signal, illumination other than by the transmitter should be minimized, for instance by optical shielding of the whole system from the environment, whenever possible. Commercial optical tachometers are available in various sizes and ranges, up to 12,000 rpm.

For mechatronics and robotics applications, various mechanical specifications should be considered as well (Table 7.6), for instance the starting torque, the inertial moment, and the shaft load. As tachometer the maximal rotation (or slewing) speed should be kept in mind. Some manufacturers give an indication of the expected lifetime.

When proper alignment of encoder and rotating shaft is difficult to realize, special accessories can be applied, for instance bellows couplings, available in numerous implementations (angular, axial, parallel; Fig. 7.36).

A special *interferometric* arrangement allows the measurement of angular speed, utilizing the *Sagnac effect*. Light is forced to travel a circular path, by means of an optic fiber loop. Actually there are two light waves, one travelling clockwise through the loop, the other counterclockwise. Both waves arrive on the same detector, where they interfere. The intensity is maximal when the travel times are equal,

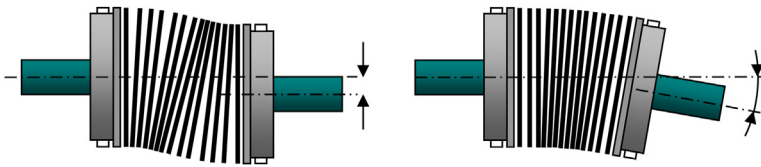


Figure 7.36 Bellows couplers to obviate parallel and angular misalignment.

which is the case in an inertial system in rest. When the loop turns around its axis, the travel times of the two opposite travelling waves differ somewhat, resulting in a phase shift at the detector and hence a change in the interference pattern. The range of such a system is about ± 60 degrees, whereas a thermal drift of 10 degrees per hour can be expected.

Angular acceleration is obtained by differentiating angular speed. The rotational acceleration sensor described in Ref. [45] uses special encoder discs. One disc is elastically coupled to the other, to transform angular acceleration into angular displacement (according to the law of inertia). The slots of the two discs make small angles, resulting in a radial shift of the overlapping parts and hence a shift of the light spot that passes the two discs. This shift is measured by a PSD.

7.4.3 Force, torque, and strain sensing

Measurement of force and torque is mostly based on measuring the resultant deformation (compressive or tensile strain). In this sense in principle the displacement sensing methods discussed in previous sections of this chapter are candidates in the selection process of a force measurement method. The typical application, however, justifies a separate section on this kind of measurement.

An applied torque results in a twist of the object (for instance a shaft) over an angle φ . This angle is about proportional to the torque and can be measured in various ways. To obtain a high sensitivity the distance between the end points should be large. However, this may impede accurate measurement of the relative rotation. A simple solution is to transfer the rotation at the one end by a mechanical extension to near the other end (Fig. 7.37A), simplifying the angle measurement. When the object angle is still too small an elastic element with known compliance can be inserted, as drawn in Fig. 7.37B. The torque is reconstructed from the relative angle between two end points of the rotational spring element.

A special challenge is to measure torque on rotating shafts. In such an application contact-free sensing is required, which can be realized by optical means. In Ref. [46] this method is used for torque measurements on a wood cutting machine. The discs in Fig. 7.37 are provided with optical markers on the circumference, viewed from the side by a pair of photodiodes. Even at a cutting speed of 24,000 rpm a proper torque signal could be obtained.

Another particular application of an optical force measuring system is the online measurement of 2D force on a pen for the purpose of signature verification [47].

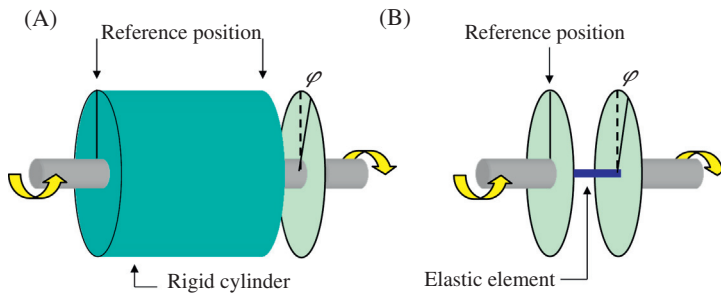


Figure 7.37 Torque measurement of a shaft using (A) an extension part and (B) an elastic torque element.

The force on the pen tip is transferred to the other end of the ink channel, which can move relative to the pen holder. A small mirror, mounted on the end side of the ink channel, modulates the direction of a laser beam, falling on a quad photodiode. From the four photocurrents the 2D horizontal force on the pen tip can be reconstructed. Since the transfer from force via mirror displacement to the photocurrents is rather complex, the device needs to be calibrated. Experiments proved the feasibility of the optical method.

Very small deformations are best measured by interferometric methods, since this principle enables displacement measurements with very high resolution. An example is the study on electrostrictive properties of particular materials. Electrostriction is electric field–induced strain: deformations are in the subångström to submicron range. Yimnirun [48] presents a laser interferometric system that is able to measure such small displacement. The paper reports a displacement resolution of less than 10^{-5} nm, and operates in a frequency band from 3 to 20 kHz.

Many more examples of optical (interferometric) force and strain measurement systems have been reported in literature. A particular kind of force sensor is a *tactile sensor*, mainly encountered in robotics applications. Tactile sensors of the cutaneous type consist of an array or matrix of elementary pressure or displacement sensors. Many optical techniques have been developed to obtain information on the displacement of the individual elements (taxels). Four major methods are: variable transmission, variable contact area, total internal reflection, and pressure-sensitive optical fibers. A common problem is the resolution: in order to realize high resolution, the taxels should have small dimensions and be densely packed.

The principle of variable transmission is illustrated in Fig. 7.38A. As with almost all tactile sensors the applied force is transferred into a displacement by an elastic layer. In the variable transmission type the displacement is measured by mechanical modulation of the intensity of a light beam, a method already published in 1983 [49]. The (commercial) device has a resolution of 16×10 tactile elements, and a pitch of about 0.3 cm. Despite this limited resolution the sensor is shown to be suitable for object recognition by touch [50].

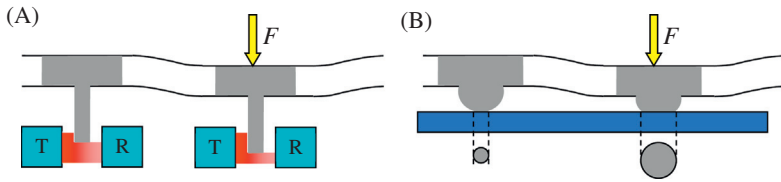


Figure 7.38 Optical tactile sensing: (A) variable light transmission and (B) variable contact area.

The principle of variable contact surface is already addressed in Section 3.6.2, where the contact area is measured by resistive means, but optical readout is also an option. The variable contact area is achieved by protrusions at the back side of an elastic sheet, mounted on a flat transparent plate that serves as a waveguide to illuminate the elastic sheet with the bulbs. Upon an applied force the bulbs are impressed and the contact area with the glass plate increases (Fig. 7.38B). This change in area is measured optically by a camera viewing the back side of the plate [51,52]. The resolution of this tactile sensor is restricted by the number of elastic bulbs; the camera is not limitative with respect to the resolution. However, it makes the sensor rather bulky and unsuitable for incorporating in a robot gripper. An advantage of this setup is that scanning is performed by the camera electronics, hence the speed is high.

A more flat construction can be achieved by crossing optical fibers. The structure is similar to that of the carbon fiber tactile sensors. The crossing points act as taxels, and the fibers perform the scanning. When a force is applied to such a crossing point the transmission of both fibers alters due to micro-bending. These fiber-optic tactile sensors have a potentially high resolution but more research is required to fully profit of this property. For less demanding applications, for instance wearable sensing, they offer good prospects [53]. Details on the construction and performance of fiber-optic tactile sensors are given in Refs [54–56].

7.4.4 Object tracking

Measuring the 3D position of a particular (moving) point is a rather common task in mechatronics (for instance the position of the stage in a coordinate measurement machine) and robotics (the position of the end-effector or an object in the robot's environment). In many applications a further task is to track the said object or a specific point on that object when it is moving around. In general two different approaches can be considered: imaging (with cameras) and active lighting (such as with triangulation). The latter approach is characterized by the presence of a light source with known position (in the system's coordinate frame), and that this position is used to reconstruct the position of the object. Vision systems having environmental lighting do not have that information and require some kind of calibration to reconstruct the object's position from one or two 2D projections of the scene on the camera chip. It should be mentioned that active lighting systems may also comprise

Table 7.7 Comparing vision and ranging for object tracking

Vision	Active lighting
Environmental illumination	Controlled illumination (laser)
2D information (1 camera)	Depth information (by triangulation)
Intensity and color information	No such information
No mechanical scanning	Mechanical scanning (mirrors)
Feature extraction (image processing)	Predefined point
Multiple objects	Single object

a camera (e.g., line, matrix, and 2D-PSD). However, the object that is looked for is illuminated by a known light source such as a laser spot, a laser line, a pair of lines, or a 2D light pattern (also called structured light). The reconstruction process combines knowledge about that light source and the image of the reflected light.

Tracking by vision is a topic on its own and will not be discussed further. The reader is referred to the extensive literature. [Table 7.7](#) compares both concepts with respect to some important design considerations.

Tracking systems using a specified light source can be configured in various ways, depending on such system specifications as power consumption, available space and environmental conditions. Since the transmitter usually takes the most of the available power, the choice between putting the transmitter or the receiver on the (moving) object is an important issue. Further there is the choice between direct mode and indirect or reflection mode. The latter has the advantage that the object to be tracked is completely free from wiring and power sources. Only a distinctive indicator should be present, detectable by the optical system, for instance a light spot, a light pattern, a black/white marker, a (retro)reflector, or something similar.

When the design is based on the *direct mode*, again various options are open: transmitter on the moving object and receiver somewhere in the environment or vice versa. Further since the object has to be tracked, either the receiver or the transmitter should be able to explore the environment by some kind of scanning. Of all possible combinations practical applications can be found.

At start-up of the tracking process the object position is usually not known. Therefore first a search is performed, in which the environment is scanned by either the transmitter or the receiver, until the receiver gets a proper signal back. Once the object has been found, a tracking algorithm controls the transmission path to follow the movement of the object. Further details of the system design strongly depend on the specific application. As an illustration a few examples will be described.

A first application is the 3D tracking of the *end-effector of a robot*. Usually the position and orientation of the tool-centerpoint (TCP) is derived from the position of the joints. However, errors in the mechanical construction and the compliancy of the composing parts cause errors in the position of the TCP that may obstruct accurate positioning of the end-effector. Measuring the TCP with respect to the robot coordinate frame may provide data for compensation for such errors. A well-known

approach uses a light source mounted on a suitable place near the TCP, emitting light in all directions. The light is perceived by a set of cameras (2D-PSD, matrix CCD), positioned at fixed, known locations, together covering the full work space of the robot. By coordinate transformation or triangulation the position of the TCP can be reconstructed from the spot positions in the image planes. The working range is set by the viewing field of the cameras.

This basic idea can be implemented and extended in various ways. The principle of feedback may be applied to enhance accuracy and increase the working range. This is achieved for instance by mounting the camera on a rotatable platform; the orientation of the camera is controlled in such a way that the spot is always in the center of the image. In this application a 2D-PSD camera is a good choice because only a single light spot has to be detected. Since the spot is always in the center, errors due to nonlinearity of the PSD, occurring mainly at the edges of the device, are eliminated. Encoders on the axes of the platform provide the information about the light source position. To find the orientation of a particular part of the robot, two or more light sources may be placed on the construction, viewed by one or more cameras in the world coordinate system. In all cases the system, once set up, must be calibrated to obtain the highest accuracy.

In an alternative approach to find the end-effector position the light source and detector from the previous example are interchanged: the sensor (camera) is mounted on the end-effector and a source transmits a light pattern into the direction of the sensor. The pattern can be a light spot, a line (when emitting a light plane), a cross hair or any known pattern. A 2D pattern in combination with a matrix sensor allows the measurement of the position and orientation. An algorithm to obtain the required information is described in Ref. [57], dealing with the measurement of the end-effector position.

Tracking systems are also used in *rehabilitation*, to study the movement of people. Here the reflection mode is common practice to minimize movement restrictions for the test person. A set of (retro)reflecting pads or spheres is positioned on specific locations on the body (e.g., arms, legs, head, shoulders, and feet). These markers are illuminated by a specific light source (for instance IR), while the reflected light is viewed by a set of cameras. To increase the S/N ratio, the light is first filtered, so the camera actually sees mainly the reflection from the markers. Since not all markers can be seen at the same time due to occlusion by the test person, and since all markers are identical, special tracking algorithms have been developed to identify the tracked body parts. Accuracy requirements are not severe; processing speed is a more important issue since fast movements must be tracked and analyzed.

In high-accuracy applications, for instance *coordinate measurement machines*, interferometers can be deployed to accurately measure the position of a machine part. Takatsuji et al. [58] describe a tracking system for the coordinates of the stage. It comprises a retroreflector mounted on the stage and four lasers that track the position of the retroreflector. A special algorithm has been developed to reconstruct all three coordinates; the fourth, redundant laser is used for self-calibration.

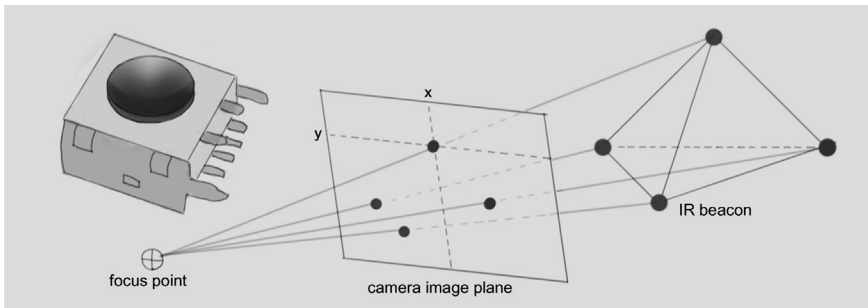


Figure 7.39 Schematic overview of the operation of the WiiMote optical position sensor.

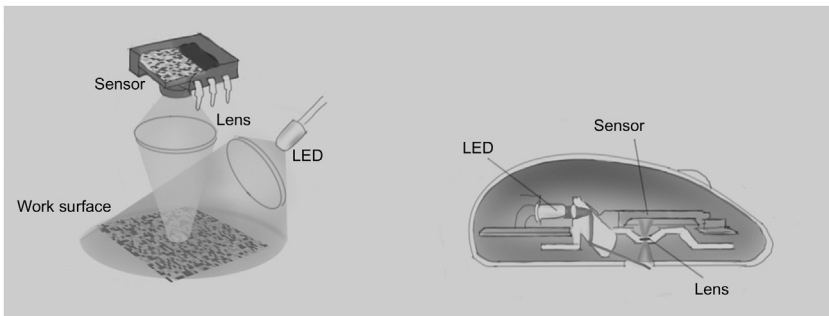


Figure 7.40 Schematic working of an optical mouse.

An interesting application of an optical sensor for 3D orientation tracking is the integrated optical sensor used in a handheld controller (or Human Interface Device) for the Wii gaming console shown in Fig. 7.39. Besides its main use as game input device the sensor has also found use in mechatronics. The sensor uses a 2D imaging sensor to detect (up to four) infrared dots. With two dots at a known, fixed distance, it is possible to determine both orientation and distance with respect to these dots using triangulation (described in Section 7.2.2). In the WiiMote application this optical data is fused with data obtained by a MEMS gyroscope and accelerometer in order to obtain an accurate position and orientation estimate.

A related implementation of motion tracking using a low-resolution imaging sensor is the optical flow sensor, which is deployed in an optical mouse (shown schematically in Fig. 7.40). An LED (usually a red LED) is used to illuminate a scene (i.e., the surface of a desk) that is captured with an imaging sensor. These sensors typically have a very low resolution (i.e., 19×19 pixels), not to obtain a full image but to measure a shift in pattern caused by displacement. This pattern shift is detected and communicated to the hardware the mouse is connected to. These types of sensor (and the optical flow principle in general) are used in mechatronic applications such as assisting (flying) vehicle navigation.

7.4.5 Object shape

The shape of an object is characterized by the coordinates of its outer surface. Measuring the shape is useful in lots of applications, for instance localization and orientation of an object in a specified coordinate system, identification of objects, quality inspection, and parameter extraction. The accuracy requirements are set by the application. The highest accuracy is needed in product inspection, where submicron resolution is often required. Identification and (global) characterization are less demanding applications.

The transmission mode is the most simple and effective way to control production processes and to monitor the dimensions of products. The applicability of the method is almost unlimited. As an example of a highly demanding 1D measurement we refer to Ref. [59]. The aim is the online determination of the rotor palette diameter (up to several meters) with an error less than 10 μm . Light source and detector (a quad photodiode) are positioned just at the outer edge of the blade. A small change in diameter modulates the transmission, yielding information about the error.

An illustration of object characterization using distance sensing with fiber optics is the (online) measurement of *tool wear* [60]. In this intensity-based method, light to and from the tool (here a milling device) is transmitted via a bundle of fibers. A group of fibers in the bundle transmits the light towards the object; another group transmits the reflected light to the sensor unit. Basically the distance to the tool is measured according to the principle shown in Fig. 7.32. While rotating an online plot of the distance is made; this plot provides information about the wear state of the tool. In this application the intensity method is satisfactory, because the setup is fixed, the distances are short and the environmental conditions are more or less constant.

For more accurate, numerical shape data, and for applications in a less structured environment, the intensity method is usually not adequate. We discuss two other methods: probing and triangulation.

Many 3D coordinate measuring instruments are based on a touch probe with which the contours of an object are followed to obtain 3D shape information with high accuracy (also referred to as *dimensional metrology*). The touch probe consists of a ball-shaped touching point with well-known dimensions and which is connected to the end of a stylus. The probe is moved by a set of actuators until it touches the object. Next the movement is controlled in such a way that the point follows the surface of the object, thereby just keeping contact between probe and surface. The measurement quantity can be the force on the ball, the bending of the stylus or the displacement of the ball relative to the base of the system. Shape information is reconstructed from the momentary positions of the stages and possibly corrected for the bending of the stylus.

Various experimental setups of the latter approach using optical sensing are described in Refs. [61,62], where the changing position of the ball at the end of the stylus is measured by a couple of optical fibers. The ball is elastically attached to the end of the stylus, so when the probe touches the object, the ball is displaced

somewhat in the direction of the reaction force. Further mirrors are connected at the top of the ball; they follow the rotation of the ball when touching the object. A set or a bundle of fibers is fixed to the stylus with their ends just above these mirrors. According to the principle of Fig. 7.29A the ball movement is measured in two directions normal to the stylus. Ball deflections down to $1\text{ }\mu\text{m}$ (corresponding to a sideways force less than 1 mN) can readily be measured.

In less demanding applications, or when touching is not possible or allowed, triangulation is a suitable method to obtain shape information. For shape information the triangulation configuration from Fig. 7.10 should be extended by a scanning mechanism to cover the whole area of the object to be investigated. The method is based on measuring the distance from a specified point to the surface of the object, in a straight line. The method is also referred to as *ranging*. Evidently due to self-occlusion, only that part of the surface that is in direct sight of both the transmitter and the receiver can be investigated.

Optical range finding (some call it 2.5D imaging) is also frequently applied in robotics, for instance to obtain information about the robot's environment, for navigation purposes (automatically guided vehicles) and many other tasks. Navigation by ranging is discussed in the next section.

For shape measurements the most straightforward configuration is a 2D point scanning (see also Fig. 1.3A). The range distance is determined for each individual sample point, by triangulation. Needless to say this is a rather slow acquisition process. A faster solution is line scanning, according to Fig. 1.3B. Instead of a point a line is projected onto the object. The line is created either by a special optical lens or by a fast rotating mirror and is viewed by a matrix camera. The line is (mechanically) scanned in the perpendicular direction to cover the whole surface of the object. If the object itself is moving, as on a conveyer belt, such scanning mechanism may be unnecessary. Fig. 7.41 shows the basic setup of a line scan range finder.

In this simple configuration the line is created by a rotating mirror. The projected line follows the shape of the object; this pattern is viewed by a camera. From the known positions of the mirror, the light plane and the camera, the shape of the intersection between the object and the light plane can be reconstructed. In general not all points of the object are illuminated, and not all illuminated points may be viewed by the camera. Those shadows prohibit capturing the whole cross section.

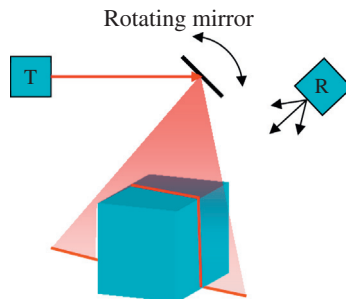


Figure 7.41 Optical range finder for object recognition using line scanning.

When this is not acceptable for the application a second triangulation system can be used operating under a different angle. Another option is a set of mirrors through which the scanning plane transmitted by a single source is projected from two sides onto the object; the same mirrors can be used to redirect the light from two sides to the common receiver. This solution is proposed in, for instance, Ref. [63], in which the scanning is performed by acousto-optic deflectors. Evidently the two scans should be complementary to build up a complete image of the object. A similar solution with two mirrors is described in Ref. [64]; in this example, elliptical mirrors are used, which allows dynamical reconfiguration of the geometry. This results in a better depth resolution and reducing occlusion effects. Finally we mention a laser range finder that uses a multifaceted pyramidal mirror. This mirror acts as a double scanner, one for the transmitting beam and one for the reflected beam. The system, of which design details can be found in Ref. [65], features high speed, high resolution, and reduction of the shadow effect.

Much research is being done to monitor the condition of buried pipes. In Chapter 6, Inductive and magnetic sensors, magnetic methods have been discussed, but optical systems are being developed as well. Pipe robots carrying various sensors travel through the pipes under test, collecting important data about the pipe's condition (i.e., obstacles, corrosion, leaks, and mechanical defects). The smaller the diameter the more severe the requirements with respect to size, power efficiency (when battery powered), and costs. As an example of an optical test system for small pipe diameters we refer to Ref. [66]. This work concerns an optical system that measures the inner surface of a 10 mm pipe. The sensing system consists of a laser, a scanning mirror, a motor and a PSD, axially assembled to fit inside the pipe. The system enables the detection of surface defects as small as 0.1 mm, by means of triangulation.

Mechanical scanning is relatively slow and forms the major limitation of the acquisition time, in particular for a 2D scanning mechanism. Line projection using cylindrical optics considerably reduces the acquisition time and is therefore widespread in range finding systems. One solution to speed up scanning of the other dimension is an array of transmitters sending a set of parallel lines to the object under test. The resolution is limited by the number of transmitters, which for practical reasons cannot be very high. This solution is only suitable in cases where no high resolution in the scanning direction is required. An example of an electronic scanning system for laser range finding is presented in Ref. [67]. A limited number of parallel lines are projected onto the object. The cross section of each line has a bell-shaped intensity distribution and the lines overlap partly. When two adjacent lines are transmitted simultaneously the total intensity of the projection shows a peak at a position that depends on the relative intensities of the individual lines. By controlling this ratio the peak value shifts across the object under test, over a distance about equal to the line separation. The range can be extended simply by adding more lines. Based on this principle an acquisition time of 6 μ s was obtained with a spatial resolution of 1 μ m over a scanning area of 22×24 mm.

Measuring the shape of very large objects can also be performed by optical ranging. Since the distances involved in such applications are much larger than in the

previous examples, triangulation is not a suitable technique. Ranging using optical ToF, as discussed in Section 7.2.5, is a better option. Moreover to keep the sensor dimensions small, a feedback approach (with tracking) is recommended. An example of this combination, ToF and tracking, is extensively described in Ref. [68]. The system has a tracking accuracy better than 1 mm and a measurement resolution in the same order, over a working distance of 12 m and angle range ± 45 degrees.

Another application area concerning acquisition of shape information is the determination of a *height profile* (or *depth profile*), for instance in seam tracking for automatic welding. A specific example of a height profile measurement is given in Ref. [69], where methods for recognition of electronic components on a PCB are studied. One of these methods is based on triangulation to obtain 3D shape information about classes of components (e.g., ICs, capacitors, batteries, and so on). Also here the object (PCB with components) is illuminated from two sides to eliminate shadow effects. Instead of one line a sequence of line patterns is projected on the PCB, which is viewed by a single camera. From the obtained range data the shape of the relevant parts in the image is reconstructed and parameterized [70] to match them with the corresponding parameters of standard electronic components.

One of the limitations of the resolution in a structured light system (with lines or other patterns) is caused by the line width of the projected light. Several researchers have proposed methods to reduce the resolution by using special image-processing algorithms. Examples can be found in Ref. [71], where the shape of trees is determined using triangulation, and in Ref. [72], in which the obtained improvement of the resolution is illustrated by the shape measurement of a car tyre.

As objects are normally resting on a base, the bottom side is not accessible to the triangulation system. For regular objects having a flat bottom this is not a problem. Recovering the full shape of *irregular objects*, like agricultural products, is not possible in this way. An elegant optical sensing system allowing the determination of the full shape is presented in Refs. [73,74]. The sensing system consists of a ring with a large number of transmitters and receivers located alternately around the ring and pointing inwards. The area inside the ring is scanned by successively activating the transmitters; the light from each transmitter is detected by all receivers simultaneously. When an object resides in the ring, some of the receivers are in the “shadow” of the object and will not receive light whilst the others do. By cycling along the ring, a set of cords is obtained approximating the shape of the object (actually only the cross section with the plane of the ring). When the object moves through the ring (just by falling) a 3D image of the total shape is obtained. The method is cheap and simple and appears to be suitable for characterizing the shape of potatoes and similar products.

In many robotic applications structured light systems using random patterns are being used, which have originally a different application area. Microsoft designed an input device using a 3D scanner based on structured light for their Xbox gaming console called “Kinect” (shown in Fig. 7.42). Because of the versatility, availability, and price range these sensors have been applied in many robot projects, especially projects in a “social” setting. The Kinect sensor projects an infrared point grid onto a given scene. This scene is captured using a normal visible light camera,

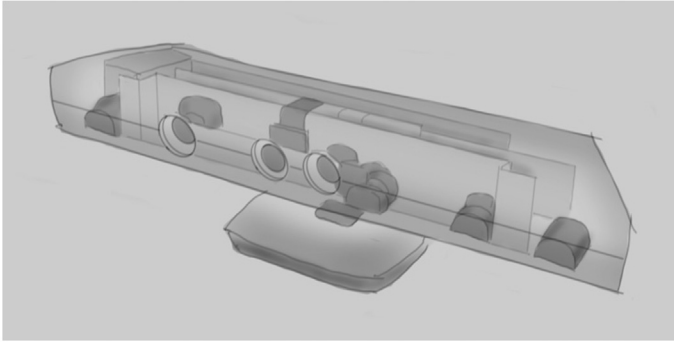


Figure 7.42 Schematic drawing of the Kinect sensor.

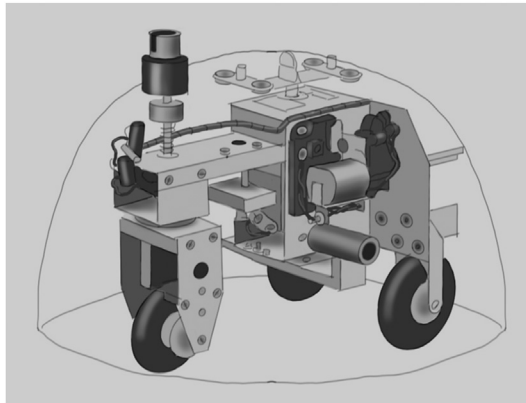


Figure 7.43 Robot “Elsie” by Sir William Grey Walter.

as well as a camera sensitive for the infrared spectrum. Both image sources are combined to re-create a 3D model of the captured scene. For human motion and position detection part of the re-creation in software is aided by using skeleton models to predict human stances and motion.

7.4.6 Navigation

One of the earliest applications of optical sensors in Robotics is possibly the robotic “eyes” in the moving creatures by Sir William Grey Walter. The robot “Elsie,” shown in [Fig. 7.43](#) uses a photoelectric cell to locate its charging station. The similarities with its modern counterparts such as the Roomba vacuum cleaners are striking. These modern vacuum cleaner robots still use optical sensors for detecting obstacles and driving back to the charging station. The control principle has been elaborated by Valentino Braitenberg in his famous “vehicles” [\[75\]](#), where a cross-link between

two hypothetical motors and sensors makes his vehicles track a light source, shown in Fig. 7.44.

Part of a practical implementation of the ideas of Braitenberg can be recognized in a flavor of robotics called “BEAM robots” (BEAM standing for Biology, Electronics, Aesthetics, and Mechanics). This style of building robots has been initiated (and propagated) by Mark Tilden [76] and others. The robots use similar principles to stay “alive,” i.e., to locate the brightest spot in the room to use a tiny solar cell for recharging, while at the same time avoiding obstacles. An example of a robot inspired by these principles is given in Fig. 7.45.

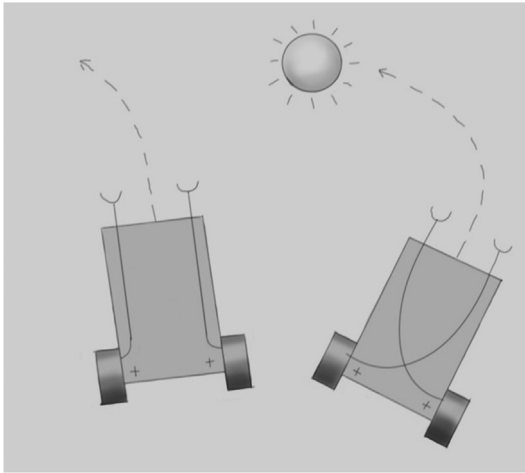


Figure 7.44 Impression of Braitenberg’s vehicles.

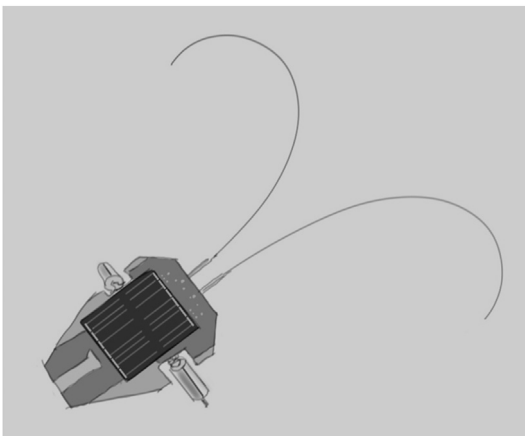


Figure 7.45 “BEAM” robot, a small solar powered, obstacle avoiding vehicle.

Line and area cameras are often encountered in robotics for the purpose of object recognition, environmental exploring, navigation and (robot) calibration. However, we will not discuss these applications further here; the reader is referred to the extensive literature on computer vision. Color information can be very useful in a number of applications, such as for painting robots, object sorting (for recycling purposes), and so on. A color camera is a suitable transducer, but when no spatial information but just color is needed, a simple set of three diodes with color filters will do as well.

Movement is change in position; hence, it can be measured by any position sensor, provided such sensor has an adequate range and response time. When the movement is beyond the range of a position sensor, for instance as may occur with moving robots and AGVs, other sensing techniques to capture the position are required. A simple optical navigation method is tracking a marked path. The path may consist simply of a contrasting line on the floor. An LED or other light emitter casts a light beam down to the floor and two detectors on either side pick up the reflected light. The vehicle is controlled in such a way that both detectors receive the same light intensity, i.e., when they are equal distance from the reflecting (white) line. When the vehicle starts to deviate from the track, either the left or the right detector receives more light, from which a control signal is derived.

When also the position of the robot or vehicle along the path must be determined, the path can be coded along the track, for instance by a continuous pattern of light and dark fields or particular optical codes [77]. Similarly the movement of a free mobile robot can be tracked by some contrasting 2D pattern on the floor, using sensors detecting dark–light transitions or even an onboard camera viewing the floor [78]. Another possibility to measure speed makes use of the “natural” irregularities of the floor surface. At two positions of the vehicle (front and rear) the random intensity variations are measured; the speed follows from correlation of the two random signals [38]. Absolute position is then obtained by dead-reckoning techniques, starting from a known position, and possibly updated from time to time with reference positions achieved by special markers or beacons.

Beacons for the determination of absolute position can be either passive or active. Passive beacons are for instance reflectors located at precisely known positions. The transmitters and receivers are located on the vehicle. Active beacons transmit signals that are received by the vehicle. Important design considerations are the directivity (or the scanning area) of the transmitter/receiver, the location of the beacons and the identification of beacons (the vehicle must be able to recognize the active beacons).

An example of a mobile robot using three active beacons is described in Ref. [79]. The sensing system on this robot measures the angles between two beacon pairs: α between B1 and B2, and β between B2 and B3 (Fig. 7.46). Then the robot must be located at a point P lying on the crossings of the two circles c1 through (B1, B2, P) and c2 through (B2, B3, P). From the known beacon positions and the two angles, point P can be calculated. The sensing system on the robot consists of a rotating photodiode (four turns/s), connected to an encoder that provides information on the angle of the optical axis with respect to robot coordinates. During one

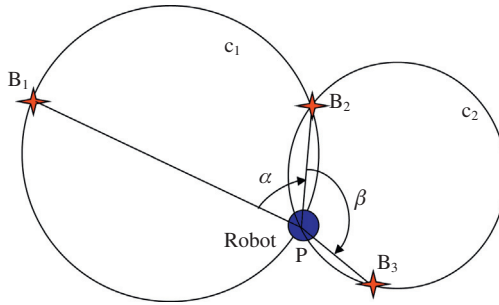


Figure 7.46 High-precision positioning of a mobile robot based on three beacons.

complete turn the detector receives three consecutive signals from the beacons, from which the angles α and β are derived. The light from the beacons contains a unique code to distinguish them, which is decoded by the sensing system. The resolution of this angle measurement is better than 0.005 degree over a distance range from 1 to 10 m between robot and beacon.

Obviously the system just described requires a direct sight of view between beacons and vehicle. In many applications this is not the case, for instance when AGVs have to navigate in a complex area with many large obstacles or in corridors. Placing beacons in the plane of the AGV could be complicated, expensive or even impossible. A solution could be a higher position of the beacons (for instance on the ceiling of a hall), thus increasing the working range of the beacons. Since the beacons and sensors are not in one plane anymore, however, this complicates the optical configuration and the triangulation algorithm. de Cecco [80] gives a solution to this problem, based on a special optical scanning system able to accurately measure angles as well, resulting in a position accuracy better than 2 mm in a range of 10 m.

Navigation can also be performed by a simple 2D-PSD camera. When an extra d.o.f. is allowed, the 3D position can be derived with two such cameras. From the output of both 2D-PSD cameras the three coordinates x_p , y_p , and z_p of the light spot can be calculated, as illustrated in Fig. 7.47.

The focal point of the left camera coincides with the origin of the reference frame, so the light spot has coordinates $(x_{d1}, y_{d1}, -F_1)$, which satisfy:

$$\frac{x_p}{x_{d1}} = \frac{y_p}{y_{d1}} = \frac{z_p}{F_1} \quad (7.24)$$

The second PSD camera is rotated over angles φ and θ around the z - and y -axis, respectively. The coordinates of the light spot with respect to a rotated PSD are related to that of the reference frame according to the transformation formula:

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} \cos \varphi \cos \vartheta & -\sin \varphi & -\cos \varphi \sin \vartheta \\ \sin \varphi \cos \vartheta & \cos \varphi & -\sin \varphi \sin \vartheta \\ \sin \vartheta & 0 & \cos \vartheta \end{pmatrix} \begin{pmatrix} x_{d2} \\ y_{d2} \\ z_{d2} \end{pmatrix} \quad (7.25)$$

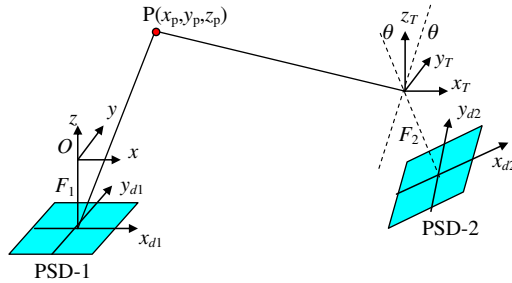


Figure 7.47 3D optical localization system with two 2D PSD camera's.

When the camera is further translated over a vector (x_T, y_T, z_T) , the coordinates of the light spot satisfy the expression.

$$\frac{x_p}{x_{d2} - x_T} = \frac{y_p}{y_{d2} - y_T} = \frac{z_p}{F_2 - z_T} \quad (7.26)$$

which is similar to Eq. (7.24) for the left PSD. With Eqs. (7.24)–(7.26) the position of the light spot can be expressed in terms of the output coordinates of both PSD cameras, their focal lengths and the geometrical parameters of the system.

If only speed information is required, inertial sensing techniques can be applied. Integrating the output of an accelerometer provides linear velocity. A gyro gives the angular rate. Most optical gyros, for instance the one discussed in Section 7.2.5, are based on interferometry. An extensive overview of commercially available optical and other gyros can be found in Ref. [81].

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Further reading

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In this chapter we discuss principles, properties, and applications of piezoelectric sensors. A phenomenological description of the piezoelectric effect is followed by an overview of the most common piezoelectric parameters. Piezoelectric materials are used in force sensors and accelerometers, and for that reason they are found in many applications. Piezoelectricity can also be applied to construct acoustic transducers; this is the subject of Chapter 9.

8.1 Piezoelectricity

8.1.1 Piezoelectric materials

Piezoelectricity is encountered in some classes of crystalline materials. Deformation of such materials results in a change in electric polarization (the direct piezoelectric effect in Table 2.3): the positions of the positive and negative charges in the crystal are displaced relative to each other, causing a net polarization or change in intrinsic polarization. Fig. 8.1 shows a simplified 2D representation of this effect.

In Fig. 8.1A the structure is fully symmetric: the center of gravity of all positive charges coincides with that of the negative charges (the whole crystal is electrically neutral). When compressed in the horizontal direction (Fig. 8.1B), the center of positive charges has shifted downwards, resulting in a nonzero polarization. In case of a vertical compression (Fig. 8.1C), the center of positive charges has shifted upwards, resulting in a nonzero polarization in the other direction. This shift of positive charges relative to the negative charges produces opposite charges on the opposing surfaces of the crystal.

Evidently Fig. 8.1 is a strongly simplified representation of the piezoelectric phenomenon. Crystal structure, addition of dopants and other treatments of the material substantially determine the piezoelectric properties; for instance Ref. [1] shows the charge displacements for various compositions of PZT (lead–zirconium–titanate, a particular piezoelectric material).

The surface charge per unit area (C/m^2) is proportional to the applied stress (N/m^2), the charge (C) is proportional to the applied force (N). Generally the material is shaped as small rectangular blocks, cylinders, plates, or even sheets, with two parallel faces that are provided with a conducting layer. The construction behaves as a flat-plate capacitor, for which $Q = C \times V$; so the output signal is available as a voltage. The sensitivity of such piezoelectric sensors is characterized either by the charge sensitivity $S_q = Q/F$ (C/N) or by the voltage sensitivity $S_u = V/F = S_q/C$ (V/N). The piezoelectric effect is reversible (see Chapter 2): a voltage applied to the piezoelectric material results in a (small) deformation (the converse piezoelectric effect in Table 2.3); this property is used in piezoelectric actuators.

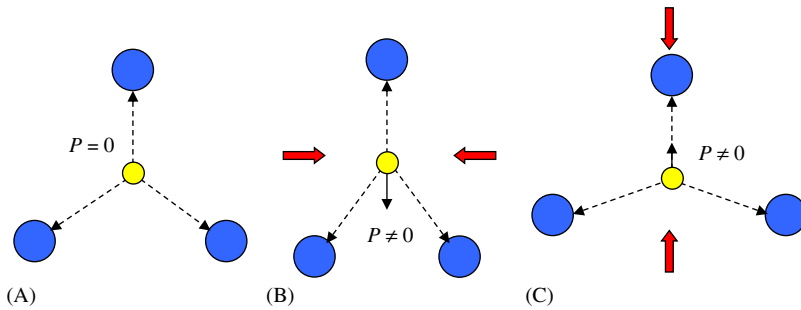


Figure 8.1 Piezoelectricity; larger circles represent positive charges, the smaller negative charges.

The following groups of materials exhibit piezoelectricity:

- natural piezoelectric materials: a well-known example is quartz (crystalline SiO_2)
- ceramic materials (polycrystalline), for instance barium titanate (BaTiO_3)
- polymers, for instance PVDF (or PVF_2).

Natural piezoelectricity was discovered already in 1880 by the brothers Curie, in several crystalline materials [2]. The most known is quartz (crystalline silicon dioxide). It has a rather low but stable piezoelectricity, about 2 pC/N.

Materials of the second group contain electrical dipoles but are not piezoelectric, because the random orientation of the dipoles averages out, resulting in a zero net polarization. However, they can be made piezoelectric by poling: the material is heated up to the so-called Curie temperature, where the dipoles obtain high mobility. In this state a strong electric field is applied during a certain time period and the dipoles are oriented in the direction of the field. After slowly cooling down the dipoles maintain their orientation, giving the material its piezoelectric properties.

Compared to quartz, poled ceramic materials have a much higher piezoelectricity ranging from 100 up to over 1000 pC/N. The orientation of the dipoles slowly tends to a disordered state, resulting in a negative exponential decay in sensitivity over time:

$$\frac{S(t) - S(t_0)}{S(t_0)} = c \cdot \log \frac{t}{t_0} \quad (8.1)$$

where $S(t)$ and $S(t_0)$ are the piezoelectric sensitivities at time t and the end of the polarization treatment time t_0 . The factor c in this expression lies in the range -5×10^{-2} to -5×10^{-3} per decade at room temperature. For this reason the poled material is aged artificially, before being applied as a transducer. Mechanical and thermal shocks, however, may initiate new aging effects. Clearly the decay goes faster at higher temperatures. Note that even quartz loses its piezoelectricity above the Curie temperature, which is 573°C .

In 1969 Kawai discovered that some polymers can be made piezoelectric by poling under particular conditions [3,4]. The most popular piezoelectric polymer is polyvinylidene fluoride (PVDF, a chain of repeating units of $\text{CH}_2\text{--CF}_2$). The

material is available in sheets with various thicknesses (6–100 μm) with conducting material deposited on its surface for connection purposes. PVDF has the highest piezoelectricity of all known polymers, about 25 pC/N. Poling is performed during stretching (in one or both directions), to obtain reasonable piezoelectricity. Piezoelectric PVDF has a limited temperature range, because its melting point is about 150°C, whereas the Curie temperature lies around 125°C. Since shortly after the material became commercially available the material has been successfully applied as a transducer in the thermal, mechanical, and acoustical domains [5,6].

An additional advantage of PVDF over ceramics is the compatibility with silicon technology. The thin sheets can be glued on top of a silicon wafer that contains part of the interface electronics. More recently piezoelectric copolymers of PVDF, for instance VDF/TrFE, have been developed. This material is available as a solution. It can be deposited on wafers by spinning [7]. Polymer-on-silicon technology opens up the possibility for the creation of smart (integrated) sensing devices, for the detection of pressure, force, sound, and thermal energy (the latter due to the fact that PVDF is pyroelectric as well) [8,9]. The major advantage of polymers over ceramic materials is their flexibility and small size (sheet thickness of several micrometers).

Another development concerns the deposition of thin film ceramics. This technology, too, can benefit from compatibility with integrated circuits and, using the reversibility of piezoelectricity, for microactuators [10].

The primary output quantity of a piezoelectric sensor is charge. In Section 8.3 we will show two basic schemes to convert that charge into a voltage: with a very high load impedance (open terminals) and with an almost zero load impedance (short-circuited terminals).

8.1.2 Piezoelectric parameters

In literature piezoelectric relations and properties are described in several ways. In this book we use the two-port description, which is analogous to the description of electrical two ports (Fig. 8.2). Two pairs of variables are involved: two input variables and two output variables. Each pair consists of one extensive and one intensive variable (see Section 2.2).

The relation between the four quantities can be described in various ways. For a linear electric network we can express the two voltages V_1 and V_2 as a linear function of the two currents I_1 and I_2 :

$$\begin{aligned} V_1 &= Z_{11}I_1 + Z_{12}I_2 \\ V_2 &= Z_{21}I_1 + Z_{22}I_2 \end{aligned} \tag{8.2}$$

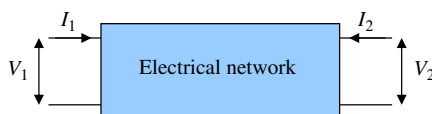


Figure 8.2 Electrical two-port system.

or, in matrix notation:

$$\begin{pmatrix} V_1 \\ V_2 \end{pmatrix} = \begin{pmatrix} Z_{11} & Z_{12} \\ Z_{21} & Z_{22} \end{pmatrix} \cdot \begin{pmatrix} I_1 \\ I_2 \end{pmatrix} \quad (8.3)$$

In this description the matrix elements have a clear physical meaning: they represent electrical impedances. It is easy to find experimentally the input and output impedances of the system. For systems with no internal energy sources $Z_{12} = Z_{21}$. We assume this condition fulfilled in the remaining part of this chapter.

The input impedance is the ratio between input voltage and input current; likewise, the output impedance is the ratio between output voltage and output current. Note that the input impedance depends on the circuit connected to the output, and vice versa. We distinguish two cases: open- and short-circuited terminals. The input impedance of the system in Fig. 8.2 is:

$$Z_{i0} = Z_{11} \quad (\text{at open output: } I_2 = 0) \quad (8.4)$$

$$Z_{is} = Z_{11} \left(1 - \frac{Z_{12}^2}{Z_{11}Z_{22}} \right) \quad (\text{at short - circuited output: } V_2 = 0) \quad (8.5)$$

For the output impedance we find:

$$Z_{o0} = Z_{22} \quad (\text{at open input: } I_1 = 0) \quad (8.6)$$

$$Z_{os} = Z_{22} \left(1 - \frac{Z_{12}^2}{Z_{11}Z_{22}} \right) \quad (\text{at short - circuited input: } V_1 = 0) \quad (8.7)$$

Apparently input characteristics depend on what is connected at the output and vice versa. The degree of this mutual influence is expressed by the *coupling factor* κ , defined as:

$$\kappa^2 = \frac{Z_{12}^2}{Z_{11}Z_{22}} \quad (8.8)$$

The coupling factor is also related to the power transfer of the system. The maximum power transfer occurs for a load impedance equal to

$$Z_L = Z_{22} \sqrt{1 - \kappa^2} \quad (8.9)$$

Now we consider a piezoelectric sensor as a two-port network, analogous to the electrical system in Fig. 8.2, but this time with a mechanical input port and an electrical output port. In Chapter 2 we defined the relation between stress T and strain S :

$$T = c \cdot S [\text{N/m}^2] \quad \text{or} \quad S = s \cdot T [-] \quad (8.10)$$

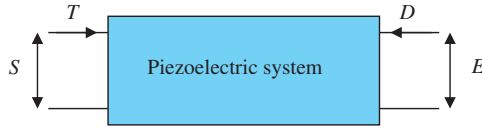


Figure 8.3 Two-port model of a piezoelectric system.

Similarly the relation between dielectric displacement D and electrical field strength E is given by

$$D = \varepsilon \cdot E \text{ [C/m}^2\text{]} \quad \text{or} \quad E = (1/\varepsilon) \cdot D \text{ [V/m]} \quad (8.11)$$

where ε is the dielectric constant or permittivity (F/m). In piezoelectric materials mechanical and electrical quantities are coupled. A two-port model of a piezoelectric system is shown in Fig. 8.3.

The two-port equations of this system are, in general

$$\begin{aligned} S &= f_1(T, D) \\ E &= f_2(T, D) \end{aligned} \quad (8.12)$$

and for linear systems in particular

$$\begin{aligned} S &= s^D \cdot T + g \cdot D \\ E &= -g \cdot T + (1/\varepsilon^T) \cdot D \end{aligned} \quad (8.13)$$

that is, Eqs. (8.10) and (8.11) extended by the parameter g (m²/C or V m/N). Eq. (8.13) is the *constitutive equation* of the piezoelectric system. Mathematically s^D is the partial derivative of S to T at constant D , and ε^T the partial derivative of D to E at constant mechanical tension T . From a physical point of view s^D is the compliance at open electrical terminals ($\Delta D = 0$), and ε^T is the permittivity at open mechanical terminals ($\Delta T = 0$). The latter means that the material can freely deform: it is not clamped. At open electrical terminals the voltage generated by an applied force is $E = -gT$, which explains the name piezoelectric voltage constant for g .

Further as we have seen before the impedance of the connecting circuits (both mechanical and electrical) influence the properties of the material and hence the piezoelectric behavior. For instance the material's stiffness is higher at short-circuited electrical terminals than when these terminals are open.

Another set of constitutive equation is:

$$\begin{aligned} S &= f_3(T, E) \\ D &= f_4(T, E) \end{aligned} \quad (8.14)$$

Table 8.1 The four piezoelectric parameters

Parameter	Definition	Unit
d	$D/T _E$ or $S/E _T$	$C/N = m/V$
e	$D/S _E$ or $T/E _S$	$C/m^2 = N/V \cdot m$
g	$E/T _D$ or $S/D _T$	$V \cdot m/N = m^2/C$
h	$E/S _D$ or $T/D _S$	$V/m = N/C$

which, for linear systems, becomes

$$\begin{aligned} S &= s^E \cdot T + d \cdot E \\ D &= d \cdot T + \varepsilon^T \cdot E \end{aligned} \quad (8.15)$$

where s^E is the compliance at short-circuited electrical terminals ($\Delta E = 0$) and d (C/N or m/V) is the *piezoelectric charge constant*. At short-circuited terminals the electrical displacement, generated by an applied force, is found to be $D = dT$, which explains the name piezoelectric charge constant for the parameter d .

Other possible combinations of the electrical and mechanical quantities result in other material parameters, for instance ε^S : the permittivity at clamped state ($\Delta S = 0$). Further in literature the parameters e and h can be found. Table 8.1 shows an overview of all these piezoelectric parameters. The definitions are given as $A/B|_C$, with A the quantity affected by B at constant C . We will use only d and g in the remaining of this chapter.

Relations between these four piezoelectric parameters follow from the respective constituent relations that define them (see for instance Refs. [11,12]). Irrespective of which set of constitutive equations is taken, they all describe the same material. Hence there is a relation between the various piezoelectric parameters. For instance the relation between d and g is:

$$d = \varepsilon^T \cdot g \quad (8.16)$$

Both g and d describe the strength of the piezoelectric effect of the material only; they do not depend on dimensions. The relations between s^D and s^E , respectively between ε^S and ε^T can be derived from the constitutive equations as follows:

$$s^D = s^E \cdot (1 - \kappa^2) \quad (8.17)$$

$$\varepsilon^S = \varepsilon^T \cdot (1 - \kappa^2) \quad (8.18)$$

where

$$\kappa^2 = g^2 \varepsilon^T / s^D \quad (8.19)$$

is the piezoelectric coupling constant of the material (compare the coupling constant for an electrical two port in Eq. (8.8)). This coupling constant is an important parameter for the strength of the piezoelectric effect. Just as in the case of the electrical system the piezoelectric constant κ^2 denotes the maximal fraction of (mechanical) energy stored in the crystal that can be converted into electrical energy (see for instance in Ref. [13]). Note that κ^2 differs from the overall efficiency.

The equations given earlier only apply for isotropic materials, i.e., materials whose properties do not depend on the orientation within the material. Many piezoelectric materials are anisotropic. So similar to the parameters s and c the parameter ε should also be given as a matrix:

$$\varepsilon = \begin{pmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{13} \\ \varepsilon_{21} & \varepsilon_{22} & \varepsilon_{23} \\ \varepsilon_{31} & \varepsilon_{32} & \varepsilon_{33} \end{pmatrix} \quad (8.20)$$

Depending on symmetry in the crystal, some of the matrix elements are zero and others have equal numerical values. As an example Eq. (8.21) shows the permittivity matrices for quartz and ferroelectric Rochelle salt, respectively:

$$\begin{pmatrix} \varepsilon_{11} & 0 & 0 \\ 0 & \varepsilon_{11} & 0 \\ 0 & 0 & \varepsilon_{33} \end{pmatrix} \text{ and } \begin{pmatrix} \varepsilon_{11} & 0 & \varepsilon_{13} \\ 0 & \varepsilon_{22} & 0 \\ \varepsilon_{13} & 0 & \varepsilon_{33} \end{pmatrix} \quad (8.21)$$

Hence the dielectric properties of quartz can be described by only two parameters: ε_{11} and ε_{33} (apparently $\varepsilon_{11} = \varepsilon_{22}$), whereas for Rochelle salt ($\text{NaKC}_4\text{H}_4\text{O}_6 \cdot \text{H}_2\text{O}$) four parameters suffice for the characterization of its piezoelectric properties.

Now the relationship between E and D is described with three linear equations:

$$\begin{aligned} D_x &= \varepsilon_{xx}E_x + \varepsilon_{xy}E_y + \varepsilon_{xz}E_z \\ D_y &= \varepsilon_{yx}E_x + \varepsilon_{yy}E_y + \varepsilon_{yz}E_z \\ D_z &= \varepsilon_{zx}E_x + \varepsilon_{zy}E_y + \varepsilon_{zz}E_z \end{aligned} \quad (8.22)$$

or in matrix notation:

$$D_i = \varepsilon_{ij}E_j \quad (8.23)$$

where i, j are the directions x, y , and z in a given coordinate system. The parameters d and g , too, depend on the orientation of the mechanical parameters. Each of the six force components may result in a dielectric displacement in three directions. So for a piezoelectric material

$$D_i = \varepsilon_{ij}E_j + d_{ipq}T_q \quad (8.24)$$

or using the notation as presented in Chapter 2:

$$D_i = \varepsilon_{ij}E_j + d_{ik}T_k \quad (8.25)$$

where $i, j = 1 \dots 3$; $k = 1 \dots 6$. Applied, for instance, to the displacement in x -direction (1-direction), this results in

$$D_1 = \varepsilon_{11}E_1 + \varepsilon_{12}E_2 + \varepsilon_{13}E_3 + d_{11}T_1 + d_{12}T_2 + d_{13}T_3 + d_{14}T_4 + d_{15}T_5 + d_{16}T_6 \quad (8.26)$$

Similar equations can be derived for D in the y - and z -directions, D_2 and D_3 . In total the piezoelectric matrix contains 18 elements. Many of them are zero, due to the particular crystal structure. Moreover many of them are mutually dependent. As an example, for quartz, $d_{12} = -d_{11}$, $d_{25} = -d_{14}$, and $d_{26} = -2d_{11}$, due to the specific crystal structure of this material. So the 3×6 d -matrix in the equation $D_i = d_{ik} \cdot T_k$ is [2, p. 18]:

$$\begin{pmatrix} d_{11} & -d_{11} & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & -d_{14} & -2d_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

with the numerical values $d_{11} = 2.3 \times 10^{-12}$ C/N and $d_{14} = -0.7 \times 10^{-12}$ C/N. Hence the equations for D for quartz can be written as

$$\begin{aligned} D_1 &= \varepsilon_{11}E_1 + d_{11}T_1 - d_{11}T_2 + d_{14}T_4 \\ D_2 &= \varepsilon_{11}E_2 - d_{14}T_5 - 2d_{11}T_6 \\ D_3 &= \varepsilon_{33}E_3 \end{aligned} \quad (8.27)$$

As an illustration we give here the piezoelectric d -matrices for two other piezoelectric materials: LiTaO₃ (poled ceramic) and PVDF (poled after uniaxial stretching), respectively

$$\begin{pmatrix} 0 & 0 & 0 & 0 & d_{15} & -d_{22} \\ -d_{22} & d_{22} & 0 & d_{15} & 0 & 0 \\ d_{31} & d_{31} & d_{33} & 0 & 0 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{24} & 0 & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \end{pmatrix} \quad (8.28)$$

For a unique notation with respect to the orientation of polarized crystals, an agreement has been made saying that the z -axis (or 3-axis) is the direction of polarization. Fig. 8.4 visualizes various components of the d -matrix. In all these examples the electrical output (voltage or current) is measured in the direction of the polarization vector (3-direction), so the components of the matrix are all of the form d_{3i} . In Fig. 8.4A the applied force is in the polarization direction. Many crystals have high piezoelectric sensitivity in this direction, which explains the often encountered parameter d_{33} in specification sheets. This mode of operation is called longitudinal, since the mechanical and electrical directions are the same.

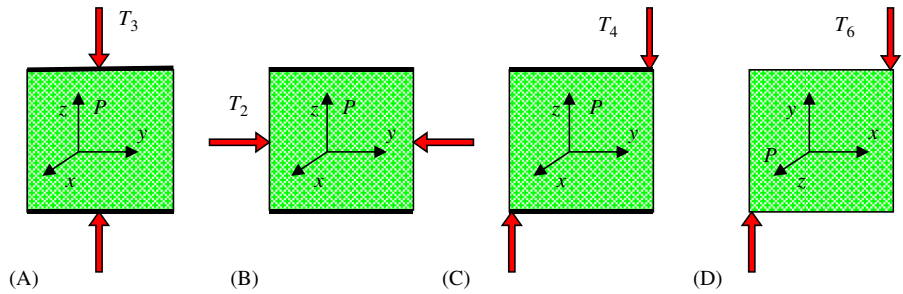


Figure 8.4 Major piezoelectric parameters for various directions of the applied force: (A) d_{33} , (B) d_{32} , (C) d_{34} and (D) d_{36} . In all these cases the electrical output is taken over the z -direction (thick lines in (A) to (C) represent the electrodes).

Table 8.2 Comparison of piezoelectric properties PZT, quartz and PVDF

Parameter	Symbol	PZT ^a	Quartz	PVDF
Density (kg/m ³)	ρ	7.6×10^3	2.65×10^3	1.78×10^3
Curie temperature (°C)	Θ_C	285	550	100–150
Compliances (m ² /N)	s_{33}^E	19×10^{-12}	9.7×10^{-12}	4×10^{-10}
	s_{11}^E	16×10^{-12}	12.8×10^{-12}	
Permittivities	$\varepsilon_{33}^T/\varepsilon_0$	1700	4.6	10–13
	$\varepsilon_{11}^T/\varepsilon_0$	1730	4.5	
p.e. voltage constants (V m/N)	g_{33}	27×10^{-3}		
	g_{31}	-11×10^{-3}		
	g_{11}		0.06	
	g_{14}		0.02	
p.e. charge constants (C/N)	g_{15}	33×10^{-3}		
	d_{33}	425×10^{-12}		-18×10^{-12}
	d_{31}	-170×10^{-12}		
	d_{11}		2.3×10^{-12}	
	d_{14}		0.7×10^{-12}	
	d_{15}	515×10^{-12}		

From data sheets of various manufacturers.
^aData for a modified form of lead–zirconate–titanate; small modifications have a large effect on most piezoelectric properties.

In Fig. 8.4B a force is applied perpendicular to the direction of polarization: this is the transversal mode of operation. Transversal operation is used with crystals having a high value of d_{32} . Fig. 8.4C shows a shear force in transversal direction (here the 4-direction), yielding the parameter d_{34} . Finally in Fig. 8.4D the shear force is applied in the 6-direction, so the parameter d_{36} shows up.

Table 8.2 presents, for comparison purposes, various properties of a ceramic material based on PZT (lead–zirconium–titanate), of quartz and of PVDF. Note

that the numerical values of all piezoelectric parameters depend on temperature, on composition, and on the manufacturing process. Detailed data are found in for instance Ref. [2]. Piezoelectric coefficients of PVDF and a measurement method for obtaining numerical values can be found in Ref. [14], for instance, where all piezoelectric constants according to Eq. (8.28) are presented.

8.2 Force, pressure, and acceleration sensors

8.2.1 Construction

Most force sensors are based on an elastic or spring element: the force generates a deformation that is measured by some displacement sensor (as discussed in previous chapters). The elasticity of the spring element determines the sensitivity of such sensors. A high sensitivity requires a large deformation, which is achieved by a low stiffness of the spring element. Such a large displacement, however, could unintentionally affect the structure in which the force has to be measured. A piezoelectric force sensor, on the other hand, responds directly to an applied force: the associated deformation is in most cases negligibly small, assuring small loading errors in the force measurement. Although force is the primary quantity that is measured by a piezoelectric sensor, other quantities such as pressure, strain, and acceleration can easily be measured as well, using a proper construction.

Piezoelectric sensors have disadvantages, too. The surface charge produced by an applied force might be neutralized easily by charges from the environment (airborne charges), by current leakage (due to a non-zero conductivity of the dielectric) or just by the input resistance of the connected electronics (discussed further in Section 8.3). This makes the sensor behave as a high-pass filter for input signals, impeding pure static measurements.

A further point of attention is the temperature sensitivity. There are various causes for this temperature effect. First piezoelectric materials are pyroelectric, so they also respond to temperature changes. Secondly a temperature change may induce crystal deformation and hence an electrical output as well. Further when materials connected to the piezoelectric crystals have different thermal expansion coefficients (for instance clamping parts and electrodes), the crystal experiences unwanted forces. Fortunately as long as these changes are slow, they would not limit the applicability because of the previously discussed intrinsic high-pass character.

Usually the elements of the transducer are kept together by clamping rather than by gluing. A consequence is that the crystals become preloaded. Fig. 8.5 shows in a very schematic way some basic constructions.

In a force sensor (Fig. 8.5A) the force to be measured is directly transferred to the piezoelectric crystal. Obviously the construction provides a means to connect the electrodes of the crystal to an external connector (not shown in the figure). Usually the case acts as the ground terminal.

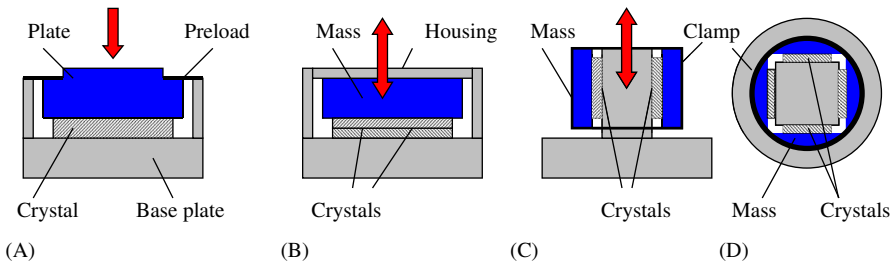


Figure 8.5 Principle of piezoelectric sensors (A) force sensor (longitudinal), (B) compression type accelerometer, (C) shear-type accelerometer, (D) top view of (C); the arrows show the main axis of sensitivity; electrical terminals are not shown.

In a piezoelectric pressure sensor the pressure to be measured is applied to a thin metal membrane. The total force on the membrane — that is the pressure times the active area of the membrane — is mechanically transferred to the crystal. Piezoelectric pressure sensors are also sensitive to acceleration, because the mass of the housing produces an inertial force on the crystal when accelerated. For applications where pressure has to be measured in a vibrating or otherwise moving environment, special pressure sensors are designed with a compensating crystal, to minimize the acceleration sensitivity.

An accelerometer consists basically of one or more piezoelectric crystals and a *proof mass* (or *seismic mass*). Here, also, the mass is fixed by a preload onto the crystal. Fig. 8.5B shows a compression mode accelerometer. This example comprises two crystals, mounted back to back between the seismic mass and the base plate. One electrode is connected to the common surface of the crystals, the other to the housing. In this configuration the crystals are electrically in parallel and mechanically in series, resulting in a doubled sensitivity. Moreover some compensation for common interferences is achieved. The shear-type accelerometer shown in Fig. 8.5C and D contains four crystals mounted on a rectangular post. The masses and crystals are fixed between a centre post and a clamping ring (as preload).

The absence of moving parts allows a piezoelectric sensor to be mounted in a robust package and hermetically sealed. Fig. 8.6 gives an impression of the real construction of the two accelerometer types shown in Fig. 8.5.

In both cases the main axis is in the vertical direction, where the sensitivity has a maximum value. Ideally the sensitivity in the orthogonal direction is zero. Commercial sensors have a non-zero sensitivity in directions perpendicular to the main axis, due to construction tolerances (not well-aligned crystals) or the cross sensitivity of the crystal itself. The crystal type and orientation should be chosen such that the highest value of d is in line with the main axis and zero for all other directions. For instance when d_{15} in the shear type is responsible for maximum output (in the l -direction), the values of d_{12} and d_{13} should be zero, since these forces normal to the crystal may not generate an electrical output in that direction. Commercial accelerometers have a cross sensitivity that is just a few percent of the main sensitivity.

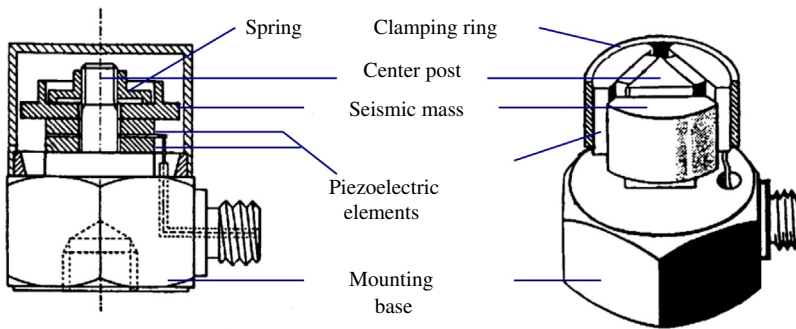


Figure 8.6 Schematic drawing of piezoelectric accelerometers; left: compression type; right: three-crystal shear type (Brüel & Kjaer).

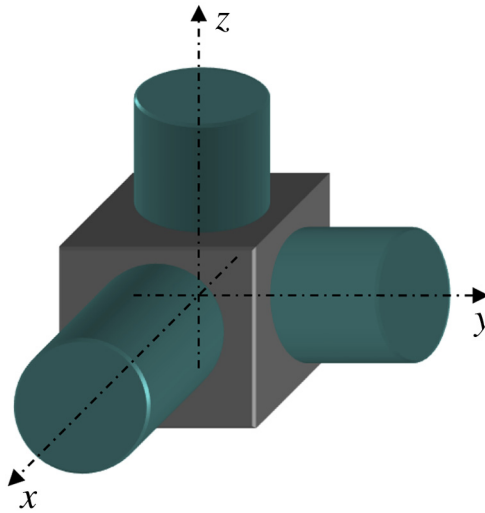


Figure 8.7 Three-axis piezoelectric accelerometer consisting of three stand-alone single axis sensors.

When two or all three components of a force or acceleration vector have to be measured, multi-axis sensors should be applied. The earlier three-axes versions usually consist of three separate sensors, mounted orthogonally in a single housing, as shown schematically in Fig. 8.7. The smallest dimensions of such sensors are comparable with a die and have a weight down to 20 g, and sensitivities of around 10 mV/g, where g is the gravitational acceleration in m/s^2 . More recently MEMS tri-axial accelerometers have flooded the market, mainly for consumer products (e.g. cameras and games). They are also available with integrated interface circuits. Typical sensitivities are 10–100 mV/g, and are 10–50 g in weight.

8.2.2 Characteristics of accelerometers

The frequency band of a piezoelectric accelerometer is limited at the lower side of the spectrum by the shunt resistance of the crystal (Section 8.2.1). At the upper side of the spectrum it is limited by the resonance frequency. Fig. 8.8 shows the useful frequency range of a standard type piezoelectric accelerometer. Here $S(\omega)$ is the sensitivity, that is the ratio between applied acceleration and electrical output, and S_{nom} is the nominal sensitivity.

A piezoelectric accelerometer consists of a base plate, a seismic mass and a (preloaded) crystal. The mechanical characteristics of this spring-mass system follow from the equation of motion:

$$m \frac{d^2x}{dt^2} + \alpha \frac{dx}{dt} + kx = F(t) \quad (8.29)$$

where m is the seismic mass, α the damping constant and k the spring constant (between seismic mass and base). The natural frequency of the system (i.e. the resonance frequency for $\alpha = 0$) equals:

$$\omega_0 = \sqrt{\frac{k}{m}} \quad (8.30)$$

The damping constant of a piezoelectric accelerometer is usually very small; in that case the (damped) resonance frequency ω_{res} in Fig. 8.8 is close to ω_0 . A high resonance frequency requires a small mass m and a large stiffness k . The mass determines the sensitivity in the nominal frequency range and is chosen in accordance to the application. The resonance frequency of commercial accelerometers ranges from 1 to 250 kHz: the smaller the size, the higher the resonance frequency.

Many different types of piezoelectric sensors exist, for various applications. The smallest commercial accelerometers have a weight of 0.2 g and a resonance frequency at 50 kHz.

Table 8.3 lists the major properties of several groups of accelerometers and Table 8.4 shows some maximum ratings.

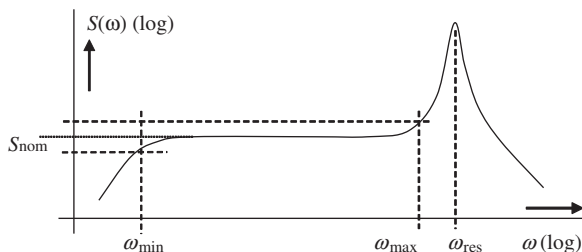


Figure 8.8 Typical frequency characteristic of a piezoelectric accelerometer.

Table 8.3 Specifications of various accelerometers

Application Area	Range (FS)	Sensitivity (mV/g)	Resolution (g)	Frequency Range (Hz)
General	± 10 to ± 500	10–100	0.001	1–10 ⁴
Robust	± 50	100	10 ⁻³	1–1000
Seismic	± 5	0.05	10 ⁻⁴	1–3000
Ballistic	$\pm 10^5$	1000	1	1–8000

Most types cover a temperature range from -70° to $+120^\circ\text{C}$.

Table 8.4 Maximum ratings of piezoelectric sensors

Type	Range (FS)	Sensitivity	$T_{\max}$ ($^\circ\text{C}$)
Acceleration	10^3 – 10^6 m/s ²	0.1–50 pC/ms ⁻²	500
Force	10^2 – 10^6 N	2–4 pC/N	300
Pressure	10^7 – 10^8 Pa	20–800 pC/MPa	200

When applying piezoelectric sensors the following points should be considered:

- Material properties depend on the electric load (open or closed electrical terminals); hence all sensor properties (sensitivity S , resonance frequency ω_a) depend on the load too.
- When mounting a sensor on an object, the latter is loaded mechanically, resulting in a change of acceleration a and resonance frequency ω_a .

When M is the mass of the object and m the mass of the accelerometer, the acceleration is lowered by a factor $1 + m/M$, and the resonance frequency by a factor $\sqrt{1 + m/M}$, according to Eq. (8.30):

$$\alpha_L = \alpha_o \frac{M}{M + m}$$

$$\omega_{aL} = \sqrt{\frac{M}{M + m}}$$
(8.31)

where subscripts o and L denote the unloaded and loaded situation, respectively. These equations allow quick assessment of the loading effects when applying an accelerometer.

8.3 Interfacing

The primary signal of a piezoelectric sensor is charge Q . The sensor impedance behaves as a capacitance C_e , corresponding to the electrical capacity of a flat-plate capacitor with (piezoelectric) dielectric. This simple impedance model can be extended by a resistance R_s , modelling leakage currents (Fig. 8.9).

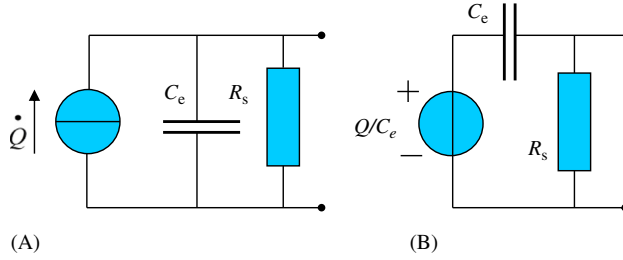


Figure 8.9 Two equivalent models of a piezoelectric sensor: (A) current source and (B) voltage source.

The charge signal can be measured in two different ways. The first method is based on the relation between charge and voltage over a capacitor: $Q = C \cdot V$. Hence the interface circuit consists of a voltage amplifier. In the second method the charge is allowed to flow through an impedance, preferably a capacitor. The voltage across this capacitor is proportional to the charge. This type of interface is commonly called a charge amplifier; a better name would be charge–voltage converter.

Fig. 8.10 shows examples of these interface circuits, together with models for the sensor and the connecting cable (the part between the dotted lines). It will be shown that the cable capacitance can have a substantial influence on the signal transfer of the system, due to the capacitive character of the sensor. The sensor is modelled by the voltage source model of Fig. 8.9B.

We calculate, for both interface circuits, the total signal transfer, using the formula for operational amplifier configurations in Appendix C. First we consider the voltage readout (Fig. 8.10A). The transfer of this circuit is given by

$$H_v = \frac{V_o}{Q/C_e} = A \cdot \frac{j\omega R_p C_e}{1 + j\omega R_p (C_e + C_c)} = A \cdot \frac{C_e}{C_e + C_c} \cdot \frac{j\omega R_p (C_e + C_c)}{1 + j\omega R_p (C_e + C_c)} \quad (8.32)$$

where $R_p = R_s // R_c$ (parallel connection) and $A = 1 + R_2/R_1$, the gain of the non-inverting amplifier. Obviously the transfer shows a high-pass character (Fig. 8.11A): for frequencies satisfying $\omega R_p (C_e + C_c) \gg 1$ the transfer equals $C_e/(C_e + C_c)$, so it is frequency independent. The cable capacitance C_c causes signal attenuation. Hence the total signal transfer depends on the length of the cable. This requires recalibration each time the connection cable is replaced.

The interface circuit of Fig. 8.10B is better, in this respect. Due to the virtual ground of the operational amplifier the voltage across the sensor and the cable is kept at zero: neither the cable impedance nor the input impedance of the amplifier influences the transfer, and hence can be ignored. Assuming an ideal operational amplifier, its output voltage is $-Z_2/Z_1$. Without resistor R_f , $Z_1 = 1/j\omega C_e$ and $Z_2 = 1/j\omega C_f$, hence the output voltage equals $V_o = -(C_e/C_f)(Q/C_e)$ which, indeed, does not depend on cable properties.

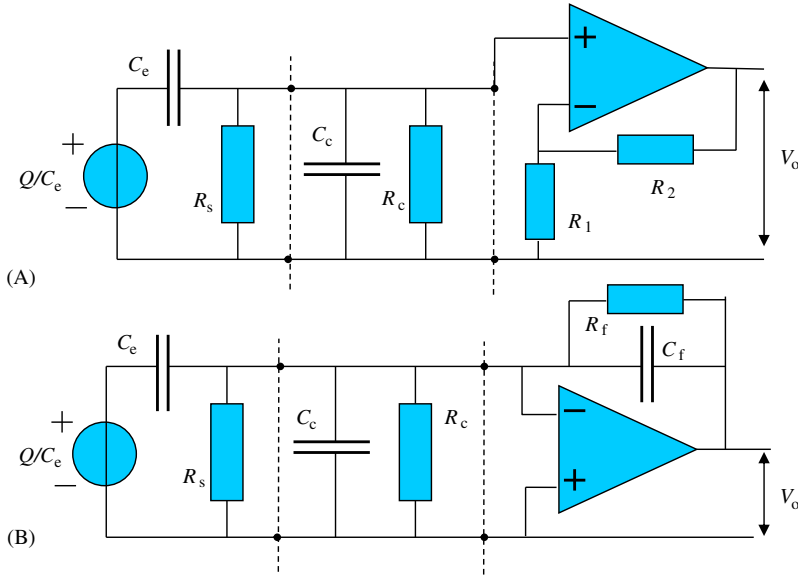


Figure 8.10 Interfacing a piezoelectric sensor: (A) voltage amplifier and (B) charge amplifier.

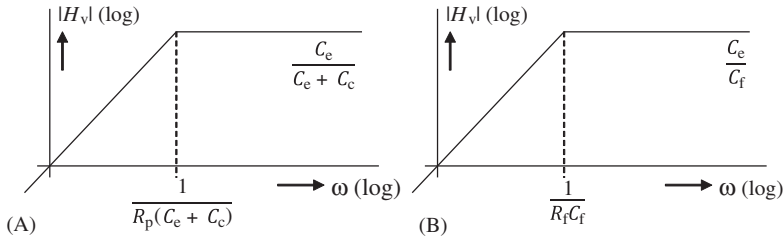


Figure 8.11 Transfer characteristics of the interface circuits in (A) Figure 8.10A (B) Figure 8.10B.

Ideally the circuit with a capacitor in the feedback path behaves as an integrator: the input current flows through C_f resulting in a voltage equal to $(1/C_f) \int I dt$. Here the input current is generated by the piezoelectric sensor and equals dQ/dt , that is the time derivative of the piezoelectrically induced charge Q . Hence the voltage across C_f is just Q/C_f explaining the name charge amplifier.

Unfortunately the integrator does not only integrate the input charge, but also the unavoidable offset voltage and bias current of the operational amplifier. To prevent the amplifier from overloading, the feedback resistor R_f cannot be left out. This results in a transfer given by

$$H_q = \frac{V_o}{Q/C_e} = \frac{-j\omega R_f C_e}{1 + j\omega R_f C_f} = \frac{C_e}{C_f} \cdot \frac{j\omega R_f C_f}{1 + j\omega R_f C_f} \quad (8.33)$$

Just as in Eq. (8.32), this transfer has a high-pass characteristic (Fig. 8.11B): the cut-off frequency is set by the components of the amplifier only: for $\omega R_f C_f \gg 1$ the voltage transfer is $-(C_o/C_f)$. When using a high quality operational amplifier (low offset, low bias current), the value of the cut-off frequency can be chosen down to 0.01 Hz. A true static measurement of acceleration or force, however, is not possible.

8.4 Applications

Piezoelectric force sensors and accelerometers are available for a wide range of applications. They can be mounted on proper places of the mechatronic construction, taking into account mechanical loading and direction of sensitivity. If only little space is available for mounting a commercial device, single piezoelectric crystals could be used, taking note of the polarization direction, the orientation of the electrical contact surfaces and a proper interfacing. Charge amplifiers are preferred in most cases.

In this section we present some examples of special designs of piezoelectric sensors for various applications.

8.4.1 Stress and pressure

Axially stressed and poled PVDF shows a rather strong piezoelectric effect (Table 8.2). A straightforward design of a pressure sensor using this property is a sheet of PVDF on top of a rigid backing, making use of lateral deformation. However the flexibility of PVDF allows the material to bend easily, so it can serve as a membrane, resulting in a much higher pressure sensitivity. Two pressure sensors designed according to this concept are presented in Ref. [15]. A circularly shaped sheet of piezoelectric PVDF 5 mm in diameter and about 25 μm thickness, with metallization layers on both sides, is clamped between two parts of a likewise circular housing made up of PVDF. The sensor is applied in pneumatic and hydraulic systems, for pressures up to 200 kPa and temperatures up to 125°C and is resistant to a wide range of chemicals. Many properties of the prototype have been determined experimentally: sensitivity to temperature and humidity, response time (less than 100 μs), frequency range and stability over time (i.e. aging and creep). As outlined in preceding sections, the sensor element is also pyroelectric, so it responds to temperature changes as well, and shows a high-pass characteristic that depends on the temperature-dependent loss factor (resistance) of the membrane.

Ice deposition, for instance on overhead power transmission lines and power pylons, may cause much damage, and it is useful to study the adhesion at different conditions. In Ref. [16] PVDF is used to measure interfacial stress between an ice layer deposited on an aluminium substrate. Clearly the small thickness of PVDF as well as its flexibility makes this sensing material an excellent candidate for this task. It is shown that an embedded PVDF layer provides useful information about ice de-bonding stress and propagation.

Many examples can be found in literature illustrating the versatility of PVDF as a means to measure pressure and obtain pressure images. For instance Ref. [17] describes a system for measuring the dynamic (normal) pressure between a car's tyre and the ground, and in Refs [18,19] the material is used for automatic verification of hand-written signatures.

8.4.2 Acceleration

Most piezoelectric accelerometers for industrial application are designed according to Fig. 8.5. The present market offers a vast variety of types for almost any application. However proposals for alternative designs aiming at even better performances or for particular applications appear on a regular basis in scientific literature. For instance the advent of piezoelectric PVDF and the fast development of micro-technology motivate researchers for looking to new solutions for both old and new measurement problems.

Soon after its discovery piezoelectric PVDF was recognized as being a suitable sensing material. Moreover the light-weight film-shaped material allows the construction of small and cheap accelerometers. An early attempt to create a PVDF-based accelerometer is found in Ref. [20], which shows a sensor for accelerations up to an acceleration of 50,000 g. An angular acceleration sensor can be created as well, as shown in Ref. [21]. By a special geometric arrangement of four piezoelectric elements (PVDF) and two seismic masses, an angular sensitivity of about 0.1 pC/rad/s^2 has been achieved.

By suspending a seismic mass on a sheet of PVDF, a very sensitive accelerometer has been achieved, to be used for very low frequencies as a replacement for a geophone [22]. The resonance frequency (Fig. 8.8) amounts to 265 Hz for a particular choice of the parameters; the sensitivity is almost constant up to 100 Hz.

Another way to realize small, sensitive accelerometers is the use of thin films of PZT (typically $1\text{--}5 \text{ }\mu\text{m}$). In this case the piezoelectric material is one of a sandwich of thin layers deposited on a silicon substrate [23]. Other layers serve as electrodes and isolation. The seismic mass is formed by selective etching of the substrate, resulting in a mass-spring system similar to the one shown in Figure 4.18. The structure is sensitive in three directions, 22 pC/g for transversal and 8 pC/g for in-plane acceleration. An extensive FEM analysis of such thin-film piezoelectric accelerometers is given in Ref. [24], providing guidelines for the design of devices with specified properties.

A different approach is followed in Ref. [25], in which the PZT is deposited in a thick layer (typically $50 \text{ }\mu\text{m}$), on an alumina substrate. This device is composed of two sensing elements, to compensate for temperature effects. They also serve as seismic mass. The sensitivity can be set by an additional amplifier and is constant between 1 Hz and 10 kHz.

The reversibility of the piezoelectric effect provides solutions with a combination of actuation and sensing. In Ref. [26] such a combined piezoelectric sensor–actuator system is used to reduce vibrations that may occur in precision mechatronic systems, in this example a waver stepper. A piezoelectric ceramic

sensor (1 mm thickness) measures vibrations in a particular part of the construction, and a stack of piezoelectric actuators (4 mm thickness) generates a counter-movement in the same part of the construction. With a proper design of the control loop, the amplitude of the dominant vibration mode is reduced by a factor of 7.

8.4.3 Tactile sensors

Piezoelectricity is one of the many physical effects that have been explored for the realization of tactile sensors. In particular the piezoelectric polymer PVDF has attracted much attention. The small dimensions in the direction of the force, as well as the flexibility of the material, simplify integration of PVDF tactile sensors in a robot gripper, even on the fingers of a dextrous gripper [27]. One drawback of the material is the charge leakage (preventing the measurement of static images). Moreover the material is also pyroelectric, which makes the sensor sensitive to temperature changes and gradients as well; hence this property could be put to use in robotics when thermal data about objects (i.e. temperature and thermal conductivity) add useful information to the control system, somewhat similar to the human skin [28–30].

Like other tactile sensors discussed in preceding chapters, piezoelectric tactile sensors too are used for two important tasks: contour recognition and improving gripper control [31,32]. In Ref. [32] a study on a thick (1 mm) piezoelectric tactile sensor is presented. The sensor is modelled as a second-order system and shows a frequency characteristic similar to the one given in Fig. 8.8, with a resonance frequency of 6.1 kHz. In applications where dynamic performance matters (for instance as an active rate of force sensor described in Ref. [33]), PVDF sensors appear to be suitable devices.

A common problem in tactile sensing for contour measurement is the low spatial resolution. Piezoelectric sensors suffer from this problem too, although sensors have been realized that are able to determine the orientation of objects on a test bed equipped with a piezoelectric polymer [30].

Piezoelectric ceramics and polymers are also applied in the acoustic domain. Application examples, including tactile sensors, will be discussed in the next chapter on acoustic sensors.

The integration of polymer technology and silicon technology offers interesting prospects for future developments of compact, high-resolution tactile sensors with integrated electronics.

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Further reading

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The primary measurand of an acoustic sensor is sound intensity. In combination with a controllable sound source, acoustic sensors can be used for measuring various other physical parameters, e.g., distance, velocity of fluids, material properties, chemical composition, and many more. They are also suitable for navigation (of mobile robots), imaging (e.g., rangefinding and object recognition) and quality testing. In mechatronics they mainly fulfill the task of noncontact measurement of distances and derived quantities. Similar to optical sensing systems, such acoustic sensing systems also comprise basically three parts: a source, a receiver, and a modulating medium (in mechatronics the medium is mostly air). Many acoustic sensing systems are based on the measurement of the travel time or ToF, that is the time it takes for an acoustic wave or signal to travel a certain path

$$x = v \cdot t \quad (9.1)$$

This path is made dependent on the physical parameter to be determined, e.g., a distance. Such a time-based measurement approach is preferred over intensity-based measurements, because the latter are less accurate and the relation between distance and intensity is often affected by unpredictable sound reflections and scattering.

Acoustic sensing has some advantages over optical sensing:

- The output is much less sensitive to smoke, dust, vapor, etc.
- No (artificial) illumination is required, allowing operation in the dark.
- Cheap transducers (transmitters and receivers) will do in most cases.

We start the chapter with an overview of acoustic parameters and properties. Next the operation and performance of some acoustic (ultrasonic) sensors are discussed. In the ensuing section various methods for contact-free distance measurements are given. Finally, in the application section, we discuss examples of ranging, imaging, and interfacing.

9.1 Properties of the acoustic medium

Unlike light (electromagnetic waves) that can propagate in a vacuum, sound waves need an elastic medium to travel. The most common waves are longitudinal waves and shear waves. In longitudinal waves the particle motion is in the same direction as the propagation; in shear waves the motion is perpendicular to the propagation direction. Some sensors utilize surface acoustic waves (SAWs). An absorbing substance is deposited on the surface of a device on which the waves are travelling: The SAW's velocity is influenced by the concentration of the absorbed matter.

Such SAW devices are suitable for chemical sensing. The selectivity is greatly determined by the chemical interface.

In this chapter we only discuss acoustic sensors for the measurement of mechanical quantities in mechatronics, where the propagation medium is generally air. Since the quality of an acoustic measurement strongly depends on the acoustic properties of the medium, we present here an overview of the major acoustic characteristics of air. But first we give some definitions of terms commonly in use in acoustics.

9.1.1 Sound intensity and pressure

Any piece of vibrating material radiates acoustic energy. The rate at which this energy is radiating is called the acoustical or sound power (W). Sound *intensity* is the rate of energy flow through a unit surface area; hence intensity is expressed as W/m^2 (compare the optical quantity *flux*). A sound wave is characterized by two parameters: the *sound pressure* (a scalar, the local pressure changes with respect to ambient) and the *particle velocity* (a vector). The intensity is the time-averaged product of these two parameters. It may vary from zero (when the two signals are 90 degrees out of phase) to a maximum (at in-phase signals). The relation between intensity and pressure in the free field (no reflections) is simply given by the equation

$$I = \frac{p^2}{\rho v} \quad (9.2)$$

where p is the pressure (in root-mean-square or RMS value), ρ the density, and v the speed of sound. This equation is only valid in a free field.

Often sound power, pressure, and intensity are expressed in dB, which means relative to a reference value. Common reference values are: $P_{\text{ref}} = 1 \text{ pW}$, $I_{\text{ref}} = 1 \text{ pW/m}^2$, and p_{ref} (threshold of hearing) about $20 \text{ }\mu\text{Pa}$.

For example for the intensity at 2 m away from a sound source of $P = 1 \text{ mW}$ at the ground, the surface area of the hemisphere is about 25 m^2 (half of $4\pi r^2$), so the intensity in dB amounts $10 \log(I/I_{\text{ref}}) = 76 \text{ dB}$.

In the free field pressure and intensity levels are numerically the same (when using the same references), but in practice there is a difference between these two levels. Most sensors do not measure sound intensity, but sound pressure only. Note that for a sine wave (a pure sound tone), the RMS pressure is $\frac{1}{\sqrt{2}}$ times the pressure amplitude, similar to the case of electrical signals.

9.1.2 Sound propagation speed

In general the propagation speed of sound in a material is given by the equation

$$v_a = \sqrt{\frac{c}{\rho}} \quad (9.3)$$

where c is the stiffness (modulus of elasticity) and ρ the specific mass of that material. For ideal gases the expression for the speed of sound is:

$$v_a = \sqrt{\frac{c_p}{c_v} \cdot \frac{p}{\rho}} = \sqrt{\frac{c_p R}{c_v M} \cdot \Theta} \quad (9.4)$$

where Θ is the absolute temperature, R the gas constant, and M the molecular mass. Substitution of numerical values for air yields:

$$v_a = 331.4(1 + 1.83 \cdot 10^{-3} \vartheta) \text{ m/s} \quad (9.5)$$

with ϑ the temperature in $^{\circ}\text{C}$. Hence, as a rule of thumb, the temperature coefficient of v_a is about 2% per 10 K. The influence of air humidity is relatively small and is only relevant when high accuracy is required.

The speed of sound is roughly 10^6 times lower than the speed of light. Hence ToF is easier to measure, but consequently the measurement time is longer. Moreover the much larger wavelength of sound waves compared to light waves makes it more difficult to manipulate with sound beams (e.g., focusing or the creation of a narrow beam).

Acoustic velocity differs from particle velocity u . The latter follows from Euler's equation for the acceleration of a fluid:

$$a = -\frac{1}{\rho} \nabla p \rightarrow \frac{du}{dt} = -\frac{1}{\rho} \frac{dp}{dx} \rightarrow u = -\frac{1}{\rho} \int \frac{dp}{dx} dt \quad (9.6)$$

for the particle velocity in the x -direction.

9.1.3 Acoustic damping

An acoustic wave is attenuated by molecular absorption of sound energy and by scattering: the wave gradually loses energy when propagating. For a plane wave Beer's law applies:

$$P_o = P_i e^{-\alpha \Delta x} \quad (9.7)$$

P_i is the acoustic power at a certain place x in space, P_o is the power at a place Δx farther in the direction of propagation. The attenuation or damping coefficient α comprises two effects: absorption loss and scattering loss. In solids the first effect is proportional to frequency, and the second proportional to the square of the frequency. In gases the second term dominates, hence $\alpha = a \cdot f^2$: the attenuation of the wave increases with squared frequency. Acoustic damping also depends on gas composition. For air it means that acoustic attenuation is affected by the air humidity. Table 9.1 shows some values of damping in dry and humid air.

Table 9.1 Attenuation coefficients for air [1]

Medium	Frequency (Hz)	α (dB/m)
Dry air	10^6	160
10% RH	10^5	18
90% RH	10^5	42

Table 9.2 Acoustic properties of some materials

Medium	Density (kg/m^3)	Velocity (m/s)	Impedance ($\text{kg m}^{-2} \text{s}^{-1}$)
Air	1.3	330	0.4×10^3
Water	10^3	1.5×10^3	1.5×10^6
PVDF	1.8×10^3	2.2×10^3	2.5×10^6
Perspex	1.2×10^3	2.7×10^3	3.2×10^6
Quartz	2.6×10^3	5.7×10^3	1.5×10^7
PZT (ceramic)	7.5×10^3	3.8×10^3	3×10^7
Stainless steel	5.8×10^3	3.3×10^3	4.7×10^7

9.1.4 Acoustic impedance

The acoustic impedance is defined as the ratio between the (sinusoidal) acoustic pressure wave p and the particle velocity u in that wave. For a sound wave that propagates only in one direction, the acoustic impedance Z is found to be:

$$Z = \frac{p}{u} = \sqrt{\rho \cdot c} = v_a \cdot \rho \tag{9.8}$$

Table 9.2 displays typical values for various materials. Clearly air has a very low acoustic impedance; liquids and solids are much “harder” materials.

The acoustic impedance is an important parameter with respect to the transfer of acoustic energy between two media. Similar to light waves which show reflection and refraction on the interface of two media with different optical properties (i.e., refractive index, dielectric constant, and conductivity), sound waves are also reflected at the interface of two media with different acoustic properties. When a sound wave arrives at such a boundary, part of the acoustic energy is reflected back and the remaining part enters the other medium. The amount of reflected or transmitted energy can be expressed in terms of the acoustic impedances. For sound waves Snell’s law applies to calculate the direction and amplitude of the refracted sound. In the special case of a wave perpendicular to the boundary plane, the ratio between reflected and incident power (the reflection ratio) is given by

$$R = \left(\frac{Z_1 - Z_2}{Z_1 + Z_2} \right)^2 \tag{9.9}$$

Z_1 is the acoustic impedance of the medium of the incident wave, Z_2 that of the medium behind the boundary plane. Obviously there is no reflection (hence total transmission) if both media have equal acoustic impedance. On the other hand when the impedances show a strong difference, the power transmission is small.

This has two important consequences for the S/N in ToF measurements where emitter and receiver are both facing the reflecting surface. First an airborne sound wave that arrives at a solid object reflects in the same way as light on a mirror. Waves with an oblique angle of incidence reflect away from the direction of the emitter, so the reflected sound does only partially arrive at the receiver which is usually placed very near the emitter. Secondly, transmission from an acoustic “soft” material to an acoustic “hard” material is poor. This applies in particular for acoustic sensors in air applications because of the wide gap between impedances of air and most sensor materials. Since many systems consist of a source and a detector, the power loss occurs twice, resulting in a low S/N ratio in acoustic sensing systems.

9.2 Acoustic sensors

9.2.1 General properties

Commonly used acoustic sensors belong to one of the following types:

- Piezoelectric
- Electrostatic
- Electromagnetic and
- Magnetostrictive.

The first two types enjoy great popularity in various ultrasonic applications (frequencies above 20 kHz). The transduction effects are reversible, which means that an acoustic transducer might be used as a source (transmitter) as well as a sensor (receiver). ToF systems may have both a transmitter and a receiver, or only one transducer alternately in use as a transmitter and a receiver.

We will now derive an approximated expression for the spatial distribution of the acoustic power emitted by an ultrasonic transducer. A common model for a transducer, used for this purpose, is the plane piston model: a circular disc vibrating in thickness mode (Fig. 9.1).

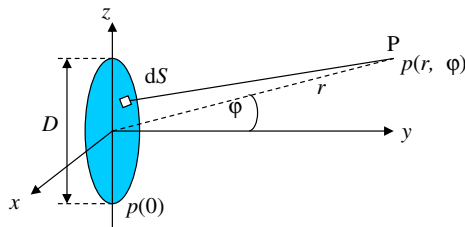


Figure 9.1 Calculation of the acoustic field for a piston type emitter.

Each element dS of the disc surface acts as a point source for acoustic waves. The sound intensity in any point P in the hemisphere around the piston can be calculated by summing all contributions to the pressure variations in that point from each of the point sources dS . At places where the sound waves are (partly) out of phase, the sound intensity is low; at places where the waves are in-phase, the sound intensity is high. This results in an interference pattern of acoustic waves in front of the transducer: the acoustic pressure appears to have peaks and lows in particular directions and at particular distances from the transmitter.

For simplicity we will consider two special cases: The pressure along the main axis of the transducer ($\varphi = 0$), and the pressure at a distance r far from the center, for arbitrary angle φ .

The acoustic pressure along the main or acoustic axis $p(x)$ is given by [2]

$$p(x) = 2p(0)\sin\left\{\frac{\pi}{\lambda}\left[\sqrt{\frac{D^2}{4} + x^2} - x\right]\right\} \quad (9.10)$$

where $p(0)$ is the amplitude of the acoustic pressure on the plate surface ($x = 0$) and x the distance from the plate on the main axis. This is just the result of integrating the contributions to the pressure from all surface patches dS . Fig. 9.2 shows a

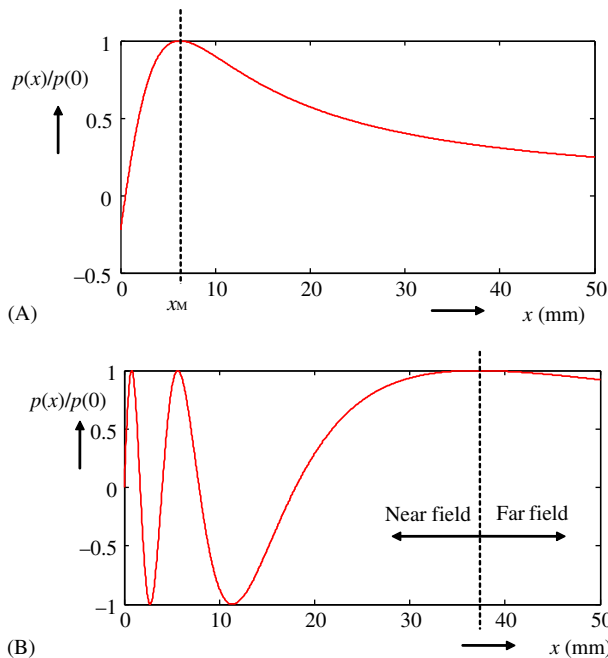


Figure 9.2 Axial pressure distribution for $D = 15$ mm and wavelength (A) 8 and (B) 1.5 mm, respectively.

picture of the relative pressure along the acoustic axis for two cases: $D = 15$ mm, $\lambda = 8$ mm and $D = 15$ mm, $\lambda = 1.5$ mm. Close to the emitter the pressure shows strong pressure variations with distance. The place of the last maximum, at distance x_M from the plate, is taken as the boundary between the *near field* (or Fresnel zone) and the *far field* (or Fraunhofer zone) of the transducer.

Extreme values of $p(x)$ in Eq. (9.10) occur for

$$x_{\text{extr}} = \frac{1}{2} \left\{ \frac{D^2}{4\lambda(k + \frac{1}{2})} - \lambda(k + \frac{1}{2}) \right\} k = 0, \pm 1, \pm 2, \dots \quad (9.11)$$

The maximum for the largest value of x is found for $k = 0$ and amounts:

$$x_M = \frac{D^2 - \lambda^2}{4\lambda} \quad (9.12)$$

At frequencies for which the wavelength is small compared with the dimension of the transmitter, the near field covers a range which is roughly $D^2/4\lambda$. The typical application example of Fig. 9.2A with $D = 15$ mm, $\lambda = 8$ mm (40 kHz in air) shows that the near field ranges about 6 mm only. It means that in most mechatronic applications (in particular ToF measurements in air) we only need to consider the propagation in the far field.

An important sensor characteristic is the *directivity diagram*, representing the sound pattern in the far field. For a circular piston this directivity diagram is rotationally symmetric and can be represented in a plane. Imagine a sphere (or circle) with radius r around the center of the transmitter. The pressure distribution over this sphere can be described by the expression [2, p. 78]

$$p(r, \varphi) = 2p(r)J_1\left(\frac{\pi D}{\lambda} \sin \varphi\right) \left(\frac{\pi D}{\lambda} \sin \varphi\right)^{-1} \quad (9.13)$$

where $p(r)$ is the pressure at distance r , λ the wavelength of the sound signal, D the plate diameter, and $J_1(\pi D \sin \varphi/\lambda)$ is an order-1 Bessel function. The diagram consists of several “lobes,” a main lobe and side lobes. The width of the main lobe follows from Eq. (9.13). An approximated value for the *half angle* φ_h (or *angle of divergence* or the *cone angle*) of the main lobe is given by [1, p. 73]

$$\sin \varphi \approx 1.22 \cdot \frac{\lambda}{D} \quad (9.14)$$

Apparently the ratio D/λ determines the direction selectivity of the transducer: A narrow beam is achieved by a large ratio ($D \gg \lambda$). The theoretical directivity diagram derived by Eq. (9.13) is just a very rough approximation, since practical transducers do not behave as a piston. Fig. 9.3 gives the directivity diagram of two real transducers, a piezoelectric and an electrostatic transducer (see Section 9.2).

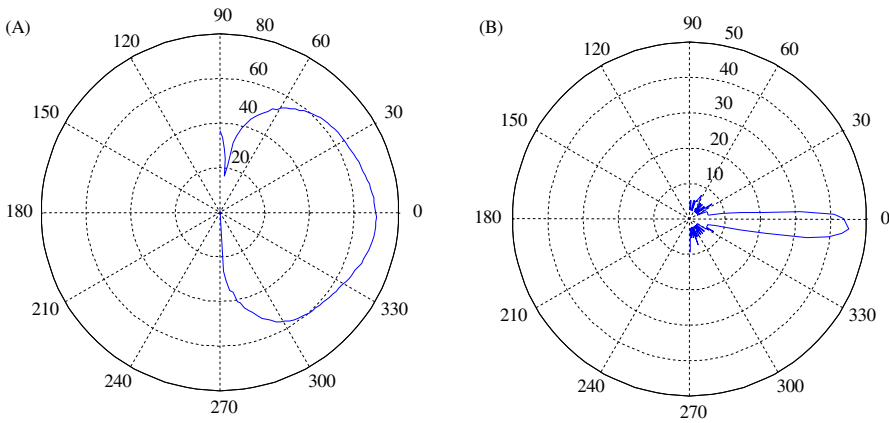


Figure 9.3 Directivity plots of (A) a wide beam and (B) a narrow beam transducer.

The smaller the size of the transducer (characterized by the diameter D), or the larger the wavelength λ , the more it behaves as a point source. For a narrow beam (required for optimal S/N ratio), the transducer should be large compared to the wavelength. This means, to obtain narrow beams, we have to choose either a large transducer (which might be impractical in many applications) or a high frequency (resulting in increased damping and therefore a reduced range).

Since actual transducers may have shapes that differ from the circular piston model, the pressure field patterns have much more complicated shapes; see, e.g., Ref. [3]. By special design the beam angle can be made smaller, resulting in so-called focused probes [2, Chapter 5].

We will now resume the operation principle and properties of two types of acoustic sensors most encountered in mechatronics: the electrostatic and the piezoelectric transducers.

9.2.2 Electrostatic transducers

An electrostatic (or capacitive) ultrasonic transducer consists of two flat conductive plates, one fixed to the housing, the other movable relative to the fixed plate, together constituting a flat-plate capacitor (Fig. 9.4A). For this construction the equations $V = Q/C$ and $C = \epsilon_0 \epsilon_r A/d$ apply (see Chapter 5: Capacitive sensors).

In the *receiver mode* the moving plate is charged with a more or less constant charge, which is realized by connecting the plate via a resistor to a rather high DC voltage of some hundred volts. Sound waves (moving air particles) bring the plate into motion. Due to the constant charge and the changing plate distance, the voltage across the plates varies in accordance with the sound wave. To obtain a high sensitivity the plate is made very thin and flexible, resulting in an enhanced acoustic coupling to the air (see Section 9.1.4 on acoustic impedance). Obviously the

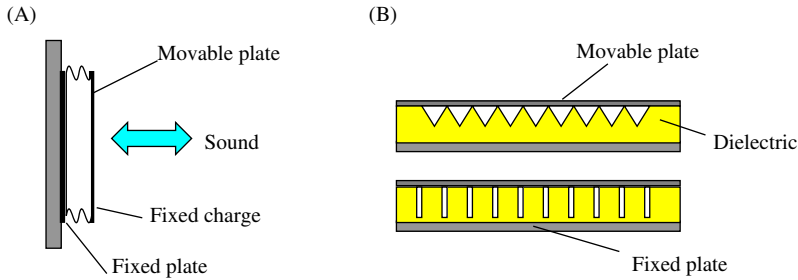


Figure 9.4 Electrostatic transducer: (A) principle and (B) fixtures of movable plate.

sensitivity is also proportional to the DC voltage on the movable plate. The necessity of an external high voltage is the major drawback of the electrostatic transducer.

An alternative to such an external voltage is the electret version in which a charge is permanently stored in a sheet of a suitable piezoelectric material, making up the fixed plate of the capacitor. Such electret (or electret condenser) microphones for air-coupled applications operate mainly in the audible range (20–20 kHz).

In the *transmitter mode* an AC voltage is put on the movable plate of the capacitor. A voltage difference V between the plates results in a force equal to

$$F = \epsilon S \frac{V^2}{d^2} \quad (9.15)$$

with S the surface area of the plates and d the gap distance. Since this electrostatic force (explaining the name of this type of transducer) is proportional to the square of the voltage, it is always contractive, driving the movable plate toward the fixed plate until it is in equilibrium with the counterforce of the elastic bond. Should the plate move linearly proportional to an applied AC voltage (to generate the proper sound waves), the capacitor should be biased with a DC voltage higher than the amplitude of the AC voltage. Eq. (9.15) also shows that the sensitivity of the emitter increases with decreasing initial plate distance.

To make a robust transducer with small initial gap and a thin movable plate (membrane), the latter is sustained not only at its edges, but over a larger part of the surface (Fig. 9.4B), accomplished by, e.g., a grooved plate or other isolating structures like a grid or a net [4]. Obviously the membrane only vibrates at places where it is free to move. This multimode vibration explains the large deviations in the directivity diagrams of real electrostatic transducers as compared to those given in Fig. 9.3. Moreover the layout of the structure strongly determines the frequency characteristics of the transducer [5]. On the other hand it allows designers of electrostatic transducers to optimize transducer characteristics for particular applications.

Like many other sensors ultrasound transducers can also be manufactured using silicon technology. The membrane in such devices is made from silicon by

anisotropic etching, similar to the piezoresistive pressure sensors discussed in Chapter 4, Resistive sensors. It acts as the movable (vibrating) electrode of a capacitor-like structure [6,7]. An important advantage of this technology is the ability to make large numbers of membranes on a single chip. Silicon membrane sensors have a smaller bandwidth, due to (multimode) resonance frequencies of the mechanical structure. The output power and sensitivity are substantially less than the macro devices, because of the much smaller dimensions and deflections of the micro membranes.

9.2.3 Piezoelectric transducers

A piezoelectric acoustic transducer consists of a piece of piezoelectric material (Chapter 8: Piezoelectricity), configured as a flat-plate capacitor (Fig. 9.5). An AC voltage applied to the crystal causes the material to vibrate, resulting in the generation of acoustic energy. Conversely when the crystal is deformed by incident sound waves, a piezoelectric voltage is induced. Hence the transducer might be used in transmitter mode and in receiver mode, similar to the electrostatic transducer but without the need of a biasing DC voltage.

Irrespective of the application the transfer of sound energy from transducer to medium or vice versa is crucial for the S/N ratio of the measurement signal. As explained in Section 9.1.4 the transfer is governed by the acoustic impedances of the materials involved. Obviously since a piezoelectric ceramic material has a high acoustic impedance compared to air, the efficiency of power transfer to air is extremely poor. Also for a liquid or solid medium, power loss can be considerable too. In case of a solid, air voids between the transducer and the solid significantly lowers the transmission efficiency, a problem often encountered in acoustic non-destructive testing (NDT) of objects. In such applications a coupling gel between the transducer and the (solid) object under test is essential.

There are many ways to improve the transmission of sound from the transducer to its acoustic environment. However, efficiency is not the only characteristic of interest: Bandwidth and beam shape are equally important parameters. Optimization is often a compromise between these parameters. Advanced models of the acoustic arrangement, based on transmission lines, are required to find an optimal solution for a particular application.

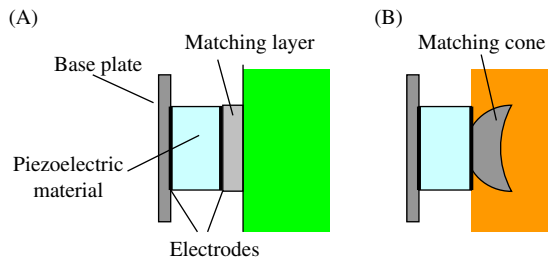


Figure 9.5 Piezoelectric transducer for ultrasound applications (A) in liquid and (B) in air.

Basically there are two major approaches: matching layers and shaping. The first is usually applied for liquid and solid contacts (Fig. 9.5A). Two essential parameters of such a layer are the acoustic impedance and the thickness. The acoustic impedance of the matching layer should have a value between that of both materials at either side of this layer. According to very simple models, the optimum value is the harmonic mean of these two values, but in practice other values appear to be more effective [2, Chapter 4]. The thickness of the plate should be equal to a quarter of the sound wavelength, to minimize the reflection at the front side of the matching layer, in favor of the transmitted waves. Having found the optimum impedance value, the next problem is finding a material having that particular impedance. Matching layer design is still the subject of continuous research, including the search for suitable materials, the use of multiple matching layers and backing layers, extension of the frequency range and manufacturing issues—e.g., the influence of the glue between the layers [8] and the use of silicon-compatible technologies.

To overcome the enormous mismatch in airborne applications, the transmission efficiency is improved by placing a precisely shaped piece of an acoustically soft material on the front side of the ceramic element, e.g., a tiny horn as shown in Fig. 9.5B. A horn or cone also affects the frequency range as well as the radiation field of the transducer [1, p. 102]. Most commercial low-cost piezoelectric transducers are provided with such a horn.

Poled PVDF has a relatively low acoustic impedance (see Table 9.2), making it an attractive material for ultrasound applications. The flexibility of the material allows operation in bending mode, whereas the ceramic sensors usually operate in thickness mode [9,10]. Other piezoelectric materials are being studied for their suitability as acoustic transducer, e.g., porous films with artificially electric dipoles [11].

Piezoelectric transducers operate best at resonance: The high stiffness of ceramic materials results in a narrow bandwidth (in other words, a high mechanical Q -factor). The resonance frequency is determined by the dimensions of the crystal, and to a lesser extent by the matching elements. Popular frequencies are 40 and 200 kHz. Many acoustic detection systems use tone burst signals. A typical response of a piezoelectric transducer to a burst signal at the resonance frequency is shown in Fig. 9.6. Apparently the output burst shows strong distortion at the edges, due the narrow bandwidth of the device. This has important consequences for the determination of the ToF measurement, as will be explained in Section 9.3.

9.2.4 Arrays

As shown in Section 9.2.1 the beam width of a sound emitter is determined by its lateral dimensions and the frequency of the emitted sound signal. With a properly designed (linear) array of transducers a much narrower beam can be obtained, using spatial interference of the individual acoustic signals (Fig. 9.7).

For points in the hemisphere where the travelled distances differ a multiple of the wavelength, the waves add up (e.g., in P). In other points, as in Q , the waves

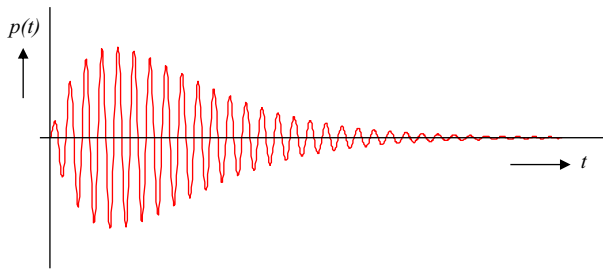


Figure 9.6 Typical response of a PE transducer to an input burst signal.

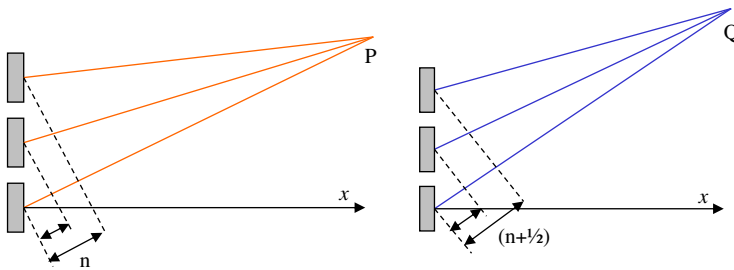


Figure 9.7 Beam narrowing and steering by phased arrays.

(partly) cancel. Moreover the direction of this beam can be controlled over a limited range (± 30 degrees) with the phase difference of the applied electrical signals. Similarly the sensitivity angle of an acoustic transducer operating in receiver mode can be narrowed using an array of such receivers. At the receiving side the individual outputs are added after a properly chosen time delay. By controlling the delay times the main sensitivity axis can be varied over a limited angle. The application of this general principle to acoustic transducers has already been described in earlier literature [12–15]. Due to improved technology phased arrays are gaining interest for acoustic ranging and imaging [16–19]. They eliminate the need for a mechanical (hence slow) scanning mechanism but have a limited angular scanning range. In general at an increasing deflection angle the amplitude of the side lobes (Fig. 9.3) increases as well, deteriorating the beam quality.

9.3 Measurement methods

The majority of acoustic distance measurement systems are based on the ToF method. A measurement based on distance-dependent attenuation (as with light) is rather susceptible to environmental influences and the absorption properties of the objects involved. In most acoustic ToF systems the elapsed time between the excitation of a sound pulse and the arrival of its echo is measured (Fig. 9.8). Since the

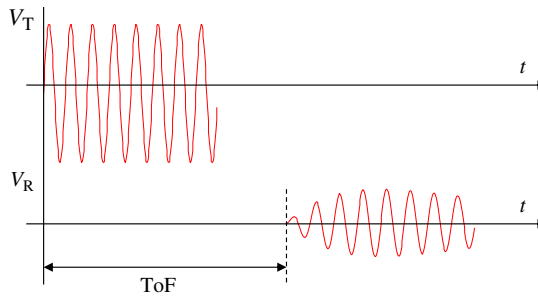


Figure 9.8 Distance determination based on ToF.

transduction effects are reversible, the transducer can be switched from transmitter to receiver mode, within one measurement. The travelled distance x follows directly from the ToF t , using the relation $v_a = x/t$ (for direct travel) or $v_a = 2x/t$ for echo systems (where the sound wave travels twice the distance).

The sound signal can be of any shape. Most popular are the burst (a number of periods of a sine wave), a continuous wave with constant frequency (CW), and an FM-modulated sine wave (FMCW or “chirp”). We discuss the major characteristics of these three types. Pulses and bandwidth limited Gaussian noise are other possibilities.

9.3.1 Burst

The tone burst is the most common signal type used for acoustic distance measurements in air, in particular for applications where high accuracy is not required (e.g., ranging, navigation, obstacle avoidance). A transmitter emits a short burst, a few up to about 10 periods of a sine wave, in the direction of an object. The sound reflects (in accordance with the reflection properties of the object’s surface and its orientation, as discussed in [Section 9.1](#)), travels back and is received by the same or a second transducer, which detects the moment of arrival.

Due to various causes the accuracy of the ToF measurement—and so the distance measurement—is limited. First there is noise of electrical and acoustic origin, which becomes important at larger distances, when the echo signal is low due to divergence of the sound waves. Next, spurious reflections can also mask the arrival time of the main echo. A further cause of inaccuracy is the (unknown) orientation of the reflecting surface relative to the main axis of the transducers. Finally the narrow bandwidth of a piezoelectric transducer makes the edges of the burst less pronounced, obstructing an accurate detection of the starting point of the pulse. Some but not all of these causes are typical for the burst method.

In case of an individual transmitter and receiver with spacing a ([Fig. 9.9A](#)) the measured distance x' is:

$$x' = \sqrt{\frac{a^2}{4} + x^2} \quad (9.16)$$

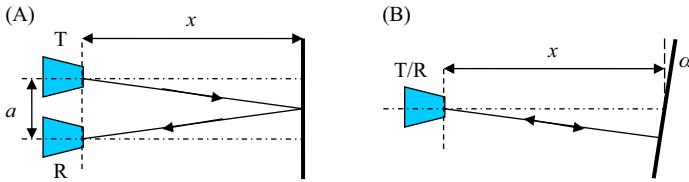


Figure 9.9 ToF inaccuracies due to (A) separate transmitter and receiver and (B) tilted reflecting surface.

from which follows a relative error equal to

$$\frac{\Delta x}{x} \approx \frac{1}{8} \frac{a^2}{x^2} \quad (9.17)$$

So, for a distance x 3.5 times the space between T and R, the relative error is 1% only, which is acceptable in most practical situations.

A tilted reflecting surface (Fig. 9.9B) introduces a typical cosine error of $-\alpha^2/2$, with α in radians: Only waves normal to the surface will return to the receiver. As an example, a 1% error occurs when the tilt angle is about 8 degrees. Note, however, that the sound intensity is reduced according to the directivity. When in this numerical example the half-angles of both R and T are also 8 degrees, the intensity of the echo has dropped by a factor of four.

When a single transducer is used, switching from transmitter to receiver mode is only possible after the complete burst has been transmitted. This limits the minimum detectable distance: there is a dead band or dead zone, equal to half the number of wavelengths (because sound is travelling twice the distance). Shortening the pulse duration reduces this dead band, but also the sound energy, and hence the S/N ratio is reduced.

The response of a piezoelectric transducer on a burst (Fig. 9.6) shows a rather large rise time due to the high quality factor of this transducer type. Actually this effect occurs twice: First at the transmitter and a second time at the receiver. The starting point of the echo is then easily obscured by noise.

The most common detection method for the echo burst is a threshold applied to the receiver signal. The ToF is set by the first time the echo exceeds the threshold. The threshold must be well above the noise level, to prevent noise being interpreted as the incoming echo. On the other hand when the threshold is too high, one or more periods of the received signal can be missed, as shown in Fig. 9.10. At 40 kHz this results in an error of (a multiple of) about 8 mm, which is the penalty for this simple signal processing scheme.

Many methods have been published over the past years, all aiming at a higher accuracy of the burst ToF measurement, despite noise and other interfering effects such as temperature changes and air turbulence. One such approach is to use the complete echo signal and not just the starting point to determine the ToF, e.g., by

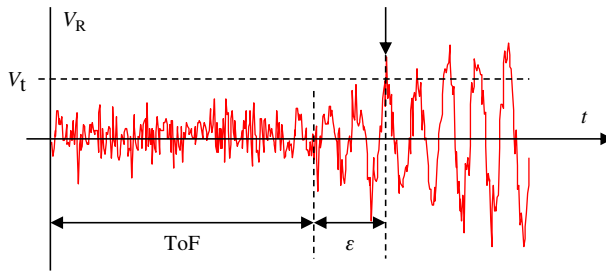


Figure 9.10 Noise may introduce large errors in the measured ToF.

autocorrelation or cross correlation [20,21]. These techniques yield typical errors below 1 mm, depending on the S/N ratio and the travelled distance but need longer processing time. Another approach is based on knowledge about the shape of the echo's envelope, which is determined by the transducer's transfer function [22]. Alternatively instead of the starting point of the echo signal, where the S/N ratio is low, the arrival time of a point around the maximum amplitude could be chosen as a measure for the ToF. With this method the typical distance error can be less than 0.1 mm [23]. Other researchers use the phase information comprised in the echo signal, the typical shape of the envelope, or just more advanced signal processing techniques [24–28]. Noise as the input signal is studied in Ref. [29]. A comparison of various detection methods is presented in Ref. [30].

9.3.2 Continuous sine wave (CW)

The average output power of a burst is relatively small, and may result in a poor S/N ratio, in particular at increased distances. In this respect the emission of a continuous acoustic signal is better. Distance information is obtained from the phase difference between the transmitted and the received waves. This method has two main drawbacks. First emission and detection cannot share the same transducer, hence two transducers are required, making the system larger and more expensive. It also introduces crosstalk because sound waves propagate through the construction directly from the transmitter to the receiver giving signals that may be larger than the echo itself. Second the unambiguous measurement range is only one period of the sound wave. The resolution, however, can be very high: For instance, 1-degree phase resolution and 7 mm wavelength corresponds with a distance resolution of 19.4 μm . Further there is continuous information about the measured distance. So for distance control over a short range, the CW method is preferred over the burst method [31].

The unambiguous range can easily be enlarged by amplitude modulation (Fig. 9.11A). Suppose the transmitted signal is an AM signal described by the general expression:

$$V_T = A(1 + m \cos \omega_s t) \cos \omega_c t \quad (9.18)$$

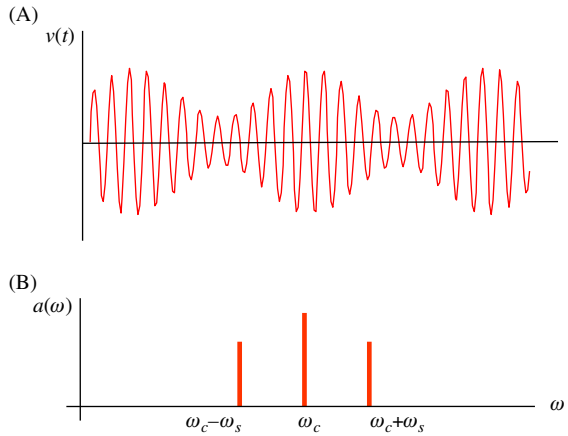


Figure 9.11 AM-signal: (A) time domain and (B) frequency spectrum.

where ω_c is the carrier frequency, ω_s the modulation frequency, and $\omega_s \ll \omega_c$. When using piezoelectric transducers the carrier frequency should equal the resonance frequency. The spectrum of this modulated signal contains the components ω_c , $\omega_c + \omega_s$ and $\omega_c - \omega_s$ (Fig. 9.11B).

All components should fall within the bandwidth of the transducer, even when using a piezoelectric type. It means that the period time of the “envelope” is much larger than the wavelength of the carrier. The received wave, delayed over the ToF τ , is written as

$$V_R = A \{ (1 + m \cos \omega_s(t - \tau)) \cos \omega_c(t - \tau) \} \quad (9.19)$$

The phase difference of the carrier is $\varphi_c = \omega_c \tau$, that of the envelope $\varphi_s = \omega_s \tau$. So for a ToF corresponding to one period (2π) of the envelope, the carrier has shifted over ω_c/ω_s as many periods. Obviously the unambiguous range is increased by a factor of ω_c/ω_s when using the phase of the envelope signal (to be reconstructed by, for instance, a rectifying circuit). The method has the advantage of the continuous mode, but with enlarged range. Here, also, the accuracy in the ToF measurement is limited by the resolution of the phase measurement and the uncertainty in sound velocity, according to Eq. (9.1). In Ref. [32] the carrier and modulation frequencies are 40 kHz and 150 Hz, respectively, corresponding to a low-frequency period of about 2 m. Using a digital phase detection circuit, an accuracy of 2 mm over a 1.5 m range was obtained.

9.3.3 Frequency-modulated continuous waves (FMCW)

The unambiguous range of the continuous mode can be increased by frequency modulation of the sound wave. Fig. 9.12 shows the transmitted and the received signals (sometimes called a “chirp,” referring to the whistling of some birds).

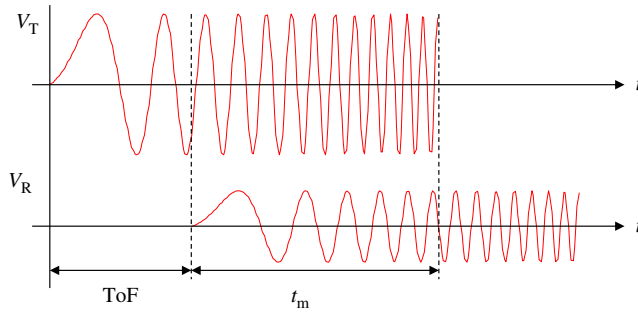


Figure 9.12 FMCW; during t_m the frequency difference is proportional to the ToF.

Assume the frequency varies linearly with time, starting from a lowest value f_L , and increasing with a rate k (Hz/s): $f(t) = f_L + k \cdot t$. Due to the time delay of the reflected wave, its frequency in the same time frame is $f(t) = f_L + k \cdot (t - \tau)$. At any moment within the time period t_m where the signals occur simultaneously, the frequency difference between the transmitted and the received wave equals:

$$\Delta f = f_L + k \cdot t - \{f_L + k(t - \tau)\} = k \cdot \tau \quad (9.20)$$

with τ the ToF. The distance to the reflecting surface follows from

$$x = \frac{1}{2} v_a \tau = \frac{v_a}{2k} \cdot \Delta f \quad (9.21)$$

Apparently this distance is directly proportional to the frequency difference and unambiguous over a time t_m as illustrated in Fig. 9.12. The CWFM method combines the advantages of the first two methods: The transmitted signal is continuous (favorable for the S/N ratio, uninterrupted distance information) and the range is larger (determined by the frequency sweep). Disadvantages over the burst method are the more complex interfacing and the fact that only wide-band transducers can be applied since the frequency varies. Further since transmission is essentially continuous, two transducers are required for simultaneous transmitting and receiving.

Important parameters of a CWFM system are the sweep length, the start and stop frequencies, and the sweep rate k (in Hz/s). The sweep length should correspond to the largest distance to be measured, to guarantee sufficient time overlap between the transmitted and reflected waves. The start and stop frequencies are set by the characteristics of the transducer. Electrostatic transducers for low-cost applications have a rather limited frequency range, typically from 50 to 100 kHz, so just one octave (a factor of 2). Usually the size of an electrostatic transducer in this frequency range is larger than that of a piezoelectric transducer. This may be a disadvantage from the viewpoint of construction; however, as has been shown in Section 9.2.1, the half angle is much smaller, contributing to a better S/N ratio of the echo signal.

According to Eq. (9.21) the distance measurement requires the determination of the frequency difference between transmitted and received signals. Usually this is done by some correlation procedure, performed in the digital signal domain (see, i.e., Refs. [33,34]). This has the advantage of noise reduction (depending on the type of filtering), but the disadvantage of the need to digitize and sample both signals. However, the procedure is essentially based on the multiplication of both signals, followed by some filtering. The basic goniometric relation of Eq. (9.22) is used:

$$\cos \alpha \cdot \cos \beta = \frac{1}{2} \{ \cos(\alpha + \beta) + \cos(\alpha - \beta) \} \quad (9.22)$$

So multiplying two sine waves results in a signal containing sum and difference frequencies. Now let the transmitted FM signal be:

$$x_T(t) = A \cos\{2\pi(f_L + k \cdot t)t\} \quad (9.23)$$

The reflected wave signal delayed over a time τ becomes:

$$x_R(t) = B \cos[2\pi\{f_L + k(t - \tau)\}(t - \tau)] \quad (9.24)$$

The product of these two signals contains components with sum and difference frequencies. Since we are only interested in the frequency difference, the much higher frequencies are filtered out and what remains is of the form

$$\begin{aligned} AB \cos 2\pi[(f_L + k t)t - \{f_L + k(t - \tau)\}(t - \tau)] \\ = C \cos 2\pi\{2k t \tau + f_L \tau - k \tau^2\} \\ = C \cos 2\pi\{2\Delta f t + \varphi(\tau)\} \end{aligned} \quad (9.25)$$

This clearly shows that multiplication produces a signal containing the frequency difference. Obviously for an accurate determination of this frequency all other components due to the multiplication procedure as well as noise should be sufficiently suppressed by some method of filtering. A treatment of the various signal processing algorithms goes beyond the scope of this book. To get an impression of a possible output Fig. 9.13 presents the result of a ToF measurement based on CWFM with matched filtering, applied to the signal reflected from a flat surface at 1 m distance. The horizontal time scale corresponds to the delay time (or ToF). In Fig. 9.13A the full scale is just the cycle period (100 ms). At around 0.006 s a pronounced maximum is noticeable. In the zoomed version Fig. 9.13B this peak appears to occur at 5.95 ms, corresponding with a distance of 1.02 m (at a measured sound frequency of 343 m/s). This figure also shows that the resolution of the measurement is limited: due to noise it may happen that an adjacent peak has a larger amplitude, introducing an error in the ToF determination.

Whether burst mode or continuous mode should be applied strongly depends on the requirements and the application. In general the burst method is simple to

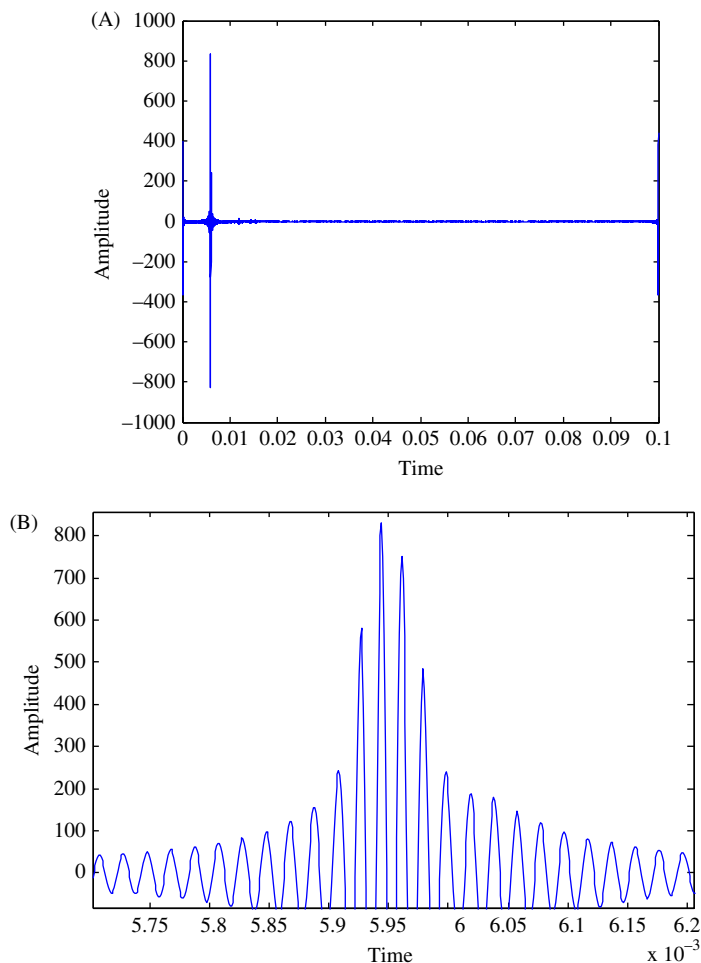


Figure 9.13 Example of a CWFM received signal, after being processed with a matched filter: (A) time scale equal to the sweep period and (B) zoomed in at the peak value. [From laboratory experiments by the author and students.]

realize but is discontinuous and noise sensitive. CWFM is less sensitive to noise but requires more complicated interfacing and signal processing [35,36]. Generally speaking the CWFM method outperforms the burst method in terms of range and noise immunity.

9.3.4 Other signal types

Common to all methods considered thus far is the accurate determination of the time delay of an acoustic wave. Apart from the three signals discussed, many other signal types could be used to measure the ToF. Assuming the total transfer from

transmitter, acoustic medium and receiver is linear (which is the case in the majority of the applications considered here), any signal containing some time-discriminating parameter will do.

A short, needle-like pulse is one of these. However, the bandwidth of most transducers is too small for handling such signals, and moreover, for air applications the energy content is too low. Gaussian noise is another one. The autocorrelation of wide-band Gaussian noise is a narrow peak. When such a noise signal is applied to the transmitter, cross correlation with the received signal results in a narrow peak in the correlogram at a position equal to the ToF. Limitations of this method are mainly of a practical nature: the noise signal and the transducers are always band limited, while sampling, AD conversion, and the finite measurement time further limit the resolution and accuracy of the digitally processed signals.

Another approach is phase shift or frequency shift keying. The signal is a (sinusoidal) carrier modulated in phase or frequency by a binary-coded signal. Such signals are now widely used in telecommunication, but may also play a role in acoustic distance sensing. An example of an application of binary phase shift keying signals for acoustic distance measurement in air is given in Ref. [37].

9.4 Applications

The versatility of ultrasound sensing is widely recognized. Ultrasonic sensors are extensively used in the processing industry for a variety of parameters [38], but they can also be found in numerous other fields, such as transportation, medical diagnostics, material research, and so on. The focus of this chapter is on the application in mechatronics, for a variety of tasks, with distance as the basic measurement quantity. These tasks comprise movement control in production machines, obstacle avoidance in traffic, navigation of automated guided vehicles (AGVs), object recognition and inspection, and many more. Acoustic sensors are cheap, small, and easily mountable on or in a mechatronic construction. When moderate distance accuracy is required, the interfacing can be kept simple. All measurements are based on the ToF principle as outlined in the previous section. We review two main tasks: Navigation and inspection.

9.4.1 Navigation

9.4.1.1 General

Acoustic transducers are suitable for short-range navigation in structured or unstructured (unknown) environments. Application examples are mobile robots (e.g., path finding and collision avoidance), aids for visually impaired people, and localization of tools in a work space. Common to these applications is the extraction of 2D or 3D information about the relative position of persons and objects in a mostly unconditioned environment. The easiest task is *collision avoidance*, because the demands with respect to accuracy and speed are not severe. Depending on further

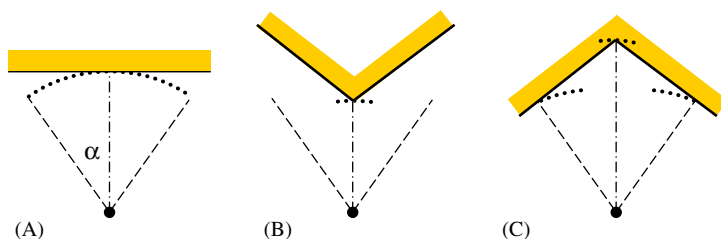


Figure 9.14 Sonar maps from a scanning echo location system.

requirements various options can be considered. A simple anticollision system for robots and other vehicles like cars and wheelchairs consists of a number of fixed transducers at the front or around the circumference of the vehicle. The method works well if the reflecting obstacle has sufficiently large dimensions (at least a few wavelengths of the sound signal) and is oriented perpendicularly to the main axis of the transducer and in the absence of multiple echoes.

When the reflecting surface is not perpendicularly to the main axis of the transducer, an error is introduced (compare Fig. 9.9). As a consequence the range data obtained by an acoustic scanning system show the typical behavior as seen in the sonar maps in Fig. 9.14. Simulations of such errors are extensively discussed in literature [39].

In Fig. 9.14 the transmitter/receiver combination rotates over an angle α with respect to the surface normal, and the dots represent measurement points. Range data are only available when the echo signal exceeds the detection threshold. Assume that this happens only when the reflected beam falls within the half angle of the receiver (an arbitrary choice because the threshold level may be adjusted to any other value). In Fig. 9.14A range data lie on an arc spanning twice the half angle of the transducers. In case of a rather sharp edge as in Fig. 9.14B, the acoustic wave reflects away from the receiver, so no echo is received. In practice, however, such an edge gives an echo regardless, but only over a small scanning angle. In Fig. 9.14C the received echo of a wave transmitted into the direction of the edge is mainly the result of multiple reflections in that edge. As can be seen in Figs. 9.14B and C even a small rotation angle results in a rather large distance error. Obviously in these cases the detectivity depends greatly on the angle between the two surfaces.

Erroneous distance data can be avoided by narrowing down the beam angle of the transmitter and receiver, but this is at the expense of lost data points (dead angles).

Similar to the optical system the ultrasonic counterpart may be disturbed too by spurious signals from other sources or unwanted reflections. In a natural, unconditioned environment, spurious echoes are unavoidable.

When such echoes interfere with the main echo, phase information is easily corrupted, even when the amplitude of the unwanted echo is small. Systems using ToF determination by thresholding detection are rather insensitive to this phenomenon:

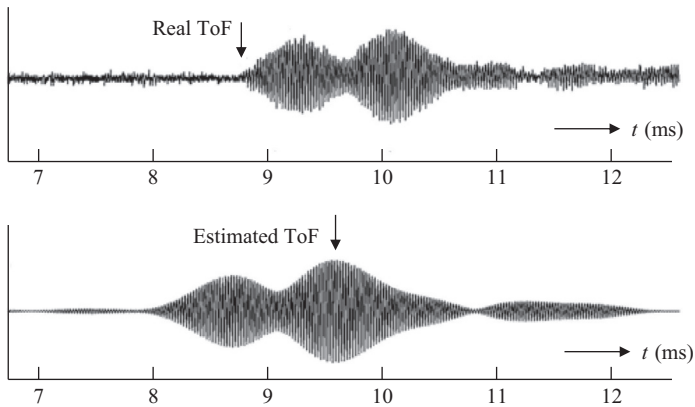


Figure 9.15 Interfering echo, causing a peak time shift error [40].

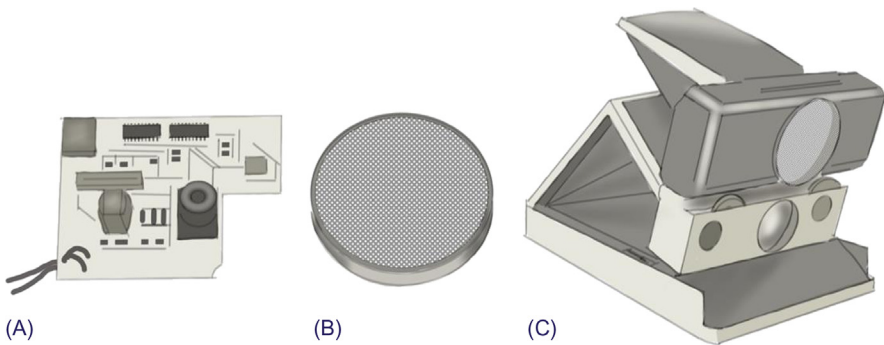


Figure 9.16 (A) Control board for (B) electrostatic range finder used for (C) the autofocus of an instant camera.

Time windowing may suppress most of the unwanted echoes since the direct wave arrives first in time. In systems based on envelope detection such spurious echoes may introduce large ToF errors, as shown in Fig. 9.15. More advanced detection methods are required to overcome this problem [40,41].

The performance of acoustic echo location in air is further determined by the power and frequency of the emitted pulse. Typical working distances of low-cost anticollision systems range from tens of centimeters (limited by the dead zone) to a few meters.

Ultrasonic distance sensors are also widely used in consumer products, from the sometimes inaccurately named parking “radar” systems to distance measurement in photo cameras. Polaroid introduced the ultrasonic ranging sensor (P6500) in 1981 (<http://polaroid.com/history>) for its autofocus camera model, shown in Fig. 9.16. This particular sensor also found its use in robotics, as obstacle sensor in early mobile rovers or walking machines.

The system in this camera used electrostatic transducers, which were rather complicate to interface to other systems (Section 9.2.2). Current designs of ultrasonic range finders have a similar range (up to several meters) but use piezotransducers which can be used at lower voltages. Integrated modules are available, either with a single transducer which needs to switch from transmission to receiving mode after transmitting a “burst” of sonic pulses or versions with two transducers; usually a matched transmitter–receiver pair.

9.4.1.2 Navigation for mobile robots

Ultrasonic rangefinding is an excellent technique for the exploration of an unknown environment, to find the position and orientation of a robot in a known environment and to navigate. There is an overwhelming number of papers on this topic, dealing with many kinds of system concepts and signal processing algorithms. Most of these concepts are applied for autonomous navigation without beacons. Navigation with acoustic beacons is a low-cost alternative for the optical beacon systems discussed in Chapter 7, Optical Sensors.

Important design consideration is that the whole environment (e.g., walls, obstacles and persons) fall in the field-of-view of the sensing system, so not only in the direction of movement but also sideways and backwards. Three approaches can be followed:

1. Many transducers fixed all around the robot
2. A rotatable T/R combination on top of the robot
3. Fixed transducers while using the robot itself as scanner

An example of the first approach is presented in Ref. [42], in which an angular accuracy of less than 1 degree using eight transducers is reported. An obvious advantage of a (mechanical) scanning system is the need for just one or a very few pair of transducers; the scanning, however, is slow. A further point of consideration is the directivity of the transducers: A high lateral resolution over a wide viewing angle (possibly up to 360 degrees) requires a larger number of transducers with high directionality; the same coverage can be achieved with fewer transducers but with reduced lateral resolution.

Due to the relatively large wavelength of ultrasonic sound in air, the lateral resolution is rather low. This complicates the detection of small-sized objects or to distinguish between closely spaced objects, which is important in applications like a floor-cleaning robot [43] or navigation systems for blind persons. Enhancement of the lateral resolution without greatly increasing the number of transducers or their directivity (which is limited anyway) can be achieved by more advanced signal processing, e.g., potential fields [44] (applied for wheel chair navigation) and a one-transmitter three-receiver configuration [45]. A well-known method is the convolution of the echo signals with the transmitted signals. This may potentially improve both the lateral and the radial resolutions of an echo-location system, as is shown in Fig. 9.17. This figure shows the outcome of an experiment with two coffee cups

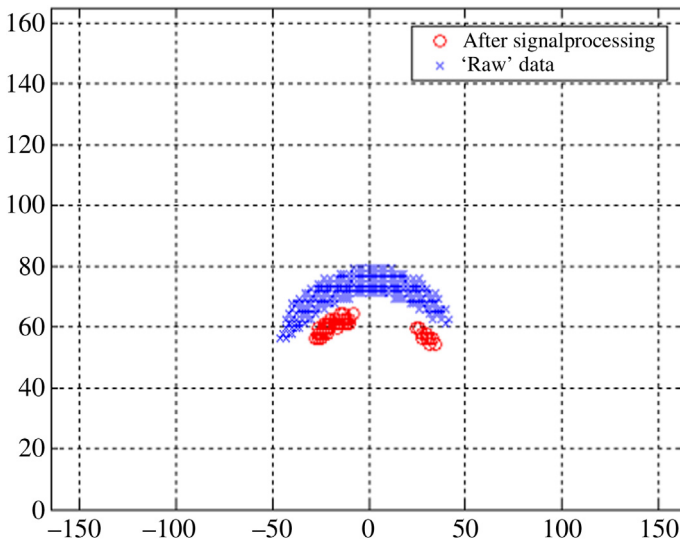


Figure 9.17 Resolution improved by convolution; scales in cm. From laboratory experiments by the author and students.

placed on a flat table; the rotating piezoelectric transducers are positioned in the origin. Due to the wide beam angle the two cups are seen as one object. By deconvolution (using the known pulse response of the transducers) they can be distinguished separately.

Another approach to handling multiple objects is presented in Ref. [46] where the information from the echo amplitude is also used to construct a sonar map.

Navigation of vehicles or robots can be accomplished by a totally different approach based on beacons. Such beacons can be transmitting, receiving, or passive. In case of receiving beacons the robot generates continuous or intermittent signals all around. From the signals received by the beacons the distance to the robot can be derived. With known beacon positions the position of the robot is easily derived (Fig. 9.18). In general a minimum of three beacons is required to determine unambiguously the robot's 2D position in an open space.

The reverse also works: Transmitting beacons send out unique signals, picked up by a robot equipped with an omnidirectional receiver. From these signals an on-board robot computer determines its distance from the beacons and subsequently its 2D position. A combination of these two possibilities is applied in an accurate docking system for AGVs [47].

In a system with passive beacons the robot transmits and receives signals, reflected by the beacons. Concerning the beacons this is by far the most simple and flexible concept. They need no power supply and the only requirements are an accurately known position and sufficient reflectivity for the signals. A disadvantage is a higher demand on environmental conditions, to prevent spurious reflections.

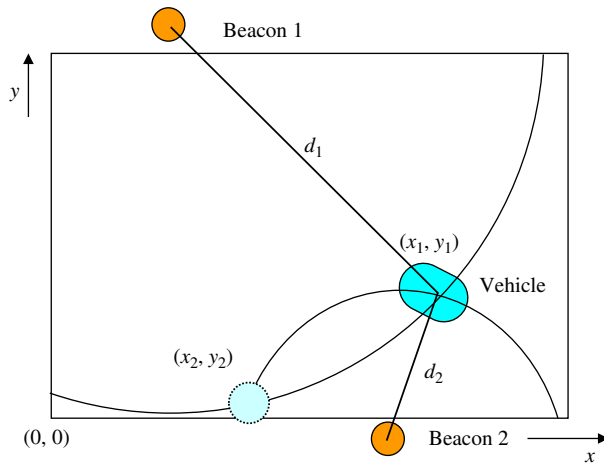


Figure 9.18 Navigation with beacons; unambiguous position determination requires at least three beacons. In this example the vehicle's position is on one of the two possible intersections of two circles with radius equal to the measured distances from the two beacons.

9.4.1.3 Navigation tool for visually impaired persons

This particular application of ultrasound sensing has attracted increased interest over the last few decades. Researchers have developed various concepts based on ultrasound ranging, like electronic dogs, canes, belts, and hats, all comprising some acoustic ranging device [48–51]. Fixing the acoustic transducers somewhere on the body leaves the hands free; attaching the transducers on a person's head allows exploration of the environment in a more natural way. The detection area of the transducers may also be adapted to the immediate need and situation [52].

For this application it is important to not only avoid obstacles, but also to characterize or identify objects in the person's immediate environment. The FMCW method yields such information [53], but the number of classes is very limited.

The technical problems to overcome are similar to those encountered in robot navigation. A particular challenge is the demand for safe operation outdoors, or in a noisy, heavily unstructured, and unconditioned environment. A completely different approach to many of these systems is still in the experimental phase, but there is noticeable progress in performance. With the availability of cheap cameras (webcams) nowadays, navigation tools are being developed based on a combination of acoustic ranging and vision.

9.4.1.4 Localization of tools

When a moving object is provided with a sound source, its position and orientation can be determined by using a set of acoustic sensors located at fixed points in the working space, similar to the beacon concept discussed earlier. In this way, the

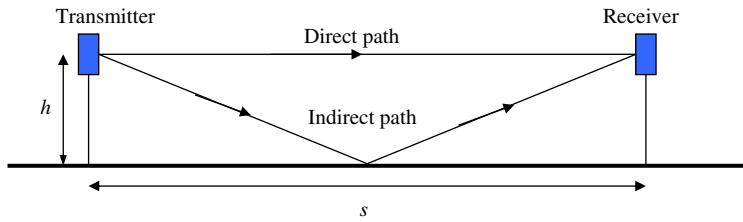


Figure 9.19 Ground floor echoes.

(3D) position of the *end-effector* [54] of a robot or its orientation with respect to a reference plane [55] can be determined. A different approach, presented in Ref. [56], combines a 2D vision system (fixed above the manipulator) and an acoustic distance sensor, together enabling 3D positioning of the robot gripper with respect to the object. Another example is the localization of surgical tools [57], where a position accuracy of $40\text{ }\mu\text{m}$ is reported.

In all these applications, special care should be taken to secondary echoes from floor, walls, and other objects in the surrounding tools. They may cause phase errors and a shift in the envelope top of the received signal (see Fig. 9.15), of which the consequences have already been discussed. Fig. 9.19 illustrates a situation where reflections via the ground floor may cause interference errors.

Assume the sound frequency is 40 kHz, corresponding with a wavelength of 8 mm. When the path difference equals an odd number of half a wavelength (i.e., at 4 mm, 12 mm, and so on) destructive interference of the received signals occurs. On the other hand at multiples of a full wavelength path difference (i.e., 8 mm, 16 mm, and so on) constructive interference takes place. The differences are rather common in many practical situations. Since the amplitudes of the sound waves differ, interference is only partial, to an extent that depends on the angles with respect to the acoustical axis of the transducers and on the reflectivity of the surfaces. Serious ToF errors occur at smaller distances and in the vicinity of many reflecting objects.

9.4.2 Inspection

9.4.2.1 General

Compared to 3D vision the technique of acoustic ranging suffers from a much lower spatial resolution. Conversely an acoustic ToF measurement system can be implemented in a cost-effective way, provides depth information and is less susceptible to environmental conditions (except for temperature variations, see Section 9.1). This explains why ultrasound ranging techniques for object recognition have been studied since the first decade of industrial robotics; see, e.g., Ref. [58], presenting a system based on ToF in the z -direction and spatial scanning using an x - y table, and [59] (2D angular scanning). We discuss three particular approaches: Acoustic imaging in air as an alternative for imaging by vision, NDT

and inspection by touch using ultrasound-based tactile sensors. A series of examples for process monitoring using ultrasound concludes this chapter.

9.4.2.2 *Acoustic imaging*

The goal of any imaging system is the acquisition of an electronic picture from which information about an object's size and shape can be inferred, preferably including as many details as feasible. In an adverse environment in particular, acoustic imaging could be a good alternative for the well-known optical imaging with cameras. Since the spatial resolution of an acoustic distance measurement is relatively low, some method of scanning is required to obtain detailed shape information. The performance of an ultrasonic recognition system is limited by the nature of sound waves, the properties of the transducers, the quality of the scanning system, the number of transducers, and the signal processing. In general the acquired image data are sparse and not accurate, which complicates recognition. However, in many applications the resolution requirements are not severe.

Scanning can be performed in various ways. Either the objects move relative to fixed transducers, or the transducers are moving relative to a fixed object. In a robotics environment a robot can perform the scanning, thereby making use of the many d.o.f.s of the manipulator to perform 3D scanning all around the object [60]. The encoders in the joints provide the orientation of the scanning range finder. A height map is obtained by Cartesian scanning in a plane above the scene. Sideways scanning enables the reconstruction of the circumference of an object, from the position and orientation of the flat or curved sides [61–63]. Several processing algorithms have been proposed to reconstruct the object shape from the obtained data or to classify the objects, including digital filtering, holographic algorithms, and artificial neural networks [64–66].

In all these examples mechanical scanning is used. The recognition of objects from a fixed sensory system has been studied as well. Usually such systems comprise an array of transducers with relatively large spacing [67]. For an accurate characterization of large objects (3–10 m), a set of transmitters/receivers is positioned around the object in such a way that together they cover the whole area of interest. An accuracy analysis of such an approach can be found in Ref. [68]. One of the difficulties in such a system is to distinguish between multiple objects and between various shapes returning similar echo patterns. These problems are solved using a set of transmitters and/or receivers at known relative positions and proper signal processing.

For the classification of objects with known shape, the “signature method” can be applied [62,69,70]. In this approach no attempt is made to reconstruct the object shape from the range data. Instead the system is taught to associate each object class with a characteristic echo pattern. A typical problem to be overcome in this approach is to obtain image data that are position and orientation invariant. On the other hand different but similarly shaped objects may result in echo patterns that are also similar. Correct classification on the basis of the echo patterns then requires special processing techniques, as described, e.g., in Ref. [71]. For objects with

clearly distinguishable echo patterns the method is quick, requires simple hardware and software and is cost-effective.

9.4.2.3 *NDT and material properties*

Sound waves reflect at the boundary of two materials with different acoustic impedances. This property allows the measurement of many geometrical parameters of an object, just by applying sound waves to the device under test and the analysis of the waves reflecting at existing boundaries. One of the applications is the detection and localization of defects (e.g., voids and flaws) in a solid, even when invisible at the outside. The detection of small defects requires waves with a correspondingly short wavelength and therefore a much higher frequency compared to applications in air, typically in the MHz range. To a certain extent the size and shape of defects can also be reconstructed from the echo pattern [72–74].

Another application area is the measurement of layer thickness; the acoustic technique is used in a wide spectrum of materials and products, ranging from (steel) plates [75] to layers of paint [76] and in a human tooth [77]. To achieve high accuracy advanced signal processing is required. As an example in Ref. [78] the thickness of a multilayered structure is measured with an accuracy less than 10 μm for the individual layers. With the touch sensor in Ref. [79], thickness and hardness are simultaneously measured by a combination of acoustic and capacitive sensing elements.

Where acoustic damping (see Section 9.1.3) is an unwelcome effect in most of the applications discussed so far, this property of sound can be used to identify, monitor, or determine particular properties of a material. It is a suitable method in cases where the relation between the unknown parameter and the damping constant is known, e.g., by an acknowledged model or by calibration. Again there are numerous examples to illustrate this technique. We give just one example: The measurement of bubbles in liquids [80]. Both the acoustic attenuation and the speed of sound are related to the volume ratio of the two phases. By measuring these parameters over a relevant frequency range, various liquid properties can be deduced or evaluated.

9.4.2.4 *Production and process control*

The next list is an illustration of the versatility of acoustic sensing as an aid in production and process control; the references given are only examples of the many scientific papers published on these topics over the last decades.

- Vibration measurements (example: a vibrating cantilever, phase detection of an 80 kHz sound wave [81])
- Seam tracking (example: short-range scanning with a MHz piezoelectric transducer with focusing surface [82])
- Respiratory air flow (example: two CW waves from piezoelectric transducers, with slightly different frequencies [83])

- Liquid level (example: in bottles, 220 kHz piezoelectric sensors, burst mode [84]; as an acoustic resonator [85])
- Go/no-go decision on product quality (example: quality of foundry pieces; signature imaging and Artificial Neural Network (ANN) for identification [86]; defect detection in food can production line: same method, according to Fig. 1.4 [87])
- Air bubbles volume in liquids (example: PVDF-based T/R system, burst mode at 2 MHz bursts [88])
- Speed of sound measurement (example: acoustic resonance determination by a piezoelectric (ceramic) tube [89])
- Automotive (example: active suspension control; ToF measurement of backside vehicle to ground, 40 kHz burst mode [90])
- Body movement (example: head movement relative to a computer screen, using three transmitters on the head and three receivers near the screen [91])
- Paper roughness (using the roughness-dependent reflection of ultrasound [92])

The number of applications where a ToF measurement can be used is almost unlimited, and the reader is referred to the vast amount of literature in books and journals on NDT for further examples and inspiration.

9.4.2.5 Tactile sensors

Tactile sensors are used either to extract shape information on the basis of touch or to provide haptic feedback to the operator of manipulators as for instance in surgery. A tactile sensor consists of a matrix of force-sensitive elements (taxels). The tactile force is transformed into a deformation of some elastic material, and this deformation is measured using some displacement measuring method. So a tactile sensor provides a pressure or deformation image of the object with which it is brought into contact. General design aspects of tactile sensors have been considered in Section 4.4.3 on resistive sensors, where the aspect of taxel selection was also discussed. In this section we focus on ultrasonic measurement of the deformation, which is mostly based on the ToF method. Evidently it requires an acoustic transmitter–receiver combination for each taxel and a means for selecting the individual taxels. Usually a piezoelectric material is used, allowing small dimensions of the taxels. PVDF has several advantages over ceramic materials: it is available in sheets, it is a flexible material (matching well with the shape of robot grippers and fingers) and it has a favorable acoustic impedance (Section 9.1.4).

One of the first sound-based tactile matrix sensors is described in Ref. [93]. Fig. 9.20 shows the basic structure. The elastic top layer of this 3×4 matrix is responsible for the force-deformation transfer. Clearly the echo time changes proportionally to the compression of the layer and hence the applied force. The spatial

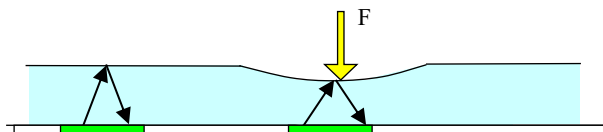


Figure 9.20 Basic construction of an ultrasound ToF tactile sensor (after [93]).

resolution is about 1 mm. The ToF, determined by the layer thickness and the acoustic velocity, amounts to about 5 μ s.

A similar construction, but with the elastic layer in between transmitter and receiver, is proposed in Ref. [94]. A completely different approach is described in Ref. [95]. The basic assumption here is that touch is accompanied by ultrasound. A quad PVDF sensor at the bottom of a sphere-shaped touch element enables the detection of this sound as well as the direction and hence the point of touch. The authors expect the sensor also be sensitive to slip. Indeed when an object is slipping this will generate vibrations, and hence sound; this information (as a binary sensor) can be used to control delicate gripping [96]. Another type of tactile sensor, presented in Ref. [97], is able to detect the friction coefficient, basically by measuring the resonance frequencies of an elastic cavity comprising a transmitter–receiver combination.

9.4.3 Interfacing acoustic sensors to embedded systems

The electrostatic and piezoelectric devices can act as transmitter as well as receiver for acoustic signals. Proper interfacing is required to realize these functions. The electronic circuits discussed in Appendix C can be used for this purpose. For embedded systems special modules have been designed to facilitate the interfacing.

The piezoelectric modules depicted in Fig. 9.21 contain the required amplification and filtering electronics for transmitting and receiving pulses. The resonance frequency of these piezotransducers is 40 kHz (Section 9.2.3). Usually the modules also contain a small microcontroller which is programmed to send out a “burst” of a predefined number of pulses, as well as controlling the moment the sensor switches from transmission to reception. In some cases, the microcontroller on the sensor module also processes the signals. This allows direct interfacing to a host microcontroller system by generating an analog voltage or a communication (bus) signal such as logic-level asynchronous serial communication or I2C.

Most (relatively simple) modules contain a digital input (trigger) and output (signal). The host microcontroller system provides a pulse on the trigger input and measures the time it takes for the echoed burst to arrive at the output, thus effectively measuring the signal’s ToF t . The measured distance amounts $l = \frac{1}{2}v \cdot t$, with v the

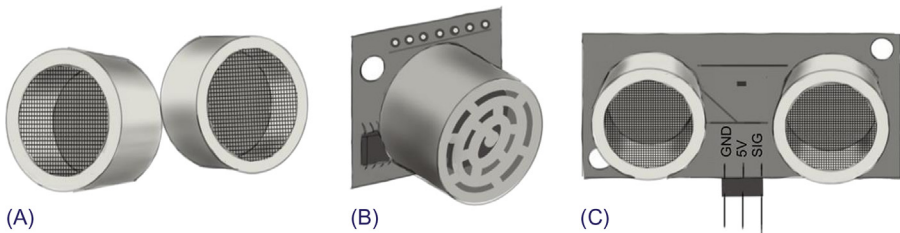


Figure 9.21 (A) Piezoelectric transducers, (B) a single module on a PCB, and (C) a module with a transmitter/receiver pair and interface electronics.

speed of sound ([Section 9.4.1](#)). Sometimes the input and output pin on the module are combined to one pin. In that case the host controller starts by sending a pulse from a pin configured as output to the module, changes the pin to input and listens for the response. It is advisable to specify a time-out (or a window) when listening for responses, specified by the maximum range of the used sensor. Many libraries for interfacing ultrasonic range finders to microcontroller systems exist. Typical resolution of these sensors is in the order of millimeters.

9.4.4 Conclusion

This section on applications clearly demonstrates that the applicability of acoustic (ultrasound) sensors is almost unlimited. The major advantages of acoustic sensing over optical sensing are the lower sensitivity to dirt, smoke, and environmental light; the instantaneously obtained depth information (in ranging); and the ability to sense inside an object (in NDT). Significant disadvantages are the low resolution and the temperature sensitivity (due to the temperature dependence of sound speed). The latter drawback can easily be eliminated by an additional temperature compensation. For very high accuracy one should also consider the effect of humidity on the speed of sound, and possible air turbulence, introducing noise. When designing a mechatronic system it is worthwhile to make an extensive comparison between the two basic sensing concepts: Acoustic versus optical.

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Appendix A: Symbols and notations

Sensors operate at the boundary of two physical domains. Despite international normalization of symbols for quantities and material properties, notations for physical quantities are not unambiguous when considering the various disciplines, which each have their own system of notation. This appendix offers a brief review of quantities in the electrical, thermal, mechanical and optical domains, together with their symbols and units, as used in this book. Further, where useful, relations between quantities are listed as well.

A.1 The electrical domain

A sensor produces an electrical output. [Table A.1](#) displays the most important energetic quantities used in the electrical domain. The table also shows magnetic quantities, since they are closely connected to electrical quantities. Relations between these variables are discussed in Chapter 6, Inductive and magnetic sensors.

[Table A.2](#) shows the major properties for the electrical domain.

Conductivity is the inverse of resistivity, and conductance the inverse of resistance. The electric permittivity ε is the product of the permittivity of free space (vacuum) ε_0 and the relative permittivity (or dielectric constant) ε_r . Similarly, the magnetic permeability μ is the product of the magnetic permeability of vacuum μ_0 and the relative permeability μ_r . Numerical values of ε_0 and μ_0 are:

$$\begin{aligned}\varepsilon_0 &= (8.85416 \pm 0.00003) \times 10^{-12} (\text{F/m}) \\ \mu_0 &= 4\pi \times 10^{-7} (\text{Vs/Am}).\end{aligned}$$

The relative permittivity and permeability account for the dielectric and magnetic properties of a material.

In the electrical domain, some particular variables apply, associated to properties of (electrical) time-varying signals. They are listed in [Table A.3](#).

The duty cycle is defined as the high–low ratio of one period in a periodic pulse signal. It varies from 0% (whole period low) to 100% (whole period high). A duty cycle of 50% refers to a symmetric square wave signal.

Table A.1 Electrical and magnetic quantities

Quantity	Symbol	Unit
Electric current	I	A (ampere)
Current density	J	A/m ²
Electric charge	Q	C (coulomb) = As
Dielectric displacement	D	C/m ²
Electric field strength	E	V/m
Potential difference	V	W/A
Magnetic flux	Φ	Wb (weber) = J/A
Magnetic induction	B	T (tesla) = Wb/m ²
Magnetic field strength	H	A/m

Table A.2 Electrical properties

Electrical property	Symbol	Unit
Resistivity	ρ	$\Omega \cdot \text{m}$
Resistance	R	Ω (ohm)
Conductivity	σ	S/m = $\Omega^{-1} \text{m}^{-1}$
Conductance	G	S (siemens)
Capacitance	C	F (farad)
Self-inductance	L	H (henry)
Mutual inductance	M	H
Permeability	$\mu = \mu_0 \cdot \mu_r$	H/m
Relative permeability	μ_r	—
Permittivity	$\varepsilon = \varepsilon_0 \cdot \varepsilon_r$	F/m
Dielectric constant	ε_r	—

Table A.3 Signal variables

Signal quantity	Symbol	Unit
Time	t	s (second)
Frequency	f	Hz (hertz) = s ⁻¹
Period	T	s
Phase difference	φ	rad (radian)
Duty cycle	δ	—
Pulse width	τ	s

A.2 The thermal domain

Sensor systems suffer most from unwanted thermal influences. Changes in environmental or local temperature affect the mechanical and electrical performance of mechatronic systems. Therefore we will consider thermal effects when discussing the sensor properties. [Tables A.4 and A.5](#) review the major thermal quantities.

Table A.4 Thermal variables

Energetic quantity	Symbol	Unit
(Thermodynamic) temperature	Θ	K (kelvin)
Quantity of heat	Q_{th}	J (joule)
Heat flow rate	Φ_{th}	W (watt)

Table A.5 Thermal parameters

Thermal property	Symbol	Unit
Thermal expansion coefficient	α	K^{-1}
Heat capacitance	C_{th}	J/K
Specific heat capacity	c	J/kgK
Thermal resistance	R_{th}	K/W
Thermal conductivity	g_{th}	W/mK
Coefficient of heat transfer	h	W/mK

The coefficient of heat transfer accounts for the heat flow across the boundary area of an object whose temperature is different from that of its (fluidic) environment. Its value strongly depends on the flow type along the object and the surface properties.

A.3 The mechanical domain

Quantities in the mechanical domain describe state properties related to distance, force and motion. A possible categorization of these quantities is divided into:

- position or geometric quantities
- force or dynamometric quantities and
- flow quantities

We will briefly resume the first two groups. Flow quantities are not further discussed in this book. The basic position quantities and their units and symbols are listed in [Table A.6](#).

[Table A.7](#) shows the most common force quantities, symbols and units.

Some commonly used properties in the mechanical domain are listed in [Table A.8](#).

The relation between tension T and strain S is given by Hooke's law:

$$T = c \cdot S \quad (\text{A.1})$$

or

$$S = s \cdot T \quad (\text{A.2})$$

Table A.6 Geometric or position quantities

Quantity	Symbol	Unit	Relation
Length	l	m	
Velocity	v	m/s	$v = dx/dt$
Acceleration	a	m/s ²	$a = dv/dt$
Surface area	A	m ²	
Volume	V	m ³	
Angular displacement	φ	rad	
Angular velocity	ω	rad/s	$\omega = d\varphi/dt$

Table A.7 Force quantities

Quantity	Symbol	Unit
Force	F	N
Tension, stress	T	Pa = N/m ²
Shear stress	τ	Pa
Pressure	p	Pa
Moment of force	M	Nm
Power	P	W = J/s
Energy	E	J = Nm

Table A.8 Mechanical properties

Property	Symbol	Unit	Relation
Mass density	ρ	kg/m ³	$M = \rho V$
Mass	M	kg	$F = Ma$
Weight	G	kg	$F = Gg$
Elasticity	c	N/m ²	$T = cS$
Compliance	s	m ² /N	$s = 1/c$

Note that compliancy s is the reciprocal of the elasticity c . A force (tension) results in a deformation (strain): longitudinal forces cause translational deformation; shear forces cause rotational deformation; the angle of rotation is called the shear angle. The mechanical domain has six degrees of freedom (d.o.f.): three for translation and three for rotation. As an example, in [Fig. A.1](#) a longitudinal force in z -direction (left) and a shear force around the x -direction (right) are shown. Note that in this example the force causes a positive strain in z -direction and negative strain in the other two directions. The shear force in this example causes only a shear strain in the yz plane; the shear angle is denoted by γ .

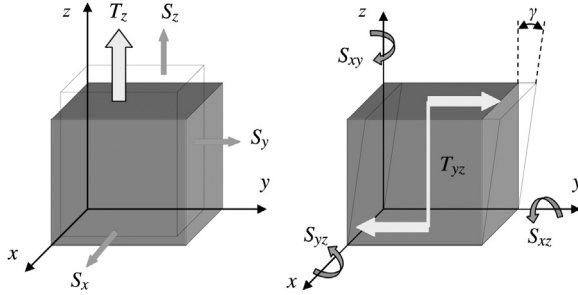


Figure A.1 Six d.o.f. in the mechanical domain.

In general, a longitudinal force in the z -direction, T_z , causes the material to be deformed in three possible directions (x , y , and z), resulting in the strain components S_z (usually the most important), S_x and S_y . A shear force in the z -plane, T_{xy} , can cause the material to twist in three directions, resulting in the three torsion components S_{xy} (usually the most important), S_{xz} and S_{yz} . Sometimes a longitudinal force produces torsion. This can be described by, for instance, $S_{yz} = s_{yzz} \cdot T_z$. In general, the relation between tension and strain is described by

$$S_{ij} = s_{ijkl} \cdot T_{kl} \quad (\text{A.3})$$

or

$$T_{ij} = c_{ijkl} \cdot S_{kl} \quad (\text{A.4})$$

with i, j, k, l equal to x, y , and z . Note that for notation symmetry, longitudinal tension T_i ($i = x, y, z$) is written as T_{ii} . To simplify the notation the tensor indices $ijkl$ are substituted by engineering indices 1–6, according to the next scheme:

$$\begin{array}{ll} xx \rightarrow 1 & yz = zy \rightarrow 4 \\ yy \rightarrow 2 & xz = zx \rightarrow 5 \\ zz \rightarrow 3 & xy = yx \rightarrow 6 \end{array}$$

So, Hooke's law becomes:

$$S_\lambda = s_{\lambda\mu} \cdot T_\mu \quad (\text{A.5})$$

or

$$T_\mu = c_{\mu\lambda} \cdot S_\lambda \quad (\text{A.6})$$

Table A.9 Matrix elements of compliancy s for SiO_2 and Si; unit $10^{-12} \text{ m}^2/\text{N}$

SiO_2^a				Si			
s_{11}	12.77	s_{33}	9,6	s_{11}	7.68	s_{44}	12.56
s_{12}	−1.79	s_{44}	20.04	s_{12}	−2.14		
s_{13}	−1.22	s_{66}	29.12				
s_{14}	4.50						

^a SiO_2 is piezoelectric: numerical values are at zero voltage (s^E), see Chapter 8.

with $\lambda, \mu = 1 \dots 6$. Apparently this compliance matrix contains 36 elements. Written in full

$$\begin{pmatrix} S_1 \\ S_2 \\ \cdot \\ \cdot \\ \cdot \\ S_6 \end{pmatrix} = \begin{pmatrix} s_{11} & s_{12} & \cdot & \cdot & \cdot & s_{16} \\ s_{21} & s_{22} & \cdot & \cdot & \cdot & s_{26} \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ s_{61} & s_{62} & \cdot & \cdot & \cdot & s_{66} \end{pmatrix} \cdot \begin{pmatrix} T_1 \\ T_2 \\ \cdot \\ \cdot \\ \cdot \\ T_6 \end{pmatrix} \tag{A.7}$$

In most materials many of the matrix elements in Eq. (A.7) are zero, due to symmetry in the material crystal structure. To get an idea of the practical values Table A.9 shows numerical values of the elements of the compliance matrix of quartz (crystalline SiO_2) and of silicon (Si). Note that $s_{ij} = s_{ji}$. Silicon has an almost symmetrical crystal structure, leading to $s_{11} = s_{22} = s_{33}$, $s_{44} = s_{55} = s_{66}$ and $s_{12} = s_{13}$. The remaining elements in the matrix are zero. Obviously quartz has a less symmetrical structure, as can be deduced from the many different element values.

A.4 The optical domain

A.4.1 Optical quantities

In the optical domain two groups of quantities are distinguished: radiometric and photometric quantities. The radiometric quantities are valid for the whole electromagnetic spectrum. Photometric quantities are only valid within the visible part of the spectrum ($0.35 < \lambda < 0.77 \text{ }\mu\text{m}$). They are related to the mean, standardized sensitivity of the human eye and are applied in photometry. Table A.10 shows the major radiometric and corresponding photometric quantities. Some of them will be explained in more detail.

The *radiant intensity* I (W/sr) is the emitted radiant power of a point source per unit of solid angle. For a source consisting of a flat radiant surface the emitted power is expressed in terms of W/m^2 , called the *radiant emittance* E_s . It includes

Table A.10 Radiometric and photometric quantities

	Radiometric quantities			Photometric quantities	
	Quantity	Symbol	Unit	Quantity	Unit
Power	Radiant flux	$P = dU/dt$	W	Luminous flux	lumen (lm)
Energy	Radiant energy	U	J	Luminous energy	lm.s
Emitting power per Unit area	Radiant emittance	$E_s = dP_s/dS$	W/m ²	Luminous emittance	lm/m ² = lux
Incident power per Unit area	Irradiance	$E_d = dP_d/dS$	W/m ²	Illuminance	lm/m ² = lux
Radiant power per Solid angle	Radiant intensity	$I = dP/d\Omega$	W/sr	Luminous intensity	lm/sr = candela (cd)
Power per solid angle Per unit of projected Surface area	Radiance	$L = dI/dS_p$	W/m ² .sr	Luminance, brightness	lm/m ² .sr = cd/m ²

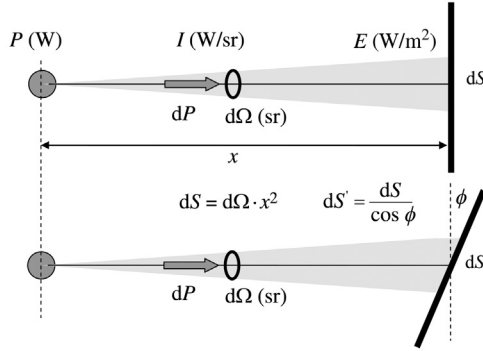


Figure A.2 Relations between various optical quantities in Table A.10. dS is the projected area.

the total emitted energy in all directions. The quantity *radiance* also accounts for the direction of the emitted light: it is the emitted power per unit area, per unit of solid angle (W/m² sr).

A flat surface can receive radiant energy from its environment, from all directions. The amount of incident power E_d is expressed in terms of W/m² and is called the *irradiance*.

In radiometry the unit of (emitting or receiving) surface area is often expressed in terms of ‘projected area’. A surface with (real) area S that is irradiated from a direction perpendicular to its surface receives power equal to $E_d \cdot S$ (W). When that surface is rotated over an angle ϕ , relative to the direction of radiation, it receives only an amount of radiant power equal to $E_d \cdot S \cdot \cos \phi$ (Fig. A.2). To make these radiometric quantities independent of the viewing direction, some authors express them in terms of projected area instead of real area, thus avoiding the $\cos \phi$ in the expressions.

In Table A.10 the *radiance* L of a surface is defined following this idea: it is the emitted radiant energy in a certain direction, per solid angle (to account for the directivity) and per unit of projected area, that is per unit area when the emitting surface is projected into that direction. These quantities are used for deriving formulas for sensors that are based on reflecting surfaces (Chapter 7: Optical sensors).

All units have their counterpart in the photometric domain (right-hand side of the table). However, we will not use them further in this book. The radiometric quantities in the table do not account for the frequency or wavelength dependence. All of them can be expressed also in spectral units, e.g., the spectral irradiance $E_d(\lambda)$, which is the irradiance per unit of wavelength interval (W/m² μm). The total irradiance over a spectral range from λ_1 to λ_2 then becomes:

$$E_d = \int_{\lambda_1}^{\lambda_2} E_d(\lambda) d\lambda \quad (\text{A.8})$$

Table A.11 Characteristic parameters of optical sensors

Parameter	Symbol	Unit
Output voltage, current	S	V; A
Output power	P_o	W
Input power	P_i	W
Noise power	N	V; A; W
Responsivity	S/P_i	V/W; A/W
Signal-to-noise ratio	S/N	—
Detectivity	$D = (S/N)/P$	1/W
NEP (noise equivalent power)	$NEP = 1/D$	W

To characterize optical sensors special signal parameters are used, related to the noise behavior of these components (Table A.11).

Note that the signals can be expressed in voltage, current or power. Irrespective of this choice, the S/N ratio is a dimensionless quantity. The parameters D and NEP represent the lowest perceivable optical signal. NEP is, in words, equal to the power of sinusoidally modulated monochromatic radiation that produces an RMS signal at the output of an ideal sensor, equal to the noise signal of the real sensor. This means that, when specifying NEP, the modulation frequency, the sensor bandwidth, the temperature and the sensitive surface area should also be specified, as all these parameters are included in the noise specification. To avoid wavelength and detector area dependency in the specifications, the parameters NEP* and D^* are used: the spectral NEP and the spectral D , respectively. Since noise power of a sensor is usually proportional to the sensitive area A and the bandwidth Δf , the noise current and noise voltage are proportional to $\sqrt{(A\Delta f)}$; hence, $NEP^* = NEP/\sqrt{(A\Delta f)}$ and $D^* = \sqrt{(A\Delta f)}/NEP$.

A.4.2 Radiant energy from a unit surface with Lambertian emission

If a surface is a perfect diffuser (its radiance is not a function of angle), then $I = I_0 \cdot \cos \phi$ (Fig. A.3).

This is known as the cosine law of Lambert. Many surfaces scatter the incident light in all directions equally. A surface that satisfies Lambert's law is called Lambertian.

All radiant energy emitted from a surface A with radiant emittance E_s has to pass a hemisphere around that surface (Fig. A.4).

The area of surface element dS on the hemisphere, corresponding with a solid angle $d\Omega$ equals:

$$dS = r^2 d\Omega = r^2 \sin \phi \, d\phi \, d\vartheta \quad (\text{A.9})$$

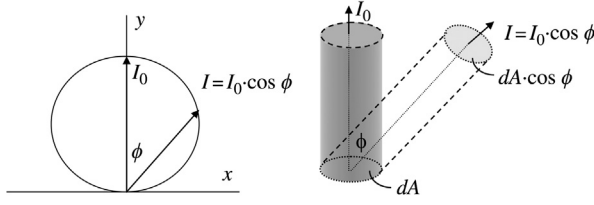


Figure A.3 Cosine law of Lambert.

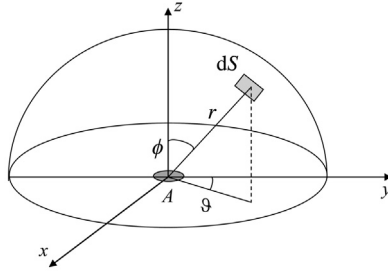


Figure A.4 Derivation of the total radiant energy from a unit surface with Lambertian emission.

where r is the radius of the hemisphere. According to its definition in [Table A.10](#) the radiant emittance from the emitting surface A in the direction of dS is:

$$\frac{dP_s}{dA} = L \cos \phi \, d\Omega \quad (\text{A.10})$$

Since all radiant energy from A passes the hemisphere, the total radiant emittance of A equals the integral of dP_s/dA over the full surface area of the hemisphere. Further, since for a Lambertian surface the radiant emittance is independent of the direction, L is constant. So

$$E_s = \iint_{\text{hemisphere}} L \cos \phi \, d\Omega = \int_0^{2\pi} \int_0^{\pi/2} L \cos \phi \sin \phi \, d\phi \, d\vartheta = \pi L \quad (\text{A.11})$$

or the radiant emittance of a Lambertian surface equals π times the radiance of that surface.

A.4.3 Derivation of relations between intensity and distance

The transfer characteristic of an optical displacement sensor (Chapter 7: Optical sensors) depends strongly on the geometry of the configuration. [Fig. A.5](#) depicts the major parameters involved in the direct mode.

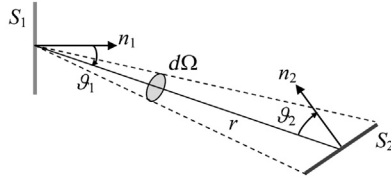


Figure A.5 Parameters in the source-sensor system.

Light energy radiates from the source with emitting surface area S_1 and radiance L_e ($\text{W}/\text{sr m}^2$). The sensor surface area is S_2 , the distance from source to sensor is r . Further, the light beam as received by the sensor makes an angle ϑ_1 with the normal on the emitting surface, and an angle ϑ_2 with the normal on the receiving surface. We calculate the radiant power that arrives at the sensor. Assume the source dimensions being small compared to r . Then the light beam that arrives at the sensor has a solid angle equal to

$$d\Omega = \frac{S_2 \cos \vartheta_2}{r^2} (\text{sr}) \quad (\text{A.12})$$

where $S_2 \cos \vartheta_2$ is the projected surface area of the sensor. The emitter emits $L_e \cdot S_1$ W per steradian; hence, the part ΔP_e of the emitted light falling on the sensor is:

$$\Delta P_e = L_e S_1 \cos \vartheta_1 d\Omega (\text{W}) \quad (\text{A.13})$$

where $S_1 \cos \vartheta_1$ is the projected surface area of the source. By substituting Eq. (A.13) in Eq. (A.12) we find for the radiant power arriving at the sensor:

$$P_o = L_e \frac{S_1 S_2 \cos \vartheta_1 \cos \vartheta_2}{r^2} (\text{W}) \quad (\text{A.14})$$

from which follows that the output signal, indeed, is inversely proportional to the square of the distance.

Next we consider the indirect mode, where we distinguish between two different situations. In the first situation the reflecting target is only partly illuminated by the source; all the light from the source is intercepted by the object. In the second situation the whole object falls within the light cone of the source. Fig. A.6 displays the first situation and defines the various parameters. For a better understanding, the object (target) is presented twice, once as the receiving surface and once as the (secondary) emitting surface.

The target intercepts a light beam that makes an angle ϑ_s with the normal vector n_t on the target's surface. The source can be characterized by an intensity I_s (W/sr)

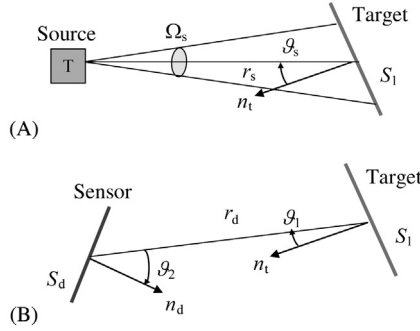


Figure A.6 General configuration of an optical proximity sensor with a flat object (split representation).

and a beam solid angle Ω_s . The surface area S_1 of the light spot on the object satisfies the equation:

$$S_1 \cos \vartheta_s = \Omega_s r_s^2 (\text{m}^2) \quad (\text{A.15})$$

where r_s is the distance between the source and the target. The irradiance of the object (actually the lighted part of it) is:

$$E_1 = \frac{I_s \Omega_s}{S_1} (\text{W/m}^2) \quad (\text{A.16})$$

Since the object surface is assumed to be Lambertian it behaves as a radiant source with radiance $L_1 = E_1/\pi$ (see Eq. (A.11)). Part of the scattered light is received by the detector with sensitive area S_d on a distance r_d from the target. Similar to Eq. (A.12) this sensor receives light over a solid angle Ω_d equal to

$$\Omega_d = \frac{S_d \cos \vartheta_2}{r_d^2} (\text{sr}) \quad (\text{A.17})$$

The radiant power received by the sensor is:

$$P_d = L_1 S_1 \Omega_d \cos \vartheta_1 = \frac{E_1 S_1 \Omega_d \cos \vartheta_1}{\pi} (\text{W}) \quad (\text{A.18})$$

Finally, when substituting Eqs (A.16) and (A.17) into Eq. (A.18) we find for the optical power received by the sensor:

$$P_d = \frac{S_d \cos \vartheta_1 \cos \vartheta_2}{\pi r_d^2} \cdot I_s \Omega_s (\text{W}) \quad (\text{A.19})$$

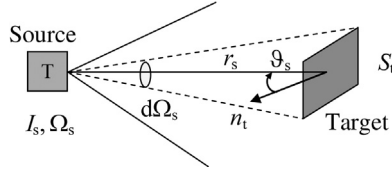


Figure A.7 The target falls completely within the light cone of the source; light reflects from the target to the sensor according to Figure A.6B.

So the sensor output is inversely proportional to the square of the distance to the sensor, as long as the object intercepts the whole light beam. Note that P_d does not depend on the angle ϑ_s , because all the light from the source falls on the object, whose surface is assumed to be Lambertian.

Next we consider the situation where the whole object is illuminated by the source, as can happen at large distances and with small objects (Fig. A.7).

The solid angle of the beam falling on the object is:

$$d\Omega_s = \frac{S_t \cos \vartheta_s}{r_s^2} \text{ (sr)} \quad (\text{A.20})$$

where S_t is the area of the illuminated part of the object. The radiant emittance now becomes:

$$E_1 = \frac{I_s d\Omega_s}{S_t} = \frac{I_s \cos \vartheta_s}{r_s^2} \text{ (W/m}^2\text{)} \quad (\text{A.21})$$

Following the same steps as before, we find for the radiant power received by the sensor:

$$P_d = L_1 S_t \Omega_d \cos \vartheta_1 = \frac{E_1}{\pi} \cdot S_t \cos \vartheta_1 \cdot \frac{S_d \cos \vartheta_2}{r_d^2} = I_s \cdot \frac{S_t S_d \cos \vartheta_1 \cos \vartheta_2 \cos \vartheta_s}{\pi r_s^2 r_d^2} \text{ (W)} \quad (\text{A.22})$$

Clearly the sensor output is inversely proportional to the fourth power of the distance between source/sensor and the target.

Appendix B: Relations between quantities

B.1 Generalized equations

In Chapter 2, Sensor fundamentals, a classification of quantities based on thermodynamics is presented. Here we review the basic equations extended with the magnetic domain.

The first law of thermodynamics is:

$$dQ = \Theta d\sigma \quad (\text{B.1})$$

with Θ is the absolute temperature and $d\sigma$ is the increase in entropy of the system. According to the second law of thermodynamics the energy content of an infinitely small volume of an elastic dielectric material changes by adding or extracting heat dQ (J) and by work dW (J) exerted upon it

$$dU = dQ + dW \text{ (J)} \quad (\text{B.2})$$

The work dW is the sum of the different energy forms involved (here thermal, mechanical, electrical, and magnetic energy), so

$$dU = \Theta d\sigma + Fdx + VdQ + Id\Phi \text{ (J)} \quad (\text{B.3})$$

When the heat is taken per unit of volume, this equation reads:

$$dU = \Theta d\sigma + TdS + EdD + HdB \text{ (J/m}^3\text{)} \quad (\text{B.4})$$

that is the change in internal energy when thermal, mechanical, electrical and magnetic energy is supplied to the system. Note that for entropy we have used the same symbol but the dimension is now J/Km^3 .

Apparently, in this equation only through-variables affect the system. If, on the other hand, only across-variables affect the energy state of the system, the equation for the energy change per unit volume is:

$$dG = -\sigma d\Theta - SdT - DdE - BdH \text{ (J/m}^3\text{)} \quad (\text{B.5})$$

where G is the Gibbs free energy of the system, which can be found from the free energy U by a Legendre transformation.

We can generalize Eq. (B.4) as

$$dU = \sum_i A_i dB_i \text{ (J/m}^3\text{)} \quad (\text{B.6})$$

where A_i and B_i are conjugated pairs of variables. Note that the terms intensive and extensive have lost their original meaning in these equations. From Eq. (B.6) it follows for the parameters A_i

$$A_i = \frac{\partial U}{\partial B_i} \quad (\text{B.7})$$

On the other hand, the system configuration or the material itself couples the conjugate variables of each pair. For instance, in the mechanical domain, T and S are linked by Hooke's law:

$$T = c \cdot S \text{ or } S = s \cdot T \quad (\text{B.8})$$

where c is the elasticity (or stiffness) and s the compliancy.

In general, the intensive variable A_i and the extensive variable B_i within one domain are linked according to

$$A_i = c_i \cdot B_i \text{ or } B_i = s_i \cdot A_i \quad (\text{B.9})$$

where c_i is a (generalized) elasticity and s_i is a (generalized) compliancy. These are the state equations of the system.

From Eqs. (B.3) and (B.4) it follows that the system energy depends on all (generalized) parameters:

$$U = f(A_i, B_i), \quad i = 1 \dots n \quad (\text{B.10})$$

but since A_i and B_i are related by Eq. (B.9) we can also write:

$$U = f(B_i), \quad i = 1 \dots n \quad (\text{B.11})$$

So for small variations in the parameters B_i the variation in energy (as given in Eq. (B.6)) becomes:

$$dU = \frac{\partial U}{\partial B_1} dB_1 + \dots + \frac{\partial U}{\partial B_n} dB_n = \sum_i^n \frac{\partial U}{\partial B_i} dB_i = \sum_i^n A_i dB_i \quad (\text{B.12})$$

Equation (B.9) links parameters within one domain. However, variables in one domain are also linked to variables in another domain, resulting in cross effects, the basis for transducers. So

$$A_i = f(B_j), \quad i, j = 1 \cdots n \quad (\text{B.13})$$

and consequently, assuming linearity (small variations only):

$$dA_i = \frac{\partial A_i}{\partial B_1} dB_1 + \cdots + \frac{\partial A_i}{\partial B_n} dB_n = g_{i1} dB_1 + \cdots + g_{in} dB_n = \sum_{j=1}^n g_{ij} dB_j \quad (\text{B.14})$$

where g_{ij} represents material properties linking domains i and j . Inversely parameter B_j depends on all parameters A_i . So the relations between small variations in parameters A_i and B_j can be described by

$$A_i = g_{ij} \cdot B_j \text{ or } B_j = g_{ji} \cdot BA_i \quad (\text{B.15})$$

The co-efficients g_{ij} and g_{ji} are equal. This can be proven by combining Eqs. (B.14) and (B.7):

$$\frac{\partial A_i}{\partial B_j} = g_{ij} = \frac{\partial^2 U}{\partial B_j \partial B_i} = \frac{\partial^2 U}{\partial B_i \partial B_j} = g_{ji} \quad (\text{B.16})$$

since the order of differentiation can be reversed.

B.2 Application to four domains

The starting point is Eq. (B.5) for the mechanical, electrical, magnetic and thermal domains, which is repeated here in this order:

$$dG = -SdT - DdE - BdH - \sigma d\Theta \text{ (J/m}^3\text{)} \quad (\text{B.17})$$

The through variables can be written as

$$\begin{aligned} S(T, E, H, \Theta) &= - \left(\frac{\partial G}{\partial T} \right)_{E, H, \Theta} \\ D(T, E, H, \Theta) &= - \left(\frac{\partial G}{\partial E} \right)_{T, H, \Theta} \\ B(T, E, H, \Theta) &= - \left(\frac{\partial G}{\partial H} \right)_{T, E, \Theta} \\ \sigma(T, E, H, \Theta) &= - \left(\frac{\partial G}{\partial \Theta} \right)_{T, E, H} \end{aligned} \quad (\text{B.18})$$

Since we consider only small variations, the variables S , D , B , and $\Delta\sigma$ are approximated by linear functions, so

$$\begin{bmatrix} dS(T, E, H, \Theta) \\ dD(T, E, H, \Theta) \\ dB(T, E, H, \Theta) \\ d\sigma(T, E, H, \Theta) \end{bmatrix} = \begin{bmatrix} \left(\frac{\partial S}{\partial T}\right)_{E,H,\Theta} & \left(\frac{\partial S}{\partial E}\right)_{T,H,\Theta} & \left(\frac{\partial S}{\partial H}\right)_{T,E,\Theta} & \left(\frac{\partial S}{\partial \Theta}\right)_{T,E,H} \\ \left(\frac{\partial D}{\partial T}\right)_{E,H,\Theta} & \left(\frac{\partial D}{\partial E}\right)_{T,H,\Theta} & \left(\frac{\partial D}{\partial H}\right)_{T,E,\Theta} & \left(\frac{\partial D}{\partial \Theta}\right)_{T,E,H} \\ \left(\frac{\partial B}{\partial T}\right)_{E,H,\Theta} & \left(\frac{\partial B}{\partial E}\right)_{T,H,\Theta} & \left(\frac{\partial B}{\partial H}\right)_{T,E,\Theta} & \left(\frac{\partial B}{\partial \Theta}\right)_{T,E,H} \\ \left(\frac{\partial \sigma}{\partial T}\right)_{E,H,\Theta} & \left(\frac{\partial \sigma}{\partial E}\right)_{T,H,\Theta} & \left(\frac{\partial \sigma}{\partial H}\right)_{T,E,\Theta} & \left(\frac{\partial \sigma}{\partial \Theta}\right)_{T,E,H} \end{bmatrix} = \begin{bmatrix} dT \\ dE \\ dH \\ d\Theta \end{bmatrix} \quad (\text{B.19})$$

Combining Eqs. (B.18) and (B.19) results in

$$\begin{bmatrix} dS \\ dD \\ dB \\ d\sigma \end{bmatrix} = \begin{bmatrix} \left(\frac{\partial^2 G}{\partial T^2}\right)_{E,H,\Theta} & \left(\frac{\partial^2 G}{\partial T \partial E}\right)_{H,\Theta} & \left(\frac{\partial^2 G}{\partial T \partial H}\right)_{E,\Theta} & \left(\frac{\partial^2 G}{\partial T \partial \Theta}\right)_{E,H} \\ \left(\frac{\partial^2 G}{\partial E \partial T}\right)_{H,\Theta} & \left(\frac{\partial^2 G}{\partial E^2}\right)_{T,H,\Theta} & \left(\frac{\partial^2 G}{\partial E \partial H}\right)_{T,\Theta} & \left(\frac{\partial^2 G}{\partial E \partial \Theta}\right)_{T,H} \\ \left(\frac{\partial^2 G}{\partial H \partial T}\right)_{E,\Theta} & \left(\frac{\partial^2 G}{\partial H \partial E}\right)_{T,\Theta} & \left(\frac{\partial^2 G}{\partial H^2}\right)_{T,E,\Theta} & \left(\frac{\partial^2 G}{\partial H \partial \Theta}\right)_{T,E} \\ \left(\frac{\partial^2 G}{\partial \Theta \partial T}\right)_{E,H} & \left(\frac{\partial^2 G}{\partial \Theta \partial E}\right)_{T,H} & \left(\frac{\partial^2 G}{\partial \Theta \partial H}\right)_{T,E} & \left(\frac{\partial^2 G}{\partial \Theta^2}\right)_{T,E,H} \end{bmatrix} = \begin{bmatrix} dT \\ dE \\ dH \\ d\Theta \end{bmatrix} \quad (\text{B.20})$$

The second-order derivatives in the diagonal represent properties in the respective domains: mechanical, electrical, magnetic and thermal. All other derivatives represent cross effects. These derivatives are pair-wise equal, since the order of differentiation is not relevant. The derivatives in Eq. (B.20) represent material properties; they have been given special symbols. The variables denoting constancy are put as superscripts, to make place for the subscripts denoting orientation.

$$\begin{bmatrix} S \\ D \\ B \\ \sigma \end{bmatrix} = \begin{bmatrix} s^{E,H,\Theta} & d^{H,\Theta} & \lambda^{E,\Theta} & \alpha^{E,H} \\ d^{H,\Theta} & \varepsilon^{T,H,\Theta} & m^{T,\Theta} & p^{T,H} \\ \lambda^{E,\Theta} & m^{T,\Theta} & \mu^{T,E,\Theta} & i^{T,E} \\ \alpha^{E,H} & p^{T,H} & i^{T,E} & \frac{\rho}{\Theta} c^{T,E,H} \end{bmatrix} \cdot \begin{bmatrix} T \\ E \\ H \\ \Delta\Theta \end{bmatrix} \quad (\text{B.21})$$

The 16 associated effects are displayed in Table B.1.

Table B.1 Physical effects corresponding to the parameters in (B.21)

Compliance	Converse piezoelectricity	Magnetostriction	Thermal expansion
Direct piezoelectricity	Permittivity	Magnetodielectric effect	Pyroelectricity
Magnetostriction	Magnetodielectric effect	Permeability	Pyromagnetic effect
Piezocaloric effect	Electrocaloric effect	Pyromagnetic effect	Heat capacity

Table B.2 Symbols, parameter names and units of the effects in Table B.1

Symbol	Property	Unit
s	Compliance	m^2/N
ε	Permittivity; dielectric constant	F/m
μ	Permeability	$\text{V}/\text{s}/\text{A}/\text{m}$
c_p	(Specific) heat capacity	$\text{J}/\text{kg}/\text{K}$
d	Piezoelectric constant	$\text{m}/\text{V} = \text{C}/\text{N}$
α	Thermal expansion coefficient	K^{-1}
p	Pyroelectric constant	$\text{C}/\text{m}^2/\text{K}$
i	Pyromagnetic constant	$\text{N}/\text{m}/\text{A}/\text{K}$
m	Magnetodielectric constant	s/m
λ	Magnetostrictive constant	m/A

Some of these effects are mentioned in Fig. 2.6 (Chapter 6, Inductive and magnetic sensors), with the same or other names. The parameters ε , c_p and s correspond to those in Tables A.2, A.5 and A.8A of Appendix A. Table B.2 summarizes the parameters with symbols and units.

B.3 Heckmann diagrams

The material constants in the mechanical, electrical and thermal domains are defined by

$$\begin{aligned}
 \text{mechanical: } \sigma &= \frac{\rho}{c\theta} \cdot \theta \\
 \text{electrical: } S_\lambda &= s_{\lambda\mu} \cdot T_\mu \text{ or } T_\mu = c_{\lambda\mu} \cdot S_\lambda \\
 \text{thermal: } D_i &= \varepsilon_{ij} \cdot E_j \text{ or } E_j = \beta_{ij} \cdot D_i
 \end{aligned}
 \tag{B.22}$$

(compare Eqs. (A.5), (A.6) and (8.23)).

When extended with the mutual interactions between mechanical and electrical properties (piezoelectricity), four sets of equations can be defined, depending on the choice of the dependent and independent pairs of quantities. These equations are:

$$\begin{cases}
 D_i = \varepsilon_{ij} E_j + d_{i\mu} T_\mu \\
 S_\lambda = d_{j\lambda} E_j + s_{\lambda\mu} T_\mu \\
 E_j = \beta_{ij} D_i - g_{j\mu} T_\mu \\
 S_\lambda = g_{i\lambda} D_i + s_{\lambda\mu} T_\mu
 \end{cases}
 \quad (B.23)$$

$$\begin{cases}
 D_i = \varepsilon_{ij} E_j + e_{i\lambda} S_\lambda \\
 T_\mu = -e_{j\mu} E_j + c_{\lambda\mu} S_\lambda \\
 E_j = \beta_{ij} D_i - h_{j\lambda} S_\lambda \\
 T_\mu = -h_{i\mu} D_i + c_{\lambda\mu} S_\lambda
 \end{cases}$$

representing in total eight material properties: the reciprocal pairs s - c and ε - β , and four piezoelectric parameters (compare Table 8.1 in Chapter 8, Piezoelectric sensors). These relations are visualized in the Heckmann diagram for the electrical and mechanical domains (Fig. B.1). The circles represent the state variables, and the arrows represent the material properties.

When the thermal domain is also included, sets of three equations can be defined. One of them is the set given in Chapter 2, Sensor fundamentals, by Eq. (2.10), yielding the most practical material properties. Fig. B.2 shows the Heckmann diagram for three domains, where the double arrows are left out for clarity.

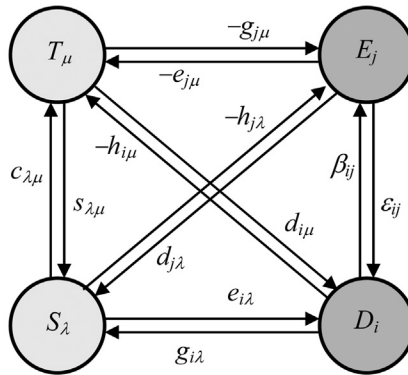


Figure B.1 Heckmann diagram for two domains.

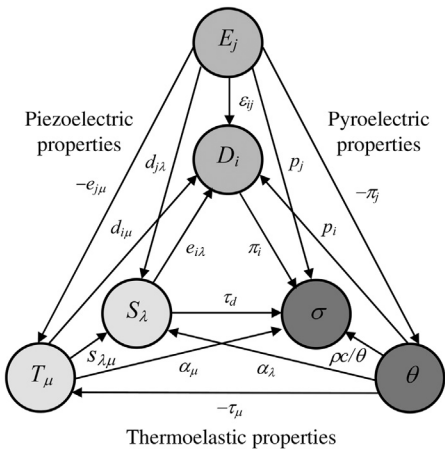


Figure B.2 Heckmann diagram for the electrical, mechanical and thermal domains.

Appendix C: Basic interface circuits

In this appendix, we review the transfer functions of some basic electronic circuits, to facilitate the understanding of the interface circuits as used throughout the text. All circuits are built up with operational amplifiers. Circuits and associated transfer functions are given for the current-to-voltage converter, inverting and noninverting amplifiers, differential amplifier, integrator, differentiator and some analogue filter circuits. In the first instance, the operational amplifier is considered to have ideal characteristics. Where appropriate the consequences of a deviation from the ideal behavior are given, as well as measures to reduce such unwanted effects.

C.1 Operational amplifier

The symbol for an operational amplifier is given in Fig. C.1A. Usually the power supply connections are left out (which will be done hereafter). The model in Fig. C.1B shows the major deviations from the ideal behavior.

The meaning of these circuit elements is as follows:

A : voltage gain (frequency dependent); A_0 : low-frequency voltage gain,
 V_{off} : input offset voltage,
 I_b^+ and I_b^- : input bias currents through the plus and minus terminals,
 $I_{\text{off}} = I_b^+ - I_b^-$: input offset current,
 Z_c^- and Z_c^+ : common input impedances from either input to ground,
 Z_d : differential input impedance (between the two input terminals), and
 Z_o : output impedance.

Further, the common mode rejection ratio (CMRR) accounts for the suppression of pure common mode signals relative to differential mode signals. Finally, amplifier noise is specified in terms of (spectral) voltage noise and current noise. For white noise these error sources are specified in terms of $V/\sqrt{\text{Hz}}$ and $A/\sqrt{\text{Hz}}$, respectively. In Fig. C.1, the noise voltage can be modeled with a voltage source in series with the offset voltage, and the noise current by a current source in parallel to the bias current. For an ideal operational amplifier A , Z_c , Z_d and H are infinite, and V_{off} , I_b and Z_o are all zero. Any deviation of these ideal values is reflected in the transfer properties of interface circuits built up with operational amplifiers.

There are numerous types of operational amplifiers on the market with widely divergent specifications, and for a broad spectrum of applications. Table C.1 shows

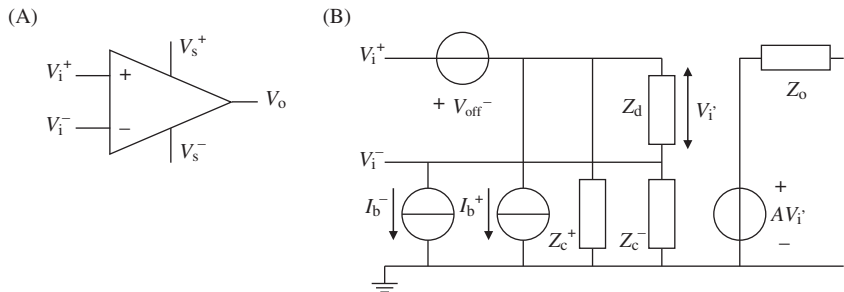


Figure C.1 (A) Symbol of an operational amplifier, (B) modelling of some deviations from the ideal behaviour.

Table C.1 Selected specifications of general purpose operational amplifiers

Parameter	Type I	Type II	Type III	Unit
V_{off}	1	0.5	0.5	mV
tc of V_{off}	20	2–7	10	$\mu\text{V/K}$
I_b	80×10^{-9}	$10\text{--}50 \times 10^{-12}$	2×10^{-12}	A
I_{off}	20×10^{-9}	$10\text{--}20 \times 10^{-12}$	2×10^{-12}	A
A_0	2×10^5	2×10^5	1500	—
R_i	2×10^6	10^{12}	10^{12}	Ω
CMRR	90	80–100	100	dB
SVRR	96	80–100	70	dB
f_t	1	1–4	300	MHz
Slew rate	0.5	3–15	400	V/ μs

the major specifications for three types of operational amplifiers: types I and II are general purpose low-cost operational amplifiers, one with bipolar transistors at the input side (type I) and the other with JFETs at the input (type II). Type III is designed for high-frequency applications, with MOSFET transistors at the input. Also included are the temperature coefficient (tc) of the offset voltage, the CMRR, the supply voltage rejection ratio (SVRR), the slew rate, the DC gain A_0 and the unity gain bandwidth f_t .

In the analysis that follows we distinguish two types of errors: additive and multiplicative errors. Offset and bias currents cause additive errors. Multiplicative errors are caused by the finite gain and the first-order frequency dependence of the gain; in the analysis of the frequency dependence it is assumed that the DC loop gain is much larger than unity: $A_0\beta \gg 1$.

For a number of interface circuits we give, without any further derivation, their performance in terms of transfer function and input resistance, taking into account the nonideal behavior of the operational amplifier. Knowing the input impedance of an interface circuit, the error due to loading the sensor can easily be estimated.

C.2 Current-to-voltage converter

Most AD converter types require an input voltage with a range from 0 to the ADC's reference voltage. When the ADC converts the output of a sensor with current output (such as a photo detector), that current must first be converted into a proper voltage that matches the ADC's input range. The basic configuration that accomplishes this task is given in Fig. C.2A.

Assuming the operational amplifier has ideal properties, the transfer of this I–V converter is simply $V_o = -I_i R$ and the input resistance is zero. The bias current of the operational amplifier sets a lower limit to the input range, the supply voltages an upper limit. The transfer including *additive errors* results in:

$$V_o = -I_i R + V_{\text{off}} + I_{b1} R \quad (\text{C.1})$$

To reduce the effect of bias current, a current compensation resistance with value R is inserted in series with the noninverting input terminal (Fig. C.2B). The transfer becomes:

$$V_o = -I_i R + V_{\text{off}} + I_{\text{off}} R \quad (\text{C.2})$$

which shows that current compensation reduces the error due to the bias current by about a factor of 5 (see Table C.1). The transfer including *multiplicative errors* due to finite gain A_0 is:

$$V_o = -I_i R \frac{A_0}{1 + A_0} \approx -I_i R \left(1 - \frac{1}{A_0}\right) \quad (\text{C.3})$$

which means that the scale error is about the inverse of the DC gain of the amplifier. The first-order approximation of the transfer of an operational amplifier is:

$$A(\omega) = \frac{A_0}{1 + j\omega\tau_A} \quad (\text{C.4})$$

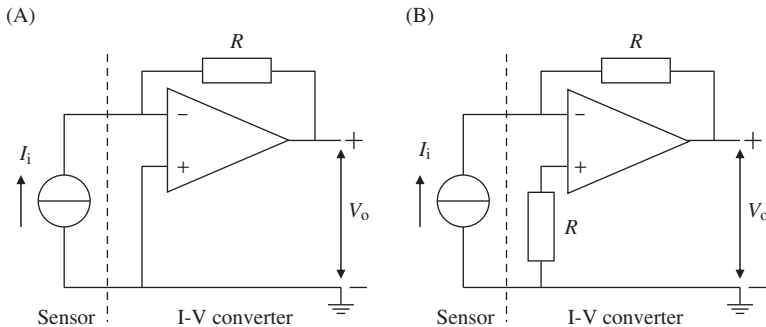


Figure C.2 Current-to-voltage converter; (A) without and (B) with bias current compensation.

with τ_A the first-order time constant of the amplifier. Amplifiers with this transfer have a constant gain-bandwidth product: in feedback mode, the overall gain decreases as much as the bandwidth increases. At unity gain the bandwidth equals the “unity gain bandwidth” which is the value specified by the manufacturer, as in [Table C.1](#). Apparently $f_t = \omega_t/2\pi = A_0/2\pi\tau_A$. Taking this into account the transfer of the I–V converter is:

$$V_o = -I_i R \frac{1}{1 + j\omega\tau_A/A_0} \quad (\text{C.5})$$

Note that the bandwidth of the converter equals the unity gain bandwidth of the operational amplifier. The input resistance in terms of input admittance results in:

$$G_{\text{in}} = \frac{1}{R_i} + \frac{A_0}{R} \quad (\text{C.6})$$

In general, $1/R_i \ll A_0/R$ so the input impedance $R_{\text{in}} = 1/G_{\text{in}}$ is about R/A_0 ; in most cases a sufficiently low value to guarantee that the whole input current flows through the feedback resistor.

C.3 Noninverting amplifier

With a voltage amplifier the output voltage range of a sensor can be matched to the input range of an ADC. Voltage amplification is accomplished by either an inverting or a noninverting amplifier configuration, depending on the required signal polarity. [Fig. C.3A](#) shows a noninverting amplifier with gain $1 + R_2/R_1$ and infinite input resistance. Hence the gain can be arbitrarily chosen by the two resistances, and the sensor experiences no electrical load. For $R_2/R_1 = 0$, the configuration is called a buffer ([Fig. C.3B](#)).

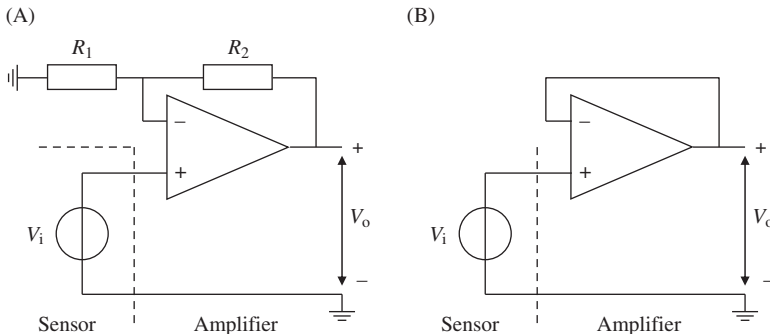


Figure C.3 (A) non-inverting amplifier, (B) buffer.

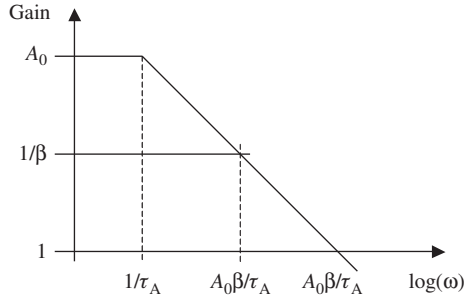


Figure C.4 Frequency characteristic of a non-inverting amplifier: asymptotic approximation according to Eq. (C.9).

In the following formulas the feedback portion $R_1/(R_1 + R_2)$ is abbreviated by β according to the notation in Chapter 3, Uncertainty aspects. For the buffer amplifier, $\beta = 1$ (unity feedback). The transfer of the noninverting amplifier including additive errors equals:

$$V_0 = \frac{V_i}{\beta} + \frac{V_{\text{off}}}{\beta} + I_b^- R_2 \quad (\text{C.7})$$

The lower limit of the signal voltage range is set mainly by the offset voltage and to a lesser degree the bias current. After nullifying the offset, it is the temperature dependency of the offset that limits the range. The transfer shows a scale error (multiplicative error) due to the finite gain:

$$\frac{V_o}{V_i} = \frac{1}{\beta} \cdot \frac{A_0 \beta}{1 + A_0 \beta} \approx \frac{1}{\beta} \cdot \left(1 - \frac{1}{A_0 \beta}\right) \quad (\text{C.8})$$

The scale error is the inverse of the loop gain $A_0 \beta$. Taking into account the frequency-dependent gain as in Eq. (C.4), the transfer of the noninverting amplifier is:

$$\frac{V_o}{V_i} = \frac{1/\beta}{1 + j\omega\tau_A/A_0\beta} \quad (\text{C.9})$$

Obviously the bandwidth is proportional to β , and the transfer is inversely proportional to β . Hence the product of gain and bandwidth has a fixed value: the gain-bandwidth product. This relationship is shown in Fig. C.4.

Numerical example. $A_0 = 10^4$, $\beta = 0.01$, so $A_0 \beta = 100$; unity gain bandwidth $A_0 \tau_A = 10^6$ rad/s, so the operational amplifier's cutoff frequency is $1/\tau_A = 100$ rad/s and the noninverting amplifier bandwidth is 10^4 rad/s.

Fig. C.5 shows a simulation¹ of the transfer characteristic using a general purpose, low-cost operational amplifier for three gain factors: 1 (buffer), 100 and

¹ Type $\mu\text{A}741$ (original design by Fairchild, 1968); simulated by PSpice (OrCAD Inc.).

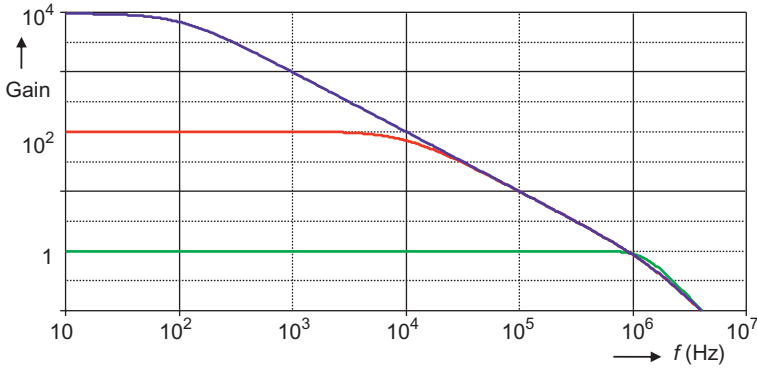


Figure C.5 Simulation with a low-cost operational amplifier.

10,000. Clearly the product of gain and bandwidth is constant. At higher frequencies the second-order behavior of the operational amplifier becomes apparent.

Finally, the input resistance of the noninverting amplifier is found to be:

$$R_{in} = R_i(1 + A_0\beta) + \beta R_2 \approx A_0\beta R_i \quad (\text{C.10})$$

which is sufficiently high in most applications.

C.4 Inverting amplifier

Fig. C.6 shows the inverting amplifier configuration. Its voltage transfer is $-R_2/R_1$ and the input resistance is R_1 , a much lower value than in the case of the noninverting amplifier.

The transfer including additive errors amounts:

$$V_o = -V_i \frac{R_2}{R_1} + V_{off} \left(1 + \frac{R_2}{R_1} \right) + I_b^- R_2 \quad (\text{C.11})$$

The contribution of the bias current I_b^- can be reduced by inserting a resistance R_3 in series with the noninverting input terminal, with a value equal to $R_1//R_2$, that is the parallel combination of R_1 and R_2 . With this bias current compensation, the term I_b^- in **Eq. (C.11)** is replaced by I_{off} .

The transfer including multiplicative errors due to a finite gain is:

$$\frac{V_o}{V_i} = -\frac{R_2}{R_1} \cdot \frac{A_0\beta}{1 + A_0\beta} \approx -\frac{R_2}{R_1} \left(1 - \frac{1}{A_0\beta} \right) \quad (\text{C.12})$$

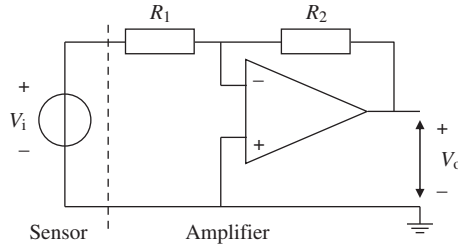


Figure C.6 Inverting amplifier.

which, again, introduces a scale error equal to the inverse of the loop gain. The frequency-dependent gain is given by

$$\frac{V_o}{V_i} = -\frac{R_2}{R_1} \cdot \frac{1}{1 + j\omega\tau_A/A_0\beta} \quad (\text{C.13})$$

Here too, the product of gain and bandwidth is constant. The frequency characteristics are similar to those in Fig. C.4. The input resistance becomes:

$$R_{\text{in}} = R_1 \left(1 + \frac{R_2}{A_0 R_i} \right) \quad (\text{C.14})$$

a value that is almost equal to R_1 . Note that this value can be rather low, resulting in an unfavorable load on the transducer.

C.5 Comparator and schmitttrigger

C.5.1 Comparator

A voltage comparator (or short comparator) responds to a change in the polarity of an applied differential voltage. The circuit has two inputs and one output (Fig. C.7A). The output has just two levels: high or low, all depending on the polarity of the voltage between the input terminals. The comparator is frequently used to determine the polarity in relation to a reference voltage.

It is possible to use an operational amplifier without feedback as a comparator. The high gain makes the output either maximally positive or maximally negative, depending on the input signal. However, an operational amplifier is rather slow, in particular when it has to return from the saturation state. Table C.2 gives the specifications for two different types of comparators, a fast type and an accurate type.

Purpose-designed comparators have a much faster recovery time with response times as low as 10 ns. They have an output level that is compatible with the levels used in digital electronics (0 and +5 V). Their other properties correspond to a

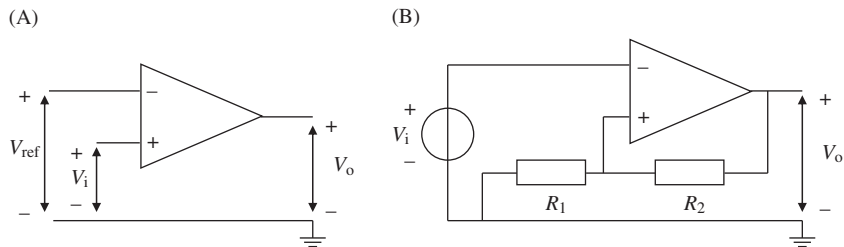


Figure C.7 (A) Comparator (B) Schmitttrigger.

Table C.2 Specifications for two types of comparators: fast (type I) and accurate (type II)

		Type I	Type II
Voltage gain	A	—	2×10^5
Voltage input offset	V_{off}	$\pm 2 \text{ mV} \pm 8 \mu\text{V/K}$	$\pm 1 \text{ mV}$, max. $\pm 4 \text{ mV}$
Input bias current	I_{bias}	$5 \mu\text{A}$	25 nA , max. 300 nA
Input offset current	I_{off}	$0.5 \mu\text{A} \pm 7 \text{ nA/K}$	3 nA , max. 100 nA
Input resistance	R_i	$17 \text{ k}\Omega$	—
Output resistance	R_o	100Ω	80Ω
Response time	t_r	2 ns	$1.3 \mu\text{s}$
Output voltage, high	$v_{o,h}$	3 V	—
Output voltage, low	$v_{o,l}$	0.25 V	—

normal operational amplifier and the circuit symbol resembles that of the operational amplifier.

C.5.2 Schmitttrigger

A Schmitttrigger can be conceived as a comparator with hysteresis. It is used to reduce irregular comparator output changes caused by noise in the input signal. A simple Schmitttrigger consists of an operational amplifier with positive feedback (Fig. C.7B). The output switches from low to high as soon as V_i exceeds the upper reference level V_{ref1} , and from high to low as soon as V_i drops below the lower level V_{ref2} . For proper operation the hysteresis interval $V_{ref1} - V_{ref2}$ must exceed the noise amplitude. However, a large hysteresis will lead to huge timing errors in the output signal.

In Fig. C.7B a fraction β of the output voltage is fed back to the noninverting input: $\beta = R_1/(R_1 + R_2)$. Suppose that the most positive output voltage is E^+ (usually just below the positive power supply voltage) and the most negative output is E^- . The voltage at the noninverting input will be either βE^+ or βE^- . When V_i is below the voltage on the noninverting input, then V_o equals E^+ (because of the high gain). This remains a stable situation as long as $V_i < \beta E^+$. If V_i reaches the value

βE^+ , the output will decrease sharply and so will the noninverting input voltage. The voltage difference between both input terminals decreases much faster than V_i increases. So, within a very short period of time, the output becomes maximally negative E^- . As long as $V_i > \beta E^-$, the output remains $V_o = E^-$, a new stable state.

The comparator levels of the Schmitttrigger are apparently βE^+ and βE^- . In conjunction with the positive feedback, even a rather slow operational amplifier can have a fast response time. Evidently, a special comparator circuit is even better. The switching levels can be adjusted by connecting R_1 to an adjustable reference voltage source V_{ref} instead to ground. Both levels shift by a factor $V_{\text{ref}}R_1/(R_1 + R_2)$.

C.6 Integrator and differentiator

Velocity information can be derived from an acceleration sensor (for instance a piezoelectric transducer) and displacement from velocity (for instance from an induction-type sensor) by integrating the sensor signals. The reverse is also possible, by differentiation, but this approach is not recommended because of an increased noise level. Basic integrator and differentiator circuits are shown in Fig. C.8. Ideally the transfers in time and frequency domains are given by:

$$V_o = -\frac{1}{RC} \int V_i dt \quad V_o = -RC \frac{dV_i}{dt} \quad (\text{C.15})$$

$$V_o = -\frac{1}{j\omega RC} V_i \quad V_o = -j\omega RC V_i \quad (\text{C.16})$$

However, these circuits will not work properly. The integrator runs into overload because the constant offset voltage and bias current will be integrated too. This is prevented by limiting the integration range, simply by adding a feedback resistor in parallel to the capacitance C . The differentiator is unstable because of the (parasitic)

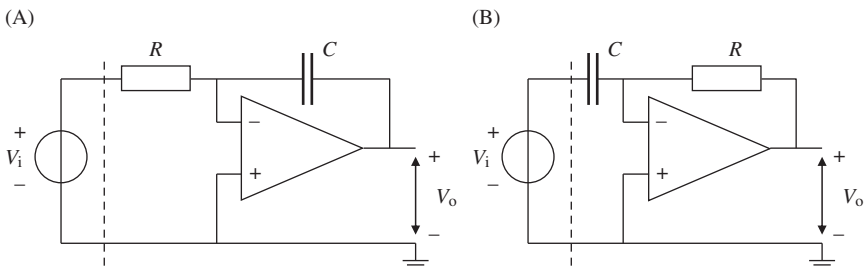


Figure C.8 Basic integrator (A) and differentiator (B) are unable to operate well.

second-order behavior of the operational amplifier. This too is prevented by adding a resistor, in series with the capacitance C , resulting in a limitation of the differentiation range.

C.6.1 Integrator

Fig. C.9 shows the modified or “tamed” integrator. Taking into account the finite gain of the operational amplifier A , the transfer function of this integrator is:

$$\frac{V_o}{V_i} = - \frac{A}{1 + (1 + A)(R/R_f) + j\omega(1 + A)RC} \quad (\text{C.17})$$

Assuming a frequency-independent amplifier gain: $A = A_0$, the transfer function becomes:

$$\frac{V_o}{V_i} = - \frac{A_0}{1 + (1 + A_0)(R/R_f) + j\omega(1 + A_0)RC} \approx - \frac{R}{R_f} \cdot \frac{1}{1 + j\omega R_f C} \quad (\text{C.18})$$

The condition for the last approximation is valid for $A_0 \gg 1$ (which is always the case) and $A_0 R \gg R_f$ (which is usually the case). The inclusion of resistance R_f limits the LF transfer to R_f/R , whereas the lower limit of the integration range is set to $f_L = 1/(2\pi R_f C)$.

Numerical example. Assume that a lower limit of the integration range of 100 Hz and a DC gain of 100 are required. The component values should satisfy $R_f/R = 10^2$ and $R_f C = 1/200\pi$, accomplished by, for instance $R_f = 100R = 100 \text{ k}\Omega$ and $C = 16 \text{ nF}$. Fig. C.10 shows the frequency characteristic for this design, based on a simulation with an ideal and a real operational amplifier. Clearly the higher limit of the integration range is set by the frequency-dependent gain of the operational amplifier.

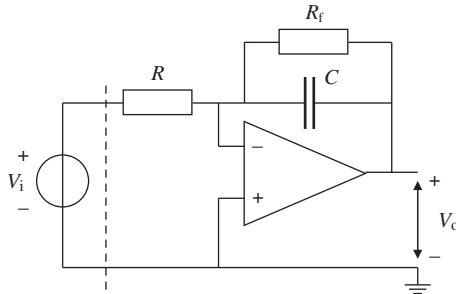


Figure C.9 Modified integrator.

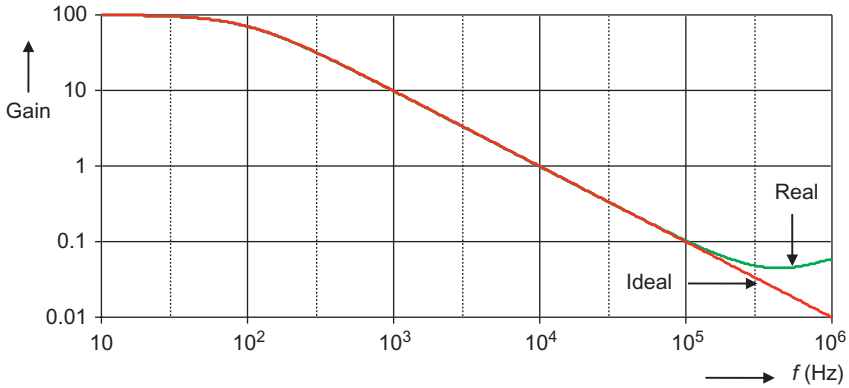


Figure C.10 Frequency characteristic of the modified integrator according to Eq. (C.18) (ideal) and according to a simulation with a real amplifier (real).

C.6.2 Differentiator

The transfer of the basic differentiator, taking into account the finite gain of the operational amplifier, is given by:

$$\frac{V_o}{V_i} = -j\omega RC \cdot \frac{A}{1 + A + j\omega RC} \quad (\text{C.19})$$

For $A = A_0$ and $A_0 \gg 1$ this is:

$$\frac{V_o}{V_i} = -j\omega RC \cdot \frac{A_0}{1 + A_0 + j\omega RC} = -A_0 \frac{j\omega RC/A_0}{1 + j\omega RC/A_0} \quad (\text{C.20})$$

This corresponds to a first-order high-pass characteristic with cutoff frequency at $\omega = A_0/RC$. The differentiation range is limited to this frequency. For much lower frequencies, the transfer function is just $-j\omega RC$ and corresponds to that of an ideal differentiator.

However, when the frequency dependence of the operational amplifier gain according to Eq. (C.4) is taken into account, the transfer function becomes:

$$\frac{V_o}{V_i} = -j\omega RC \cdot \frac{A_0}{A_0 + j\omega(\tau_A + RC) - \omega^2\tau_A RC} \quad (\text{C.21})$$

This characteristic shows a sharp peak at $\omega^2\tau_A RC \approx A_0$, for which value the transfer is about $A_0 RC/(RC + \tau_A)$.

Numerical example. The required gain is 0.1 at 1 rad/s. So the component values R and C should satisfy $1/RC = 10$ rad/s. Suppose the amplifier properties are: $A_0 = 10^5$, unity gain bandwidth 10^5 rad/s. Fig. C.11 presents the calculated characteristic for this example. The transfer shows a peak of $\approx 10^4$ at the frequency 10^3 rad/s.

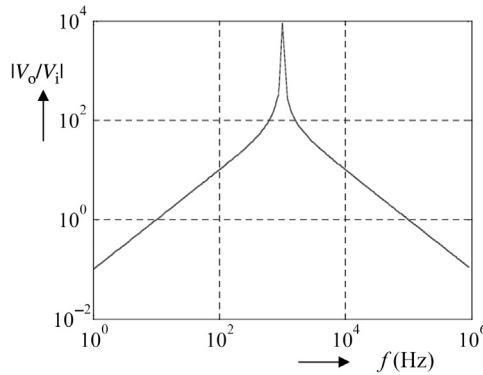


Figure C.11 Frequency characteristic of the basic differentiator according to Eq. (C.21).

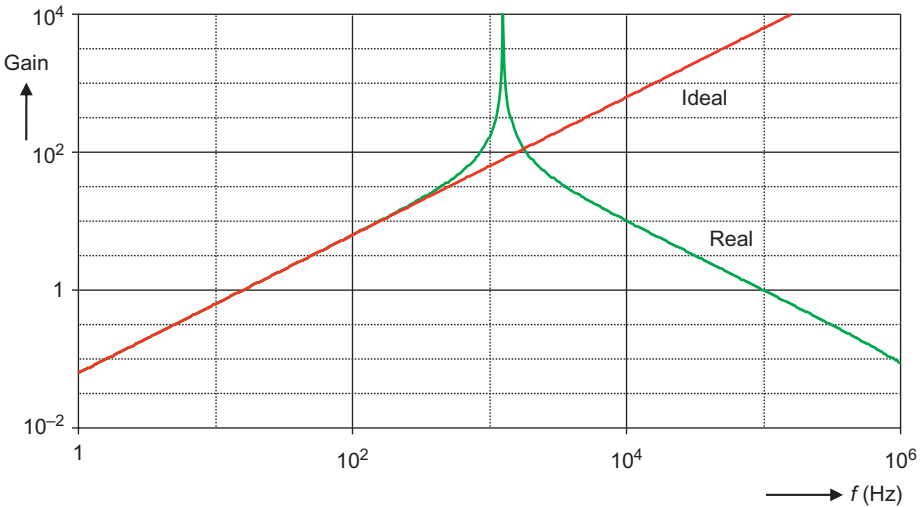


Figure C.12 Simulation with an ideal and a general purpose operational amplifier.

Fig. C.12 shows a simulation for a general purpose operational amplifier with frequency compensation (i.e. it behaves almost as a first-order system). Component values in this simulation are $R = 100 \text{ k}\Omega$, $C = 1 \text{ }\mu\text{F}$. Differences compared to Fig. C.11 are due to different amplifier parameters A_0 and τ_A of the real amplifier.

If the operational amplifier has a second-order cutoff frequency (and most do have), instability may easily occur. Therefore the differentiating range should be limited to some upper frequency, well below the cutoff frequency of the operational amplifier. This is accomplished by inserting a resistor R_s in series with the capacitor C , as shown in Fig. C.13.

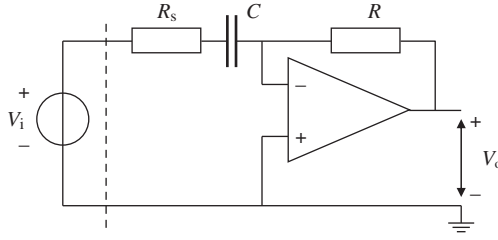


Figure C.13 Modified or “tamed” differentiator.

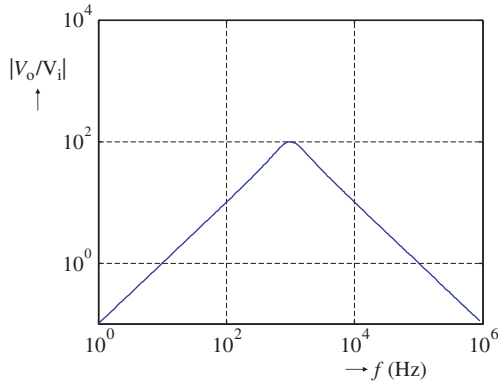


Figure C.14 Frequency characteristic of the compensated differentiator according to Eq. (C.24)

With the “taming resistor” R_s the transfer becomes:

$$\frac{V_o}{V_i} = -j\omega\tau \cdot \frac{A}{1 + A + j\omega\{RC + (1 + A)R_sC\}} \quad (\text{C.22})$$

Taking into consideration the finite and frequency-dependent gain of the operational amplifier according to Eq. (C.4) and assuming $A_0 \gg 1$, the transfer is modified to:

$$\frac{V_o}{V_i} = -j\omega RC \cdot \frac{1}{1 + j\omega\{R_sC + (RC + \tau_A)/A_0\} - \omega^2\tau_A(R_sC + RC)/A_0} \quad (\text{C.23})$$

For $R \gg R_s$ and $R_sC \gg (RC + \tau_A)/A_0$ this can be approximated by:

$$\frac{V_o}{V_i} = -j\omega RC \cdot \frac{1}{1 + j\omega R_sC - \omega^2\tau_A RC/A_0} \quad (\text{C.24})$$

Numerical example. Same values as for the uncompensated differentiator and $R_s = R/100$. The transfer is calculated from Eq. (C.24) and displayed in Fig. C.14.

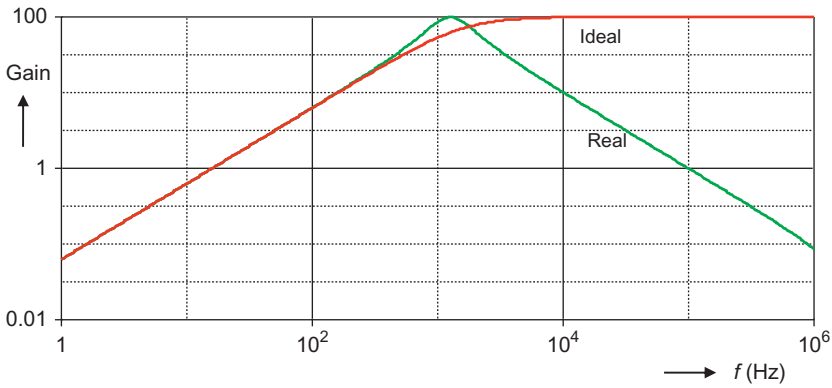


Figure C.15 Simulation of a tamed differentiator with an ideal and a real operational amplifier.

It shows that the peak is reduced by inserting resistance R_s . The differentiation range runs from very low frequencies up to about 1000 rad/s.

The simulation in Fig. C.15 shows the effect of a taming resistor, here 100 Ω . Note that the sharp peak has completely disappeared. Further, note the big difference between an ideal operational amplifier and a real type.

C.7 Filters

Removing unwanted signal components (noise, interference, intermodulation products, etc.) can be accomplished by (analogue) filters. Simple filters consist of passive components only. However, loading such filters (at both input and output) may easily affect the performance. In such cases active filters (with operational amplifiers) are preferred, providing independent choices for various filter parameters (input and output impedance, cutoff frequency, gain). The first-order integrator and differentiator of the preceding section are essentially a low-pass and a high-pass filter, respectively. Fig. C.16 shows an active band-pass filter.

Assuming ideal operational amplifier characteristics the transfer function is:

$$\frac{V_o}{V_i} = -\frac{Z_2}{Z_1} = -\frac{j\omega R_2 C_1}{(1 + j\omega R_1 C_1)(1 + j\omega R_2 C_2)} = -\frac{R_2}{R_1} \cdot \frac{j\omega R_1 C_1}{1 + j\omega R_1 C_1} \cdot \frac{1}{1 + j\omega R_2 C_2} \quad (\text{C.25})$$

so this is a combination of gain ($-R_2/R_1$), low-pass filter ($f_l = 1/2\pi R_2 C_2$) and high-pass filter ($f_h = 1/2\pi R_1 C_1$). Fig. C.17 shows the transfer function (frequency characteristic) obtained by simulation with an ideal and a low-cost operational amplifier. In this design, the pass-band gain is set to 100 and the high-pass and low-pass frequencies are set at 100 Hz and 1 kHz, respectively, a combination that can be obtained with the component values $R_1 = 1$ k Ω , $R_2 = 100$ k Ω , $C_1 = 1600$ nF

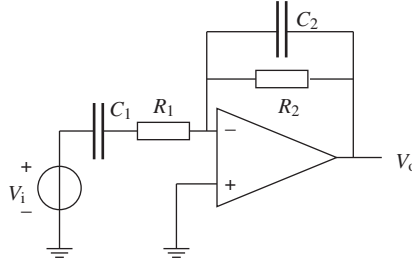


Figure C.16 Active band-pass filter.

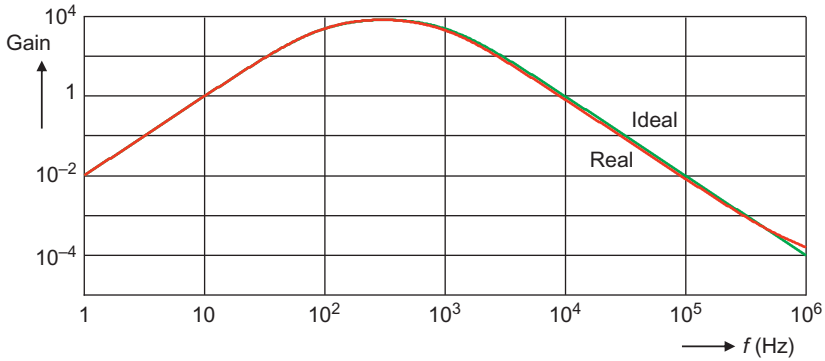


Figure C.17 Transfer characteristic of the band-pass filter from [Figure C.16](#).

and $C_2 = 1.6$ nF. Both curves are almost identical, since the unity gain bandwidth of the (real) operational amplifier is much higher than the filter gain over the whole frequency range.

[Fig. C.18](#) shows a second-order low-pass filter of the “Sallen-Key” configuration. Its transfer function equals:

$$\frac{V_o}{V_i} = - \frac{1}{1 + j\omega(R_1 + R_2)C_2 - \omega^2 R_1 R_2 C_1 C_2} \quad (\text{C.26})$$

Under particular conditions for the resistance and capacitance values this filter has a Butterworth characteristic, which means a maximally flat amplitude characteristic in the pass-band. The general transfer function of a Butterworth filter of order $2n$ is:

$$\left| \frac{V_o}{V_i} \right| = \frac{1}{\sqrt{1 + (\omega/\omega_c)^{2n}}} \quad (\text{C.26})$$

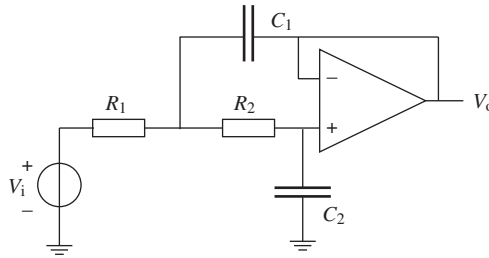


Figure C.18 Sallen-Key low-pass filter.

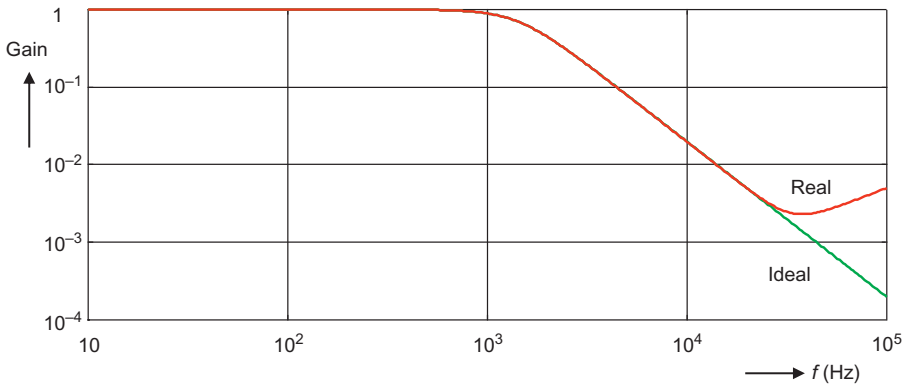


Figure C.19 Transfer characteristic of the Butterworth filter from [Figure C.18](#).

For $n = 1$ the Butterworth condition is $C_1/C_2 = (R_1 + R_2)^2/2R_1R_2$. When $R_1 = R_2 = R$, then $C_1 = 2C_2$. The cutoff frequency is $f_c = 1/2\pi RC_1$. [Fig. C.19](#) depicts a simulation of such a filter, with a cutoff frequency of 1 kHz (by making $R_1 = 1 \text{ k}\Omega$ and $C_1 = 160 \text{ nF}$).

Here, the influence of the finite unity gain bandwidth of the operational amplifier is clearly visible and limits a proper filter function to about 20 kHz in this example.

The slope of the stop-band characteristic of a first-order low-pass filter is -6 dB/octave or -20 dB/decade . To obtain better selectivity, higher order filters can be obtained by simply cascading (putting in series) filters of lower order. The slope of the characteristic in the stop-band results in $-6n \text{ dB/octave}$ for a low-pass filter of order n . Note that the attenuation in the pass-band is also n times more compared to that of a first-order filter. For instance, cascading three second-order Butterworth filters of the kind shown in [Fig. C.18](#) results in a slope of -36 dB/octave .

Appendix D: Practical guideline and code examples

D.1 Introduction

As reference some practical interfacing examples as illustration using the Arduino platform (<http://arduino.cc>) are given. In this section example code is presented. Two larger programs are used at the host PC side to capture and visualize the data which are written in Processing (<http://www.processing.org>).

As explained in Chapter 1, Introduction, the Arduino ecosystem has become a de-facto standard in recent years for education, hobby, and Do-It-Yourself (DIY) use. Although there are of course many boards and microcontrollers available that outperform the Arduino (Atmel AVR RISC combination) in price, performance, and connectivity, through its ease of use, large support, and wide range of application the Arduino system has permeated into the mechatronic domain of research and engineering as well. Starter-kits (see [Fig. D.1](#)) containing Arduino, breadboard, USB cable, and elementary components are widely available.

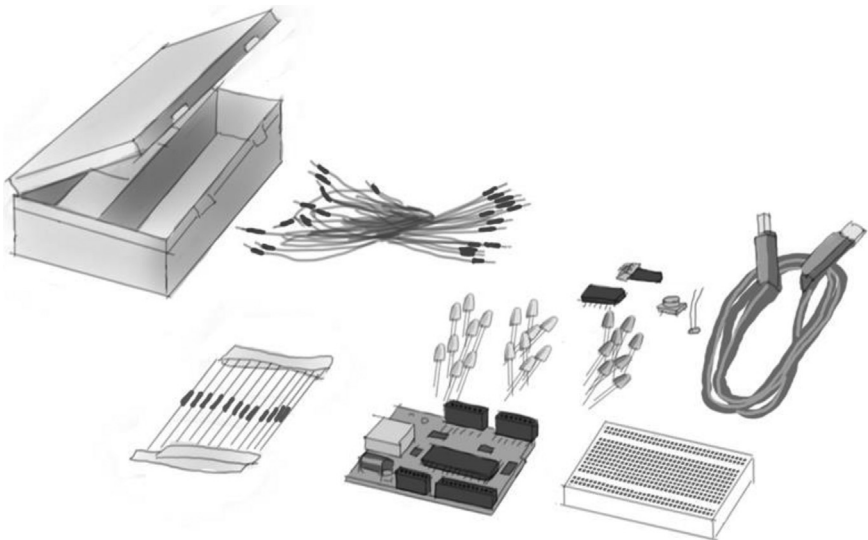
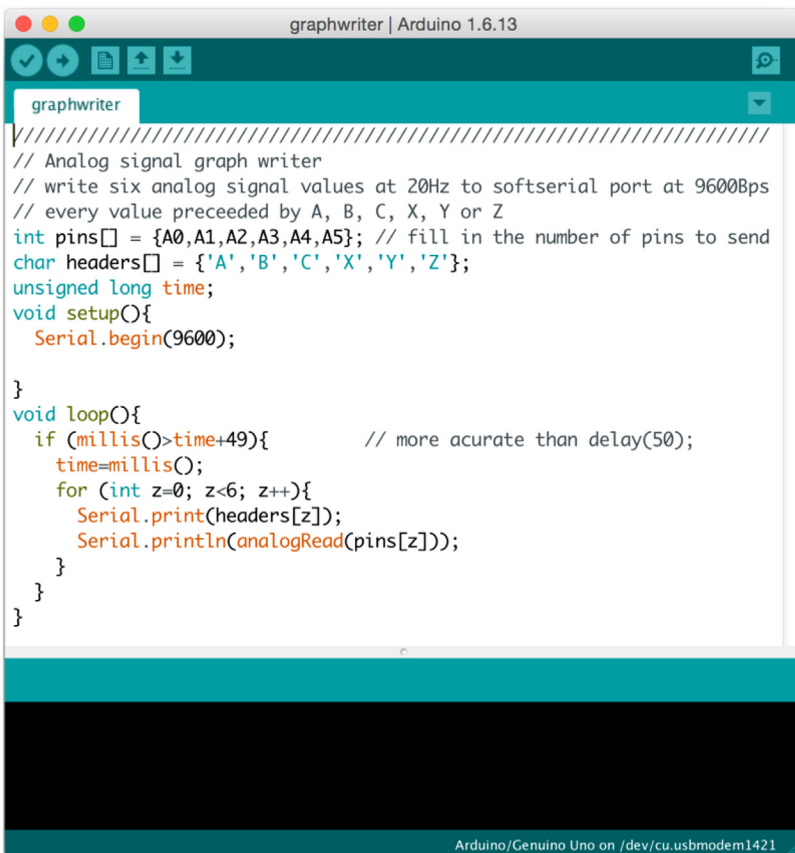


Figure D.1 Typical Arduino starter kit.

In order to get started with the Arduino system, the Integrated Development Environment (IDE) shown in Fig. D.2 needs to be downloaded and installed on a host PC system running Mac OSX, Windows, or Linux. In some cases drivers for USB-Serial connection need to be installed as well. After installation the used board (i.e., an Arduino UNO board) needs to be selected in the “Tools” -> “Board” menu. The used serial port needs to be selected in the “Tools” -> “port” menu. After that the system is ready for use.

Processing is an open-source software environment developed by Casey Raes and Benjamin Fry (<http://www.processing.org>). It has been in development since 2001 and has a large and active community of users. It allows the design and development of software for designers, artists, and students in a visual context. Processing uses the programming language Java but allows for a simplified syntax (at least to start with). A very extensive set of libraries for data visualization, serial communication etc. allow for a rapid development cycle. Processing runs on all major operating systems (i.e., Windows, Mac OSX, and Linux).



The screenshot shows the Arduino IDE interface. The title bar reads 'graphwriter | Arduino 1.6.13'. The menu bar includes 'File', 'Edit', 'Tools', 'Window', and 'Help'. The toolbar contains icons for opening, saving, uploading, and monitoring. The main text area displays the following C++ code for a sketch named 'graphwriter':

```

// Analog signal graph writer
// write six analog signal values at 20Hz to softserial port at 9600Bps
// every value preceeded by A, B, C, X, Y or Z
int pins[] = {A0,A1,A2,A3,A4,A5}; // fill in the number of pins to send
char headers[] = {'A','B','C','X','Y','Z'};
unsigned long time;
void setup(){
    Serial.begin(9600);
}
void loop(){
    if (millis()>time+49){          // more accurate than delay(50);
        time=millis();
        for (int z=0; z<6; z++){
            Serial.print(headers[z]);
            Serial.println(analogRead(pins[z]));
        }
    }
}

```

The status bar at the bottom indicates 'Arduino/Genuino Uno on /dev/cu.usbmodem1421'.

Figure D.2 Arduino IDE.

In this book the choice for Processing has been made to offer a free to use, instructive, and transparent option for capturing and visualizing the data at the host PC side. Needless to say that it is in no way a prerequisite to use these examples. The Arduino code can simply be adapted to output data in a format that can be processed by other (conventional) analysis software such as MatLab or Labview. Examples to output *.csv data that can be imported in any spreadsheet program will be shown as well.

D.2 The analog to digital converter on Arduino

The Arduino UNO board has a built-in 10 bit Analog to Digital Converter (ADC) which is multiplexed to six input channels. Other versions of the Atmel microcontrollers which are used on different “flavors” of the board might offer more channels or different configurations. On the boards “Due” and “Zero”, for example, ARM microcontrollers are used which offer a 12 bit resolution.

The reference voltage of the ADC on the Arduino UNO board is standard connected to the supply voltage (5.0 V), see the online reference <https://www.arduino.cc/reference/en/language/functions/analog-io/analogreference/>. The reference voltage can be connected using the function `analogReference()` to the supply voltage (DEFAULT) but also to an internal reference voltage of 1.1 V or an external voltage. The external voltage can be offered using a pin marked AREF on the board. Note that the reference mode in software (EXTERNAL) has to be changed before applying the external voltage, otherwise the internally generated reference voltage and external reference are shorted.

In the current implementation of the function `analogRead()` a single measurement on a single pin is taken. For faster sample times it is possible to access the ADC's control registers directly and to use continuous running or interrupt driven approach. In the discussed examples only the standard functionality is used.

D.2.1 Arduino code example

The following code is a straightforward way of outputting the analog values of the available six input pins to the serial port. The values are transmitted as integer in human-readable ASCII symbols. Every value is preceded by a character A,B,C or X,Y,Z. The transmission baud rate is defined in the `Serial.begin()` instruction, the sample rate is set by the loop time. In the current implementation the loop time is 50 Ms, so the sample rate is 20 Hz.

```
// Analog signal graph writer
// write six analog signal values at 20Hz to the serial port at 9600Bps
// every value preceded by A, B, C, X, Y or Z

int pins[] = {0,1,2,3,4,5}; // fill in the number of pins to send
char headers[] = {'A','B','C','X','Y','Z'};
unsigned long time;
```

```
void setup(){
  Serial.begin(9600);
}
void loop(){
  if (millis()>time+49){
    // 20Hz refresh rate.
    time=millis();
    // output start//
    for(int z=0;z<6;z++){
      Serial.print(headers[z]);
      Serial.println(analogRead(pins[z]));
    }
    // end of output //
  }
}
```

This data format matches the required format for the processing sketch in Section D.2.2. It is, however, possible to match the output format to fit other requirements as well. The serial data can be recorded at the host PC using any (serial) terminal emulator. In the Arduino IDE a simple “Serial Monitor” is available for sending and receiving data shown in [Fig. D.3](#). Termination (carriage return, line feed) and baud rate can be set. From this monitor data can be copied and pasted directly in a spreadsheet if the data format is correct.

By replacing the lines between the comment “// output //” to the following bit, the same code can be used to output a comma-separated file which can be imported in a spreadsheet program directly. Instead of the headers every value is separated with a ‘,’ and every line of values is closed with a newline.

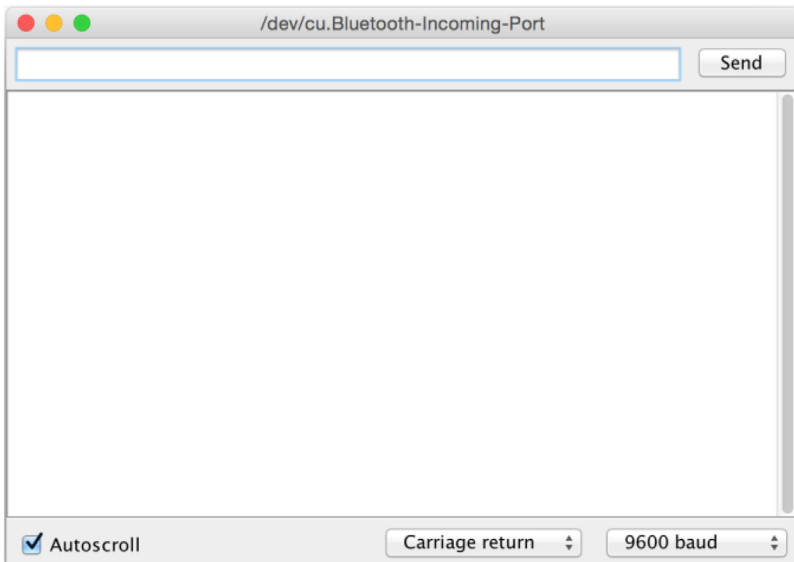


Figure D.3 Serial monitor.

```

for(int z=0;z<6;z++){
  Serial.print(analogRead(pins[z]));
  Serial.print(',');
}
Serial.print('\n');

```

Arduino has a built-in serial plotter tool in the IDE (in versions after 1.5.8) which uses the same format (i.e., values separated by a delimiter such as a comma or a space) to plot a series of values in a graph.

A different approach would be using standardized firmware on the Arduino such as Firmata (<https://github.com/firmata/arduino>). Using a more elaborate protocol (than the one described above) a host can request an analog reading, a digital reading or set (control) a digital output pin. The firmware stays the same and turns the Arduino into a “transparent” interface. Since the purpose of this chapter is to give insight by using simple and clear examples, this option is not further elaborated here.

D.2.2 Processing code example

Processing can be downloaded (<http://www.processing.org>) and installed on systems running Windows, Mac OSX, and Linux. The Integrated Development Environment (IDE) is shown in Fig. D.4 and resembles the editor of Arduino. In

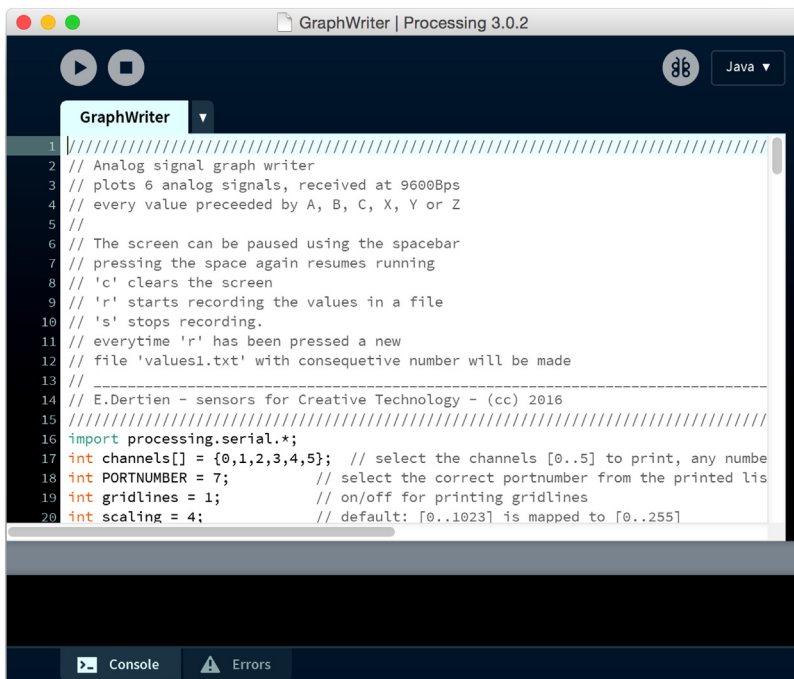


Figure D.4 Graphwriter code in Processing.

some cases under Linux a different version of Java has to be installed. Normal distributions of Processing come with a Java compiler pre-packaged.

In Processing, the following code can be used to visualize the Arduino signals. It expects serial data at 9600 baud in plain ASCII (i.e., human readable numbers), integer values between 0 and 1023 preceded by a letter A, B, C or X, Y, Z. In the top part of the code the correct serial port (as number of a list) and baud rate need to be selected. When the sketch is run for the first time it might be necessary to generate the used screen font. The Processing application will give the necessary instructions to do so.

```

////////////////////////////////////
// Analog signal graph writer
// plots 6 analog signals, received at 9600Bps
// every value preceded by A, B, C, X, Y or Z
//
// The screen can be paused using the spacebar
// pressing the space again resumes running
// 'c' clears the screen
// 'r' starts recording the values in a file
// 's' stops recording.
// every time 'r' has been pressed a new
// file 'values1.txt' with consecutive number will be made
//
// E.Dertien - (cc-ny-sa) 2018
////////////////////////////////////
// Configure the following parameters before running the sketch:
int channels[] = {0,1,2,5}; // select the channels [0..5] to print, any
number, max 6
int PORTNUMBER = 3;          // select the correct port number from the
                             // printed list
int gridlines = 1;           // on/off for printing gridlines
int scaling = 4;              // default: [0..1023] is mapped to [0..255]
import processing.serial.*;   // standard serial library
Serial port;                  // port object for serial communication
String buff = "";             // input buffer for serial data
int NEWLINE = '\n';           // terminator of the serial commands
char header[] = { 'A', 'B', 'C', 'X', 'Y', 'Z' }; // headers for the values
int linecolor[] = {0, 40, 80, 120, 160, 200}; // colours for the lines(HSB)
PFont fontA;                  // screen font
int value[] = new int[6];      // array of current received values
int diffValue[] = new int[6]; // array of previously received values
PrintWriter output;           // use file output
String outputBuff = "";       // buffer for file output
int filenr;                   // current file (incremental)
int offset = 0;               // default: no offset in graph
float timescaling = 1.0;      // default: (1.0) = 25 sec time/per window
float amplitude = 1.0;        // default: (1.0) = 0.5 V range per window
String mode="RUN";            // mode can be RUN, PAUSE or RECORD
float n=21;                   // reset cursor position to 21

void setup() {
  size(533, 286);
  println("Available serial ports:");
  for (int i = 0; i<Serial.list().length; i++) {
    print("[ " + i + " ] ");
    println(Serial.list()[i]);
  }
  port = new Serial(this, Serial.list()[PORTNUMBER], 9600);
  frameRate(20); // delay of 50 ms, 20Hz update
  colorMode(HSB);
  fontA = loadFont("SansSerif-10.vlw");
  textFont(fontA, 10);
  background(255);
  drawscreen();
  port.write(1); // sometimes necessary to start the serial communication
}

```



```

void draw() {
  while (port.available () > 0) {
    serialEvent(port.read()); // read data
  }
  outputBuff="" + millis();
  stroke(255); // clear the space for the time values
  fill(255); // clear the space for the time values
  rect(300, 0, 230, 12); // clear the space for the time values
  stroke(0);
  fill(0);
  text("time "+(millis()-500)/1000.0 +" s", 300, 12); // print actual time
  for(int i = 0; i<channels.length; i++){
    fill(linecolor[channels[i]], 255, 255);
    text("A" + channels[i] + " ",400+(i*20),12);
  }

  for (int z=0; z<channels.length; z++) { // print the lines
    stroke(linecolor[channels[z]], 255, 255);
    line(n-timescaling, (height-12-offset)-
(amplitude*diffValue[channels[z]]/scaling), n, (height-12-offset)-
(amplitude*value[channels[z]]/scaling)); //draw line
    outputBuff += ("," + value[channels[z]]);
  }
  if (mode=="RECORD") {
    output.println(outputBuff);
    print(".");
  }
  if (mode!="PAUSE") n+=timescaling;
  if (n>width) {
    n=21;
    background(255); // clear screen
    drawscreen();
  }
  for (int z=0; z<6; z++) {
    diffValue[z]=value[z]; // store previous values
  }
}

void drawscreen() {
  stroke(255, 0, 0);
  line(20, height-11, width, height-11);
  line(20, 13, width, 13);
  line(20, 0, 20, height);
  fill(255, 0, 0);
  text("0.0", 2, height-7-offset);
  text(nf(2.5/amplitude, 1, 1), 2, (height/2+7)-offset);
  text(nf(5.0/amplitude, 1, 1), 2, 23-offset);
  text("amplitude x "+nf(amplitude, 1, 1), 50, 12);
  text("time x "+nf(timescaling, 1, 1), 150, 12);
  text("offset "+offset+ " px", 220, 12);
  text("0 (s)", 21, height-1);
  for (int n=1; n<6; n++) {
    text(nf((5*n)/timescaling, 0, 0), 100*n+21, height-1);
  }
  if (gridlines>0) {
    stroke(200); // use grey lines
    for (float q=19; q<280; q+=25.5) { // draw grid
      line(21, 0+q-offset, width, 0+q-offset); // horizontal grid lines
    }
    for (int q=20; q<520; q+=20) { //vertical grid lines
      line(20+q, 14, 20+q, 274);
    }
  }
}

void serialEvent(int serial)
{
  try { // try-catch because of transmission errors
    if (serial != NEWLINE) {
      buff += char(serial);
    } else {
      println(buff); // The first character tells us which axis
      this value is for
      char c = buff.charAt(0); // Remove it from the string
      buff = buff.substring(1); // Discard the carriage return at the end of
the buffer
      buff = buff.substring(0, buff.length()-1); // Parse the String into an

```

```

integer
  for (int z=0; z<6; z++) { // always all 6 values
    if (c == header[z]) {
      value[z] = Integer.parseInt(buff);
    }
  }
  buff = ""; // Clear the value of "buff"
}
catch(Exception e) {
  println("no valid data");
}
}

```

It is also possible to add scaling and offset to the graphs, as well as recording a text file with comma separated values for further processing using a spreadsheet program. In order to make the sketch respond to various key presses the following code needs to be added in the sketch:

```

void keyPressed() {
  if (key==' ' && mode=="RUN") mode="PAUSE";
  else if (key==' ' && mode=="PAUSE") mode="RUN";
  if (key=='r' && mode!="RECORD") {
    mode="RECORD";
    print("Start recording...");
    filenr++;
    output = createWriter("values"+filenr+".txt");
  }
  if (key=='s' && mode=="RECORD") {
    mode="STOP";
    println('ready!');
    output.flush(); // Write the remaining data
    output.close(); // Finish the file
  }
  if (key=='c') {
    n=21;
    background(255);
  }
  if (key=='1' && amplitude>0.11) amplitude -=0.1;
  if (key=='2') amplitude +=0.1;
  if (key=='3' && timescaling > 0.11) timescaling -=0.1;
  if (key=='4') timescaling +=0.1;
  if (key=='5') offset -=1;
  if (key=='6') offset +=1;

  background(255); // clear screen
  drawscreen(); // redraw axes
}

```

The code has been tested with Processing versions 1.5.x, 2.0.x, and 3.0.x. Although Processing is under continuous development the backward (and forward) compatibility of programs and sketches is good. In [Fig. D.5](#) the plot of six different signals is shown.

D.3 Capacitive sensing

In Chapter 5, Capacitive sensors (Section 5.3.2), a setup to take a capacitive measurement using an Arduino board is shown. The implementation in software to

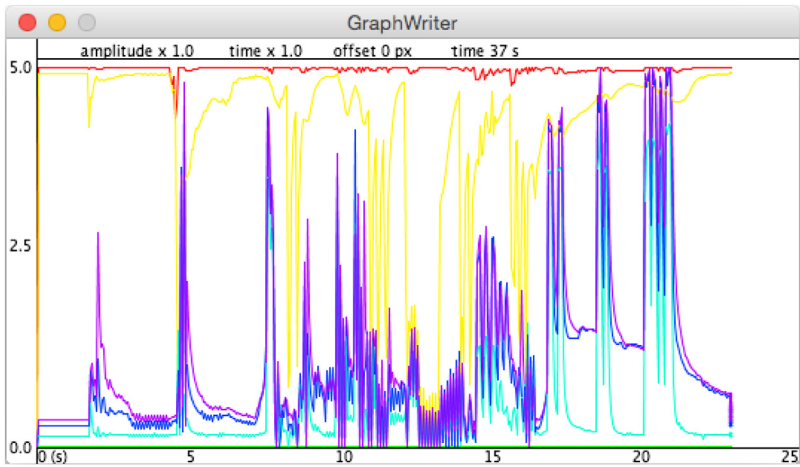


Figure D.5 Graphwriter output in Processing.

facilitate capacitive reading is straightforward. In this section examples will be presented for sensing external capacitance and sensing internal capacitance.

D.3.1 Direct capacitive reading

The following function can be used to take a capacitive reading of an analog voltage using one fixed resistor (i.e., 100 k Ω) and a fixed capacitor (i.e., 100 nF). This principle is explained in Section 5.4 and can be integrated in the graph writer software which is explained in the previous section. A sketch outputting a single capacitive reading and outputting this through the serial port in a format that can be interpreted by the Processing graph writer is shown below:

```
// Simple demonstrator of RC network based ADC
// using
//                               100k
//                               |
// pin2 <-----+-----|-----> Vin
//               |
//               = 100nF
//               |
//               GND
//
// with for example a potentiometer as input source.
// Note that the input impedance is rather low! (100k)
//
```

```

unsigned long looptime;
void setup(){
  Serial.begin(9600);
}
void loop(){
  if (millis()>looptime+49){
    looptime=millis();
    int value;
    pinMode(2,OUTPUT); // capacitor to output
    digitalWrite(2,LOW); // discharge capacitor
    delayMicroseconds(200); // wait to completely discharge
    pinMode(2,INPUT); // switch to input for sampling
    for(int n=0; n<20000; n++) // sample counter
    {
      if (digitalRead(2)==HIGH) {
        value=n;
        break;
      }
      else value=20000;
      delayMicroseconds(1);
    }
    Serial.print('A'); // header character
    Serial.println(2.7/(1-exp(-value/2250))); // the voltage
    // 2.7 is the trigger level of an input pin,
    // 2250 the RC time measured in sample-loops
  }
}

```

For external capacitive sensing it is necessary to use two pins: one dedicated pin as output for charging and discharging the capacitor and one high-impedance input pin to sense the moment when the charge level is higher or lower than 2.5 V (the input pin's trigger level). A similar strategy for measuring the charge time as used in the previous example will be used but this time the internal timer “micros()” will be used together with an external interrupt. The Arduino UNO has two pins (pins 2 and 3) which can be used to trigger a bit of code by external signaling.

```

/* Graph writer sketch for Arduino by E13 using capacitive sensing
 * without using the library
 * This code requires a high value resistor e.g. 10M between
 * send pin and receive pin
 * Resistor effects sensitivity, experiment with values, 50K - 50M.
 * Larger resistor values yield larger sensor values.
 *
 * This code uses two fixed pins (4 to charge, 2 to sense) and an
 * external interrupt (pin 2 on Arduino UNO) to measure charge
 * without using delay based counters.
 */

unsigned long time;
unsigned long startofcharge;
volatile unsigned long chargetime;
boolean measuring;

void setup(){
  Serial.begin(9600);
  pinMode(4,OUTPUT); // output pin 4 charging trough a 10M resistor
  attachInterrupt(0,interrupt,RISING);
  // intput pin 2 (interrupt 1 connects to PIN 3)
}
void loop(){
  ////////// first the charge cycle //////////
  if(!measuring){

```

```
digitalWrite(4,LOW);
pinMode(2,INPUT);
digitalWrite(2,LOW); //pullups off
pinMode(2,OUTPUT); //low: discharge
pinMode(2,INPUT);
digitalWrite(4,HIGH); //start charging
startofcharge = micros();
measuring = 1;
}
//////// transmit data to PC ////////////
if(millis()>time+49){
    time = millis();
    Serial.print('A');
    Serial.println(chargetime);
}
}

void interrupt(){ //following charge cycles
    chargetime = micros()-startofcharge;
    measuring = 0;
}
```

D.3.2 Capacitive sensing using an external library

The following code uses a dedicated library for Arduino called “CapacitiveSensor” which can be obtained online (<http://playground.arduino.cc/Main/CapacitiveSensor>). This example uses the two-part linear slider explained in Section 5.4.3 to detect the linear position of a fingertip. A dedicated pin (pin 4) is used to charge both single-part foil capacitors through a 10 M Ω resistor. For each of the foil sections A and B a different pin (2 and 6) is used to detect the charge or discharge level.

In this case the complete Arduino sketch is presented. The serial data can be visualized with the Processing graph writer sketch.

```

/* Graphwriter sketch for Arduino by E13 using the
 * CapacitiveSensor Library by Paul Badger 2008
 *
 * This sketch uses a plate consisting of two triangles, using the
capacitive reading
 * as linear slider. The formula used is (A-B)/(A+B) in order to get rid
of the
 * cumulative effect by proximity sensing
 *
 * _____ / |
 * A--| _--/_ --/_ --/_ |__B
 * |_--/_ _/_ _--/_ |
 *
 * Uses a high value resistor e.g. 10M between send pin and receive pin
 * Resistor effects sensitivity, experiment with values, 50K - 50M.
Larger resistor values yield larger sensor values.
 */
#include <CapacitiveSensor.h>
CapacitiveSensor sideA = CapacitiveSensor(4, 2); // 10M resistor
between pins 4 & 2, pin 2 is sensor pin
CapacitiveSensor sideB = CapacitiveSensor(4, 6); // 10M resistor
between pins 4 & 6, pin 6 is sensor pin
unsigned long loopTime;
void setup() {
    Serial.begin(9600);
}
void loop() {
    if (millis() > loopTime + 49) {
        loopTime = millis();
        long totalA = sideA.capacitiveSensor(30);
        long totalB = sideB.capacitiveSensor(30);

```

```

    int aValue = min(totalA / 2, 1023); // make sure they fit
    graphwriters [0..1023] range
    int bValue = min(totalB / 2, 1023);
    Serial.print('A');
    Serial.println(aValue);                // print sensor output 1
    Serial.print('B');
    Serial.println(bValue);                // print sensor output 2
    Serial.print('C');
    if ((aValue + bValue) > 300) Serial.println((int)(1024 *
    (((float)aValue - (float)bValue) / ((float)aValue + (float)bValue)) +
    512));
    else Serial.println(0);
  }
}

```

Capacitive reading in an embedded system can be literally time-consuming. Although the library shown is straightforward to implement, measurements prevent the controller from doing something (else) in the meantime. The interrupt driven approach in the second example tries to offer a solution for that.

It is good to realize that as soon as electrical contact is made between a capacitive sensing plate and the human hand, charge times can approach infinity (or are limited by software). For reliable operation it is important that no electric connection between user and sensing plate is made.

D.4 Magnetic sensing

As described in Chapter 6, Inductive and magnetic sensors, many magnetic or inductive sensors contain integrated electronics for signal processing, so they can be interfaced to an embedded system either by connecting an analog voltage to an ADC or by connecting a dedicated communication bus such as I2C or SPI.

Transformer-type sensors such as the LVDT or synchro/resolver require a different approach. Although dedicated solutions exist, it might be insightful to illustrate how to connect one of these sensors to an embedded system with a minimum of extra components. The approach and necessary schematics are sketched in Section 6.4.2.

D.4.1 Arduino oscilloscope sketch

In the provided Arduino code the main feature is to output a sine wave on three different channels. Since the signals will be generated as pulse width modulation (PWM) rather than analog voltages a simple low-pass filter will be added to filter out the unwanted PWM switching noise. Since the returning signal is of periodic nature rather than a continuously changing voltage in time, an oscilloscope is a more convenient approach to visualize the sensor data. The next sketch generates an array with the signal that is used as output. It subsequently captures a window of 480 values and transmits these serially to Processing. The reason to choose

480 values is that it should be possible to divide the number in three integer periods so a three-phase signal can be generated.

The code uses a timer overflow for setting the analog signal levels at the correct timing interval, in this case at 4.8 kHz. The used baud rate is slightly higher than standard: 38400 Bd instead of 9600 Bd.

```

////////////////////////////////////
// Oscilloscope + Arbitrary waveform generator with data capture at 4.8khz
// At start of the sketch an array is filled with waveform data
// this data is sent to PWM-DAC port (using RC filter) at pin 9 and
// captured on analogue pin 0 (also with 4.8
// The following RC filter is used to cut out the PWM frequency:
//
// 9 >-|---|-----> out
//      1k      |
//      10uF     =
//              |
//              GND
//
// Each captured frame of [480] values is sent to the serial port
// the output signal of pin 9 is also outputted on pin 5 and 6
// with a 120deg change in phase (to work with synchros)
////////////////////////////////////
#define analogInPin 0 // Analog input pin
const float Pi = 3.141592;
char sensorValue[480]; // value read from the pot
char outputValue[480]; // value output to the PWM (analog out)
float x_val,y_val;
int z,frame;
void setup() {
  Serial.begin(38400);
  for(int n=0;n<480;n++){
    // select any of these functions to change the output signal.
    //outputValue[n]=(n*5)%240; // sawtooth
    outputValue[n]=(char)127*sin((float)2*Pi*n/48)+127; // sine
    //if(sin((float)2*Pi*n/48)>0) outputValue[n]=255;
    //else outputValue[n]=0; // square
    //if(((n*10)%480)>240) outputValue[n] = 240-(n*10)%480;
    //else outputValue[n] = (n*10)%480; // triangle
  }
  // the PWM timer and interrupt timer bits: no change is needed here
  TCCR1B=1; // set clock divider for PWM timer1 at 1 -> Fast PWM
  TCCR0B=1; // set clock divider for PWM timer0 at 1 -> Fast PWM
  // setting TIMER2 for interrupts
  TCCR2A = 0; // no ocr or pwm
  TCCR2B = 4; //1<<CS22 | 0<<CS21 | 0<<CS20 -- for clock div 64
  TIMSK2 = 1<<TOIE2; // enable timer 1 interrupt
  TCNT2 = 0xcc; // 4.8 kHz
}
void loop() {
  if (frame>0){
    for(int n=0;n<480;n++){
      Serial.print((char)sensorValue[n]); // send the entire frame
    } // on the serial port
    frame = 0;
    //Serial.println(360*asin((analogRead(A0) - 512)/372.0)/(2*3.141592));
  }
}
ISR(TIMER2_OVF_vect) {
  TCNT2 = 0xcc; // reload timer at 4.8kHz
  analogWrite(9, outputValue[z]); // 0 deg phase
  analogWrite(5, outputValue[(z+16)%480]); // 120 deg phase
  analogWrite(6, outputValue[(z+32)%480]); // 240 deg phase
  sensorValue[z]=analogRead(analogInPin)/8; // store the analog input (as byte
  value)
  z++;
  if(z>479) {z=0;frame++; }
}

```

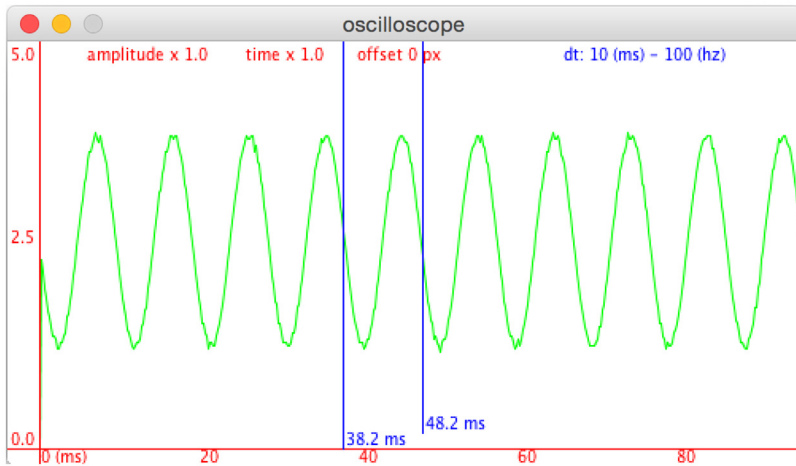


Figure D.6 Processing.org plot of Arduino's oscilloscope sketch output.

In the void `setup()` it is possible to select different wave shapes (triangle, square, saw tooth) but usually the sine wave gives the smoothest response, introducing no other high frequency components in the returning signal. They are kept in as illustration; the sketch can be used as (low frequency) general purpose oscilloscope as well.

D.4.2 Processing oscilloscope sketch

In Processing again, a slightly larger sketch is necessary. Every frame of 480 values is received and displayed 10 times per second. An option is added to add a cursor (with left and right mouse button). The output of the sketch is shown in [Fig. D.6](#).

Using buttons small adjustments in offset, amplitude, and timescale can be made. When the sketch is run for the first time it might be necessary to generate the used screen font. The Processing application will give the necessary instructions to do so. The code of the sketch is the following:

```

////////////////////////////////////
// Simple oscilloscope program
//
// working with an Arduino sending 480 bytes of data
// with a frequency of 10 Hz.
//
// On first time use the font for the screen needs to be created!
// adjust amplitude with [e] and [x]
// adjust vertical offset with [w] and [z]
// adjust timescale with [a] and [s]
// Left and Right mouse can put a measurement cursor in the plane
//
// E.Dertien - (cc-ny-sa) 2018
////////////////////////////////////

import processing.serial.*;
Serial port;
String buff = "";
int dataPresent;

```



```

PFont fontA;
int cursor1,cursor2;
int offset = 0;
float timescaling = 1;
float amplitude = 1;

void setup(){
  size(500,266);
  println("Available serial ports:");
  for (int i = 0; i<Serial.list().length; i++)
  {
    print("[ " + i + " ] ");
    println(Serial.list()[i]);
  }
  port = new Serial(this, Serial.list()[7], 38400);
  port.buffer(480);
  fontA = loadFont("SansSerif-10.vlw");
  textFont(fontA, 10);
  // port.clear();
}

void draw(){
  float n_max = 480;
  int diffValue = 0;
  background(255);
  if (dataPresent>0){
    stroke(0,255,0);
    if (timescaling>0) n_max = 480/timescaling;
    else n_max = 480;
    if (n_max>480) n_max=480;

    for (int n=1;n<n_max;n++){
      line(((n-1)*timescaling)+20,255-((2*amplitude*diffValue)-
offset), (n*timescaling)+20,255-((2*amplitude*buff.charAt(n))-offset));
      diffValue=buff.charAt(n);
    }
    drawscreen();
    drawcursor();
  }
}

void drawscreen(){
  stroke(255,0,0);
  line(0,height-10,width,height-10);
  line(20,0,20,height);
  fill(255,0,0);
  text("0.0",2,254+offset);
  text(nf(2.5/amplitude,1,1),2,127+offset);
  text(nf(5.0/amplitude,1,1),2,12+offset);
  text("amplitude x "+nf(amplitude,1,1),50,12);
  text("time x "+nf(timescaling,1,1),150,12);
  text("offset "+offset+ " px",220,12);
  text("0 (ms)",21,height-1);
  for (int n=1;n<6;n++){
    text(nf((20*n)/timescaling,0,0),100*n+21,height-1);
  }
}

void drawcursor(){
  if (cursor1>20) {
    stroke(0,0,255);
    line(cursor1,0,cursor1,height-10);
    fill(0,0,255);
    text(nf((cursor1-20)/(5*timescaling),0,0) + " ms",cursor1+2,height-12);
  }
  if (cursor2>20) {
    stroke(0,0,255);
    line(cursor2,0,cursor2,height-20);
    fill(0,0,255);
    text(nf((cursor2-20)/(5*timescaling),0,0) + " ms",cursor2+2,height-22);
    if(cursor1>20){
      fill(0,0,255);
      text("dt: " + nf(abs(((cursor2-20)/(5*timescaling))-((cursor1-
20)/(5*timescaling))),0,0) + " (ms) - " + nf(1000/abs(((cursor2-
20)/(5*timescaling))-((cursor1-20)/(5*timescaling))),0,0) + " (hz)",350,12);
    }
  }
}

```

```

void serialEvent(Serial port) {
  try { // try-catch because of transmission errors
    buff =port.readString();
    dataPresent++;
  }
  catch(Exception e) {
    println("no valid data");
  }
}

void keyPressed(){
  if (key=='w') offset-=10;
  if (key=='z') offset+=10;
  if (key=='e') amplitude+=0.1;
  if (key=='x') amplitude-=0.1;
  if (key=='a') timescaling-=0.1;
  if (key=='s') timescaling+=0.1;
  if (key=='c') {offset=0;amplitude=1;timescaling=1;cursor1=-200;cursor2=-
200;}
}

void mousePressed(){
  if (mouseButton==LEFT) cursor1=mouseX;
  if (mouseButton==RIGHT) cursor2=mouseX;
}

```

D.5 Optical sensing

In the mechatronic domain of robotics one of the most frequently used position sensors is the rotary incremental encoder. The sensors are usually compatible with the logic levels of embedded systems so they can be interfaced with microcontrollers directly. In the microcontroller a number of options exist for measuring the position. The best choice depends on the desired resolution (i.e., single, half of full-quadrature) and code efficiency. A number of options are sketched in the following sections.

D.5.1 Sample timer approach

Incremental encoders usually have two signal outputs A and B which differ 90° in phase. This yield four unique signaling options (as described and shown in Section 7.2.3). An option to measure each possible phase of the incremental encoder is by using a sampling routine which operates on a higher frequency (Nyquist criterion) than the highest expected pulse rate of the encoder. Note that since the position variable is accessed from both the scope of the main loop and the interrupt routine it has to be declared as “volatile”.

```

////////////////////////////////////
// sampling encoder example
//
// uses TIMER 2 interrupt to sample the encoder
// The encoder is sampled in full quadrature
//
// This code works with optical or switching encoders
// In this sketch a BOURNS ECW1J-series encoder is
// connected to Pins 2 and 3. Pinout of the encoder is
// 1   2   3
// A - GND - B
////////////////////////////////////
volatile int position,dA,dB;
// hardware pins
int phaseA = 2;

```

```

int phaseB = 3;
unsigned long looptime;
void setup()
{
  Serial.begin(9600);
  digitalWrite(phaseA,HIGH); // pullup resistors on input
  digitalWrite(phaseB,HIGH); // pullup resistors on input
  // initialize interrupt timer 2
  TCCR2A = 0; // no ocr or pwm
  TCCR2B = 4; //1<<CS22 | 0<<CS21 | 0<<CS20 -- for clock div 64
  TIMSK2 = 1<<TOIE2; // enable timer 1 interrupt
  TCNT2 = 0xe7; // 5 kHz
}
void loop(){
  if(millis()>looptime+49){
    looptime = millis();
    Serial.print('A');
    Serial.println(position);
  }
}
ISR(TIMER2_OVF_vect) {
  TCNT2 = 0xe7; // reload timer at 5kHz
  int A=digitalRead(phaseA);
  int B=digitalRead(phaseB);
  // full quadrature:
  if((A==1 && dA==0 && B==0) || (A==0 && dA==1 && B==1) || (B==1
&& dB==0 && A==1) || (B==0 && dB==1 && A==0)) position++;
  if((B==1 && dB==0 && A==0) || (B==0 && dB==1 && A==1) || (A==1
&& dA==0 && B==1) || (A==0 && dA==1 && B==0)) position--;
  dA=A; // store previous values
  dB=B; // store previous values
}

```

D.5.2 External interrupt

All boards in the Arduino series have pins which can be used to trigger an external interrupt. Upon signal change or state, a bit of code (the interrupt service routine) will be executed. On the Arduino Uno two external interrupt pins are available on pins 2 and 3 (<https://www.arduino.cc/reference/en/language/functions/external-interrupts/attachinterrupt/>).

In the following example only one of the phases is used to trigger an external interrupt, the other phase is used to determine the rotation direction. The trigger for the interrupt can be a RISING or FALLING edge, or a more generic CHANGE.

```

////////////////////////////////////
// interrupt encoder example, single phase
//
// This code works with optical or switching encoders.
// In this sketch a BOURNS ECW1J-series encoder is
// connected to Pins 2 and 3. Pinout of the encoder is
// 1   2   3
// A - GND - B
////////////////////////////////////
volatile int position;
// hardware pins
int phaseA = 2;
int phaseB = 3;
unsigned long looptime;
void setup(){

```

```

Serial.begin(9600);
digitalWrite(phaseA,HIGH); // pullup resistors on input
digitalWrite(phaseB,HIGH); // pullup resistors on input
attachInterrupt(0, encoder, RISING); // triggers on phaseA (port 2)
}
void loop(){
  if(millis()>looptime+49){
    looptime=millis();
    Serial.print('A');
    Serial.println(position);
  }
}
void encoder(){ // encoder interrupt service routine
  if (digitalRead(phaseB)>0) position++;
  else position--;
}

```

D.5.3 External interrupt, full quadrature

It is also possible to use both external interrupts and have them trigger on a CHANGE of input. The following code detects the position in full quadrature:

```

////////////////////////////////////
// interrupt encoder example
//
// In this sketch a BOURNS ECW1J-series encoder is
// connected to Pins 2 and 3. Pinout of the encoder is
// 1 2 3
// A - GND - B
////////////////////////////////////
volatile int position;
// hardware pins
int phaseA = 2;
int phaseB = 3;
unsigned long looptime;
void setup(){
  Serial.begin(9600);
  digitalWrite(phaseA,HIGH); // pullup resistors on input
  digitalWrite(phaseB,HIGH); // pullup resistors on input
  attachInterrupt(0, encoderA, CHANGE); // triggers on phaseA (port 2)
  attachInterrupt(1, encoderB, CHANGE); // triggers on phaseB (port 3)
}
void loop(){
  if(millis()>looptime+49){
    looptime = millis();
    Serial.print('A');
    Serial.println(position);
  }
}
void encoderA(){ // encoder service routine
  int A = digitalRead(phaseA);
  int B = digitalRead(phaseB);
  if ((A==1 && B==0) || (A==0 && B==1)) position++;
  if ((A==1 && B==1) || (A==0 && B==0)) position--;
}
void encoderB(){ // encoder service routine
  int A = digitalRead(phaseA);
  int B = digitalRead(phaseB);
  if ((A==1 && B==0) || (A==0 && B==1)) position--;
  if ((A==1 && B==1) || (A==0 && B==0)) position++;
}

```

D.5.4 Linear gray encoder

An illustrative example of a linear gray encoder is the following. A linear array of reflection sensors as shown in Fig. D.7 (<https://www.pololu.com/product/960>) has been described as in Section 5.3.2 where the output is measured as capacitive

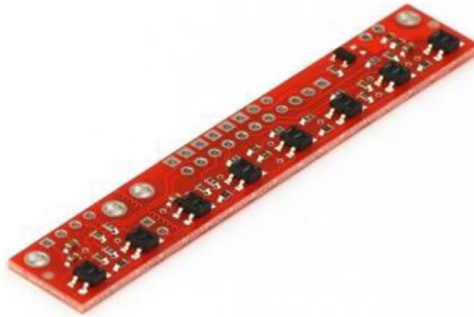


Figure D.7 Linear reflective encoder strip by Pololu (<https://www.pololu.com/product/960>).



Figure D.8 Gray encoder strip.

change. The optical sensor can also be interfaced for measuring output voltages directly. A reflective strip using a gray encoded pattern is needed as input, which has been described in Section 7.2.3. An example of this linear encoder strip can be generated on paper.

The following sketch in Processing outputs an *.pdf file which can be printed out as encoder strip, 8 bit resolution, using “bits” of 1.0 x 1.0 cm. This strip is shown in [Fig. D.8](#).

```
import processing.pdf.*;
int bitdepth = 8;
int bitheight = 10;
int bitlength = 10;
void setup(){
  size((int)pow(2,bitdepth)*bitlength,(int)bitheight*bitdepth);
  noLoop();
  beginRecord(PDF, "grayEncoder.pdf");
  noStroke();
}
void draw()
{
  for (int n=0; n<pow(2,bitdepth);n++)
  {
    for (int z=0; z<bitdepth; z++)
    {
      // for a gray code with base 2 (binary), the n'th value is
      // given by n ^ n/2 (^=xor)
      // if((n & (1<z))>0) fill(255); // for non-gray code
      if(((n^(n/2)) & (1<z))>0) fill(255);
      else fill(0);
      rect(n*bitlength,z*bitlength,bitlength,bitheight);
    }
  }
  endRecord();
}
```

The generated file presents one full encoder strip, see [Fig. D.8](#).

In Arduino the following sketch interfaces the linear sensor and transmits the decoded value:

```

////////////////////////////////////
// Sketch to use a Pololu 8-channel reflective sensor
// to measure the absolute position on a printed paper strip
// This sketch allows for two binary formats: standard binary
// and a gray coded scheme.
//
// Sensor array pins 1 to 8 are connected to Arduino pin 3 to pin 10
// Sensor supply and ground are connected to +5V and GND
// Sensor LEDON signal needs to be connected to +5V
////////////////////////////////////
unsigned long looptime;
void setup() {
  Serial.begin(9600);
  pinMode(2, OUTPUT); // to connect the LEDON signal of the module
  digitalWrite(2, HIGH);
}
void loop() {
  if (millis() > looptime + 49) {
    looptime = millis();
    unsigned char x = 0;
    for (int n = 0; n <= 8; n++) {
      if (digitalRead(n + 3)) x += 1 << n;
    }
    Serial.print('A');
    Serial.println(x);
    Serial.print('B');
    Serial.println(grayToBinary(255 - x));
  }
  unsigned int binaryToGray(unsigned int num) { // not needed
    return (num >> 1) ^ num;
  }
  unsigned int grayToBinary(unsigned int num) {
    unsigned int numBits = 8 * sizeof(num);
    unsigned int shift;
    for (shift = 1; shift < numBits; shift *= 2) {
      num ^= num >> shift;
    }
    return num;
  }
}

```

D.6 Further reading

Both Arduino and Processing have been under continuous development since 2005 and 2001, respectively. Although the communities and developers are very active, fortunately the core technology, form factor and main ideas have not changed dramatically in the last decade. Many new boards will be made available in the Arduino series, many new libraries and functionalities will be added to Processing. The examples sketched in this chapter are meant to be as “generic” as possible in order to keep usefulness over a longer period of time.

Distributors and manufacturers such as Adafruit (<http://learn.adafruit.com>), Sparkfun (<https://learn.sparkfun.com/>), and Seeed studio (<https://www.seeedstudio.com/>) are working continuously to make every new sensor, transceiver, protocol, or technology available to the ecosystem, of which Arduino and Maker culture are part of. Invaluable sources of information are the websites of said manufacturers. Besides these, also DIY magazines (Make:magazine, MagPi, and Elektor) can be seen as an invaluable resource for the mechatronic application domain. With this chapter we hope to bridge the gap between the industrial mechatronic world and the DIY Maker world even further.

Index

Note: Page numbers followed by “*f*” and “*t*” refer to figures and tables, respectively.

A

- Absolute encoders, 199–200, 199*f*
- Absorption loss, 269
- Acceleration, 6, 72, 174, 176, 222, 262–263
 - sensors, 254–258
- Accelerometers, 3–5, 43, 44*t*, 88, 126, 176, 255
 - characteristics, 257–258
 - maximum ratings of piezoelectric sensors, 258*t*
 - specifications, 258*t*
- Accuracy, 42
- Acoustic beacons, 289
- Acoustic damping, 269, 294
 - attenuation coefficients for air, 270*t*
- Acoustic echo location in air, 288
- Acoustic imaging, 11–12, 12*f*, 293–294
- Acoustic impedance, 270–271
 - acoustic properties of materials, 270*t*
- Acoustic medium properties, 267–271
 - acoustic damping, 269
 - acoustic impedance, 270–271
 - sound intensity and pressure, 268
 - sound propagation speed, 268–269
- Acoustic properties of materials, 268, 270–271, 270*t*
- Acoustic sensors, 267. *See also* Piezoelectric sensors
 - applications, 286–297
 - inspection, 292–296
 - interfacing acoustic sensors to embedded systems, 296–297
 - navigation, 286–292
 - arrays, 277–278
 - electrostatic transducers, 274–276
 - general properties, 271–274
 - acoustic field for piston type emitter, 271*f*
 - axial pressure distribution, 272*f*
 - directivity plots, 274*f*
 - measurement methods, 278–286
 - piezoelectric transducers, 276–277
 - properties of acoustic medium, 267–271
- Acoustic signature technique, 11–12, 12*f*
- Acoustic ToF measurement system, 292–293
- Acoustic transducers, 286–287
- Across-variables, 24, 26–27
- Active filters, 340
- Active guarding, 119, 119*f*
- Actuator, 1–2, 2*f*
- ADC. *See* Analog to digital converter (ADC)
- Additive errors, 45, 58, 328–329
- Air humidity, 269
- Amplitude modulated signal (AM signal), 52, 53*f*, 281–282, 282*f*
 - with suppressed carrier, 53–54
- Amplitude modulation, 51–52, 281–282
- AMR. *See* Anisotropic magnetoresistivity (AMR)
- Analog to digital converter (ADC), 18, 68, 170, 329
 - on Arduino, 345–350
 - Arduino code example, 345–347
 - Processing code example, 347–350
- “analogRead()” functions, 18
- Analogue processing, 2
- Analogue sensors, 6–8
- Angle measurements, 222–223
- Angle of divergence, 273
- Angle of rotation, 70–71
- Angular acceleration, 225
- Angular displacement, 72, 174. *See also* Linear displacement
 - sensing, 222–225
- Angular position, 71, 133–134
- Angular rate, 223
- Angular sensor, 4–5

Angular sensor (*Continued*)
 capacitive, 124
 capacitive multiplate angular sensors,
 124–125, 124*f*
 magnetic, 177
 Angular speed, 133–134
 Anisotropic elastomers, 95
 Anisotropic magnetoresistivity (AMR),
 100
 sensors, 103, 104*f*, 173
 Anisotropic resistivity, 100
 Application-specific integrated circuit
 (ASIC), 127
 Arduino board, 20
 instrumentation amplifier to, 99, 99*f*
 measuring capacitance by, 131, 132*f*
 measuring voltage using RC-network,
 131–132, 132*f*
 potentiometer connecting to, 68, 69*f*
 Arduino code example, 345–347
 Arduino IDE, 18–20, 19*f*
 Arduino system, 343, 345
 Integrated Development Environment
 (IDE), 343–344, 344*f*
 starter-kits, 343*f*
 Arduino UNO board, 17–18, 17*f*, 343–345,
 352–353, 359
 Area cameras, 237
 Area scan cameras, 187–188
 Arrays, 277–278
 beam narrowing and steering by phased
 arrays, 278*f*
 ASIC. *See* Application-specific integrated
 circuit (ASIC)
 ATmega328p controller, 17–18
 ATmega8U2 microcontroller, 17
 Atmel AVR RISC, 17
 Autocorrelation of wide-band Gaussian
 noise, 286
 Automated guided vehicles (AGVs), 286
 Automatic focusing systems, 217

B

Band-pass filter, 57
 Bandwidth, 43, 276
 “Barber pole” construction, 101–102
 Barium titanate (BaTiO_3), 246
 Beacons, 237–238, 238*f*, 290
 navigation with, 291*f*

BEAM robots. *See* Biology, Electronics,
 Aesthetics, and Mechanics robots
 (BEAM robots)
 Beam splitter (M_S), 206–207, 206*f*
 Big data, 15
 Binary displacement sensors, 4–5, 7, 171,
 223. *See also* Induction-based
 displacement
 Binary sensors, 6–8, 7*f*, 8*t*
 Binary-coded signal, 286
 Biology, Electronics, Aesthetics, and
 Mechanics robots (BEAM robots),
 236, 236*f*
 Body parameters, 91
 Bondgraph notation, 26
 Bouncing, 157
 effect, 7
 Bridge modulator, 52, 55
 Bridge sensitivity, 77–78
 Bridge transfer, 80, 128
 Buffer configuration, 330
 Burst, 278*f*, 279–281
 mode, 284–285
 ToF inaccuracies, 280*f*
 Butterworth characteristic, 341–342
 Cadmium selenide (CdSe), 109

C

Cadmium sulfide (CdS), 109
 Capacitance, 115–119, 341–342
 difference, 49
 external, 130, 131*f*, 139–141
 feedback, 129
 internal, 130–131, 135–139
 stray, 129, 132–133
 Capacitive “click wheel”, 140
 Capacitive accelerometer(s), 127
 in MEMS technology, 127
 system, 49, 49*f*
 Capacitive sensing, 350–354
 direct capacitive reading, 351–352
 using external library, 353–354
 Capacitive sensors, 96, 115, 353. *See also*
 Resistive sensors
 applications, 132–141
 audio player with capacitive input device,
 140*f*
 basic configurations, 119–127
 flat-plate capacitive sensors, 119–123

- multiplate capacitive sensors, 123–125
 - silicon capacitive sensors, 125–127
 - capacitance and permittivity, 115–119
 - capacitive displacement sensors, 118, 118*f*, 120*f*
 - capacitive level measurements, 137*f*
 - dielectric constants of environmental and construction materials, 116*t*
 - interfacing, 127–132
 - measuring finger position by capacitance difference, 141*f*
 - for position-and force-related quantities, 132–135
 - relation between voltage and charge, 116*f*
 - sensing applications
 - using external capacitances, 139–141
 - using internal capacitances, 135–139
 - specifications, 133*t*
 - 3D force sensor layout with elastic dielectric, 134*f*
 - Capacitive touch-sensor, 140, 141*f*
 - Capacitive ultrasonic transducer, 274
 - Capacitor, 115–116, 130–131, 139
 - feedback, 70
 - flat-plate, 127
 - parallel plate, 117
 - rotary, 135, 136*f*
 - Carrier frequency, 281–282
 - CCD matrix camera. *See* Charge Coupled Device matrix camera (CCD matrix camera)
 - CdS. *See* Cadmium sulfide (CdS)
 - CdSe. *See* Cadmium selenide (CdSe)
 - Charge amplifier, 259
 - Charge Coupled Device matrix camera (CCD matrix camera), 10, 187
 - “Chirp”, 282
 - Chopping, 153
 - Classical interferometer, 206
 - CMOS, 10
 - camera, 187
 - interface circuit, 174
 - CMRR. *See* Common mode rejection ratio (CMRR)
 - Coils, 149–150, 155
 - Color camera, 237
 - Colossal magnetoresistivity, 102
 - Comb drives, 127
 - Comb structures, 127
 - Commercial accelerometers, 255
 - Commercial barber pole magnetic sensors, 102
 - Commercial sensors, 255
 - Common mode rejection ratio (CMRR), 327
 - Comparator, 333–334, 334*t*
 - Compensation, 45–47, 46*f*
 - Compliance, 308, 310*t*
 - Concatenation of transduction steps, 5
 - Condenser or electret microphone, 138–139, 138*f*
 - Conductance measurement, 98, 98*f*
 - Conductivity, 61, 305
 - Cone angle, 273
 - Constitutive equation, 249–250
 - Constructive interference, 204
 - Contact-free
 - distance
 - distance sensors, 194
 - measurements, 216, 219, 267
 - potentiometers, 68–70, 69*f*
 - sensing using magnetic and inductive sensors, 171–173
 - velocity, 174
 - Continuous mode, 282, 284–285
 - Continuous sine wave (CW), 281–282
 - Contour recognition, 263
 - Conversion principles, sensor classification
 - based on, 33–34, 34*f*
 - Coordinate measurement machines, 229
 - Coriolis mass flow sensor, 5
 - Correction methods, 57–60
 - Cosine law of Lambert, 313
 - Coupling constant, 250–251
 - Coupling factor (k), 248
 - Cross-sensitivity, 44–45
 - Crosstalk, 94
 - Curie temperature, 246
 - Current leakage, 254
 - Current-to-voltage converter, 329–330
 - Cut-off frequency, 261
 - CWFM method, 283–285, 285*f*
- D**
- Dark current, 189
 - Data processing, 10
 - Demodulation, 55–57. *See also* Modulation
 - Dependent variables, 24–25
 - Depth profile. *See* Height profile

- Design-controlled LIP input
 - and design-controlled interrogating input, 32
 - and environment-controlled interrogating input, 32–33
- Destructive interference, 204
- Detection. *See* Demodulation
- Detectors, 6–7
- Dielectric constant (ϵ), 23, 116, 116*t*, 136
- Differential coil, 160
- Differentiator, 335–340
- Dimensional metrology, 231
- Diode array, 187
- Direct capacitive reading, 351–352
- Direct input transducer, 36
- Direct mode, 228
- Direct output transducer, 36
- Direct sensors, 31–32, 31*f*
- Direction sensitivity, 203
- Directivity diagram, 186–187, 273
- Displacement sensor, 4–5, 31, 91, 157–158, 157*f*, 158*f*, 219–220
- Distance measurements, 217–218
 - contact-free distance measurement, 216, 219
- Distance sensor, 4–5
- DIY magazines, 362
- Duty cycle, 305
- Dynamic characteristics, 39
- Dynamic correction, 57–58
- E**
- Echo amplitude, 290
- Echo burst, 280
- Eddy currents, 147–148, 159
 - displacement sensors, 158–161, 160*f*
 - proximity sensor principle, 159, 159*f*
 - sensor, 5
 - sensors applications, 173–176, 174*f*
 - angular displacement, 174
 - linear displacement and velocity, 174–175
 - nondestructive testing and material characterization, 175–176
- Effort variables, 25–26
- Elastomagnetic sensors.
 - See* Magnetostrictive sensors
- Electric permittivity, 305
- Electrical conductivity, 61
- Electrical domain, 305. *See also* Mechanical domain; Optical domain; Thermal domain
 - electrical and magnetic quantities, 306*t*
 - electrical properties, 306*t*
 - signal variables, 306*t*
- Electrical noise, 42
- Electrical two-port system, 247
- Electro-optical components, 183–191
 - light emitters, 184–187, 184*t*
 - light sensors, 187–189
 - position sensitive diode, 189–191, 189*f*
 - typical characteristics of light-emitting devices, 186*f*
- Electromagnetic quantities
 - Eddy currents, 147–148
 - flux, 145–147
 - magnetic field strength, 145–147, 145*f*, 146*f*
 - magnetic induction, 145–147
 - magnetic resistance, 148–149
 - magnetostriction, 149
 - permeability, 147, 148*t*
 - self-inductance, 148–149
- Electromagnetic waves, 267–268
- Electronic signal processing, 6
- Electrostatic transducers, 274–276, 275*f*, 283, 289
- “Elsie” robot, 235–236, 235*f*
- Embedded control architecture, 17–18
- Embedded sensing, 15–20
 - developing applications, 18–20, 19*f*
 - embedded control architecture, 17–18
 - interfacing, conditioning, processing, 15–16
 - platform for illustration, 17
 - steps, 16*f*
- Embedded systems
 - interfacing acoustic sensors to, 296–297
 - interfacing optical sensors to, 213–215
 - interfacing to, 169–171
- Encoder, 4–5
 - absolute. *See* Absolute encoders
 - incremental. *See* Incremental encoders
 - optical, 198–204, 198*f*, 204*t*
- End-effector of robot, 228–229
- End-point linearity error, 66–67
- End-point nonlinearity, 41
- Energy, 24, 31

- conjugation, 26, 26*t*
- energy domain, sensor classification
 - according to, 34–36, 35*f*
- flow in direct and modulating sensors, 31*f*
- forms, 34–35
- Environment-controlled LIP input
 - and design-controlled interrogating input, 33
 - and environment-controlled interrogating input, 33
- Environmental characteristics, 39
- Epilayer. *See* Epitaxial layer
- Epitaxial layer, 87
- Error signals, 51
- Euler's equation for acceleration of fluid, 269
- Excitation coil, 154–155
- Extensive quantity, 24
- External capacitances, sensing applications using, 139–141
- “External interrupts”, 215
- F**
- Fabry–Perot interferometer, 207
- Feedback, 216, 217*f*
 - capacitor, 70
 - methods, 48–50
 - system, 48, 48*f*, 49*f*
- FEM. *See* Finite element method (FEM)
- Ferromagnetic alloys, 100
- Ferromagnetic material, 153
- Film potentiometers, 68–69
- Filtering, 51
 - after transduction, 51
 - prior to transduction, 51
- Filters, 340–342
- Finite element method (FEM), 145–146, 146*f*
- Firmata, 347
- First law of thermodynamics, 319
- First-order low-pass behavior, 70
- Flat coil sensor, 175
- Flat coiled eddy current sensors, 175
- Flat-plate capacitive sensors, 119–123.
 - See also* Multiplate capacitive sensors
 - basic capacitive displacement sensors with variable surface area, 120*f*
 - differential capacitive displacement sensor
 - with current read-out, 121*f*
 - phase of output current vs. displacement, 123*f*
 - phase read-out of capacitive displacement sensor, 122, 122*f*
- Flat-plate capacitors, 118–119, 118*f*
- Flatness, 219–220
- Flipping, 59
- Flow variables, 25–26
- Flow velocity of substance, 138
- Fluidic inclinometers, 223
- Flux, 145–147
 - concentrators, 153, 153*f*
- Fluxgate sensors, 149–150, 154–155, 154*f*, 155*t*, 177
- FMCW. *See* Frequency-modulated continuous waves (FMCW)
- Foil gauges, 73
- Foil potentiometers, 70, 71*f*
- Force, 177, 225–227
 - force-sensitive resistors, 92
 - force–torque sensor, 5, 81, 82*f*
 - sensors, 5, 72, 88, 254–258
- Foucault currents, 159, 186
- Free field pressure, 268
- Free-charge carriers, 158
- Frequency
 - conversion, 52
 - frequency-dependent gain, 332–333
 - response, 43
 - shift, 286
- Frequency-modulated continuous waves (FMCW), 279, 282–285, 283*f*, 291
- Fringe fields, 115–116, 119, 119*f*
- Full scale (FS), 43, 72
- “Full-bridge” configuration, 77
- G**
- Gas laser, 185
- Gas pressure sensors, 88
- Gauge factor, 73
 - of n-type silicon, 84
 - of p-silicon, 84–85
- Gauss effect, 151
- Gaussian noise, 286
- Generalized equations, 319–321
- Generalized I-variables. *See* Through-variables

Generic 3D printer extruder, 108, 108*f*
 Giant magnetic impedance effect (GMI effect), 102
 Giant magnetic resistance effect (GMR effect), 102
 Gibbs free energy of system, 319–320
 Gibbs potential, 27
 GMI effect. *See* Giant magnetic impedance effect (GMI effect)
 GMR effect. *See* Giant magnetic resistance effect (GMR effect)
 Graphical data, 186
 Graphwriter code in Processing, 347*f*
 Guarding, 119
 Gyroscopes, 3, 5, 72, 88

H

Half angle, 273
 “Half-bridge” configuration, 77
 Hall coefficient, 151–152, 152*t*
 Hall effect, 151, 153–154
 Hall effect–based position sensors, 103
 sensors with integrated processing, 173*f*
 Hall plate sensors, 151–154, 152*t*
 Hall sensor, 5, 33, 149–150, 153–154
 principle, 151–152, 151*f*
 Hall voltage, 151–152
 Heckmann diagrams, 323–324, 324*f*, 325*f*
 Height profile, 234
 High quality operational amplifier, 261
 Hooke’s law, 79, 81, 307–310
 Humanoid robotics, 83
 Humidity, 118
 sensors, 43, 44*t*
 Hysteresis, 40–41

I

Ice deposition, 261
 iCub project, 83, 84*f*
 IDE. *See* Integrated development environment (IDE)
 Ideal operational amplifier, 328*f*
 Ideal potentiometer, 64
 transfer characteristic, 64*f*
 Illumination, 10
 Image acquisition, 8–10
 Image sensors, 6, 8–10, 9*f*
 Inclinometers, 91
 sensor, 223

Incremental encoders, 199–201, 203*f*, 215
 absolute, 199–200, 199*f*
 interferometric, 208
 optical, 198–204, 198*f*, 204*t*
 Independent nonlinearity, 41
 Independent variables, 24–25
 Inductance, 167–168. *See also* Self-inductance (*L*)
 Induction-based displacement, 156–167
 displacement sensors, 157–158, 157*f*, 158*f*
 Eddy current displacement sensors, 158–161, 160*f*
 inductive proximity, 157–158
 magnetic proximity switches, 156–157
 magnetostrictive sensors, 165–167, 166*f*
 resolvers, 163–165, 164*f*
 synchros, 163–165
 variable differential transformers, 161–163
 Inductive and magnetic sensors, 145
 applications, 171–177
 applications of other inductive sensors, 176–177
 contact-free sensing using magnetic and inductive sensors, 171–173
 of variable reluctance and eddy current sensors, 173–176
 interfacing, 167–171
 magnetic and electromagnetic quantities, 145–149
 magnetic field sensors, 149–155
 magnetic-and induction-based
 displacement and force sensors, 156–167
 Inductive sensors, 163–164, 163*t*
 contact-free sensing using, 171–173
 interfacing inductive sensors, 167–168
 Inductive tachometer, 174
 Inductive zero error, 152–153
 Inductosyn, 165, 165*f*
 Integrated Development Environment (IDE), 347–348
 Inertial-based sensors, 222
 Information processing, 1
 Input impedance, 248
 Input transducer, 1–2, 29, 35
 Input variables, 24–25
 Inspection, 292–296

- acoustic imaging, 293–294
 - acoustic ToF measurement system, 292–293
 - NDT and material properties, 294
 - production and process control, 294–295
 - systems, 12–13
 - tactile sensors, 295–296
 - Instrumentation amplifier, 99, 99*f*
 - Integrated capacitive pressure sensors, 125, 126*f*
 - Integrated development environment (IDE), 18, 20
 - Integrated silicon accelerometer with piezoresistive elements, 88, 88*f*
 - Integrator, 335–340
 - Intensity, 186
 - measurement, 192–195, 192*f*, 195*f*
 - Intensive quantity, 24
 - Interdigitated fingers principle, 127, 127*f*
 - Interface circuits, 259, 327
 - comparator, 333–334
 - current-to-voltage converter, 329–330
 - filters, 340–342
 - integrator and differentiator, 335–340
 - inverting amplifier, 332–333
 - noninverting amplifier, 330–332
 - operational amplifier, 327–328
 - Schmitttrigger, 334–335
 - Interfacing, 65–68, 65*f*, 127–132, 258–261
 - acoustic sensors to embedded systems, 296–297
 - with analog circuits, 127–130
 - to embedded systems, 130–132, 131*f*, 169–171
 - inductive sensors, 167–168
 - light-emitting diodes, 210–212, 210*f*, 211*f*
 - methods for measurement of capacitance changes, 128*f*
 - optical sensors to embedded systems, 213–215
 - photo diodes, 210–212, 211*f*
 - position sensitive diodes, 212–213, 212*f*, 213*f*, 214*f*
 - Interference, 206
 - interferometric/interferometry, 204–209, 207*f*
 - arrangement, 224–225
 - encoder, 208
 - Internal capacitance, 130
 - sensing applications using, 135–139
 - International Vocabulary of Basic and General Terms in Metrology (VIM), 39
 - Internet of things, 15
 - Interrogating sensors, 31
 - Intrinsic nonlinearity error, 67
 - Intrinsic semiconductors, 104
 - Inverse magnetostrictive effect, 149
 - Inverse square law, 193
 - Inverting amplifier, 332–333
 - Irradiance, 312
- K**
- “Kinect sensor”, 234–235, 235*f*
 - Kirchhoff’s current law, 190
- L**
- Labview, 20, 345
 - Lambert–Beer’s law, 217
 - Lambertian surface, 313–314
 - Laser diode, 192
 - Latent information parameters (LIPs), 31–32
 - LC-oscillator circuit, 129–130
 - LDR. *See* Light-dependent resistor (LDR)
 - Lead–zirconium–titanate (PZT), 245, 253–254
 - Least significant bit (LSB), 199
 - Least square nonlinearity, 41
 - LED. *See* Light-emitting diode (LED)
 - Level sensor, 4–5
 - Light, 183, 210, 216–217
 - emitters, 184–187, 184*t*
 - energy, 315
 - sensors, 187–189
 - transmission, 195
 - waves, 270–271
 - Light-dependent resistor (LDR), 61, 109–110, 109*f*
 - Light-emitting diode (LED), 185, 192, 210–212, 210*f*, 211*f*
 - peak wavelength of LED types, 187*t*
 - Line cameras, 187–188, 237
 - Linear displacement, 70–71, 174–175.
 - See also* Angular displacement
 - sensing, 216–222
 - linear distance, 216–219

- Linear displacement (*Continued*)
 - linear velocity and acceleration, 220–222
 - surface properties, 219–220
- Linear distance, 216–219
- Linear gray encoder, 360–362
- Linear proportional Hall-effect sensor, 173
- Linear variable differential capacitor (LVDC), 122–123
- Linear variable differential transformer (LVDT), 5, 33, 161–162, 161*f*, 162*f*, 163*t*
- Linear variable displacement transformer. *See* Linear variable differential transformer (LVDT)
- Linear velocity and acceleration, 220–222
- Linearity error, 41
- LIPs. *See* Latent information parameters (LIPs)
- LiTaO₃, 252
- Load cell, 5, 79, 99, 99*f*
- Longitudinal waves, 267–268
- Low-cost angular rate sensors, 223
- Low-pass filter, 56–57
- LSB. *See* Least significant bit (LSB)
- LVDC. *See* Linear variable differential capacitor (LVDC)
- LVDT. *See* Linear variable differential transformer (LVDT)
- M**
- Mach–Zehnder interferometer, 207, 207*f*
- Magnetic angle sensor, 177
- Magnetic displacement sensors, 171
- Magnetic field sensor(s), 149–155, 172
 - coil, 150
 - comparison, 156*t*
 - fluxgate sensors, 154–155, 154*f*, 155*t*
 - Hall plate sensors, 151–154, 152*t*
- Magnetic fluid, 177
- Magnetic flux (Φ), 138, 145
- Magnetic flux leakage (MFL), 175–176, 176*f*
- Magnetic induction (B), 145–147
- Magnetic permeability, 147, 305
- Magnetic proximity switches, 156–157
- Magnetic quantities
 - Eddy currents, 147–148
 - flux, 145–147
 - magnetic field strength, 145–147, 145*f*, 146*f*
 - magnetic induction, 145–147
 - magnetic resistance, 148–149
 - magnetostriction, 149
 - permeability, 147, 148*t*
 - self-inductance, 148–149
- Magnetic resistance (R_m), 145, 148–149
- Magnetic sensing, 354–357
 - Arduino oscilloscope sketch, 354–356
 - Processing oscilloscope sketch, 356–357
- Magnetic sensor, 4
 - contact-free sensing using, 171–173
- Magnetic-based displacement and force sensors, 156–167
 - displacement sensors, 157–158, 157*f*, 158*f*
 - Eddy current displacement sensors, 158–161, 160*f*
 - inductive proximity, 157–158
 - magnetic proximity switches, 156–157
 - magnetostrictive sensors, 165–167, 166*f*
 - resolvers, 163–165, 164*f*
 - synchros, 163–165
 - variable differential transformers, 161–163
- Magnetically controlled switch, 7
- Magnetically induced signals, 51
- Magnetization vector, 101
- Magnetoelastic sensors. *See* Magnetostrictive sensors
- Magnetoresistive devices, 102
- Magnetoresistive sensors, 100–103. *See also*
 - Piezoresistive sensors;
 - Thermoresistive sensors
- applications, 103
 - magnetoresistivity, 100–103, 101*f*
- Magnetoresistivity, 100–103, 101*f*
 - angle offset, 101*f*
 - comparison of magnetoresistive sensors, 103*t*
- Magnetostriction, 149, 167
- Magnetostrictive sensors, 149–150, 165–167, 166*f*
- Maltese cross, 81
- Manganese-based perovskites, 102
- Matched gauges, 75–76
- Matching layers and shaping, 277
- MatLab, 20, 345

- Measurand, sensor classification
 - based on, 30
 - Measurement
 - bridge, 46, 47*f*
 - as modulator, 55
 - frequency of eddy current sensor, 160
 - measurement-based correction, 58, 58*f*
 - methods for acoustic sensors, 278–286
 - burst, 279–281
 - CW, 281–282
 - distance determination on ToF, 279*f*
 - FMCW, 282–285
 - principle, 13–14
 - signal modulation, 51
 - Mechanical domain, 307–310. *See also*
 - Electrical domain; Optical domain;
 - Thermal domain
 - force quantities, 308*t*
 - geometric or position quantities, 308*t*
 - mechanical properties, 308*t*
 - Mechanical scanning, 233
 - Mechanically controlled switches, 7
 - Mechatronics, 268
 - applications in, 11
 - designer, 39
 - sensors, 1–2
 - and actuators, 2*f*
 - development, 2–4
 - nomenclature, 4–6
 - sensors and information, 6–13
 - acoustic imaging, 11–12, 12*f*
 - analogue sensors, 8
 - binary sensors, 6–8, 7*f*, 8*t*
 - image sensors, 8–10, 9*f*
 - optical imaging, 10–11
 - tactile imaging, 12–13
 - MEMS. *See* Micro-ElectroMechanical Systems (MEMS)
 - (Metal) resistance thermometers and thermistors, 104
 - MFL. *See* Magnetic flux leakage (MFL)
 - Michelson interferometer, 206
 - Micro-ElectroMechanical Systems (MEMS), 3, 86–87, 115
 - tri-axial accelerometers, 254
 - Micromachined acceleration sensors, 127
 - Micromachined gyroscopes, 89
 - Microstrain (μ strain), 75
 - Miller indices, 35–36
 - Miniaturization, 3
 - Mobile robots, navigation for, 289–290
 - navigation with beacons, 291*f*
 - resolution improving by convolution, 290*f*
 - Mobility, 104
 - Model-based correction, 57–58, 58*f*
 - Modified integrator, 336, 336*f*
 - Modulated signal, 52
 - advantage, 52
 - side bands of, 52
 - Modulation, 51–55, 59, 153
 - amplitude modulated signal, 53*f*
 - frequency spectrum, 53*f*
 - input transducer, 36
 - measurement bridge as modulator, 55
 - multiplier as modulator, 53–54
 - output transducer, 36
 - sensors, 31–32, 31*f*
 - switch modulator, 54–55, 54*f*
 - Modulator
 - measurement bridge as, 55
 - multiplier as, 53–54
 - switch, 54–55, 54*f*
 - Modulus of elasticity, 268–269
 - Moiré effect, 202–203, 203*f*, 222
 - Monostrophic code, 199
 - Multiaxis accelerometer, 133–134, 134*f*
 - Multidirectional magnetoelastic sensor
 - system, 165–166
 - Multiplate capacitive sensors, 123–125.
 - See also* Flat-plate capacitive sensors
 - capacitive multiplate angular sensor, 124*f*
 - multiplate capacitive displacement sensor with phase read-out, 124*f*
 - Multiplicative errors, 45, 58, 328–330
 - Multiplier as modulator, 53–54
 - Mutual inductance (M), 145
- ## N
- Natural piezoelectric materials, 246
 - Natural piezoelectricity, 246
 - Navigation, 235–239, 286–292
 - acoustic transducers, 286–287
 - control board for electrostatic range finder, 288*f*
 - interfering echo, 288*f*
 - localization of tools, 291–292
 - for mobile robots, 289–290

Navigation (*Continued*)

- sonar maps from scanning echo location system, 287*f*
- tool for visually impaired persons, 291
- NDT, 292–293
 - and material properties, 294
 - principle of magnetic flux leakage, 176*f*
- Negative Temperature Coefficient (NTC), 5, 104, 107
- NEP. *See* Noise equivalent power (NEP)
- Nintendo power glove, 91
- Noise, 42–43
 - electrical, 42
 - Gaussian, 286
 - quantization, 43
 - thermal, 42
 - white, 42
- Noise equivalent power (NEP), 313, 313*t*
- Nondestructive testing and material characterization, 175–176
- Noninverting amplifier, 330–332
- Nonlinearity, 40–41
 - end-of-stroke, 162
 - error, 67, 67*f*, 105
 - and resolution, 64
- NTC. *See* Negative Temperature Coefficient (NTC)
- n-type silicon, 84, 86
- Nuclear radiation, 33

O

- Object shape, 231–235
- Object tracking, 227–230, 228*t*
- Occlusion, 10
- Offset, 42
 - compensation, 42
 - errors, 58–59
 - voltage, 152
- One-over- f noise ($1/f$ noise), 43
- 1D line scanning, 8–10, 9*f*
- Operational amplifier, 327–328, 328*f*, 328*t*
- Operational amplifiers, 211–212
- Optic fiber, 218*f*
- Optical array sensor with built-in capacitive sensing, 132, 133*f*
- Optical center of intensity, 191
- Optical displacement sensors, 183
 - intensity measurement, 192–195, 192*f*, 195*f*
 - interferometry, 204–209, 207*f*
 - optical encoders, 198–204, 198*f*, 204*t*
 - sensor devices for reflective optical distance sensing, 194*f*
 - time-of-flight, 210
 - triangulation, 195–198, 195*f*, 196*f*
- Optical domain, 310–317. *See also*
 - Electrical domain; Mechanical domain; Thermal domain
 - characteristic parameters of optical sensors, 313*t*
 - derivation of relations between intensity and distance, 314–317
 - optical quantities, 310–313
 - radiant energy from unit surface with Lambertian emission, 313–314
- Optical encoders, 198–204, 198*f*, 204*t*
- Optical fibers, 183
- Optical flow sensor, 230
- Optical imaging, 10–11
- Optical position measurement, 217*f*
- Optical proximity
 - sensing, 194*f*
 - sensor, 193
- Optical quantities, 310–313
- Optical range finding, 232, 232*f*
- Optical sensing, 358–362
 - applications, 215*t*
 - external interrupt, 359
 - full quadrature, 360
 - linear gray encoder, 360–362
 - sample timer approach, 358
 - systems, 216, 267
 - application, 184
 - configuration, 184*f*
- Optical sensors. *See also* Acoustic sensors; Piezoelectric sensors
 - applications, 215–239
 - angular displacement sensing, 222–225
 - force, 225–227
 - linear displacement sensing, 216–222
 - navigation, 235–239
 - object shape, 231–235
 - object tracking, 227–230, 228*t*
 - strain sensing, 225–227
 - torque, 225–227, 226*f*
 - configuration of optical sensing system, 184*f*
 - electro-optical components, 183–191

- interfacing, 210–215
- optical displacement sensors, 192–210
- Optical tactile sensing, 227*f*
- Optical ToF principle, 222
- Optical velocimeters, 221
- Optoresistive sensors, 109–110. *See also*
 - Magnetoresistive sensors;
 - Piezoresistive sensors;
 - Thermoresistive sensors
- Oscillator method, 129–130
- Output impedance, 248
- Output transducer, 1–2
- Output variables, 24–25

P

- Parallel conductors, 116–117, 117*f*
- Particle velocity, 268
- PCBs. *See* Printed circuit boards (PCBs)
- Permeability, 147, 148*t*, 154–155
- Permittivity, 115–119
- Phantom images, 92–94
- Phase shift, 286
- Phased arrays, 11
- Photo diodes, 187, 210–212, 211*f*
 - characteristics, 188, 188*f*
- Photo transistor, 187
- Photometric quantities, 310, 311*t*
- Photoresistor, 187
- Physical quantities, 30*f*. *See also* Magnetic quantities
 - classification, 23–26
 - relations between, 26–29
- Piezoelectric
 - accelerometer, 33, 257
 - sensors, 261
 - acoustic transducer, 276
 - ceramics, 263
 - sensor, 262–263
 - charge constant, 249–250
 - device, 296
 - force sensors, 261
 - materials, 245–254
 - parameters, 247–254, 250*t*
 - PZT, quartz and PVDF comparison, 253*t*
 - polymers, 246–247, 263
 - pressure sensor, 255
 - PVDF, 246–247, 262–263
 - transducers, 274, 276–277, 276*f*

- typical response of PE transducer to input burst signal, 278*f*

Piezoelectric sensors, 254. *See also* Acoustic sensors; Optical sensors

- applications, 261–263
 - acceleration, 262–263
 - stress and pressure, 261–262
 - tactile sensors, 263
- force, pressure, and acceleration sensors, 254–258
 - characteristics of accelerometers, 257–258
 - construction, 254–256
- interfacing, 258–261
- piezoelectricity, 245–254
- tactile sensors, 263

Piezoelectricity, 245, 246*f*, 263

- piezoelectric materials, 245–247
- piezoelectric parameters, 247–254
 - constitutive equations, 249–251

Piezoresistive elastomers, 89

- force sensor using, 90*f*
- resistance–pressure characteristic of conductive elastomers, 90*f*

Piezoresistive sensors, 83–99. *See also* Magnetoresistive sensors; Resistive sensors

- applications, 90–98
- interfacing, 98–99, 99*f*
- piezoresistive elastomers, 89
- piezoresistivity, 83–87
- robot foot including foil-based pressure sensors, 97*f*
- silicon piezoresistive sensors, 87–89
- tactile sensors, 92–96
- touch screens, 97–98
- touch sensors, 96

Piezoresistivity, 83–87

- components of silicon for p-and n-type, 86*t*
- gauge factors for silicon, 85*t*
- matrix equation for silicon, 86
- three orientation surfaces in silicon, 85*f*

Piezotransducers, 289

Planar micromachined gyroscope, 133–134

Plane piston model, 271

Plane wave Beer's law, 269

Platinum, 104–105

- thermometer, 105

pn-diode, 188
 Poisson effect, 73–74, 76
 Poisson ratio, 73–76
 Poled ceramic materials, 246
 Poled PVDF, 261
 Polycrystalline Hall sensors, 154
 Polymer-on-silicon technology, 247
 Polymers, 246–247
 Polystrophic code, 199
 Polyvinylidene fluoride (PVDF), 246–247, 252, 261–263
 comparison with PZT and quartz, 253*t*
 poled, 261
 Port models, sensor classification based on, 31–33
 Position sensitive diode (PSD), 187, 189–191, 189*f*, 198*t*
 interfacing, 212–213, 212*f*, 213*f*, 214*f*
 Position sensor, 4–5, 219–220
 Position-and force-related quantities, capacitive sensors for, 132–135
 Positive temperature coefficient (PTC), 104, 108
 Potentiometric accelerometers, 72
 Potentiometric displacement sensors, 62
 Potentiometric sensors, 61–72
 buffering wiper voltage, 68*f*
 construction and general properties, 62–64
 electrical characteristics, 64–65
 elimination of source voltage variations, 68*f*
 interfacing, 65–68, 65*f*
 potentiometers
 applications, 70–72
 contact-free, 68–70
 in robot finger for grip control, 71*f*
 specifications, 63*t*
 Potentiometric wiring, 64, 64*f*
 Power conjugation, 25, 25*t*
 Pressure, 175, 177, 261–262
 sensitivity of piezoresistive sensors, 85
 sensor, 5, 254–258
 Printed circuit boards (PCBs), 135
 Processing, 344
 Graphwriter code in, 347*f*
 oscilloscope sketch, 356–357
 Proof mass, 88, 255

Proximity sensors. *See* Binary displacement sensors
 PSD. *See* Position sensitive diode (PSD)
 p-silicon, 84–86
 Pt100, 105
 interface circuit for, 105–106
 sensitivity of, 105
 PTC. *See* Positive temperature coefficient (PTC)
 Pull-down resistor, 98
 Pull-up resistor, 98
 Pulse width modulation (PWM), 169, 354–355
 Pure semiconductors. *See* Intrinsic semiconductors
 Purpose-designed comparators, 333–334
 PVDF. *See* Polyvinylidene fluoride (PVDF)
 PWM. *See* Pulse width modulation (PWM)
 Pyroelectric material, 263
 PZT. *See* Lead–zirconium–titanate (PZT)

Q

Q-factor, 57
 Quadrature point, 205
 Quantities, relations between
 application to four domains, 321–323
 generalized equations, 319–321
 Heckmann diagrams, 323–324, 324*f*
 physical effects, 323*t*
 symbols, parameter names and units of effects, 323*t*
 Quantization noise, 43
 Quartz (crystalline SiO₂), 245

R

“Radar” systems, 288
 Radiance, 310–312
 Radiant
 emittance, 310–312
 intensity, 310–312
 Radiometric quantities, 310, 311*t*
 Rain sensor, 137, 177
 Range finder, 8–9
 Range finding, 219, 233
 Range sensor, 4–5
 Ranging, 232
 Rate variable, 23–24
 Ratio method, 68
 Read-out electrode, 121, 121*f*

- Receiver, 8–9, 199, 220–221, 228, 271, 279
 mode, 274–275
 omnidirectional, 290
 ultrasonic, 167
- Reduced instruction set (RISC), 17–18
- Reed switches, 7–8, 156–157, 156*f*, 157*t*
- Reflectance, 216, 218
- Reflective optocouplers, 214
- Rehabilitation, 229
- Relative nonlinearity, 67
- Relative permeability, 147
- Relaxation oscillator, 130
- Reliability characteristics, 39
- Reluctance. *See* Magnetic resistance (R_m)
- Resistance, 61
 thermometer, 104–106
- Resistive material, 72
- Resistive sensors, 61, 62*t*, 106. *See also*
 Capacitive sensors
 magnetoresistive sensors, 100–103
 optoresistive sensors, 109–110
 potentiometric sensors, 62–72
 resistance, 61
 resistivity, 61
 strain gauges, 72–83
 thermoresistive sensors, 104–108
- Resistive touch screens, 97
- Resistive zero error, 152
- Resistivity ρ (Ωm), 24, 61
- Resolution, 41
 improving by convolution, 290*f*
 low spatial resolution, 11
 nonlinearity and, 64
- Resolvers, 163–165, 164*f*
- Respiratory air flow, 294
- Response time, 8, 43
- Reversible transduction effects, 271
- Revolutions per minute (rpm), 220
- Rheostat wiring, 64, 64*f*
- RISC. *See* Reduced instruction set (RISC)
- Robotics, 12
 manipulators, 83
- Robust potentiometers, 62
- Rochelle salt ($\text{NaKC}_4\text{H}_4\text{O}_6 \cdot \text{H}_2\text{O}$), 251
- Rotary capacitor, 135, 136*f*
- Rotating shaft, 81
- Rotation, 135
- Rotational variable differential capacitor (RVDC), 123
- Rotational version of LVDT (RVDT), 163
- Rotor supply mode, 164
- Roughness, 175, 219–220
- Ruler, 165
- RVDC. *See* Rotational variable differential capacitor (RVDC)
- RVDT. *See* Rotational version of LVDT (RVDT)
- S**
- Sagnac effect, 224–225
- “Sallen-Key” configuration, 341
- SAWs. *See* Surface acoustic waves (SAWs)
- Scalar variables, 26
- Scale error, 58–59, 331
- Scattering loss, 269
- Schmitt trigger, 6–7, 130, 334–335
- Seam tracking, 294
- Seed studio, 362
- Seismic mass. *See* Proof mass
- Self-heating, 105, 107
- Self-inductance (L), 145, 148–149
 of coil, 172–173
 of configuration, 157–158
 disadvantage of types, 158
 displacement sensors based on variable, 157*f*
 of isolated coil, 159
- Self-mixing interferometry, 221
- Self-occlusion, 10
- Semiconductor laser, 185
- Sense coil, 154–155
- Sensing applications
 using external capacitances, 139–141
 using internal capacitances, 135–139
- Sensitive accelerometers, 262
- Sensitivity
 characteristic, 188–189
 matrix, 82
 of Pt100, 105
 of sensor, 40
 of system, 43
- Sensor(s), 1–2, 2*f*, 29. *See also* Transducer (s)
 and actuators, 2*f*
 analogue, 8
 array, 9–10

Sensor(s) (*Continued*)

- binary, 6–8, 7*f*, 8*t*
- classification, 30
- cube, 35, 36*f*
- development, 1–4
- embedded sensing, 15–20
 - developing applications, 18–20, 19*f*
 - embedded control architecture, 17–18
 - interfacing, conditioning, processing, 15–16
 - platform for illustration, 17
 - steps, 16*f*
- error reduction techniques, 44–60, 45*f*
 - compensation, 45–47, 46*f*
 - correction methods, 57–60
 - demodulation, 55–57
 - feedback methods, 48–50
 - filtering, 51
 - modulation, 51–55
 - overview, 59*f*
- fundamentals, 23
 - physical quantities, 30*f*
 - sensor classifications, 29–36
- gloves, 91, 92*f*
- image, 8–10, 9*f*
- impedance, 159
- industrial products and systems, 3
- and information, 6–13
- in mechatronics, 1–2
- methods, 14
- “node” in Industry 4.0 or in Internet of things, 15
- nomenclature, 4–6
- performance, 39
- port models, 32*f*
- principles, 168*f*
- reasons for increasing interest, 3
- selection, 13–15, 14*f*
- specification, 39–43
 - accuracy, 42
 - frequency response and bandwidth, 43
 - noise, 42–43
 - nonlinearity and hysteresis, 40–41
 - offset and zero drift, 42
 - operating conditions, 43
 - resolution, 41
 - response time, 43
 - sensitivity, 40
- Serial Monitor, 346, 346*f*
- Shear angle, 308
- Shear forces, 96, 308–309
- Shear waves, 267–268
- Shielding, 51
- Side band(s)
 - amplitude, 54–55
 - of modulated signal, 52
- Signal types, 285–286
- Signal-to-noise ratio (SNR), 2, 48, 221, 313
- Signature method, 293–294
- Silicon (Si), 310
 - accelerometers, 88–89, 126–127
 - capacitive sensors, 125–127
 - silicon accelerometers, 126–127
 - silicon pressure sensors, 125–126
 - force sensor, 87
 - Hall devices, 152
 - membrane sensors, 275–276
 - micromachining technology, 125
 - piezoresistive sensors, 87–89, 87*f*, 88*f*
 - pressure sensors, 87, 125–126
- Sine wave, 268
- Six-d.o.f. force/torque sensors, 82–83, 84*f*
- Skin effect, 160
- Slider, 165
 - connections, 62, 63*f*
 - in potentiometer, 172
- Smart sensing, 3
- SNR. *See* Signal-to-noise ratio (SNR)
- Solid-state laser, 185
- Sound
 - intensity, 268
 - power, 268
 - pressure, 268
 - propagation speed, 268–269
 - waves, 268, 270–271, 294
- Sparkfun, 362
- Spectral irradiance, 312–313
- Spectral sensitivity, 189
- Speed, 6
- Spring elements, 79, 80*f*
- SQUID. *See* Superconducting QUantum Interference Device (SQUID)
- State variable, 23–24
- Static characteristics, 39
- Static correction, 57–58
- Stator supply mode, 164
- Strain (*S*), 23, 33
 - sensing, 225–227

- Strain gauge, 5, 33, 61
 - applications, 79–83
 - bathroom scale using four-point load cells, 83*f*
 - bridge, 33
 - construction and properties, 72–76
 - full-bridge yoke used in kitchen scales, 83*f*
 - integrated 6–d.o.f. force/torque sensors, 84*f*
 - interfacing, 77–79
 - measurement of torque with, 81*f*
 - on single carrier, 76*f*
 - specifications, 75*t*
 - thin film, 73*f*
- Stray capacitance, 70, 129
- Stray field, 115–116, 118–119
- Stress, 261–262
- Stress-sensitive amorphous ribbon, 166–167
- Structured light, 227–228
 - limitations of resolution in, 234
 - robotic applications, 234–235
- Superconducting QUantum Interference Device (SQUID), 149–150
- Supply voltage rejection ratio (SVRR), 327–328
- Suppressed carrier
 - AM signal with, 53–54, 53*f*
 - amplitude-modulated signal with, 78
- Surface acoustic waves (SAWs), 267–268
- SVRR. *See* Supply voltage rejection ratio (SVRR)
- Switch, 6–7, 171
 - modulator, 52, 54–55, 54*f*
 - switching distance, 171
- Synchro, 163–165, 169, 169*f*, 170*f*
- Synchronous detection, 56, 57*f*, 78, 162
- Synthetic wavelength, 206
- System engineers, 1
- T**
- Tachometers, 4–5, 223
- Tactile imaging, 12–13
- Tactile sensor(s), 4–5, 92–96, 226, 263, 295–296, 295*f*
 - all-silicon, 96
 - piezoresistive, 93*f*
 - reduction of crosstalk, 94*f*
 - robot hand using piezoresistive elastomers in fingertip, 93*f*
 - row–column readout of tactile matrix, 94*f*
 - taxel layout, 95*f*
- “Tamed” integrator. *See* Modified integrator
- Taming resistor, 339–340
- TCP. *See* Tool-centerpoint (TCP)
- Temperature coefficient (tc), 77–78
 - of NTC, 107
 - of offset, 42, 327–328
 - of resistivity, 75, 87, 104
- Temperature dependence of NTC, 107
- Temperature sensitivity, 40, 44*t*, 254
 - low-temperature sensitivity, 134
 - of strain gauge element, 77–78
- Terminal nonlinearity, 41
- Thermal domain, 306–307. *See also*
 - Electrical domain; Mechanical domain; Optical domain
 - thermal parameters, 307*t*
 - thermal variables, 307*t*
- Thermal light bulb, 184–185
- Thermal noise, 42
- Thermistors, 106–108
- Thermocouple, 31, 33
 - temperature sensor, 32
- Thermoresistive sensors, 104–108. *See also*
 - Magnetoresistive sensors;
 - Piezoresistive sensors
 - interface circuit using single operational amplifier, 106*f*
 - resistance thermometer, 104–106
 - thermistors, 106–108
 - thermoresistivity, 104
- Thermoresistivity, 104
- 3D force sensor, 91
- Through-variables, 24, 26
- Tilt sensor, 4–5, 177, 223
- Time-of-flight (ToF), 5–6, 210, 280–281, 281*f*
 - distance determination based on, 279*f*
 - inaccuracies, 280*f*
 - principle, 219
 - S/N in, 271
 - ultrasound ToF tactile sensor, 295*f*
- Tool wear, 231
- Tool-centerpoint (TCP), 228–229
- Torductor, 166
- Torque, 225–227, 226*f*

- Torque (*Continued*)
 - measurements, 80–81
 - sensor, 5
- Touch screens, 97–98
- Touch sensors, 5, 7, 96
- Tracking
 - systems, 228–229
 - by vision, 228
- Transducer(s), 1–2, 4–5, 35–36. *See also* Sensor(s)
 - boundaries between sensor and, 2
 - input, 1–2
 - for measurement of force and related quantities, 5
 - multiplying, 33
 - output, 1–2
 - practical, 33
 - translating quantities, 15–16
 - types, 32–33
- Transduction
 - effects, 271
 - filtering after, 51
 - filtering prior to, 51
- Transfer function, 340–341
 - basic electronic circuits, 327
 - of Butterworth filter of order $2n$, 341–342
 - integrator, 336
 - single mode circuit, 129
 - transducer, 280–281
- Transformer
 - transformer-based sensors, 169
 - type, 168, 354
- Transmission
 - efficiency, 277
 - mode, 231
 - path, 183–184
- Transmissive optocouplers, 214
- Transmitter, 8–9, 220–221, 228, 271, 279
 - acoustic, 295
 - mode, 275
 - omnidirectional light, 196
- Transversal operation, 253
- Transverse sensitivity factor (F_t), 76
- Triangulation, 195–198, 195*f*, 196*f*, 219
 - principle, 219
 - systems, 218–219
- “Trimmer”, 135
- 2.5D data, 8–9
- 2D matrix sensor, projection on, 8–10, 9*f*
- 2D point scanning, 8–9, 9*f*, 232
- U**
- Ultrasonic
 - distance sensors, 288
 - rangefinding, 289
 - recognition system, 293
 - sensors, 267, 286
 - transducer, 271
- Uncertainty aspects of measuring systems
 - sensor error reduction techniques, 44–60, 45*f*
 - sensor specification, 39–43
- Uni-directional magnetoelastic sensor
 - system, 165–166
- Unified transducer
 - classification, 33*f*
 - model, 32–33
- Unity gain bandwidth, 329–330
- Upper limits of frequency band, 43
- V**
- Variable, 24
 - contact surface principle, 227
 - differential transformers, 161–163
 - reluctance sensors applications, 173–176
 - angular displacement, 174
 - linear displacement and velocity, 174–175
 - nondestructive testing and material characterization, 175–176
- Vector, 23
 - magnetization, 101
 - variables, 26
- Velocimeters, 221–222
- Velocity, 174–176, 220, 220*f*
 - information, 335
 - sensor, 4
- Vernier effect, 202–203
- Versatile magnetic proximity sensor, 156
- Vibration
 - measurements, 294
 - sensor, 4–5
- Villari effect, 149, 166
- Vision, 228*t*
 - systems, 227–228
 - tracking by, 228
- Visually impaired persons, navigation tool for, 291

Vocabulaire International de Métrologie
(VIM), 2

Voltage

- amplification, 330
- comparator, 333
- divider, 98, 98*f*
- voltage-controlled oscillator, 129–130
- voltage–current characteristic, 188

Voltage ratio (VR), 62–63

W

Wavelength, 186

Weber (Wb), 147

Wheatstone bridge, 55, 55*f*, 72

White noise, 42

Wide beam type, 186–187

WiiMote

- application, 230
- optical position sensor operation,
230*f*

Wiper. *See* Slider

Wire-wound

- coils, 161
- linear potentiometer, 41
- potentiometer, 64–65, 65*f*
- types, 68–69

Z

Zero drift, 42

Zero-power mode, 107

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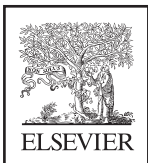
Second Edition

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SENSORS FOR MECHATRONICS

SECOND EDITION

PAUL REGTIEN AND EDWIN DERTIEN

This fully revised and updated second edition of *Sensors for Mechatronics* offers an overview of various sensors and sensor systems as required and applied in mechatronics. Emphasis lies on the physical background of the operating principles, illustrated with examples of commercially available sensors and of recent and future developments.

The first chapter discusses general aspects of sensors with a special section on quantities, notations, and relations concerning these various domains. Moreover it includes a section devoted to sensor errors and error minimization that apply to most of the sensors discussed in this book. Each of the subsequent chapters deals with one class of sensors, pursuing a classification according to physical principles rather than to measurands. These categories are resistive, capacitive, inductive and magnetic, optical, piezoelectric, and acoustic sensors. Each of these chapters starts with a brief introduction to the physical background, from which follow the basic properties and limitations of such sensors. In subsequent sections, different types and constructions are given, together with the typical specifications for devices available in the sensor market. The use is illustrated with many application examples, as well as interface circuits for analogue, digital, and embedded systems.

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